104TH CONGRESS 2D SESSION

H. R. 3217

[Report No. 104-815, Part I]

To provide for ballast water management to prevent the introduction and spread of nonindigenous species into the waters of the United States, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

March 29, 1996

Mr. LaTourette (for himself, Mr. Saxton, Ms. Lofgren, Ms. Rivers, Ms. Kaptur, Mr. Gilchrest, Mr. Stupak, Mr. Quinn, Mr. Ramstad, Mr. Miller of California, Mr. Oberstar, Mr. Meehan, Mr. Franks of New Jersey, Mr. Petri, Mr. Hoke, Mr. Ehlers, Mr. Dingell, Mr. English of Pennsylvania, and Mrs. Morella) introduced the following bill; which was referred to the Committee on Transportation and Infrastructure, and in addition to the Committee on Resources, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

September 20, 1996

Additional sponsors: Mr. Pallone, Mr. Hinchey, Mr. Vento, Mr. Cardin, Mr. Farr of California, Mr. Sawyer, Mr. Brown of Ohio, Mr. Bonior, Mr. Dellums, Ms. Slaughter, Mr. Flanagan, Ms. Woolsey, Mr. Walsh, Mr. Lipinski, Mr. Evans, Ms. Pelosi, Mr. Fazio of California, Mr. Manton, Mrs. Clayton, and Mr. Boehlert

September 20, 1996

Reported from the Committee on Transportation and Infrastructure with an amendment

[Strike out all after the enacting clause and insert the part printed in italic]

September 20, 1996

Referred to the Committee on Science for a period ending not later than September 27, 1996 for consideration of such provisions of the amendment recommended by the Committee on Transportation and Infrastructure as fall within the jurisdiction of the Committee on Science pursuant to clause 1(n), rule X

September 20, 1996

Referral to the Committee on Resources extended for a period ending not later than September 27, 1996

[For text of introduced bill, see copy of bill as introduced on March 29, 1996]

A BILL

To provide for ballast water management to prevent the introduction and spread of nonindigenous species into

- the waters of the United States, and for other purposes. 1 Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled, 3 SECTION 1. SHORT TITLE; REFERENCES. 4 (a) In General.—This Act may be cited as the "National Invasive Species Act of 1996". 6 (b) References.—Whenever in this Act an amendment or repeal is expressed in terms of an amendment to or repeal of a section or other provision, the reference shall be considered to be made to a section or other provision of the Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990 (16 U.S.C. 4701 et seq.). SEC. 2. AMENDMENTS TO THE NONINDIGENOUS AQUATIC NUISANCE PREVENTION AND CONTROL ACT
- 13
- 14 OF 1990.
- 15 (a) FINDINGS; DEFINITIONS.—
- 16 FINDINGS.—Section 1002(a) (16 U.S.C.
- 17 4701(a)) is amended—

1	(A) by striking paragraphs (2) and (3) and
2	inserting the following new paragraphs:
3	"(2) when environmental conditions are favor-
4	able, nonindigenous species become established and
5	may disrupt the aquatic environment and economy of
6	affected nearshore areas;
7	"(3) the zebra mussel was unintentionally intro-
8	duced into the Great Lakes and has infested—
9	"(A) waters south of the Great Lakes, into
10	a good portion of the Mississippi River drainage;
11	"(B) waters west of the Great Lakes, into
12	the Arkansas River in Oklahoma; and
13	"(C) waters east of the Great Lakes, into the
14	Hudson River and Lake Champlain;";
15	(B) in paragraph (4)—
16	(i) by inserting "by the zebra mussel
17	and ruffe, round goby, and other nonindige-
18	nous species" after "other species"; and
19	(ii) by striking "and" at the end;
20	(C) in paragraph (5), by striking the period
21	and inserting a semicolon; and
22	(D) by adding at the end the following new
23	paragraphs:

1	"(6) in 1992, the zebra mussel was discovered at
2	the northernmost reaches of the Chesapeake Bay wa-
3	tershed;
4	"(7) the zebra mussel poses an imminent risk of
5	invasion in the main waters of the Chesapeake Bay;
6	"(8) since the Chesapeake Bay is the largest re-
7	cipient of foreign ballast water on the East Coast,
8	there is a risk of further invasions of other nonindige-
9	nous species;
10	"(9) the zebra mussel is only one example of
11	thousands of nonindigenous species that have become
12	established in waters of the United States and may
13	be causing economic and ecological degradation with
14	respect to the natural resources of waters of the Unit-
15	ed States;
16	"(10) since their introduction in the early 1980's
17	in ballast water discharges, ruffe—
18	"(A) have caused severe declines in popu-
19	lations of other species of fish in Duluth Harbor
20	(in Minnesota and Wisconsin);
21	"(B) have spread to Lake Huron; and
22	"(C) are likely to spread quickly to most
23	other waters in North America if action is not
24	taken promptly to control their spread;

1	"(11) examples of nonindigenous species that, as
2	of the date of enactment of the National Invasive Spe-
3	cies Act of 1996, infest coastal waters of the United
4	States and that have the potential for causing adverse
5	economic and ecological effects include—
6	"(A) the mitten crab (Eriochei sinensis)
7	that has become established on the Pacific Coast;
8	"(B) the green crab (Carcinus maenus) that
9	has become established in the coastal waters of
10	the Atlantic Ocean;
11	"(C) the brown mussel (Perna perna) that
12	has become established along the Gulf of Mexico;
13	and
14	"(D) certain shellfish pathogens;
15	"(12) many aquatic nuisance vegetation species,
16	such as Eurasian watermilfoil, hydrilla, water hya-
17	cinth, and water chestnut, have been introduced to
18	waters of the United States from other parts of the
19	world causing or having a potential to cause adverse
20	environmental, ecological, and economic effects;
21	"(13) if preventive management measures are
22	not taken nationwide to prevent and control of unin-
23	tentionally introduced nonindigenous aquatic species
24	in a timely manner, further introductions and infes-
25	tations of species that are as destructive as, or more

