103D CONGRESS 1ST SESSION

S. 186

To require reauthorizations of budget authority for Government programs at least every 10 years, to provide for review of Government programs at least every 10 years, and for other purposes.

IN THE SENATE OF THE UNITED STATES

JANUARY 26 (legislative day, JANUARY 5), 1993

Mr. Reid introduced the following bill; which was read twice and referred jointly pursuant to the order of August 4, 1977, to the Committees on the Budget and Governmental Affairs, with instructions that if one committee reports, the other committee have thirty days to report or be discharged

A BILL

To require reauthorizations of budget authority for Government programs at least every 10 years, to provide for review of Government programs at least every 10 years, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 **SECTION 1. SHORT TITLE.**
- 4 This Act may be cited as the "Spending Control and
- 5 Programs Evaluation Act of 1993".
- 6 SEC. 2. PURPOSES OF ACT.
- 7 The purposes of this Act are—

(1) to require that most Government programs 1 2 be reauthorized according to a schedule at least once every 10 years; 3 (2) to limit the length of time for which Gov-5 ernment programs can be authorized to 10 years; (3) to bar the expenditure of funds for Govern-6 ment programs which have not been provided for by 7 8 a law enacted during the 10-year sunset reauthorization cycle; and 9 (4) to encourage the reexamination of selected 10 11 Government programs each Congress. SEC. 3. DEFINITIONS AND CONSTRUCTION. (a) DEFINITIONS.—For purposes of this Act— 13 (1) The term "budget authority" has the mean-14 ing given to it by section 3(2) of the Congressional 15 Budget Act of 1974. 16 17 (2) The term "permanent budget authority" 18 means budget authority provided for an indefinite 19 period of time or an unspecified number of fiscal 20 years which does not require recurring action by the

Congress, but does not include budget authority pro-

vided for a specified fiscal year which is available for

obligation or expenditure in one or more succeeding

fiscal years.

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- (3) The term "Comptroller General" means the
 Comptroller General of the United States.
- 3 (4) The term "agency" means an executive 4 agency as defined in section 105 of title 5, United 5 States Code, except that such term includes the 6 United States Postal Service and the Postal Rate 7 Commission but does not include the General Ac-8 counting Office.
- 9 (5) The term "sunset reauthorization cycle"
 10 means the period of 5 Congresses beginning with the
 11 One Hundred Third Congress and with each sixth
 12 Congress following the One Hundred Third Congress.
- 14 (b) Treatment of Programs.—For purposes of this Act, each program (including any program exempted by a provision of law from inclusion in the Budget of the United States) shall be assigned to the functional and subfunctional categories to which it is assigned in the Budget of the United States Government, fiscal year 19 1993. Each committee of the Senate or the House of Rep-20 resentatives which reports any bill or resolution which au-21 thorizes the enactment of new budget authority for a program not included in the fiscal year 1993 budget shall include, in the committee report accompanying such bill or resolution (and, where appropriate, the conferees shall in-

- 1 clude in their joint statement on such bill or resolution),
- 2 a statement as to the functional and subfunctional cat-
- 3 egory to which such program is to be assigned.
- 4 (c) REAUTHORIZATION DATE.—For purposes of titles
- 5 I, II, and III of this Act, the reauthorization date applica-
- 6 ble to a program is the date specified for such program
- 7 under section 101(b).

8 TITLE I—REAUTHORIZATION OF

9 GOVERNMENT PROGRAMS

- 10 SEC. 101. REAUTHORIZATION CYCLE.
- 11 (a) GENERAL RULE.—Each Government program
- 12 (except those listed in section 103) shall be reauthorized
- 13 at least once during each sunset reauthorization cycle dur-
- 14 ing the Congress in which the reauthorization date appli-
- 15 cable to such program (pursuant to subsection (b)) occurs.
- 16 (b) First Reauthorization Date.—The first re-
- 17 authorization date applicable to a Government program is
- 18 the date specified in the following table, and each subse-
- 19 quent reauthorization date applicable to a program is the
- 20 date ten years following the preceding reauthorization
- 21 date:

Programs included within subfunctional category

First reauthorization date

254 Space, Science, Applications and Technology.

272 Energy Conservation.

301 Water Resources.

352 Agriculture and Research Services.

371 Mortgage Credit and Thrift Insurance.

376 Other Advancement and Regulation of Commerce.

September 30, 1995.

Programs included within subfunctional category

First reauthorization date

- 501 Elementary, Secondary, and Vocational Education.
- 601 General Retirement and Disability Insurance.
- 602 Federal Employment Retirement and Disability.
- 703 Hospital and Medical Care for Veterans.
- 806 Other General Government.
- 851 General Revenue Sharing.
- 051 Department of Defense—Military.
- 053 Atomic Energy Defense Activities.
- 154 Foreign Information and Exchange Act.
- 251 General Science and Basic Research.
- 306 Other Natural Resources.
- 351 Farm Income Stabilization.
- 401 Ground Transportation.
- 502 Higher Education.
- 553 Education and Training of Health Care Work Force.
- 701 Income Security for Veterans.
- 752 Federal Litigative and Judicial Activities.
- 802 Executive Director and Management.
- 803 Central Fiscal Operations.
- 054 Defense Related Activities.
- 152 Military Assistance.
- 155 International Financial Programs.
- 253 Space Flight.
- 255 Supporting Space Activities.
- 274 Emergency Energy Preparedness.
- 302 Conservation and Land Management.
- 304 Pollution Control and Abatement.
- 407 Other Transportation.
- 504 Training and Employment.
- 506 Social Services.
- 554 Consumer and Occupational Health and Safety.
- 704 Veterans Housing.
- 751 Federal Law Enforcement Activities.
- 801 Legislative Function.
- 852 Other General Purpose Fiscal Assistance.
- 153 Conduct of Foreign Affairs.
- 271 Energy Supply.
- 303 Recreational Resources.
- 402 Air Transportation.
- 505 Other Labor Services.
- 551 Health Care Services.
- 604 Public Assistance and Other Income Supplements.
- 702 Veterans Education, Training, and Rehabilitation.
- 753 Federal Correctional Activities.
- 805 Central Personnel Management.
- 902 Other Interest.
- 151 Foreign Economic and Financial Assistance.
- 276 Energy Information, Policy and Regulation.
- 372 Postal Service.
- 403 Water Transportation.
- 451 Community Development.

