103D CONGRESS 1ST SESSION

S. 725

To amend the Public Health Service Act to provide for the conduct of expanded studies and the establishment of innovative programs with respect to traumatic brain injury, and for other purposes.

IN THE SENATE OF THE UNITED STATES

APRIL 1 (legislative day, MARCH 3), 1993

Mr. Kennedy introduced the following bill; which was read twice and referred to the Committee on Labor and Human Resources

A BILL

To amend the Public Health Service Act to provide for the conduct of expanded studies and the establishment of innovative programs with respect to traumatic brain injury, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 **SECTION 1. SHORT TITLE.**
- 4 This Act may be cited as the "Traumatic Brain In-
- 5 jury Act of 1993''.
- 6 SEC. 2. FINDINGS AND PURPOSE.
- 7 (a) FINDINGS.—Congress finds that—

- 1 (1) the incidence of head injury in the United 2 States is increasing, with over 2,000,000 head inju-3 ries per year resulting from automobile crashes, 4 sports, recreational activities, assaults, violence and 5 other falls and incidents;
 - (2) a majority of all head injuries are caused by motor vehicle accidents;
 - (3) individuals between the ages of 15 and 24 are at greatest risk for sustaining head injuries;
 - (4) of the individuals who sustain head injuries each year, approximately 500,000 require hospitalization, and 75,000 to 100,000 of such individuals die within hours of the injury;
 - (5) of the individuals who survive head injuries each year, approximately 70,000 to 90,000 will suffer irreversible debilitating loss of function, 5,000 will develop epilepsy as a result of the injury, and 2,000 will exist in a coma;
 - (6) a significant number of individuals with traumatic brain injury are not easily restored to society and require years of rehabilitation, medical follow-up and integrated community services, which are costly and frequently not readily available;
 - (7) individuals sustaining traumatic brain injury require coordinated and specialized services, in-

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- cluding post-injury supervised programs facilitating reentry into the community;
 - (8) many health and social service agencies, both public and private, overlook, exclude or inadequately serve individuals surviving traumatic brain injury;
 - (9) society bears an economic cost of approximately \$25,000,000,000 per year for the direct and indirect costs of traumatic brain injury, which include medical treatment, rehabilitative and support services and lost income;
 - (10) a program to develop national standards for helmets used by bicyclists and others is needed; and
 - (11) a national plan to provide services for individuals surviving traumatic brain injuries and their families is needed.
 - (b) Purpose.—It is the purpose of this Act to—
 - (1) facilitate the conduct of research and the collection and compiling of accurate statistical data on traumatic brain injury;
 - (2) raise public awareness concerning the risks and consequences of such injuries and the distinct needs of individuals (and their families) following survival from traumatic brain injury;

1	(3) promote the creation of innovative programs
2	and policies to prevent traumatic brain injury and to
3	rehabilitate those individuals who have survived such
4	injuries;
5	(4) designate a Federal agency to oversee and
6	promote projects relating to the prevention of, and
7	rehabilitation from, traumatic brain injury;
8	(5) create State advisory boards to coordinate
9	citizen participation in community programs dealing
10	with traumatic brain injury;
11	(6) create a registry to advance epidemiologic
12	research on such trauma;
13	(7) establish standards for the marketing of
14	brain injury services;
15	(8) require the Secretary to publish various re-
16	ports concerning the activities of the Department of
17	Health and Human Services in this area, including
18	an annual review of relevant activities; and
19	(9) provide for the initiation of a program to
20	establish national standards for helmets used by
21	bicyclists and others.
22	SEC. 3. AMENDMENT TO PUBLIC HEALTH SERVICE ACT.
23	Title XII of the Public Health Service Act (42 U.S.C.
24	300d et seq.) is amended—
25	(1) by redesignating part C as part D;

1	(2) in section 1232(a) (42 U.S.C. 300d–32(a)),
2	by inserting "other than part C," after "carrying
3	out this title,"; and
4	(3) by inserting after part B, the following new
5	part:
6	"Part C—Traumatic Brain Injury
7	"SEC. 1225. DEFINITIONS.
8	"As used in this part:
9	"(1) Administrator.—The term 'Adminis-
10	trator' means the Administrator of the Agency for
11	Health Care Policy and Research.
12	"(2) DIRECTOR.—The term 'Director' means
13	the Director of the Centers for Disease Control and
14	Prevention.
15	"(3) Traumatic brain injury.—The term
16	'traumatic brain injury' means an acquired injury to
17	the brain caused by an external physical force. Such
18	term does not include brain dysfunction caused by
19	congenital or degenerative disorders, nor does such
20	term include birth trauma. Such term is synonymous
21	with the term 'head injury'.