1	destructive than, the zebra mussel or the ruffe infesta-
2	tions may occur;
3	"(14) once introduced into waters of the United
4	States, aquatic nuisance species are unintentionally
5	transported and introduced into inland lakes and riv-
6	ers by recreational boaters, commercial barge traffic,
7	and a variety of other pathways; and
8	"(15) resolving the problems associated with
9	aquatic nuisance species will require the participa-
10	tion and cooperation of, among others, the Federal
11	Government and State governments, and investment
12	in the development of prevention technologies.".
13	(2) Definitions.—Section 1003 (16 U.S.C.
14	4702) is amended—
15	(A) in paragraph (1), by striking "the Com-
16	mittee on Public Works and Transportation and
17	the Committee on Merchant Marine and Fish-
18	eries" and inserting "the Committee on Trans-
19	portation and Infrastructure and the Committee
20	on Resources";
21	(B) in paragraph (3), by striking "assistant
22	Secretary" and inserting "Assistant Secretary";
23	(C) by redesignating paragraphs (9)
24	through (15) as paragraphs (12) through (18),
25	respectively; and

1	(D) by inserting after paragraph (8) the fol-
2	lowing:
3	"(9) 'Great Lakes region' means the 8 States
4	that border on the Great Lakes;
5	"(10) 'Indian tribe' means any Indian tribe,
6	band, nation, or other organized group or community,
7	including any Alaska Native village or regional cor-
8	poration (as defined in or established pursuant to the
9	Alaska Native Claims Settlement Act (43 U.S.C. 1601
10	et seq.)) that is recognized as eligible for the special
11	programs and services provided by the United States
12	to Indians because of their status as Indians;
13	"(11) 'interstate organization' means an en-
14	tity—
15	"(A) established by—
16	"(i) an interstate compact that is ap-
17	proved by Congress;
18	"(ii) a Federal statute; or
19	"(iii) a treaty or other international
20	agreement with respect to which the United
21	States is a party; and
22	"(B)(i) that represents 2 or more—
23	"(I) States or political subdivisions
24	thereof; or
25	"(II) Indian tribes; or

1	"(ii) that represents—
2	"(I) 1 or more States or political sub-
3	divisions thereof; and
4	"(II) 1 or more Indian tribes; or
5	"(iii) that represents the Federal Govern-
6	ment and 1 or more foreign governments; and
7	"(C) has jurisdiction over, serves as forum
8	for coordinating, or otherwise has a role or re-
9	sponsibility for the management of, any land or
10	other natural resource;".
11	(b) AQUATIC NUISANCE SPECIES CONTROL PRO-
12	GRAM.—
13	(1) Amendment to heading to
14	subtitle B (16 U.S.C. 4711 et seq.) is amended to read
15	as follows:
16	"Subtitle B—Prevention of Uninten-
17	tional Introductions of Non-
18	indigenous Aquatic Species".
19	(2) AQUATIC NUISANCE SPECIES.—Section 1101
20	(16 U.S.C. 4711) is amended to read as follows:
21	"SEC. 1101. AQUATIC NUISANCE SPECIES IN WATERS OF
22	THE UNITED STATES.
23	"(a) Great Lakes Guidelines.—
24	"(1) In general.—Not later than 6 months
25	after the date of enactment of this Act, the Secretary

1	shall issue voluntary guidelines to prevent the intro-
2	duction and spread of aquatic nuisance species into
3	the Great Lakes through the exchange of ballast water
4	of vessels prior to entering those waters.
5	"(2) Content of Guidelines.—The guidelines
6	issued under this subsection shall—
7	"(A) ensure to the maximum extent prac-
8	ticable that ballast water containing aquatic
9	nuisance species is not discharged into the Great
10	Lakes;
11	"(B) protect the safety of—
12	"(i) each vessel; and
13	"(ii) the crew and passengers of each
14	vessel;
15	"(C) take into consideration different vessel
16	operating conditions; and
17	"(D) be based on the best scientific informa-
18	$tion\ available.$
19	"(b) Regulations.—
20	"(1) In general.—Not later than 2 years after
21	the date of enactment of this Act, the Secretary, in
22	consultation with the Task Force, shall issue regula-
23	tions to prevent the introduction and spread of aquat-
24	ic nuisance species into the Great Lakes through the
25	ballast water of vessels.

1	"(2) Content of regulations.—The regula-
2	tions issued under this subsection shall—
3	"(A) apply to all vessels equipped with bal-
4	last water tanks that enter a United States port
5	on the Great Lakes after operating on the waters
6	beyond the exclusive economic zone;
7	"(B) require a vessel to—
8	"(i) carry out exchange of ballast
9	water on the waters beyond the exclusive
10	economic zone prior to entry into any port
11	within the Great Lakes;
12	"(ii) carry out an exchange of ballast
13	water in other waters where the exchange
14	does not pose a threat of infestation or
15	spread of aquatic nuisance species in the
16	Great Lakes and other waters of the United
17	States, as recommended by the Task Force
18	under section $1102(a)(1)$; or
19	"(iii) use environmentally sound alter-
20	native ballast water management methods if
21	the Secretary determines that such alter-
22	native methods are as effective as ballast
23	water exchange in preventing and control-
24	ling infestations of aquatic nuisance species;

1	"(C) not affect or supersede any require-
2	ments or prohibitions pertaining to the discharge
3	of ballast water into waters of the United States
4	under the Federal Water Pollution Control Act
5	(33 U.S.C. 1251 et seq.);
6	"(D) provide for sampling procedures to
7	monitor compliance with the requirements of the
8	regulations;
9	"(E) prohibit the operation of a vessel in
10	the Great Lakes if the master of the vessel has
11	not certified to the Secretary or the Secretary's
12	designee by not later than the departure of that
13	vessel from the first lock in the St. Lawrence
14	Seaway that the vessel has complied with the re-
15	quirements of the regulations;
16	"(F) protect the safety of—
17	"(i) each vessel; and
18	"(ii) the crew and passengers of each
19	vessel;
20	"(G) take into consideration different oper-
21	ating conditions; and
22	"(H) be based on the best scientific informa-
23	$tion\ available.$
24	"(3) Additional regulations.—In addition to
25	promulgating regulations under paragraph (1), the

Secretary, in consultation with the Task Force, shall,
not later than November 4, 1994, issue regulations to
prevent the introduction and spread of aquatic nuisance species into the Great Lakes through ballast
water carried on vessels that enter a United States
port on the Hudson River north of the George Washington Bridge.