September 30, 1997.

September 30, 1999.

September 30, 2001.

September 30, 2003.

Programs included within subfunctional category First reauthorization date

- 452 Area and Regional Development.
- 453 Disaster Relief and Insurance.
- 503 Research and General Education Aids.
- 552 Health Research.
- 603 Unemployment Compensation.
- 705 Other Veterans Benefits and Services.
- 754 Criminal Justice Assistance.
- 804 General Property and Record Management.
- 901 Interest on the Public Debt.
- 1 (c) Point of Order to Preserve Sunset.—(1)
- 2 It shall not be in order in either the Senate or the House
- 3 of Representatives to consider any bill or resolution, or
- 4 amendment thereto, which authorizes the enactment of
- 5 new budget authority for a program for a period of more
- 6 than 10 fiscal years, for an indefinite period, or (except
- 7 during the Congress in which such next reauthorization
- 8 date occurs) for any fiscal year beginning after the next
- 9 reauthorization date applicable to such program. Notwith-
- 10 standing the preceding sentence, it shall be in order to
- 11 consider a bill or resolution for the purpose of considering
- 12 an amendment to the bill or resolution which would make
- 13 the authorization period conform to the requirement of
- 14 such sentence.
- 15 (2)(A) It shall not be in order in either the Senate
- 16 or the House of Representatives to consider any bill or
- 17 resolution, or amendment thereto, which provides new
- 18 budget authority for a program for any fiscal year begin-
- 19 ning after any reauthorization date applicable to such pro-

- 1 gram under subsection (b), unless the provision of such
- 2 new budget authority is specifically authorized by a law
- 3 which constitutes a required authorization for such pro-
- 4 gram.
- 5 (B) For the purposes of this title, the term "required
- 6 authorization" means a law authorizing the enactment of
- 7 new budget authority for a program, which complies with
- 8 the provisions of paragraph (1).
- 9 (3) No new budget authority may be obligated or ex-
- 10 pended for a program for a fiscal year beginning after the
- 11 last fiscal year in a sunset reauthorization cycle unless a
- 12 provision of law providing for the expenditure of such
- 13 funds has been enacted during such sunset reauthorization
- 14 cycle.
- 15 (4) Any provision of law providing permanent budget
- 16 authority for a program shall cease to be effective (for the
- 17 purpose of providing such budget authority) on the first
- 18 reauthorization date applicable to such program.
- 19 (5) It shall not be in order in either the Senate or
- 20 the House of Representatives to consider any bill or reso-
- 21 lution, or amendment thereto, which provides new budget
- 22 authority for a program unless the bill or resolution, or
- 23 amendment thereto (or the report which accompanies such
- 24 bill or resolution), includes a specific reference to the pro-
- 25 vision of law which constitutes a required authorization

- 1 for such program. Notwithstanding the preceding sen-
- 2 tence, it shall be in order to consider a bill or resolution
- 3 for the purpose of considering an amendment which pro-
- 4 vides such reference to the appropriate provision of law.

5 SEC. 102. REAUTHORIZATION REVIEW.

- 6 (a) GENERAL RULE.—It shall not be in order in ei-
- 7 ther the Senate or the House of Representatives to con-
- 8 sider any bill or resolution, or amendment thereto, which
- 9 has been reported by a committee and which authorizes
- 10 the enactment of new budget authority for a program for
- 11 a fiscal year beginning after the next reauthorization date
- 12 applicable to such program, unless a reauthorization re-
- 13 view of such program has been completed during the Con-
- 14 gress in which the reauthorization date for such program
- 15 occurs (or during a subsequent Congress when such re-
- 16 quired authorization is considered), and the report accom-
- 17 panying such bill or resolution includes a separate section
- 18 entitled "Reauthorization Review" recommending, based
- 19 on such review, whether the program or the laws affecting
- 20 such program should be continued without change, contin-
- 21 ued with modifications, or terminated, and also includes,
- 22 to the extent the committee or committees having jurisdic-
- 23 tion deem appropriate, each of the following matters:

- 1 (1) Information and analysis on the organiza-2 tion, operation, costs, results, accomplishments, and 3 effectiveness of the program.
 - (2) An identification of any other programs having similar objectives, and a justification of the need for the proposed program in comparison with those other programs which may be potentially conflicting or duplicative.
 - (3) An identification of the objectives intended for the program, and the problems or needs which the program is intended to address, including an analysis of the performance expected to be achieved, based on the bill or resolution as reported.
 - (4) A comparison of the amount of new budget authority which was authorized for the program in each of the previous four fiscal years and the amount of new budget authority provided in each such year.
- 19 (b) REVIEW OF NEW AUTHORITY.—It shall not be 20 in order in either the Senate or the House of Representa-21 tives to consider a bill or resolution, or amendment there-22 to, which authorizes the enactment of new budget author-23 ity for a program for which there previously has been no 24 such authorization unless the report accompanying such 25 bill or resolution sets forth, to the extent that the commit-