1	"SEC. 1225A. AGENCY FOR HEALTH CARE POLICY AND RE-
2	SEARCH STUDY OF EFFECTIVENESS OF TRAU-
3	MATIC BRAIN INJURY INTERVENTIONS.
4	"(a) In General.—The Secretary, acting through
5	the Administrator, shall conduct a study concerning trau-
6	matic brain injury.
7	"(b) Major Findings.—The study conducted under
8	subsection (a) shall seek to—
9	"(1) identify common therapeutic interventions
10	which are used for the rehabilitation of individuals
11	with traumatic brain injuries, and shall include an
12	analysis of—
13	"(A) the effectiveness of each such inter-
14	vention in improving the functioning of individ-
15	uals with brain injuries; and
16	"(B) the comparative effectiveness of inter-
17	ventions employed in the course of rehabilita-
18	tion of individuals with brain injuries to achieve
19	the same or similar clinical outcome; and
20	"(2) develop practice guidelines for the rehabili-
21	tation of traumatic brain injury.
22	"(c) Reporting Requirements.—Not later than 4
23	years after the date of enactment of this part, the Sec-
24	retary shall prepare and submit to the appropriate com-
25	mittees of Congress, a report containing the results of the
26	studies conducted under this section

1	"(d) Authorization of Appropriation.—There
2	are authorized to be appropriated to carry out this section,
3	\$2,000,000 for fiscal year 1994, and such sums as may
4	be necessary for each of the fiscal years 1995 through
5	1996.
6	"SEC. 1225B. CENTERS FOR DISEASE CONTROL AND PRE-
7	VENTION STUDY OF TRAUMATIC BRAIN IN-
8	JURY OCCURRENCE.
9	"(a) In General.—The Secretary, acting through
10	the Director, and in cooperation with other Public Health
11	Service agencies as may be necessary, shall conduct stud-
12	ies concerning traumatic brain injury, and shall establish
13	a reporting system under subsection (b).
14	"(b) REPORTING SYSTEM.—To assist in data and in-
15	formation gathering, the Director shall establish a uniform
16	reporting system under which hospitals, State and local
17	health-related agencies will report to the Director on mat-
18	ters including—
19	"(1) the occurrence of traumatic brain injuries;
20	and
21	"(2) the health insurance status of individuals
22	with traumatic brain injury.
23	The reporting system should be established to permit the
24	Director to make an accurate assessment of resource

needs and long term outcomes.

1	(c) SURVEY AND COOPERATIVE AGREEMENTS.—
2	"(1) Survey.—The Director shall determine
3	which Federal, State, local or other entities collect
4	data on traumatic brain injury and the means by
5	which such entities collect such data.
6	"(2) Cooperative agreements.—The Direc-
7	tor may enter into cooperative agreements with
8	other agencies, and provide assistance to other enti-
9	ties with responsibility for data collection, to estab-
10	lish traumatic brain injury as a specific reportable
11	condition in existing and future reporting systems
12	Any data systems established in conjunction with
13	such agencies should be compatible with other such
14	data systems.
15	"(d) Major Findings of Studies.—The studies
16	conducted under subsection (a) shall seek to—
17	"(1) determine the major causes of traumation
18	brain injury;
19	"(2) determine the preventive efforts that are
20	being used by States and non-profit agencies to re-
21	duce the occurrence of such injuries;
22	"(3) determine the number of individuals sur-
23	viving traumatic brain injuries, and the cost of
24	treatment and other related costs;

- "(4) develop a uniform reporting system to facilitate the reporting to the Centers for Disease Control and Prevention concerning the occurrence of traumatic brain injury;
- 5 "(5) identify States and localities that have approved mandated helmet use laws for bicyclist and others;
- 8 "(6) determine the health insurance status of 9 individuals with traumatic brain injury; and
- "(7) initiate a program of prevention research to develop effective prevention of traumatic brain injury.
- "(e) REPORTING REQUIREMENTS.—Not later than 4 14 years after the date of enactment of this part, the Sec-15 retary shall prepare and submit to the appropriate com-16 mittees of Congress, a report containing the results of the
- 17 studies conducted under this section.
- 18 "(f) BIENNIAL REPORT.—The Secretary shall bienni-
- 19 ally prepare a report containing recommendations for the
- 20 prevention of traumatic brain injuries. The report shall
- 21 also identify States that have mandated helmet laws for
- 22 bicyclists and others. Such reports shall be disseminated
- 23 to State health officers.
- 24 "(g) Authorization of Appropriation.—There
- 25 are authorized to be appropriated to carry out this section,