"(4) Education and technical assistance programs and other measures to encourage compliance with the guidelines issued under this subsection.

"(c) Voluntary National Guidelines.—

"(1) In GENERAL.—Not later than 1 year after the date of enactment of the National Invasive Species Act of 1996, and after providing notice and an opportunity for public comment, the Secretary shall issue voluntary guidelines to prevent the introduction and spread of nonindigenous species in waters of the United States by ballast water operations and other operations of vessels equipped with ballast water tanks.

"(2) Content of Guidelines.—The voluntary guidelines issued under this subsection shall—

24 "(A) ensure to the maximum extent prac-25 ticable that aquatic nuisance species are not dis-

1	charged into waters of the United States from
2	vessels;
3	"(B) apply to all vessels equipped with bal-
4	last water tanks that operate in waters of the
5	United States;
6	"(C) direct a vessel that is carrying ballast
7	water into waters of the United States after op-
8	erating beyond the exclusive economic zone to—
9	"(i) carry out the exchange of ballast
10	water of the vessel in waters beyond the ex-
11	clusive economic zone;
12	"(ii) exchange the ballast water of the
13	vessel in other waters where the exchange
14	does not pose a threat of infestation or
15	spread of nonindigenous species in waters of
16	the United States, as recommended by the
17	Task Force under section 1102(a)(1); or
18	"(iii) use environmentally sound alter-
19	native ballast water management methods,
20	including modification of the vessel ballast
21	tanks and intake systems, if the Secretary
22	determines that such alternative methods
23	are at least as effective as ballast water ex-
24	change in preventing and controlling infes-
25	tations of aquatic nuisance species;

1	"(D) direct vessels to carry out management
2	practices that the Secretary determines to be nec-
3	essary to reduce the probability of unintentional
4	nonindigenous species transfer resulting from—
5	"(i) ship operations other than ballast
6	discharge; and
7	"(ii) ballasting practices of vessels that
8	enter waters of the United States with no
9	ballast on board;
10	"(E) provide for the keeping of records that
11	shall be submitted to the Secretary, as prescribed
12	by the guidelines, and that shall be maintained
13	on board each vessel and made available for in-
14	spection, upon request of the Secretary and in a
15	manner consistent with subsection (h), in order
16	to enable the Secretary to determine compliance
17	with the guidelines, including—
18	"(i) with respect to each ballast water
19	exchange referred to in clause (ii), reporting
20	on the precise location and thoroughness of
21	the exchange; and
22	"(ii) any other information that the
23	Secretary considers necessary to assess the
24	rate of effective compliance with the guide-
25	lines;

1	"(F) provide for sampling procedures to
2	monitor compliance with the guidelines;
3	"(G) protect the safety of—
4	"(i) each vessel; and
5	"(ii) the crew and passengers of each
6	vessel;
7	"(H) take into consideration—
8	"(i) variations in the characteristics of
9	point of origin and receiving water bodies;
10	"(ii) variations in the ecological condi-
11	tions of waters and coastal areas of the
12	United States; and
13	"(iii) different operating conditions;
14	"(I) be based on the best scientific informa-
15	tion available; and
16	"(J) not affect or supersede any require-
17	ments or prohibitions pertaining to the discharge
18	of ballast water into waters of the United States
19	under the Federal Water Pollution Control Act
20	(33 U.S.C. 1251 et seq.).
21	"(3) Education and technical assistance
22	PROGRAMS.—Not later than 1 year after the date of
23	enactment of the National Invasive Species Act of
24	1996, the Secretary shall carry out education and
25	technical assistance programs and other measures to

1	encourage compliance with the guidelines issued
2	under this subsection.
3	"(d) Periodic Review and Revision.—
4	"(1) In general.—Not later than 3 years after
5	the date of enactment of the National Invasive Species
6	Act of 1996, and not less frequently than every 3
7	years thereafter, the Secretary shall, in accordance
8	with criteria developed by the Task Force under para-
9	graph (3)—
10	"(A) assess the compliance by vessels with
11	the voluntary guidelines issued under subsection
12	(c) and the regulations promulgated under this
13	Act;
14	"(B) establish the rate of compliance that is
15	based on the assessment under subparagraph (A);
16	"(C) assess the effectiveness of the voluntary
17	guidelines and regulations referred to in sub-
18	paragraph (A) in reducing the introduction and
19	spread of aquatic nuisance species by vessels;
20	and
21	"(D) as necessary, on the basis of the best
22	scientific information available—
23	"(i) revise the guidelines and regula-
24	tions referred to in subparagraph (A);

1	"(ii) promulgate additional regulations
2	pursuant to subsection (e)(1); or
3	"(iii) carry out each of clauses (i) and
4	(ii).
5	"(2) Special review and revision.—Not later
6	than 90 days after the Task Force makes a request to
7	the Secretary for a special review and revision for
8	coastal and inland waterways designated by the Task
9	Force, the Secretary shall—
10	"(A) conduct a special review of guidelines
11	and regulations applicable to those waterways in
12	accordance with the review procedures under
13	paragraph (1); and
14	"(B) as necessary, in the same manner as
15	provided under paragraph (1)(D)—
16	"(i) revise those guidelines;
17	"(ii) promulgate additional regulations
18	$pursuant\ to\ subsection\ (e)(1);\ or$
19	"(iii) carry out each of clauses (i) and
20	(ii).
21	"(3) Criteria for effectiveness.—Not later
22	than 18 months after the date of enactment of the Na-
23	tional Invasive Species Act of 1996, the Task Force
24	shall submit to the Secretary criteria for determining

1	the adequacy and effectiveness of the voluntary guide-
2	lines issued under subsection (c).
3	"(e) Authority of Secretary.—
4	"(1) General regulations.—If, on the basis of
5	a periodic review conducted under subsection $(d)(1)$
6	or a special review conducted under subsection $(d)(2)$,
7	the Secretary determines that—
8	"(A) the rate of effective compliance (as de-
9	termined by the Secretary) with the guidelines
10	issued pursuant to subsection (c) is inadequate;
11	or
12	"(B) the reporting by vessels pursuant to
13	those guidelines is not adequate for the Secretary
14	to assess the compliance with those guidelines
15	and provide a rate of compliance of vessels, in-
16	cluding the assessment of the rate of compliance
17	of vessels under subsection $(d)(2)$,
18	the Secretary shall promptly promulgate regulations
19	that meet the requirements of paragraph (2).
20	"(2) Requirements for regulations.—The
21	regulations promulgated by the Secretary under para-
22	graph (1)—
23	"(A) shall—