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- 1 tee or committees having jurisdiction deem appropriate,
- 2 the information specified in subsections (a) (2) and (a)(3).
- 3 (c) Congressional Review by Authorizing Com-
- 4 MITTEES.—Each committee having legislative jurisdiction
- 5 over a program referred to in section 103 shall conduct
- 6 a review of such program of the type described in sub-
- 7 section (a) of this section at least once during each sunset
- 8 reauthorization cycle, during the Congress in which the
- 9 reauthorization date applicable to such program occurs,
- 10 and shall submit to the Senate or the House of Represent-
- 11 atives, as the case may be, a report containing its rec-
- 12 ommendations and other information of the type described
- 13 in subsection (a). It shall not be in order in either the
- 14 Senate or the House of Representatives to consider a bill
- 15 or resolution reported by the committee having legislative
- 16 jurisdiction which authorizes the enactment of new budget
- 17 authority for such program unless such report accom-
- 18 panies such bill or resolution, or has been submitted dur-
- 19 ing the Congress in which the reauthorization date for
- 20 such program occurred as provided in section 101(b),
- 21 whichever first occurs.
- 22 SEC. 103. PROGRAMS SUBJECT TO REVIEW ONLY.
- 23 (a) REVIEW OF CERTAIN PROGRAMS.—The program
- 24 listed in subsection (b) shall be subject to the reauthoriza-
- 25 tion cycle and review as provided in section 102(c).

- 1 (b) PROGRAMS.—The programs referred to in sub-2 section (a) are the following:
- 3 (1) Programs included within functional cat-4 egory 900 (Interest).
 - (2) Any Federal programs or activities to enforce civil rights guaranteed by the Constitution of the United States or to enforce antidiscrimination laws of the United States, including but not limited to the investigation of violations of civil rights, civil or criminal litigation or the implementation or enforcement of judgments resulting from such litigation, and administrative activities in support of the foregoing.
 - (3) Programs which are related to the administration of the Federal judiciary and which are classified in the fiscal year 1993 budget under subfunctional category 752 (Federal litigative and judicial activities).
 - (4) Payments of refunds of internal revenue collections as provided in title I of the Supplemental Treasury and Post Office Departments Appropriation Act of 1949 (62 Stat. 561), but not to include refunds to persons in excess of their tax payments.
 - (5) Programs included in the fiscal year 1993 budget in subfunctional categories 701 (Income se-

- curity for veterans), 702 (Veterans education, training, and rehabilitation), 704 (Veterans housing), and programs for providing health care which are included in such budget in subfunctional category 703 (Hospital and medical care for veterans).
 - (6) Social Security and Federal employee retirement programs including the following:
 - (A) Programs funded through trust funds which are included with subfunctional categories 551 (Health care services), 601 (General retirement and disability insurance), or 602 (Federal employee retirement and disability).
 - (B) Retirement pay and retired pay of military personnel on the retired lists of the Army, Navy, Marine Corps, and the Air Force, including the Reserve components thereof, retainer pay for personnel of the Inactive Fleet Reserve; and payments under section 4 of Public Law 92–425 and chapter 73 of title 10, United States Code (survivor's benefits), classified in the fiscal year 1993 budget in subfunctional category 051 (Department of Defense—military).
 - (C) Retirement pay and medical benefits for retired commissioned officers of the Coast

- Guard, the Public Health Service Commissioned Corps, and the National Oceanic and Atmospheric Commissioned Corps and their survivors and dependents, classified in the fiscal year 1988 budget in subfunctional category 551 (Health care services) or in subfunctional category 306 (Other natural resources).
 - (D) Retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the retired serviceman's family protection plan (10 U.S.C. 1431–1446) and survivor benefit plan (10 U.S.C. 1447–1455), classified in the fiscal year 1988 budget in subfunctional category 403 (Water transportation).
 - (E) Payments to the Central Intelligence Agency Retirement and Disability Fund, classified in fiscal year 1993 budget in subfunctional category 054 (Defense-related activities).
 - (F) Payments to the Civil Service Retirement and Disability Fund for financing unfunded liabilities, classified in fiscal year 1993

- budget in subfunctional category 805 (Central
 personnel management).
 (G) Payments to the Foreign Service Re-
 - (G) Payments to the Foreign Service Retirement and Disability Fund, classified in fiscal year 1993 budget in subfunctional category 153 (Conduct of foreign affairs).
 - (H) Payments to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance Trust Funds, classified in fiscal year 1993 budget in various subfunctional categories.
- 12 (I) Administration of the retirement and 13 disability programs set forth in this section.

14 SEC. 104. MISCELLANEOUS PROVISIONS.

- 15 (a) Modification of Schedule.—The reauthoriza-16 tion schedule contained in section 101(b) may be changed 17 by concurrent resolution of the two Houses of the Con-18 gress (except that changes in the schedule affecting per-19 manent appropriations may be made only by law).
- (b) Committee Referral.—All messages, petitions, memorials, concurrent resolutions, and bills proposing changes in section 101(b) and all bills proposing changes in section 103, shall be referred first to the committee with legislative jurisdiction over any program affected by the proposal and sequentially to the Committee

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- 1 on Rules in the House of Representatives or to the Com-
- 2 mittee on Rules and Administration in the Senate.
- 3 (c) COMMITTEE REPORTS.—Except as provided in
- 4 subsection (e), the Committee on Rules in the House of
- 5 Representatives or the Committee on Rules and Adminis-
- 6 tration in the Senate shall report with its recommenda-
- 7 tions any concurrent resolution or bill referred to it under
- 8 subsection (b) and which previously has been reported fa-
- 9 vorably by a committee of legislative jurisdiction within
- 10 30 days (not counting any day on which the Senate or
- 11 the House of Representatives is not in session), beginning
- 12 with the day following the day on which such resolution
- 13 or bill is so referred.
- 14 (d) COMMITTEE RECOMMENDATIONS.—The rec-
- 15 ommendations of the Committee on Rules or the Commit-
- 16 tee on Rules and Administration pursuant to subsection
- 17 (c) or (e) shall include a statement on each of the following
- 18 matters:
- 19 (1) The effect the proposed change would have
- on the sunset reauthorization schedule.
- 21 (2) The effect the proposed change would have
- on the jurisdictional and reauthorization responsibil-
- 23 ities and workloads of the authorizing committees of
- 24 Congress.