\$2,000,000 for fiscal year 1994, and such sums as may be necessary for each of the fiscal years 1995 through 1996. 3 "SEC. 1225C. SPECIAL PREVENTION PROJECTS. 5 "(a) IN GENERAL.—The Secretary shall cooperate with, and may provide assistance to, public and private nonprofit entities to reduce the incidence of traumatic brain injury through the establishment and effectuation 8 of prevention projects. In carrying out this section, the Secretary may award grants to State and local entities, 10 and to public or non-profit private entities, to support— "(1) special prevention and public awareness 12 initiative projects; 13 "(2) model traumatic brain injury prevention, 14 15 research and support programs; "(3) projects that study the service needs of in-16 17 dividuals with traumatic brain injury; and 18 "(4) projects involving grants for services co-19 ordination. "(b) REQUIREMENTS.—To be eligible to receive as-20 21 sistance under subsection (a), an entity shall— 22 "(1) prepare and submit to the Secretary an application, at such time, in such manner, and con-23 24 taining such information as the Secretary may re-25 quire; and

1	"(2) provide assurances to the Secretary that
2	any preventive measures implemented under a pre-
3	vention project funded under this section may in-
4	clude—
5	"(A) behavioral and environmental inter-
6	ventions (such as physical restraints or helmets
7	for individuals using bicycles, in-line roller
8	skates, and skateboards);
9	"(B) the use of innovative and proven
10	model prevention approaches;
11	"(C) the promotion of activities that will
12	minimize brain injury risk in athletes (such as
13	the use of head protection gear); and
14	"(D) the improvement of community-level
15	access to data-base systems to assist in design-
16	ing, developing, and implementing traumatic
17	brain injury prevention programs.
18	"(c) Authorization of Appropriations.—There
19	are authorized to be appropriated to carry out this section,
20	\$4,000,000 for fiscal year 1994, and such sums as may
21	be necessary for each of the fiscal years 1995 and 1996.
22	"SEC. 1225D. BASIC AND APPLIED RESEARCH.
23	"(a) In General.—The Secretary, acting through
24	the Director of the National Institutes of Health, may pro-
25	vide assistance to public and private nonprofit entities to

- 1 support the conduct of basic and applied research concern-
- 2 ing traumatic brain injury, especially with respect to the
- 3 biomechanics of brain injury, the molecular and cellular
- 4 characteristics of primary and secondary injury to the
- 5 brain and the development of improved experimental brain
- 6 injury models.
- 7 "(b) Specific Research.—Research to be con-
- 8 ducted with assistance provided under subsection (a) shall
- 9 be determined by the Secretary, prior to the provision of
- 10 such assistance, to contribute to the strategies that will
- 11 limit primary and secondary mechanical, biochemical and
- 12 metabolic insults to the brain and minimize the extent,
- 13 severity and progression of resulting dysfunctions. In im-
- 14 plementing this section the Secretary shall emphasize—
- 15 "(1) the development of new methods and mo-
- dalities for the more effective diagnosis, measure-
- ment of degree of injury, post-injury monitoring and
- prognostic assessment of head injury for acute,
- subacute and later phases of care;
- 20 "(2) the development, modification and evalua-
- 21 tion of therapies that retard, prevent or reverse
- brain damage after acute head injury, that arrest
- further deterioration following injury and that pro-
- vide the restitution of function for individuals with
- long-term injuries;

1	"(3) the integration of basic research into clini-
2	cal care settings;
3	"(4) the development of a continuum of care
4	from acute care through rehabilitation, designed, to
5	the extent practicable, to integrate rehabilitation and
6	long-term outcome evaluation with acute care re-
7	search;
8	"(5) the development of programs that increase
9	the participation of academic centers of excellence in
10	head injury treatment and rehabilitation research
11	and training; and
12	"(6) the conduct of national consensus con-
13	ferences on managing head injury and related reha-
14	bilitation concerns, the findings of which shall be
15	published.
16	"(c) Authorization of Appropriations.—There
17	are authorized to be appropriated to carry out this section,
18	\$5,000,000 for fiscal year 1994, and such sums as may
19	be necessary for each of the fiscal years 1995 and 1996.
20	"SEC. 1225E. STATEWIDE PROGRAM FOR TRAUMATIC BRAIN
21	INJURY.
22	"(a) GRANTS.—The Secretary shall award grants to
23	States for the establishment of programs related to trau-
24	matic brain injury. Such programs shall include State ad-