1	"(i) make mandatory the requirements
2	included in the voluntary guidelines issued
3	under subsection (c); and
4	"(ii) provide for the enforcement of the
5	regulations; and
6	"(B) may be regional in scope.
7	"(f) Sanctions.—
8	"(1) Civil penalties.—Any person who violates
9	a regulation promulgated under subsection (b) or (e)
10	shall be liable for a civil penalty in an amount not
11	to exceed \$25,000. Each day of a continuing violation
12	constitutes a separate violation. A vessel operated in
13	violation of the regulations is liable in rem for any
14	civil penalty assessed under this subsection for that
15	violation.
16	"(2) Criminal penalties.—Any person who
17	knowingly violates the regulations promulgated under
18	subsection (b) or (e) is guilty of a class C felony.
19	"(3) Revocation of Clearance.—Upon request
20	of the Secretary, the Secretary of the Treasury shall
21	withhold or revoke the clearance of a vessel required
22	by section 4197 of the Revised Statutes (46 U.S.C.
23	App. 91), if the owner or operator of that vessel is in
24	violation of the regulations issued under subsection
25	(b) or (e).

- 1 "(g) Coordination With Other Agencies.—In
- 2 carrying out the programs under this section, the Secretary
- 3 is encouraged to use, to the maximum extent practicable,
- 4 the expertise, facilities, members, or personnel of established
- 5 agencies and organizations that have routine contact with
- 6 vessels, including the Animal and Plant Health Inspection
- 7 Service of the Department of Agriculture, the National
- 8 Cargo Bureau, port administrations, and ship pilots' asso-
- 9 ciations.
- 10 "(h) Consultation With Canada, Mexico, and
- 11 Other Foreign Governments.—In developing the guide-
- 12 lines issued and regulations promulgated under this section,
- 13 the Secretary is encouraged to consult with the Government
- 14 of Canada, the Government of Mexico, and any other gov-
- 15 ernment of a foreign country that the Secretary, in con-
- 16 sultation with the Task Force, determines to be necessary
- 17 to develop and implement an effective international pro-
- 18 gram for preventing the unintentional introduction and
- 19 spread of nonindigenous species.
- 20 "(i) International Cooperation.—The Secretary,
- 21 in cooperation with the International Maritime Organiza-
- 22 tion of the United Nations and the Commission on Envi-
- 23 ronmental Cooperation established pursuant to the North
- 24 American Free Trade Agreement, is encouraged to enter
- 25 into negotiations with the governments of foreign countries

1	to develop and implement an effective international pro-
2	gram for preventing the unintentional introduction and
3	spread of nonindigenous species.".
4	(c) National Ballast Water Management Infor-
5	MATION.—Section 1102 (16 U.S.C. 4712) is amended—
6	(1) by striking the section heading and inserting
7	the following:
8	"SEC. 1102. NATIONAL BALLAST WATER MANAGEMENT IN-
9	FORMATION.";
10	(2) in subsection (a)—
11	(A) in paragraphs (1) and (2), by inserting
12	", in cooperation with the Secretary," before
13	"shall conduct" each place it appears;
14	(B) in paragraph (2), by inserting "Lake
15	Champlain and other" after "economic uses of";
16	(3) by striking subsection (b) and inserting the
17	following:
18	"(b) Ecological and Ballast Discharge Sur-
19	VEYS.—
20	"(1) Ecological surveys.—
21	"(A) In General.—The Task Force, in co-
22	operation with the Secretary, shall conduct eco-
23	logical surveys of the Chesapeake Bay, San
24	Francisco Bay, and Honolulu Harbor and, as
25	necessary, of other estuaries of national signifi-

1	cance and other waters that the Task Force de-
2	termines—
3	"(i) to be highly susceptible to invasion
4	by aquatic nuisance species resulting from
5	ballast water operations and other oper-
6	ations of vessels; and
7	"(ii) to require further study.
8	"(B) Requirements for surveys.—In
9	conducting the surveys under this paragraph, the
10	Task Force shall, with respect to each such sur-
11	vey—
12	"(i) examine the attributes and pat-
13	terns of invasions of aquatic nuisance spe-
14	cies; and
15	"(ii) provide an estimate of the effec-
16	tiveness of ballast water management and
17	other vessel management guidelines issued
18	and regulations promulgated under this
19	subtitle in abating invasions of aquatic nui-
20	sance species in the waters that are the sub-
21	ject of the survey.
22	"(2) Ballast discharge surveys.—
23	"(A) In General.—The Secretary, in co-
24	operation with the Task Force, shall conduct sur-
25	veys of ballast discharge rates and practices in

1	the waters referred to in paragraph (1)(A) on the
2	basis of the criteria under clauses (i) and (ii) of
3	such paragraph.
4	"(B) Requirements for surveys.—In
5	conducting the surveys under this paragraph, the
6	Secretary shall—
7	"(i) examine the rate of, and trends in,
8	ballast water discharge in the waters that
9	are the subject of the survey; and
10	"(ii) assess the effectiveness of vol-
11	untary guidelines issued, and regulations
12	promulgated, under this subtitle in altering
13	ballast discharge practices to reduce the
14	probability of accidental introductions of
15	aquatic nuisance species."; and
16	(4) by adding at the end the following new sub-
17	sections:
18	"(e) Regional Research Grants.—Out of amounts
19	appropriated to carry out this subsection for a fiscal year,
20	the Secretary of the Smithsonian Institution shall—
21	"(1) award not to exceed \$750,000 to the Chesa-
22	peake Research Consortium to fund research on
23	aquatic nuisance species prevention and control in
24	the Chesapeake Bay through competitive grants to
25	universities and research institutions;