- 1 (3) Any suggested grouping of similar programs
- which would further the goals of this Act to make
- 3 more effective comparisons between programs having
- 4 like objective.
- 5 (e) Committee Referral Amendments to This
- 6 Act.—Any concurrent resolution or bill proposing a
- 7 change in section 101(b) or 103 shall be referred in the
- 8 House to the Committee on Rules and in the Senate to
- 9 the Committee on Rules and Administration. Such com-
- 10 mittee shall report an omnibus concurrent resolution or
- 11 bill containing its recommendations regarding the pro-
- 12 posed changes and consideration of such bill or resolution
- 13 shall be highly privileged in the House of Representatives
- 14 and privileged in the Senate. The provisions of subsections
- 15 (c) and (d) of section 1017 of the Impoundment Control
- 16 Act of 1974, insofar as they relate to consideration of re-
- 17 scission bills, shall apply to the consideration of concur-
- 18 rent resolutions and bills proposing changes reported pur-
- 19 suant to this subsection, amendments thereto, motions
- 20 and appeals with respect thereto, and conference reports
- 21 thereon.
- 22 (f) Point of Order.—It shall not be in order in
- 23 the Senate or the House of Representatives to consider
- 24 a bill or resolution reported pursuant to subsection (a),
- 25 (b), (c), or (e) which proposes a reauthorization date for

- 1 a program beyond the final reauthorization date of the
- 2 sunset reauthorization cycle then in progress. Notwith-
- 3 standing the preceding sentence, it shall be in order to
- 4 consider a bill or resolution for the purpose of considering
- 5 an amendment which meets the requirements of this
- 6 subsection.

7 TITLE II—PROGRAM INVENTORY

- 8 SEC. 201. PROGRAM INVENTORY.
- 9 (a) Preparation.—The Comptroller General and
- 10 the Director of the Congressional Budget Office, in co-
- 11 operation with the Director of the Congressional Research
- 12 Service, shall prepare an inventory of Federal programs
- 13 (hereafter in this title referred to as the "program inven-
- 14 tory").
- 15 (b) PURPOSE.—The purpose of the program inven-
- 16 tory is to advise and assist the Congress in carrying out
- 17 the requirements of titles I and III. Such inventory shall
- 18 not in any way bind the committees of the Senate or the
- 19 House of Representatives with respect to their responsibil-
- 20 ities under such titles and shall not infringe on the legisla-
- 21 tive and oversight responsibilities of such committees. The
- 22 Comptroller General shall compile and maintain the inven-
- 23 tory, and the Director of the Congressional Budget Office
- 24 shall provide budgetary information for inclusion in the
- 25 inventory.

- 1 (c) Submission Date.—Not later than April 1,
- 2 1993, the Comptroller General, after consultation with the
- 3 Director of the Congressional Budget Office and the Di-
- 4 rector of the Congressional Research Service, shall submit
- 5 the program inventory to the Senate and House of Rep-
- 6 resentatives.
- 7 (d) Categories in Report.—In the report submit-
- 8 ted under this section, the Comptroller General, after con-
- 9 sultation and in cooperation with and consideration of the
- 10 views and recommendations of the Director of the Con-
- 11 gressional Budget Office, shall group programs into pro-
- 12 gram areas appropriate for the exercise of the review and
- 13 reexamination requirements of this Act. Such groupings
- 14 shall identify program areas in a manner which classifies
- 15 each program in only one functional and only one
- 16 subfunctional category and which is consistent with the
- 17 structure of national needs, agency missions, and basic
- 18 programs developed pursuant to section 1105 of title 31,
- 19 United States Code.
- 20 (e) Program Analysis.—The program inventory
- 21 shall set forth for each program each of the following mat-
- 22 ters:
- 23 (1) The specific provision or provisions of law
- authorizing the program.

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1	(2) The committees of the Senate and the
2	House of Representatives which have legislative or
3	oversight jurisdiction over the program.
4	(3) A brief statement of the purpose or pur-
5	poses to be achieved by the program.
6	(4) The committees which have jurisdiction over
7	legislation providing new budget authority for the
8	program, including the appropriate subcommittees of
9	the Committees on Appropriations of the Senate and
10	the House of Representatives.
11	(5) The agency and, if applicable, the subdivi-
12	sion thereof responsible for administering the pro-
13	gram.
14	(6) The grants-in-aid, if any, provided by such
15	program to State and local governments.
16	(7) The next reauthorization date for the pro-
17	gram.
18	(8) A unique identification number which links
19	the program and functional category structure.
20	(9) The year in which the program was origi-
21	nally established and, where applicable, the year in
22	which the program expires.

(10) Where applicable, the year in which new

budget authority for the program was last author-

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1	ized and the year in which current authorizations of
2	new budget authority expire.
3	(f) UNAUTHORIZED PROGRAMS.—The inventory shall
4	contain a separate tabular listing of programs which are
5	not required to be reauthorized pursuant to section
6	101(c).
7	(g) Analysis of New Budget Authority.—The
8	report also shall set forth for each program whether the
9	new budget authority provided for such programs is—
10	(1) authorized for a definite period of time;
11	(2) authorized in a specific dollar amount but
12	without limit of time;
13	(3) authorized without limit of time or dollar
14	amounts;
15	(4) not specifically authorized; or
16	(5) permanently provided,
17	as determined by the Director of the Congressional Budg-
18	et Office.
19	(h) OTHER DATA.—For each program or group of
20	programs, the program inventory also shall include infor-
21	mation prepared by the Director of the Congressional
22	Budget Office indicating each of the following matters:
23	(1) The amounts of new budget authority au-
24	thorized and provided for the program for each of

- the preceding four fiscal years and, where applicable,
- 2 the four succeeding fiscal years.
- 3 (2) The functional and subfunctional category
- 4 in which the program is presently classified and was
- 5 classified under the fiscal year 1993 budget.
- 6 (3) The identification code and title of the ap-
- 7 propriation account in which budget authority is
- 8 provided for the program.