25 visory boards, patient advocacy and service coordination

- 1 systems, and State registries concerning individuals af-
- 2 fected by traumatic brain injuries. Services may also be
- 3 provided under this section, within the limits of service
- 4 availability, to individuals whose deficits are not due to
- 5 traumatic brain injury. To be eligible for such services,
- 6 such individuals should be comparable to traumatic brain
- 7 injury patients in regard to the range of services needed,
- 8 the severity and duration of deficits, and the etiology of
- 9 their deficits being due to a nonprogressive and non-
- 10 recurring disorder.
- 11 "(b) General Eligibility Requirements.—To be
- 12 eligible to receive a grant under subsection (a), a State
- 13 shall—
- 14 "(1) prepare and submit to the Secretary an
- application, at such time, in such manner, and con-
- taining such information as the Secretary may re-
- 17 quire;
- 18 "(2) provide assurances that it will prepare and
- submit to the Secretary reports describing the activi-
- ties undertaken under the State system established
- 21 under the grant; and
- 22 "(3) provide for the establishment of a State-
- wide program that includes a State registry for trau-
- matic brain injury information, a program of patient
- advocacy and service coordination, and a State advi-

1	sory board with respect to activities under this sec-
2	tion.
3	"(c) Specific Program Requirements.—
4	"(1) State registry.—To be eligible to re-
5	ceive a grant under subsection (a), a State shall—
6	"(A)(i) establish and maintain, through
7	the utilization of procedures to ensure privacy
8	and maintain the confidentiality of information
9	which are acceptable to the Secretary, a central
10	registry of persons who sustain traumatic brain
11	injury in order to—
12	"(I) collect information to facilitate
13	the development of injury prevention
14	treatment, and rehabilitation programs
15	and
16	"(II) report data to the Director or
17	an annual basis for State reporting re-
18	quirements; and
19	"(ii) a violation of such privacy and con-
20	fidentiality procedures or the unauthorized use
21	of such information may result in a loss of sup-
22	port under this section; and
23	"(B) provide summary registry data or
24	data that is not personally identifiable to public
25	and private entities to conduct studies using

1	data collected by the traumatic brain injury
2	registry established under subparagraph (A),
3	for which the coordinator may charge a fee for
4	all expenses associated with the provision of
5	data or data analysis.
6	"(2) Advocacy and service coordina-
7	TION.—To be eligible to receive a grant under sub-
8	section (a), a State shall—
9	"(A) designate a State coordinator for
10	traumatic brain injuries who—
11	"(i) shall establish policies and stand-
12	ards for coordinating services within the
13	State for individuals with traumatic brain
14	injury;
15	"(ii) may contract with qualified agen-
16	cies or employ staff to provide services
17	under this section on a statewide basis to
18	eligible individuals;
19	"(iii) shall be responsible for a pro-
20	gram of activities related to preventing and
21	reducing the rate of traumatic brain inju-
22	ries in the State according to standards es-
23	tablished by the Centers for Disease Con-
24	trol and Prevention; and

1	"(iv) shall, after consultation with the
2	State advisory board established under
3	paragraph (3), establish standards regard-
4	ing the marketing of services (by hospitals
5	and other providers) to traumatic brain in-
6	jury patients or family members, dissemi-
7	nate the standards to case management
8	programs, and furnish information on such
9	standards to individuals who sustain trau-
10	matic brain injuries (and the family mem-
11	bers of such individuals) at the earliest ap-
12	propriate opportunity after the individual
13	has sustained the injury (such standards to
14	include (at a minimum) a rule prohibiting
15	payments under a case management pro-
16	gram under this section for referring pa-
17	tients);
18	"(B) provide assurances that a protection
19	and advocacy system established under this sec-
20	tion will—
21	"(i) provide legal, administrative and
22	other appropriate remedies or approaches
23	to ensure the protection of, and advocacy
24	for, the rights of individuals with trau-
25	matic brain injury within the State who

1	are or may be eligible for treatment, serv-
2	ices, or rehabilitation;
3	"(ii) provide information and referral
4	to programs and services addressing the
5	needs of individuals with traumatic brain
6	injuries; and
7	"(iii) provide for the investigation of
8	incidents of abuse and neglect of individ-
9	uals with traumatic brain injuries when in-
10	cidents are reported for the provision of
11	excessive or unnecessary services or other
12	complaints relating to the care of such in-
13	dividuals, and payment for the referral of
14	patients;
15	"(C) ensure the provision to persons with
16	traumatic brain injury of information regarding
17	appropriate public or private agencies that pro-
18	vide rehabilitative services so that injured per-
19	sons or individuals responsible for such persons
20	may obtain needed service to alleviate injuries
21	and avoid secondary problems; and
22	"(D) for purposes of identifying the serv-
23	ices required to prevent the institutionalization
24	or to minimize the need for residential rehabili-
25	tation in the case of traumatic brain injuries,