1	"(2) award not to exceed \$500,000 to the Louisi-
2	ana Universities Marine Consortium to fund research
3	on aquatic nuisance species prevention and control in
4	the Gulf of Mexico through competitive grants to uni-
5	versities and research institutions;
6	"(3) make available not to exceed \$500,000 to
7	fund research on aquatic nuisance species prevention
8	and control for the Pacific Coast through competitive
9	grants to universities and research institutions; and
10	"(4) make available not to exceed \$500,000 to
11	fund research on aquatic nuisance species prevention
12	and control for the Atlantic Coast through competitive
13	grants to universities and research institutions.
14	"(f) National Ballast Information Clearing-
15	HOUSE.—
16	"(1) In General.—The Secretary shall develop
17	and maintain, in consultation with the Task Force
18	and the Smithsonian Institution (acting through the
19	Smithsonian Environmental Research Center), a
20	clearinghouse of national data concerning—
21	$``(A) \ ballasting \ practices;$
22	"(B) compliance with the guidelines issued
23	pursuant to section 1101(c); and
24	"(C) any other information obtained by the
25	Task Force under subsection (b).

1	"(2) Report.—In consultation with the Task
2	Force and the Smithsonian Institution (acting
3	through the Smithsonian Environmental Research
4	Center), the Secretary shall prepare and submit to the
5	Task Force and the appropriate Committees, on a bi-
6	annual basis, a report that synthesizes and analyzes
7	the data referred to in paragraph (1) relating to—
8	"(A) ballast water delivery and manage-
9	ment; and
10	"(B) invasions of aquatic nuisance species
11	resulting from ballast water.".
12	(d) Armed Services Ballast Water Program;
13	Ballast Water Management Demonstration Pro-
14	GRAM.—Subtitle B (16 U.S.C. 4701 et seq.) is amended by
15	adding at the end the following new sections:
16	"SEC. 1103. ARMED SERVICES BALLAST WATER PROGRAMS.
17	"(a) Department of Defense Vessels.—Subject to
18	operational conditions, the Secretary of Defense, in con-
19	sultation with the Secretary, the Task Force, and the Inter-
20	national Maritime Organization, shall implement a ballast
21	water management program for seagoing vessels of the De-
22	partment of Defense to minimize the risk of introduction
23	of nonindigenous species from releases of ballast water.
24	"(b) Coast Guard Vessels.—Subject to operational
25	conditions, the Secretary, in consultation with the Task

1	Force and the International Maritime Organization, shall
2	implement a ballast water management program for sea-
3	going vessels of the Coast Guard to minimize the risk of
4	introduction of nonindigenous species from releases of bal-
5	last water.
6	"SEC. 1104. BALLAST WATER MANAGEMENT DEMONSTRA-
7	TION PROGRAM.
8	"(a) Technologies and Practices Defined.—For
9	purposes of this section, the term 'technologies and prac-
10	tices' means those technologies and practices that—
11	"(1) may be retrofitted—
12	"(A) on existing vessels or incorporated in
13	new vessel designs; and
14	"(B) on existing land-based ballast water
15	$treatment\ facilities;$
16	"(2) may be designed into new water treatment
17	facilities;
18	"(3) are operationally practical;
19	"(4) are safe for a vessel and crew;
20	"(5) are environmentally sound;
21	"(6) are cost-effective;
22	"(7) a vessel operator is capable of monitoring;
23	and
24	"(8) are effective against a broad range of aquat-
25	ic nuisance species.

1	"(b) Demonstration Program.—
2	"(1) In General.—During the 18-month period
3	beginning on the date that funds are made available
4	by appropriations pursuant to section 1301(e), the
5	Secretary of the Interior, with the concurrence of and
6	in cooperation with the Secretary, shall conduct a
7	ballast water management demonstration program to
8	demonstrate technologies and practices to prevent
9	aquatic nonindigenous species from being introduced
10	into and spread through ballast water in the Great
11	Lakes and other waters of the United States.
12	"(2) Location.—The installation and construc-
13	tion of the technologies and practices used in the dem-
14	onstration program conducted under this subsection
15	shall be performed in the United States.
16	"(3) VESSEL SELECTION.—In demonstrating
17	technologies and practices on vessels under this sub-
18	section, the Secretary of the Interior, shall—
19	"(A) use only vessels that—
20	"(i) are approved by the Secretary;
21	"(ii) have ballast systems conducive to
22	testing aboard-vessel or land-based tech-
23	nologies and practices applicable to a sig-
24	nificant number of merchant vessels; and
25	"(iii) are—

1	"(I) publicly or privately owned;
2	and
3	"(II) in active use for trade or
4	other cargo shipment purposes during
5	$the \ demonstration;$
6	"(B) select vessels for participation in the
7	program by giving priority consideration—
8	"(i) first, to vessels documented under
9	chapter 121 of title 46, United States Code;
10	"(ii) second, to vessels that are a ma-
11	jority owned by citizens of the United
12	States, as determined by the Secretary; and
13	"(iii) third, to any other vessels that
14	regularly call on ports in the United States;
15	and
16	"(C) seek to use a variety of vessel types, in-
17	cluding vessels that—
18	"(i) call on ports in the United States
19	and on the Great Lakes; and
20	"(ii) are operated along major coasts of
21	the United States and inland waterways,
22	including the San Francisco Bay and
23	Chesapeake Bay.
24	"(4) Selection of technologies and prac-
25	TICES.—In selecting technologies and practices for

1	demonstration under this subsection, the Secretary of
2	the Interior shall give priority consideration to tech-
3	nologies and practices identified as promising by the
4	National Research Council Marine Board of the Na-
5	tional Academy of Sciences in its report on ships
6	ballast operations issued in July 1996.
7	"(5) Report.—Not later than 3 years after the
8	date of enactment of the National Invasive Species
9	Act of 1996, the Secretary of the Interior shall pre-
10	pare and submit a report to the appropriate Commit-
11	tees on the demonstration program conducted pursu-
12	ant to this section. The report shall include findings
13	and recommendations of the Secretary of the Interior
14	concerning technologies and practices.
15	"(c) Authorities; Consultation and Cooperation
16	WITH INTERNATIONAL MARITIME ORGANIZATION AND
17	Task Force.—
18	"(1) Authorities.—In conducting the dem-
19	onstration program under subsection (b), the Sec-
20	retary of the Interior may—
21	"(A) enter into cooperative agreements with
22	appropriate officials of other agencies of the Fed-
23	eral Government, agencies of States and political
24	subdivisions thereof, and private entities:

1	"(B) accept funds, facilities, equipment, or
2	personnel from other Federal agencies; and
3	"(C) accept donations of property and serv-
4	ices.
5	"(2) Consultation and cooperation.—The
6	Secretary of the Interior shall consult and cooperate
7	with the International Maritime Organization and
8	the Task Force in carrying out this section.".
9	(e) Amendments to Subtitle C.—
10	(1) Subtitle Heading.—The heading to sub-
11	title C (16 U.S.C. 4721 et seq.) is amended to read
12	as follows:
13	"Subtitle C—Prevention and Con-
14	trol of Aquatic Nuisance Species
15	${\it Dispersal}$ ".
16	(2) Task force.—Section 1201 (16 U.S.C.
17	4721) is amended—
18	(A) in subsection (b)—
19	(i) by striking "and" at the end of
20	paragraph (5);
21	(ii) by redesignating paragraph (6) as
22	paragraph (7); and
23	(iii) by inserting after paragraph (5)
24	the following new paragraph:
25	

1	(B) in subsection (c), by inserting "the
2	Chesapeake Bay Program," before "and State
3	agencies".
4	(3) Research program.—Section 1202 (16
5	U.S.C. 4722) is amended—
6	(A) in subsection $(f)(1)(A)$, by inserting
7	"and impacts" after "economic risks"; and
8	(B) in subsection (i)—
9	(i) in paragraph (1)—
10	(I) by striking "(1) IN GEN-
11	ERAL.—The Task Force" and inserting
12	$the\ following:$
13	"(1) Zebra mussel.—
14	"(A) In general.—The Task Force";
15	(II) by striking "(A) research"
16	and inserting the following:
17	"(i) research";
18	(III) by striking "(B) tracking"
19	and inserting the following:
20	"(ii) tracking";
21	(IV) by striking "(C) develop-
22	ment" and inserting the following:
23	"(iii) development"; and
24	(V) by striking "(D) provision"
25	and inserting the following:

1	"(iv) provision";
2	(ii) in paragraph (2), by striking "(2)
3	Public facility research and develop-
4	MENT.—" and inserting the following:
5	"(B) Public facility research and de-
6	VELOPMENT.—";
7	(iii) in subparagraph (B) of para-
8	graph (1), as so redesignated, by striking
9	the first sentence and inserting the follow-
10	ing: "The Assistant Secretary, in consulta-
11	tion with the Task Force, shall develop a
12	program of research, technology develop-
13	ment, and demonstration for the environ-
14	mentally sound control of zebra mussels in
15	and around public facilities.";
16	(iv) in paragraph (1), by adding after
17	subparagraph (B), as so redesignated, the
18	following new subparagraph:
19	"(C) Voluntary guidelines.—Not later
20	than 1 year after the date of enactment of this
21	subparagraph, the Task Force shall develop and
22	submit to the Secretary voluntary guidelines for
23	controlling the spread of the zebra mussel and, if
24	appropriate, other aquatic nuisance species
25	through recreational activities, including boating

1	and fishing. Not later than 4 months after the
2	date of such submission, and after providing no-
3	tice and an opportunity for public comment, the
4	Secretary shall issue voluntary guidelines that
5	are based on the guidelines developed by the Task
6	Force under this subparagraph."; and
7	(v) by adding at the end the following
8	new paragraphs:
9	"(2) Dispersal containment analysis.—
10	"(A) Research.—The Administrator of the
11	Environmental Protection Agency, in coopera-
12	tion with the National Science Foundation and
13	the Task Force, shall provide research grants on
14	a competitive basis for projects that—
15	"(i) identify environmentally sound
16	methods for controlling the dispersal of
17	aquatic nuisance species, such as the zebra
18	mussel; and
19	"(ii) adhere to research protocols devel-
20	$oped\ pursuant\ to\ subsection\ (f)(2).$
21	"(B) Authorization of Appropria-
22	Tions.—There are authorized to be appropriated
23	to the Environmental Protection Agency to carry
24	out this paragraph, \$500,000.
25	"(3) Dispersal barrier demonstration.—

1	"(A) In General.—The Assistant Sec-
2	retary, in consultation with the Task Force, shall
3	investigate and identify environmentally sound
4	methods for preventing and reducing the disper-
5	sal of aquatic nuisance species between the Great
6	Lakes-Saint Lawrence drainage and the Mis-
7	sissippi River drainage through the Chicago
8	River Ship and Sanitary Canal, including any
9	of those methods that could be incorporated into
10	the operation or construction of the lock system
11	of the Chicago River Ship and Sanitary Canal.
12	"(B) Report.—Not later than 18 months
13	after the date of enactment of this paragraph, the
14	Assistant Secretary shall issue a report to the
15	appropriate Committees that includes rec-
16	ommendations concerning—
17	"(i) which of the methods that are
18	identified under the study conducted under
19	this paragraph are most promising with re-
20	spect to preventing and reducing the disper-
21	sal of aquatic nuisance species; and
22	"(ii) ways to incorporate those methods
23	into ongoing operations of the United States
24	Army Corps of Engineers that are con-

1	ducted at the Chicago River Ship and Sani-
2	tary Canal.
3	"(C) Authorization of Appropria-
4	TIONS.—There are authorized to be appropriated
5	to the Department of the Army, to carry out this
6	paragraph, \$750,000.
7	"(4) Contributions.—To the extent allowable
8	by law, in carrying out the studies under paragraphs
9	(2) and (3), the Administrator of the Environmental
10	Protection Agency and the Secretary of the Army
11	may enter into an agreement with an interested party
12	under which that party provides in kind or monetary
13	contributions for the study.
14	"(5) Technical assistance.—The Great Lakes
15	Environmental Research Laboratory of the National
16	Oceanic and Atmospheric Administration shall pro-
17	vide technical assistance to the Lake Champlain Re-
18	search Consortium to assist in the research conducted
19	by that consortium pursuant to this subsection.".
20	(4) Implementation.—Section $1202(j)(1)$ (16)
21	$U.S.C.\ 4722(j)(1))$ is amended by striking "Not later
22	than 18 months after the date of the enactment of this
23	Act, the Director" and inserting "The Director, the
24	Secretary,".

