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H. R. 2635

To allow patients access to drugs and medical devices recommended and provided by health care practitioners that are not approved by the Food and Drug Administration, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

JULY 29, 1999

Mr. DEFAZIO (for himself, Mr. BURTON of Indiana, Mr. SANDERS, Mr. HINCHHEY, Mr. HAYWORTH, Mr. OWENS, Mr. CAMPBELL, Mr. ROHRABACHER, Mr. ANDREWS, Mr. DREIER, Mr. WYNN, Mr. PAUL, Mr. LIPINSKI, Mrs. MYRICK, Mr. FILNER, Mr. STUMP, Mr. RAHALL, Ms. WOOLSEY, Mr. ACKERMAN, Mr. DUNCAN, Mr. COSTELLO, Mr. OBERSTAR, Mr. FARR of California, and Mr. TAYLOR of North Carolina) introduced the following bill; which was referred to the Committee on Commerce

A BILL

To allow patients access to drugs and medical devices recommended and provided by health care practitioners that are not approved by the Food and Drug Administration, and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Access to Medical
5 Treatment Act”.

1 **SEC. 2. FINDINGS.**

2 The Congress finds the following:

3 (1) Avoiding regulation that lessens or impairs
4 the health status of the people of the United States
5 is a priority of the Federal Government.

6 (2) The quality of health care in the United
7 States depends primarily on the freedom of each pa-
8 tient to choose a medical treatment recommended by
9 a health care practitioner and the freedom of each
10 health care practitioner to exercise independent pro-
11 fessional judgment in the selection and recommenda-
12 tion of a treatment that the professional deems most
13 likely to satisfy the unique needs and tolerances of
14 the patient.

15 (3) In the treatment of patients, health care
16 practitioners must be free to recommend drugs and
17 medical devices not approved by the Food and Drug
18 Administration if in the practitioner's independent
19 professional judgment such drugs and medical de-
20 vices are necessary and offer hope of saving life, re-
21 establishing health, or alleviating suffering.

22 (4) Certain diseases may not be cured by drugs
23 or medical devices approved by the Food and Drug
24 Administration.

25 (5) Drugs and medical devices approved by the
26 Food and Drug Administration are sometimes inju-

1 rious to health when administered to particular pa-
2 tients.

3 (6) Patients sometimes prefer not to be treated
4 with drugs or medical devices approved by the Food
5 and Drug Administration.

6 (7) In consultation with a health care practi-
7 tioner, each patient has a right to freedom of choice
8 in deciding what drugs to take and what medical de-
9 vices to have applied to his or her own body.

10 (8) Although the Federal Government should
11 act swiftly to halt the manufacture, sale, and dis-
12 tribution of drugs and medical devices that are adul-
13 terated within the meaning of this Act, it should not
14 take any action to interfere with a health care prac-
15 tice regulated by the States.

16 (9) Many drugs and medical devices not ap-
17 proved by the Food and Drug Administration for
18 manufacture, sale, and distribution in the United
19 States, including those marketed lawfully in other
20 countries, may be desired by patients and rec-
21 ommended by health care practitioners.

22 (10) Existing State laws governing the practice
23 of medicine and this Act provide adequate protection
24 for practitioner-recommended patient use of drugs

1 and medical devices not approved by the Food and
2 Drug Administration.

3 **SEC. 3. DEFINITIONS.**

4 As used in this Act:

5 (1) **ADVERTISING CLAIMS.**—The term “adver-
6 tising claims” means proposals to engage in com-
7 mercial transactions involving the sale of an unap-
8 proved drug or medical device at a price above costs.

9 (2) **ADULTERATED.**—The term “adulterated”
10 means any unapproved drug or medical device that
11 in whole or part consists of any filthy, putrid, or de-
12 composed substance or that causes a danger to a pa-
13 tient.

14 (3) **COSTS.**—The term “costs” means a charge
15 to patients equal to the amount necessary to recover
16 expenses for making or obtaining the unapproved
17 drug or medical device and providing for its trans-
18 port to the health care practitioner.

19 (4) **DANGER.**—The term “danger” means an
20 adverse reaction to an unapproved drug or medical
21 device that—

22 (A) causes serious harm when used as di-
23 rected;

24 (B) would not otherwise have occurred;

25 and

1 (C) is more serious than contraindications
2 for drugs or medical devices approved by the
3 Federal Food and Drug Administration for the
4 same disease or condition.

5 (5) DRUG.—The term “drug” has the same
6 meaning given that term in section 201(g)(1) of the
7 Federal Food, Drug, and Cosmetic Act (21 U.S.C.
8 321(g)(1)).