1	establish a services coordination program that
2	shall—
3	"(i) provide for the initial assessment
4	of the individual's need for traumatic brain
5	injury services;
6	"(ii) provide for the reassessment of
7	each patient at regular intervals to deter-
8	mine the extent of each patient's progress,
9	to ascertain whether a patient is being
10	kept too long in a given setting or provided
11	services inappropriately, or to determine
12	whether the patient would be better served
13	by other services or in another setting;
14	"(iii) prepare a treatment plan for
15	each individual requiring services coordina-
16	tion, within an appropriate period after the
17	individual sustains the injury, based on a
18	consultation with the individual (other
19	than an individual who is comatose in
20	which case consultation shall be with a
21	person with legal responsibility over such
22	individual) and any person named by the
23	individual (preparation of the plan may be
24	delayed based on a certification, including

a brief explanation of the reason for the

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1	delay, by a physician attesting that such a
2	delay is in the individual's best interests
3	with a copy of the treatment plan and any
4	modifications to the plan being presented
5	to the individual or the individual's legal
6	representative);
7	"(iv) ensure that each individual's
8	treatment plan is regularly updated (based
9	on consultation with the individual and the
10	person responsible for the injured individ-
11	ual) with data and information about
12	treatments and services provided, as well
13	as specific measures of the individual's
14	current performance or activity relative to
15	goals previously established;
16	"(v) assist the individual in obtaining
17	services necessary to allow the individual to
18	remain in the community;
19	"(vi) coordinate home care services
20	with other services;
21	"(vii) ensure appropriate, accessible,
22	and cost-effective services;
23	"(viii) assist the individual with prob-
24	lems related to the provision of home care
25	services;

1	"(ix) ensure the quality of home care
2	services;
3	"(x) assess the individual's need for
4	and level of home care services at appro-
5	priate intervals during the course of the in-
6	dividual's treatment under the program;
7	and
8	"(xi) explore efforts to include serv-
9	ices coordination provisions under the
10	State's medicaid program under section
11	1931 of the Social Security Act.
12	"(3) State advisory board.—
13	"(A) In general.—To be eligible to re-
14	ceive a grant under subsection (a), a State shall
15	establish an advisory board within the appro-
16	priate health department of the State or within
17	another department as designated by the chief
18	executive officer of the State.
19	"(B) Functions.—An advisory board es-
20	tablished under subparagraph (A) shall be cog-
21	nizant of findings and concerns of Federal,
22	State and local agencies, citizens groups, and
23	private industry (such as insurance, health care,
24	automobile, and other industry entities). Such
25	advisory boards shall encourage citizen partici-

1	pation through the establishment of public
2	hearings and other types of community out-
3	reach programs.
4	"(C) Composition.—An advisory board
5	established under subparagraph (A) shall be
6	composed of—
7	"(i) representatives of—
8	"(I) the corresponding State
9	agencies involved;
10	"(II) public and nonprofit private
11	health related organizations;
12	"(III) other disability advisory or
13	planning groups within the State;
14	"(IV) members of an organiza-
15	tion or foundation representing trau-
16	matic brain injury survivors in that
17	State; and
18	"(V) injury control programs at
19	the State or local level if such pro-
20	grams exist; and
21	"(ii) a substantial number of individ-
22	uals who are survivors of traumatic brain
23	injury, or the family members of such indi-
24	viduals; and

- 1 "(d) Report.—Not later than 2 years after the date
- 2 of enactment of this part, the Secretary shall prepare and
- 3 submit to the appropriate committees of Congress a report
- 4 concerning the findings and results of the programs estab-
- 5 lished under this section, including measures of outcomes
- 6 and consumer and surrogate satisfaction.
- 7 "(e) AUTHORIZATION OF APPROPRIATIONS.—There
- 8 are authorized to be appropriated to carry out this section,
- 9 \$27,000,000 for fiscal year 1994, and such sums as may
- 10 be necessary for each of the fiscal years 1995 and 1996.".
- 11 SEC. 4. NATIONAL HEAD INJURY AWARENESS MONTH.
- The month of October, 1993, is hereby designated as
- 13 "National Head Injury Month" and the President is re-
- 14 quested to issue a proclamation calling on the people of
- 15 the United States to observe such month with appropriate
- 16 ceremonies and activities.
- 17 SEC. 5. EFFECTIVE DATE.
- This Act shall become effective on October 1, 1993.

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