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1
              (5) REGIONAL COORDINATION.—Section 1203 (16)
 2
         U.S.C. 4723) is amended—
 3
                  (A) by striking the section heading and in-
 4
             serting the following:
    "SEC. 1203. REGIONAL COORDINATION.";
 6
                  (B) in subsection (a)—
                       (i) by striking "(a) In General.—
 7
 8
                  Not" and inserting the following:
 9
         "(a) Great Lakes Panel.—
              "(1) IN GENERAL.—Not";
10
                       (ii) by striking "(1) identify" and in-
11
12
                  serting the following:
                  "(A) identify";
13
14
                       (iii) by striking "(2) make" and in-
15
                  serting the following:
                  "(B) make";
16
17
                       (iv) by striking "(3) assist" and insert-
18
                  ing the following:
19
                   "(C) assist";
                       (v) by striking "(4) coordinate" and
20
21
                  inserting the following:
22
                   "(D) coordinate";
                       (vi) by striking "(5) provide" and in-
23
24
                  serting the following:
                  "(E) provide";
25
```

1	(vii) by striking "(6) submit" and in-
2	serting the following:
3	$"(F) \ submit";$
4	(viii) in paragraph (1), as so redesig-
5	nated—
6	(I) in the matter preceding sub-
7	paragraph (A), by inserting "region"
8	before "representatives"; and
9	(II) in subparagraphs (A) through
10	(F), by striking "Great Lakes" each
11	place it appears and inserting "Great
12	Lakes region";
13	(C) by striking "(b) Consultation.—The
14	Task Force" and inserting the following:
15	"(2) Consultation.—The Task Force";
16	(D) by striking "(c) Canadian Participa-
17	TION.—The panel" and inserting the following:
18	"(3) Canadian participation.—The panel";
19	(E) in paragraphs (2) and (3) of subsection
20	(a), as so redesignated, by striking "this section"
21	and inserting "this subsection"; and
22	(F) by adding at the end the following new
23	subsections:
24	"(b) Western Regional Panel.—Not later than 30
25	days after the date of enactment of the National Invasive

1	Species Act of 1996, the Task Force shall request a Western
2	regional panel, comprised of Western region representatives
3	from Federal, State, and local agencies and from private
4	environmental and commercial interests, to—
5	"(1) identify priorities for the Western region
6	with respect to aquatic nuisance species;
7	"(2) make recommendations to the Task Force
8	regarding an education, monitoring (including in-
9	spection), prevention, and control program to prevent
10	the spread of the zebra mussel west of the 100th Me-
11	ridian pursuant to section 1202(i) of this Act;
12	"(3) coordinate, where possible, other aquatic
13	nuisance species program activities in the Western re-
14	gion that are not conducted pursuant to this Act;
15	"(4) develop an emergency response strategy for
16	Federal, State, and local entities for stemming new
17	invasions of aquatic nuisance species in the region;
18	"(5) provide advice to public and private indi-
19	viduals and entities concerning methods of preventing
20	and controlling aquatic nuisance species infestations;
21	and
22	"(6) submit annually a report to the Task Force
23	describing activities within the Western region related
24	to aquatic nuisance species prevention, research, and
25	control.

1	"(c) Additional Regional Panels.—The Task
2	Force shall—
3	"(1) encourage the development and use of re-
4	gional panels and other similar entities in regions in
5	addition to the Great Lakes and Western regions (in-
6	cluding providing financial assistance for the develop-
7	ment and use of such entities) to carry out, with re-
8	spect to those regions, activities that are similar to
9	the activities described in subsections (a) and (b); and
10	"(2) cooperate with regional panels and similar
11	entities that carry out the activities described in
12	paragraph (1).".
13	(6) State or interstate watershed aquatic
14	NUISANCE SPECIES MANAGEMENT PLAN.—Section
15	1204 (16 U.S.C. 4724) is amended—
16	(A) in subsection (a)—
17	(i) by striking the subsection designa-
18	tion and heading and inserting the follow-
19	ing:
20	"(a) State or Interstate Invasive Species Man-
21	AGEMENT PLANS.—";
22	(ii) in paragraph (1)—
23	(I) by striking the matter preced-
24	ing subparagraph (A) and inserting
25	$the\ following:$

1	"(1) In General.—After providing notice and
2	opportunity for public comment, the Governor of each
3	State may prepare and submit, or the Governors of
4	the States and the governments of the Indian tribes
5	involved in an interstate organization, may jointly
6	prepare and submit—";
7	(II) in subparagraph (A), by
8	striking "technical and financial as-
9	sistance" and inserting "technical, en-
10	forcement, or financial assistance (or
11	any combination thereof)"; and
12	(III) in subparagraphs (A) and
13	(B), by inserting "or within the inter-
14	state region involved" after "within the
15	State" each place it appears;
16	(iii) in paragraph (2)—
17	(I) in subparagraph (B), by strik-
18	ing "and" at the end of the subpara-
19	graph;
20	(II) by redesignating subpara-
21	graph (C) as subparagraph (D);
22	(III) by inserting after subpara-
23	graph (B) the following:
24	"(C) identify any authority that the State
25	(or any State or Indian tribe involved in the

1	interstate organization) does not have at the
2	time of the development of the plan that may be
3	necessary for the State (or any State or Indian
4	tribe involved in the interstate organization) to
5	protect public health, property, and the environ-
6	ment from harm by aquatic nuisance species;
7	and"; and
8	(IV) in subparagraph (D), as so
9	redesignated, by inserting ", and ena-
10	bling legislation" before the period;
11	(iv) in paragraph (3)—
12	(I) in subparagraph (A)—
13	(aa) by inserting "or inter-
14	state organization" after "the
15	State"; and
16	(bb) by inserting "Indian