9 (6) HEALTH CARE PRACTITIONER.—The term
10 “health care practitioner” means a physician or
11 other person authorized to provide health care serv-
12 ice in the State in which the service is performed.

13 (7) LEGAL REPRESENTATIVE.—The term “legal
14 representative” means a parent or other person who
15 qualifies as a legal guardian under State law.

16 (8) MEDICAL DEVICE.—The term “medical de-
17 vice” has the same meaning given that term in sec-
18 tion 201(h) of the Federal Food, Drug, and Cos-
19 metic Act (21 U.S.C. 321(h)).

20 (9) UNAPPROVED DRUG OR MEDICAL DEVICE.—
21 The term “unapproved”, with respect to a drug or
22 medical device, means a new drug or medical device
23 that is not approved or authorized for manufacture,
24 sale, and distribution in interstate commerce under
25 section 505, 513, or 515 of the Federal Food, Drug,

1 and Cosmetic Act (21 U.S.C. 355, 360c, and 360e)
2 or under section 351 of the Public Health Service
3 Act (42 U.S.C. 201).

4 (10) PATIENT.—The term “patient” means any
5 person who seeks medical treatment from a health
6 care practitioner for a disease or disease condition.

7 (11) SECRETARY.—The term “Secretary”
8 means the Secretary of the Department of Health
9 and Human Services.

10 (12) SELLER.—The term “seller” means a per-
11 son, company, or organization that receives payment
12 for an unapproved drug or medical device, except
13 that this term does not apply to a health care practi-
14 tioner who receives payment from a patient or legal
15 representative of a patient for medical services, in-
16 cluding the administration of an unapproved drug or
17 medical device unless the health care practitioner is
18 also the manufacturer of the unapproved drug or
19 medical device.

20 **SEC. 4. ACCESS TO MEDICAL TREATMENT.**

21 (a) IN GENERAL.—Notwithstanding sections
22 501(a)(2)(B), 501(e) through 501(h), 502(f)(1), 513,
23 505, and 515 of the Federal Food, Drug, and Cosmetic
24 Act (21 U.S.C. 321(a)(2)(B), 351(c) through 351(h),
25 351(e), 352(f)(1), 355, 360c, and 360e) and section 351

1 of the Public Health Service Act (42 U.S.C. 201) or any
2 other provision of Federal law, a patient may receive, and
3 a health care practitioner may provide, any unapproved
4 drug or medical device that the patient desires or the legal
5 representative of the patient authorizes if—

6 (1) the unapproved drug or medical device is
7 recommended by a health care practitioner within
8 that practitioner's scope of practice under State law;

9 (2) the administration of the unapproved drug
10 or medical device is not a violation of the laws of the
11 State or States in which the activity is carried out;
12 and

13 (3) the health care practitioner abides by all of
14 the recommendation requirements in subsection (b).

15 (b) RECOMMENDATION REQUIREMENTS.—A health
16 care practitioner may recommend and provide any unap-
17 proved drug or medical device to a patient, pursuant to
18 subsection (a), if that practitioner—

19 (1) does not violate State law by providing or
20 administering the unapproved drug or medical de-
21 vice;

22 (2) does not violate the Controlled Substances
23 Act by providing or administering the unapproved
24 drug;

1 (3) has concluded that the unapproved drug or
2 medical device, used as directed, will not pose a dan-
3 ger to the patient;

4 (4) has informed the patient in writing, prior to
5 recommending or providing the unapproved drug or
6 medical device—

7 (A) that the unapproved drug or medical
8 device is not approved by the Secretary as safe
9 and effective for the condition of the patient
10 and is considered experimental;

11 (B) that the patient may suspend or termi-
12 nate treatment at any time;

13 (C) the currently indicated and generally
14 accepted treatment or treatments for the pa-
15 tient's condition, if any;

16 (D) interactions the unapproved drug or
17 medical device may have with other drugs, if
18 any;

19 (E) the active and inactive ingredients of
20 the unapproved drug and the mechanism of ac-
21 tion, if known;

22 (F) the mechanism of action of the medical
23 device;

24 (G) the health condition for which the un-
25 approved drug or medical device is provided, the

1 method of administration that the patient
2 should or the health care practitioner will use,
3 and the unit dose;

4 (H) the opinion of the health care practi-
5 tioner concerning the risks and benefits of the
6 unapproved drug or medical device, including
7 any expected possible side effects; and

8 (I) any other information or disclosures re-
9 quired by applicable State law for the adminis-
10 tration of experimental drugs or medical devices
11 to human subjects;

12 (5) has not made, except as provided in sub-
13 section (c), any advertising claims for the unap-
14 proved drug or medical device;

15 (6) does not impose a charge for the unap-
16 proved drug or medical device in excess of costs;

17 (7) complies with requirements for reporting a
18 danger in section 5; and

19 (8) has received a signed affidavit from the pa-
20 tient or the patient's legal representative confirming
21 that the patient or the legal representative—

22 (A) has received the written information
23 required by subsection (b)(4)(A)–(I) and under-
24 stands it; and

1 (B) desires treatment with the unapproved
2 drug or medical device as recommended by the
3 health care practitioner.