9 SEC. 202. EXCHANGE OF INFORMATION.

- The General Accounting Office, the Congressional
- 11 Research Service, and the Congressional Budget Office
- 12 shall permit the mutual exchange of available information
- 13 in their possession which would aid in the compilation of
- 14 the program inventory.

15 SEC. 203. AGENCY COOPERATION.

- The Office of Management and Budget, and the Ex-
- 17 ecutive agencies and the subdivisions thereof shall, to the
- 18 extent necessary and possible, provide the General Ac-
- 19 counting Office with assistance requested by the Comp-
- 20 troller General in the compilation of the program inven-
- 21 tory.

22 SEC. 204. CONGRESSIONAL REVIEW.

- Each committee of the Senate and the House of Rep-
- 24 resentatives, the Congressional Budget Office, and the
- 25 Congressional Research Service shall review the program

- 1 inventory as submitted under section 201 and not later
- 2 than June 1, 1993, each shall advise the Comptroller Gen-
- 3 eral of any revisions in the composition or identification
- 4 of programs and groups of programs which it rec-
- 5 ommends. After full consideration of the reports of all
- 6 such committees and officials, the Comptroller General in
- 7 consultation with the committees of the Senate and the
- 8 House of Representatives shall report, not later than July
- 9 1, 1993, a revised program inventory to the Senate and
- 10 the House of Representatives.

11 SEC. 205. REVISIONS OF INVENTORY.

- 12 (a) REVISIONS OF INVENTORY.—The Comptroller
- 13 General, after the close of each session of the Congress,
- 14 shall revise the program inventory and report the revisions
- 15 to the Senate and the House of Representatives.
- 16 (b) Congressional Report.—After the close of
- 17 each session of the Congress, the Director of the Congres-
- 18 sional Budget Office shall prepare a report, for inclusion
- 19 in the revised inventory, with respect to each program in-
- 20 cluded in the program inventory and each program estab-
- 21 lished by law during such session, which includes the
- 22 amount of the new budget authority authorized and the
- 23 amount of new budget authority provided for the current
- 24 fiscal year and each of the 5 succeeding fiscal years. If
- 25 new budget authority is not authorized or provided or is

- 1 authorized or provided for an indefinite amount for any
- 2 of such 5 succeeding fiscal years with respect to any pro-
- 3 gram, the Director shall make projections of the amounts
- 4 of such new budget authority necessary to be authorized
- 5 or provided for any such fiscal year to maintain a current
- 6 level of services.
- 7 (c) List of Programs not Reauthorized.—Not
- 8 later than one year after the first or any subsequent reau-
- 9 thorization date, the Director of the Congressional Budget
- 10 Office, in consultation with the Comptroller General and
- 11 the Director of the Congressional Research Service, shall
- 12 compile a list of the provisions of law related to all pro-
- 13 grams subject to such reauthorization date for which new
- 14 budget authority was not authorized. The Director of the
- 15 Congressional Budget Office shall include such a list in
- 16 the report required by subsection (b). The committees with
- 17 legislative jurisdiction over the affected programs shall
- 18 study the affected provisions and make any recommenda-
- 19 tions they deem to be appropriate with regard to such pro-
- 20 visions to the Senate and the House of Representatives.
- 21 SEC. 206. ADEQUACY ASSESSMENT.
- The Director of the Congressional Budget Office and
- 23 the Comptroller General shall include in their respective
- 24 reports to the Congress pursuant to section 202(f) of the
- 25 Congressional Budget Act of 1974 and section 719 of title

- 1 31, United States Code, an assessment of the adequacy
- 2 of the functional and subfunctional categories contained
- 3 in section 101(b) of this Act for grouping programs of like
- 4 missions or objectives.

5 SEC. 207. REPORT ON PENDING LEGISLATION.

- 6 (a) ANNUAL REPORT.—The Director of the Congres-
- 7 sional Budget Office shall tabulate and issue an annual
- 8 report on the progress of congressional action on bills and
- 9 resolutions reported by a committee of either House or
- 10 passed by either House which authorize the enactment of
- 11 new budget authority for programs.
- 12 (b) CONTENTS OF REPORT.—The report shall include
- 13 an up-to-date tabulation for the fiscal year beginning Oc-
- 14 tober 1 and the succeeding four fiscal years of the
- 15 amounts of budget authority—
- 16 (1) authorized by law or proposed to be author-
- ized in any bill or resolution reported by any com-
- mittee of the Senate or the House of Representa-
- 19 tives; or
- 20 (2) if budget authority is not authorized or pro-
- 21 posed to be authorized for any of the 5 fiscal years,
- the amounts necessary to maintain a current level of
- services for programs in the inventory.
- 24 (c) Programs Subject to Reauthorization.—
- 25 The Director of the Congressional Budget Office shall

- 1 issue periodic reports on the programs and the provisions
- 2 of laws which are scheduled for reauthorization in each
- 3 Congress pursuant to the reauthorization schedule in sec-
- 4 tion 101(b). In these reports, the Director shall identify
- 5 each provision of law which authorizes the enactment of
- 6 new budget authority for programs scheduled for reau-
- 7 thorization and the title of the appropriation bill, or part
- 8 thereof, which would provide new budget authority pursu-
- 9 ant to each authorization.