17	tribes," after "local governments
18	and regional entities,"; and
19	(II) in subparagraph (B), by in-
20	serting "or the appropriate official of
21	an interstate organization" after "a
22	State"; and
23	(v) in paragraph (4), by inserting "or
24	the interstate organization" after "the Gov-
25	ernor";

1	(B) in subsection (b)(1)—
2	(i) by striking "or the Assistant Sec-
3	retary, as appropriate under subsection
4	(a),"; and
5	(ii) by striking "approved management
6	plans" and inserting "management plans
7	approved under subsection (a)"; and
8	(C) by adding at the end the following new
9	subsection:
10	"(c) Enforcement Assistance.—Upon request of a
11	State or Indian tribe, the Director or the Under Secretary,
12	to the extent allowable by law and in a manner consistent
13	with section 141 of title 14, United States Code, may pro-
14	vide assistance to a State or Indian tribe in enforcing an
15	approved State or interstate invasive species management
16	plan.".
17	(f) Authorizations of Appropriations.—Section
18	1301 (16 U.S.C. 4741) is amended—
19	(1) in subsection (a)—
20	(A) by striking "and" at the end of para-
21	graph(2);
22	(B) by striking paragraph (3) and inserting
23	$the\ following;$
24	"(3) to the Secretary to carry out section 1101—

1	"(A) $$2,000,000$ for each of fiscal years
2	1997 and 1998; and
3	"(B) \$3,000,000 for each of fiscal years
4	1999 through 2002;"; and
5	(C) by adding at the end the following new
6	paragraphs:
7	"(4) for each of fiscal years 1997 through 2002,
8	to carry out paragraphs (1) and (2) of section
9	1102(b)—
10	"(A) \$1,000,000 to the Department of the
11	Interior, to be used by the Director; and
12	"(B) \$1,000,000 to the Secretary; and
13	"(5) for each of fiscal years 1997 through 2002—
14	"(A) \$2,250,000 to the Secretary of the
15	Smithsonian Institution to carry out section
16	1102(e); and
17	"(B) \$500,000 to the Secretary to carry out
18	section 1102(f).";
19	(2) in subsection (b)—
20	(A) in the matter preceding paragraph (1),
21	by striking "1991, 1992, 1993, 1994, and 1995"
22	and inserting "1997 through 2002"; and
23	(B) by striking paragraphs (1) through (7)
24	and inserting the following:

1	"(1) \$6,000,000 to the Department of the Inte-
2	rior, to be used by the Director to carry out sections
3	1202 and 1209;
4	"(2) \$1,000,000 to the Department of Commerce,
5	to be used by the Under Secretary to carry out section
6	1202;
7	"(3) \$1,625,000 to fund aquatic nuisance species
8	prevention and control research under section 1202(i)
9	at the Great Lakes Environmental Research Labora-
10	tory of the National Oceanic and Atmospheric Ad-
11	ministration and the Lake Champlain Research Con-
12	sortium, of which \$500,000 shall be used by the Lake
13	Champlain Research Consortium;
14	"(4) \$5,000,000 for competitive grants for uni-
15	versity research on aquatic nuisance species under
16	section $1202(f)(3)$ as follows:
17	"(A) \$4,000,000 to fund grants under sec-
18	tion 206 of the National Sea Grant College Pro-
19	gram Act (33 U.S.C. 1121 et seq.) and grants to
20	colleges for the benefit of agriculture and the me-
21	chanic arts referred to in the first section of the
22	Act of August 30, 1890 (26 Stat. 417, chapter
23	841; 7 U.S.C. 322); and
24	"(B) \$1,000,000 to fund grants through the
25	Cooperative Fisheries and Wildlife Research

1	Unit Program of the United States Fish and
2	Wildlife Service;
3	"(5) \$3,000,000 to the Department of the Army,
4	to be used by the Assistant Secretary to carry out sec-
5	tion $1202(i)(1)(B)$; and
6	"(6) \$300,000 to the Department of the Interior,
7	to be used by the Director to fund regional panels and
8	similar entities under section 1203, of which \$100,000
9	shall be used to fund activities of the Great Lakes
10	Commission.";
11	(3) by striking subsection (c) and inserting the
12	following:
13	"(c) Grants for State Management Programs.—
14	There are authorized to be appropriated for each of fiscal
15	years 1997 through 2002 \$4,000,000 to the Department of
16	the Interior, to be used by the Director for making grants
17	under section 1204, of which \$1,500,000 shall be used by
18	the Director, in consultation with the Assistant Secretary,
19	for management of aquatic nuisance vegetation species.";
20	and
21	(4) by adding at the end the following new sub-
22	section:
23	"(e) Ballast Water Management Demonstration
24	Program.—There are authorized to be appropriated to the

1	Department of the Interior \$2,500,000 to carry out section
2	1104.".
3	(g) Technical Corrections.—Public Law 101-646
4	(16 U.S.C. 4701 et seq.) is amended—
5	(1) in titles I, II, and IV, by striking the
6	quotation marks at the beginning of any title, sub-
7	title, section, subsection, paragraph, subparagraph,
8	clause, subclause, or undesignated provision;
9	(2) at the end of titles II and IV, by striking the
10	closing quotation marks and the final period; and
11	(3) in section 1003—
12	(A) by striking each single opening
13	quotation mark and inserting double opening
14	quotation marks; and
15	(B) by striking each single closing quotation
16	mark and inserting double closing quotations
17	marks.
18	SEC. 3. STATUTORY CONSTRUCTION.
19	Nothing in this Act or the amendments made by this
20	Act is intended to affect the authorities and responsibilities
21	of the Great Lakes Fishery Commission established under
22	article II of the Convention on Great Lakes Fisheries be-
23	tween the United States of America and Canada, signed
24	at Washington on September 10, 1954 (hereafter in this sec-
25	tion referred to as the "Convention"), including the authori-

1	ties and responsibilities of the Great Lakes Fishery Com-
2	mission—
3	(1) for developing and implementing a com-
4	prehensive program for eradicating or minimizing
5	populations of sea lamprey in the Great Lakes water-
6	shed; and
7	(2) carrying out the duties of the Commission
8	specified in the Convention (including any amend-
9	ment thereto) and the Great Lakes Fishery Act of
10	1956 (16 U.S.C. 931 et seq.).

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