4 (c) ADVERTISING CLAIMS EXCEPTION.—

5 (1) REPORTING BY A HEALTH CARE PRACTI-
6 TIONER.—Subsection (b)(5) shall not apply to a
7 health care practitioner’s dissemination of informa-
8 tion on the results of the practitioner’s administra-
9 tion of the unapproved drug or medical device unless
10 the health care practitioner makes an offer to sell
11 the unapproved drug or medical device in connection
12 with the dissemination of that information.

13 (2) DIETARY SUPPLEMENT STATEMENTS.—
14 Subsection (b)(5) shall not apply to statements or
15 claims permitted or authorized under sections 403
16 and 403B of the Federal Food, Drug, and Cosmetic
17 Act (21 U.S.C. 343 and 343–2).

18 **SEC. 5. CESSATION OF USE, AND REPORTING OF, DAN-**
19 **GEROUS DRUGS AND MEDICAL DEVICES.**

20 (a) DUTY TO PROTECT PATIENT.—If a health care
21 practitioner discovers that an unapproved drug or medical
22 device creates a danger to a patient, the practitioner shall
23 immediately cease use and recommendation of the unap-
24 proved drug or medical device and provide to the manufac-
25 turer of the unapproved drug or medical device—

1 (1) a written evaluation of the patient’s medical
2 condition before and after administration of the un-
3 approved drug or medical device;

4 (2) a written evaluation of the adverse reaction,
5 including its physiological manifestations, duration,
6 and the effect of cessation of treatment upon the pa-
7 tient’s condition;

8 (3) any other information the health care prac-
9 titioner deems pertinent to an evaluation of the ad-
10 verse reaction;

11 (4) the name, occupation, business address, and
12 business telephone number of the physician;

13 (5) the name of the unapproved drug or med-
14 ical device and a description of the method of ad-
15 ministration and operation, dosing, and duration of
16 treatment;

17 (6) the lot number, if any, of the unapproved
18 drug or medical device; and

19 (7) an affidavit pursuant to section 1746 of
20 title 28, United States Code, confirming that all
21 statements made to the manufacturer are accurate.

22 (b) MANUFACTURER’S DUTY TO REPORT.—Any
23 manufacturer of an unapproved drug or medical device
24 that receives information provided under subsection (a)
25 shall immediately—

1 (1) cease sale and distribution of the unap-
2 proved drug or medical device pending completion of
3 an investigation by the manufacturer to determine
4 the actual cause of the danger;

5 (2) notify all health care practitioners to whom
6 the manufacturer has provided the unapproved drug
7 or medical device of the information provided to the
8 manufacturer under subsection (a); and

9 (3) report to the Secretary in writing that an
10 unapproved drug or medical device (identified by
11 name, known method of operation, unit dose, and in-
12 tended use) that the manufacturer provided to a
13 health care practitioner for administration under
14 this Act has been reported to be a danger to a pa-
15 tient and confirming that the manufacturer—

16 (A) has ceased sale and distribution of the
17 unapproved drug or medical device pending
18 completion of an investigation by the manufac-
19 turer to determine the actual cause of the dan-
20 ger; and

21 (B) has notified health care practitioners
22 to which the unapproved drug or medical device
23 has been sent of the information it has received.

24 (c) MANUFACTURER'S DUTY TO INVESTIGATE.—
25 Upon receipt of the information described in subsection

1 (a), the manufacturer shall commence an investigation to
2 determine the actual cause of the danger, and shall carry
3 out the following, as applicable:

4 (1) If the actual cause is determined to be the
5 unapproved drug or medical device, the manufac-
6 turer shall cease manufacture, sale, and distribution
7 of the unapproved drug or medical device; shall no-
8 tify all health care practitioners to whom the manu-
9 facturer has provided the unapproved drug or med-
10 ical device to cease use and recommendation of the
11 unapproved drug or medical device and return the
12 unapproved drug or medical device to the manufac-
13 turer for a complete recall; and shall notify the Sec-
14 retary that it has taken each of the foregoing steps.