10 TITLE III—PROGRAM 11 REEXAMINATION

- 12 SEC. 301. REEXAMINATION BY CONGRESS.
- 13 (a) COMMITTEE REEXAMINATION.—Each committee
- 14 of the Senate and the House of Representatives periodi-
- 15 cally shall provide through the procedures established in
- 16 section 302, for the conduct of a comprehensive reexam-
- 17 ination of selected programs or groups of programs over
- 18 which it has jurisdiction.
- 19 (b) SELECTION CRITERIA.—In selecting programs
- 20 and groups of programs for reexamination, each commit-
- 21 tee shall consider each of the following matters:
- 22 (1) The extent to which substantial time has
- passed since the program or group of programs has
- been in effect.

- 26 (2) The extent to which a program or group of 1 2 programs appears to require significant change. (3) The resources of the committee with a view 3 toward undertaking reexaminations across a broad range of programs. 5 (4) The desirability of examining related pro-6 7 grams concurrently. 8 SEC. 302. FUNDING RESOLUTION AND REPORT. 9 (a) Funding Resolution and Report.—(1) The funding resolution first reported by each committee of the 10 Senate in 1994, and thereafter for the first session of each Congress, shall include, and the first funding resolution introduced by each committee of the House of Representatives (and referred to the Committee on House Administration) for such year and thereafter for the first session of each Congress shall include, a section setting forth the committee's plan for reexamination of programs under this title. Such plan shall include each of the following 19 matters: 20 (A) The programs to be reexamined and the 21 reasons for their selection. 22 (B) The scheduled completion date for each 23
 - program reexamination, which date shall not be later than the end of the Congress preceding the Congress in which the reauthorization date applicable to a

- 1 program occurs as provided in section 101(b), unless 2 the committee explains in a statement in the report 3 accompanying its proposed funding resolution (in the Senate), or in a statement supplied by the respective committee and included in the report of the 6 Committee on House Administration (in the House 7 of Representatives), the reasons for a later comple-8 tion date, except that reports on programs scheduled 9 for reauthorization during the 103d Congress and 10 selected for reexamination in a committee's plan 11 adopted in 1993 may be submitted at any time on 12 or before February 15, 1994.
- 13 (C) The estimated cost for each reexamination.
- 14 (2) The report accompanying the funding resolution 15 reported by each committee of the Senate in 1993 and 16 thereafter for the first session of each Congress, shall in-17 clude, and the report accompanying the funding resolution
- 18 reported by the Committee on House Administration with
- 19 respect to each committee of the House of Representatives
- 20 shall include, a statement of that committee, with respect
- 21 to each reexamination in its plan, of each of the following
- 22 matters:
- 23 (A) A description of the components of the
- reexamination.

1	(B) A statement of whether the reexamination
2	is to be conducted (i) by the committee, or (ii) at the
3	request and under the direction of or under contract
4	with the committee, as the case may be, by one or
5	more instrumentalities of the legislative branch, one
6	or more instrumentalities of the executive branch, or
7	one or more nongovernmental organizations, or (iii)
8	by a combination of the foregoing.
9	(3) It shall not be in order to consider a funding reso-
10	lution with respect to a committee of the Senate or the
11	House of Representatives in 1993, and thereafter for the
12	first session of a Congress, unless—
13	(A) such resolution includes a section contain-
14	ing the information described in paragraph (1) and
15	the report accompanying such resolution contains
16	the information described in paragraph (2); and
17	(B) the report required by subsection (c) with
18	respect to each program reexamination scheduled for
19	completion during the preceding Congress by such

- committee has been submitted for printing.
 (4) It shall not be in order to consider an amendment
- 22 to the section of a funding resolution described in para-
- 23 graph (1) reported by a committee of the Senate for a
- 24 year, or reported by the Committee on House Administra-

- 1 tion with respect to a committee of the House of Rep-
- 2 resentatives for a year—
- 3 (A) if such amendment would require reexam-
- 4 ination of a program which has been reexamined by
- 5 such committee under this section during any of the
- 6 five preceding years;
- 7 (B) if such amendment would cause such sec-
- 8 tion not to contain the information described in
- 9 paragraph (1) with respect to each program to be
- reexamined by such committee; or
- 11 (C) if notice of intention to propose such
- amendment has not been given to such committee
- and, in the case of an amendment in the Senate, to
- the Committee on Rules and Administration of the
- 15 Senate, or, in the case of an amendment in the
- 16 House of Representatives, to the Committee on
- House Administration, not later than January 20 of
- the calendar year in which such year begins or the
- 19 first day of the session of the Congress in which
- such year begins, whichever is later.
- 21 The notice required by subparagraph (C) shall include the
- 22 substance of the amendment intended to be proposed, and,
- 23 if such amendment would add one or more programs to
- 24 be reexamined, shall include the information described in
- 25 paragraphs (1) and (2) with respect to each such program.

- 1 Subparagraph (C) shall not apply to amendments pro-
- 2 posed by such committee or by the Committee on Rules
- 3 and Administration or House Administration, as the case
- 4 may be.
- 5 (b) Consultation With Other Committees.—In
- 6 order to achieve coordination of program reexamination
- 7 each committee shall, in preparing each reexamination
- 8 plan required by subsection (a), consult with appropriate
- 9 committees of the Senate or appropriate committees of the
- 10 House of Representatives, as the case may be, and shall
- 11 inform itself of related activities of and support or assist-
- 12 ance that may be provided by (1) the General Accounting
- 13 Office, the Congressional Budget Office, the Congressional
- 14 Research Service, and the Office of Technology Assess-
- 15 ment, and (2) appropriate instrumentalities in the execu-
- 16 tive and judicial branches.
- 17 (c) COMMITTEE REPORTS.—Each committee shall
- 18 prepare and have printed a report with respect to each
- 19 reexamination completed under this title. Each such re-
- 20 port shall be delivered to the Secretary of the Senate or
- 21 the Clerk of the House of Representatives, as the case may
- 22 be, not later than the date specified in the resolution and
- 23 printed as a Senate or House document, accordingly. To
- 24 the extent permitted by law or regulation, such number
- 25 of additional copies as the committee may order shall be

- 1 printed for the use of the committee. If two or more com-
- 2 mittees have legislative jurisdiction over the same program
- 3 or portions of the same program, such committees may
- 4 reexamine such program jointly and submit a joint report
- 5 with respect to such reexamination.
- 6 (d) CONTENTS OF COMMITTEE REPORT.—The report
- 7 pursuant to subsection (c) shall set forth the findings, rec-
- 8 ommendations, and justifications with respect to the pro-
- 9 gram, and shall include to the extent the committee deems
- 10 appropriate, each of the following matters:
- 11 (1) An identification of the objectives intended 12 for the program and the problem it was intended to
- 13 address.
- 14 (2) An identification of any trends, develop-
- ments, and emerging conditions which are likely to
- affect the future nature and extent of the problems
- or needs which the program is intended to address
- and an assessment of the potential primary and sec-
- ondary effects of the proposed program.
- 20 (3) An identification of any other program hav-
- 21 ing potentially conflicting or duplicative objectives.
- 22 (4) A statement of the number and types of
- beneficiaries or persons served by the program.
- 24 (5) An assessment of the effectiveness of the
- program and the degrees to which the original objec-

- tives of the program or group of programs have beenachieved.
- 3 (6) An assessment of the cost effectiveness of 4 the program, including where appropriate, a cost-5 benefit analysis of the operation of the program.
- 6 (7) An assessment of the relative merits of alternative methods which could be considered to achieve the purposes of the program.
- 9 (8) Information on the regulatory, privacy, and 10 paperwork impacts of the program.
- 11 (e) Title I Satisfied.—A report submitted pursu-
- 12 ant to this section shall be deemed to satisfy the reauthor-
- 13 ization review requirements of title I.

14 SEC. 303. EXECUTIVE REVIEW.

- Each department or agency of the executive branch
- 16 which is responsible for the administration of a program
- 17 selected for reexamination pursuant to this title shall, not
- 18 later than 6 months before the completion date specified
- 19 for reexamination reports pursuant to section
- 20 302(a)(1)(B), submit to the Office of Management and
- 21 Budget and to the appropriate committee or committees
- 22 of the Senate and the House of Representatives a report
- 23 of its findings, recommendations, and justifications with
- 24 respect to each of the matters set forth in section 302(d),
- 25 and the Office of Management and Budget shall submit

- 1 to such committee or committees such comments as it
- 2 deems appropriate.
- 3 SEC. 304. DEFINITIONS.
- 4 For the purposes of this title—
- 5 (1) the term "funding resolution" means, with
- 6 respect to each committee of the House of Rep-
- 7 resentatives, the primary funding resolution for such
- 8 committee which is effective for the duration of a
- 9 Congress; and
- 10 (2) an amendment to a funding resolution in-
- cludes a resolution of the Senate which amends such
- 12 funding resolution.

13 TITLE IV—MISCELLANEOUS

- 14 SEC. 401. AGENCY APPROPRIATIONS REQUESTS.
- 15 Section 1108(e) of title 31, United States Code, is
- 16 amended by inserting before the period a comma and "or
- 17 at the request of a committee of either House of Congress
- 18 presented after the day on which the President transmits
- 19 the budget to the Congress under section 1105 of this title
- 20 for the fiscal year".
- 21 SEC. 402. NONDISCLOSURE.
- Nothing in this Act shall require the public disclosure
- 23 of matters that are specifically authorized under criteria
- 24 established by an Executive order to be kept secret in the
- 25 interest of national defense or foreign policy and are in

- 1 fact properly classified pursuant to such Executive order,
- 2 or which are otherwise specifically protected by law.
- 3 SEC. 403. RULEMAKING.
- 4 The provisions of this section and sections 101(a),
- 5 101(b), 101(c)(1), 101(c)(2), 101(c)(5), 102, 104(b),
- 6 104(c), 104(d), 104(e), 104(f), title III (except section
- 7 303), section 405, and section 406 of this Act are enacted
- 8 by the Congress—
- 9 (1) as an exercise of the rulemaking power of
- the Senate and the House of Representatives respec-
- tively, and as such they shall be considered as part
- of the rules of each House, respectively, or of that
- House to which they specifically apply, and such
- rules shall supersede other rules only to the extent
- that they are inconsistent therewith; and
- 16 (2) with full recognition of the constitutional
- 17 right of either House to change such rules (so far
- as relating to such House) at any time, in the same
- manner, and to the same extent as in the case of
- any other rule of such House.
- 21 SEC. 404. EXECUTIVE ASSISTANCE AND REGULATORY DU-
- 22 PLICATION AND CONFLICTS REPORT.
- 23 (a) EXECUTIVE ASSISTANCE.—(1) To assist in the
- 24 review or reexamination of a program, the head of an
- 25 agency which administers such program and the head of

- 1 any other agency, when requested, shall provide to each
- 2 committee of the Senate and the House of Representatives
- 3 which has legislative jurisdiction over such program such
- 4 studies, information, analyses, reports, and assistance as
- 5 the committee may request.
- 6 (2) Not later than 6 months before the first reauthor-
- 7 ization date specified for a program in section 101(b) the
- 8 head of the agency which administers such program or the
- 9 head of any other agency, when requested by a committee
- 10 of the Senate or the House of Representatives, shall con-
- 11 duct a review of those regulations currently promulgated
- 12 and in use by that agency which the committee specifically
- 13 has requested be reviewed and submit a report to the Sen-
- 14 ate or the House of Representatives as the case may be,
- 15 setting forth the regulations that agency intends to retain,
- 16 eliminate, or modify if the program is reauthorized and
- 17 stating the basis for its decision.
- 18 (3) On or before October 1 of the year preceding the
- 19 beginning of the Congress in which occurs the reauthoriza-
- 20 tion date for a program, the Comptroller General shall fur-
- 21 nish to each committee of the Senate and the House of
- 22 Representatives which has legislative jurisdiction over
- 23 such program a listing of the prior audits and reviews of
- 24 such program completed during the preceding 6 years.