15 (2) If the actual cause is determined not to be
16 the unapproved drug or medical device, the manu-
17 facturer shall inform all health care practitioners to
18 whom the manufacturer has provided the unap-
19 proved drug or medical device of that determination
20 and of any recommendations on use or modifications
21 of the unapproved drug or medical device the manu-
22 facturer deems appropriate and shall notify the Sec-
23 retary that it has taken each of the foregoing steps.

24 (3) If the actual cause is not determined, the
25 manufacturer shall inform all health care practi-

1 tioners to whom the manufacturer has provided the
2 unapproved drug or medical device of that finding;
3 shall make any recommendations on use or modifica-
4 tions of the unapproved drug or medical device the
5 manufacturer deems appropriate; and shall notify
6 the Secretary that it has taken each of the foregoing
7 steps.

8 (d) SECRETARY'S DUTY TO INFORM.—Upon receipt
9 of the report described in subsection (b)(3), the Secretary
10 shall promptly disseminate information concerning the
11 danger to all health care practitioners in the United
12 States, to the Director of the National Center for Com-
13 plementary and Alternative Medicine, and to agencies of
14 the States that have responsibility for regulating unsafe
15 or adulterated drugs and medical devices.

16 **SEC. 6. REPORTING OF BENEFICIAL DRUGS AND MEDICAL**
17 **DEVICES.**

18 (a) REPORTING OF BENEFICIAL RESULTS.—If a
19 health care practitioner discovers that an unapproved drug
20 or medical device used in the treatment of a life threat-
21 ening medical condition produces results that, in the opin-
22 ion of the practitioner, are significantly more beneficial
23 than results produced from a drug or medical device ap-
24 proved by the Food and Drug Administration for the same
25 condition or conditions, the practitioner shall provide to

1 the manufacturer of the unapproved drug or medical
2 device—

3 (1) a written evaluation of the patient's medical
4 condition before and after administration of the un-
5 approved drug or medical device;

6 (2) the name, occupation, business address, and
7 business telephone number of the physician;

8 (3) the name of the unapproved drug or med-
9 ical device and a description of the method of oper-
10 ation and administration, dosing, and duration of
11 treatment; and

12 (4) an affidavit pursuant to section 1746 of
13 title 28, United States Code, confirming that all
14 statements made to the manufacturer are accurate.

15 (b) MANUFACTURER'S DUTY TO REPORT.—Any
16 manufacturer of an unapproved drug or medical device
17 that receives information under subsection (a) shall pro-
18 vide to the Director of the National Center for Com-
19 plementary and Alternative Medicine—

20 (1) a complete copy of the information;

21 (2) the name, business address, and business
22 telephone number of the manufacturer;

23 (3) the name, business address, and business
24 telephone number of the health care practitioner who
25 supplied information to the manufacturer;

1 (4) the name of the unapproved drug or med-
2 ical device;

3 (5) the known method of operation and admin-
4 istration of the unapproved drug or medical device;

5 (6) the per unit dose; and

6 (7) the intended use of the unapproved drug or
7 medical device.

8 (c) NOTICE TO CONGRESS OF BENEFICIAL RE-
9 SULTS.—Each year on the fifteenth day of January after
10 the date of enactment of this Act, the Director of the Na-
11 tional Center for Complementary and Alternative Medicine
12 shall submit to Congress a report accurately summarizing
13 all information it has received under subsection (b). The
14 Director and the Congress shall make the Director’s re-
15 port available to the public.

16 **SEC. 7. OTHER LAWS NOT AFFECTED BY THIS ACT.**

17 This Act does not have any effect on section 503A
18 of the Federal Food, Drug, and Cosmetic Act nor does
19 this Act supersede any law of a State or political subdivi-
20 sion of a State, including laws governing rights and duties
21 among health care practitioners and patients.

22 **SEC. 8. AUTHORIZED ACTIVITIES OF HEALTH CARE PRAC-**
23 **TITIONERS.**

24 In conformity with the provisions of this Act, a health
25 care practitioner may introduce an unapproved drug or

1 medical device into interstate commerce; deliver an unap-
2 proved drug or medical device for introduction into such
3 commerce; transport an unapproved drug or medical de-
4 vice in such commerce; receive an unapproved drug or
5 medical device in such commerce and deliver the unap-
6 proved drug or medical device; and hold an unapproved
7 drug or medical device for sale after shipment of the unap-
8 proved drug or medical device in such commerce. This Act
9 shall not be construed to limit or interfere with the author-
10 ity of a health care practitioner to prescribe, recommend,
11 or administer to a patient for any condition or disease any
12 unapproved drug or medical device lawful under the law
13 of the State or States in which the health care practitioner
14 practices.

15 **SEC. 9. PENALTY.**

16 A health care practitioner found to have knowingly
17 violated this Act shall be denied coverage under this Act.

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