- 1 (4) Consistent with the discharge of the duties and
- 2 functions imposed by law on them or their respective Of-
- 3 fices or Service, the Comptroller General, the Director of
- 4 the Congressional Budget Office, the Director of the Of-
- 5 fice of Technology Assessment, and the Director of the
- 6 Congressional Research Service shall furnish to each com-
- 7 mittee of the Senate and the House of Representatives
- 8 such information, analyses, and reports as the committee
- 9 may request to assist it in conducting reviews or evalua-
- 10 tions of programs.
- 11 (b) REGULATORY DUPLICATION AND CONFLICT RE-
- 12 PORT.—(1) On or before October 1 of the year preceding
- 13 the beginning of the Congress in which occurs the reau-
- 14 thorization date for a program, the President, with the
- 15 cooperation of the head of each appropriate agency, shall
- 16 submit to the Congress a "Regulatory Duplication and
- 17 Conflict Report" for all such programs scheduled for reau-
- 18 thorization in the next Congress.
- 19 (2) Each such regulatory duplication and conflicts re-
- 20 port shall—
- 21 (A) identify regulatory policies, including data
- collection requirements, of such programs or the
- agencies which administer them, which duplicate or
- conflict with each other or with rules or regulations
- or regulatory policies of other programs or agencies,

- and identify the provisions of law which authorize or require such duplicative or conflicting regulatory policies or the promulgation of such duplicative or
- 4 conflicting rules or regulations;
 - (B) identify the regulatory policies, including data collection requirements, of such programs which are, or which tend to be, duplicative of or in conflict with rules or regulations or regulatory policies of State or local governments; and
- 10 (C) contain recommendations which address the 11 conflicts or duplications identified in subparagraphs
- 12 (A) and (B).

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- 13 (3) The regulatory duplication and conflicts report
- 14 submitted by the President pursuant to this subsection
- 15 shall be referred to the committee or committees of the
- 16 House of Representatives and the Senate with legislative
- 17 jurisdiction over the programs affected by the reports.
- 18 SEC. 405. SUNSET REAUTHORIZATION BILL.
- 19 (a) COMMITTEE INTRODUCTION.—Not later than 15
- 20 days after the beginning of the second regular session of
- 21 the Congress in which occurs the reauthorization date ap-
- 22 plicable to a program under section 101(b), the chairmen
- 23 of the committees of the Senate and the House of Rep-
- 24 resentatives having legislative jurisdiction over such pro-
- 25 grams shall introduce, in their respective Houses, a bill

- 1 which, if enacted into law, would constitute a required au-
- 2 thorization (as defined in section 101(c)(1)(B)), and such
- 3 a bill (hereafter in this section referred to as a "sunset
- 4 reauthorization bill") shall be referred to the appropriate
- 5 committee of the Senate or the House of Representatives,
- 6 as the case may be. This subsection shall not apply in the
- 7 case of a program which has been reauthorized by a re-
- 8 quired authorization which was signed into law by the
- 9 President prior to 15 days after the beginning of the sec-
- 10 ond regular session of the Congress in which occurs the
- 11 reauthorization date applicable to such program.
- 12 (b) DISCHARGE FOR FAILURE TO CONSIDER.—If the
- 3 committee to which a sunset reauthorization bill for a pro-
- 14 gram has not reported such bill by May 15 of the year
- 15 in which the reauthorization date for such program occurs,
- 16 and no other bill which would constitute a required au-
- 17 thorization for such program has been enacted into law
- 18 by that date, it is in order to move to discharge the com-
- 19 mittee from further consideration of the sunset reauthor-
- 20 ization bill at any time thereafter.
- 21 (c) DISCHARGE PROCEDURES.—The provisions of
- section 912(a) of title 5, United States Code, as it relates
- 23 to the discharge of resolutions of disapproval on reorga-
- 24 nization plans, shall apply to motions to discharge sunset
- 25 reauthorization bills, and the provisions of subsections

- 1 (b)(2), (c) (2) through (5), and (d) of section 1017 of the
- 2 Impoundment Control Act of 1974, insofar as they relate
- 3 to the consideration of rescission bills shall apply to the
- 4 consideration of such sunset reauthorization bills, amend-
- 5 ments thereto, motions and appeals with respect thereto,
- 6 and conference reports thereon.

7 SEC. 406. COMMITTEE JURISDICTION OVER ACT.

- 8 The Committees on Governmental Affairs and on
- 9 Rules and Administration of the Senate and the Commit-
- 10 tees on Government Operations and on Rules of the House
- 11 of Representatives shall review the operation of the proce-
- 12 dures established by this Act, and shall submit a report
- 13 not later than December 31, 1998, and each 5 years there-
- 14 after, setting forth their findings and recommendations.
- 15 Such reviews and reports may be conducted jointly.

16 SEC. 407. AUTHORIZATION OF APPROPRIATIONS.

- 17 There are authorized to be appropriated for fiscal
- 18 years ending before October 1, 2003, such sums as may
- 19 be necessary to carry out the review requirement of titles
- 20 I and III and the requirements for the compilation of the
- 21 inventory of Federal programs as set forth in title II.

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