

106TH CONGRESS  
2D SESSION

# H. R. 5447

To amend the Social Security Act to prepare the Social Security Administration for the needs of the 21st century, and for other purposes

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## IN THE HOUSE OF REPRESENTATIVES

OCTOBER 11, 2000

Mr. SHAW (for himself and Mr. CARDIN) introduced the following bill; which was referred to the Committee on Ways and Means, and in addition to the Committee on the Budget, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

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## A BILL

To amend the Social Security Act to prepare the Social Security Administration for the needs of the 21st century, and for other purposes

1 *Be it enacted by the Senate and House of Representa-*  
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Social Security Admin-  
5 istration Preparedness Act of 2000”.

1 **SEC. 2. ADMINISTRATIVE EXPENSES OF THE SOCIAL SECUR-**  
2 **RITY ADMINISTRATION.**

3 (a) LIMITATIONS ON AUTHORIZATION OF ADMINIS-  
4 TRATIVE EXPENSES.—

5 (1) IN GENERAL.—Section 201(g)(1)(A) of the  
6 Social Security Act (42 U.S.C. 401(g)(1)(A)) is  
7 amended by striking “Of the amounts authorized”  
8 and all that follows and inserting the following:  
9 “The amounts authorized to be made available out  
10 of the Federal Old-Age and Survivors Insurance  
11 Trust Fund and the Federal Disability Insurance  
12 Trust Fund under the preceding sentence for fiscal  
13 year 2001 shall be \$7,500,000,000, and the amounts  
14 so authorized for fiscal year 2002 shall be  
15 \$8,450,000,000.”.

16 (2) EFFECTIVE DATE.—The amendment made  
17 by paragraph (1) shall apply with respect to fiscal  
18 years after fiscal year 2000.

19 (b) REPORTS.—Section 201(g)(1) of such Act is  
20 amended further by redesignating subparagraphs (B), (C),  
21 and (D) as subparagraphs (E), (F), and (G), respectively,  
22 and by inserting after subparagraph (A) the following new  
23 subparagraphs:

24 “(B) Each program performance report submitted by  
25 the Commissioner of Social Security pursuant to section  
26 1116 of title 31, United States Code, shall include docu-

1 mentation of the manner in which and the extent to which  
2 funds which have been appropriated for the current fiscal  
3 year pursuant to subparagraph (A) have been and will be  
4 used, and the manner in which and the extent to which  
5 funds which are to be appropriated for the following fiscal  
6 year pursuant to subparagraph (A) would be used, to  
7 effectively—

8           “(i) carry out the mission of the Social Security  
9       Administration (other than the mission of the Office  
10      of the Inspector General),

11           “(ii) meet specific levels of performance that  
12      the Administration has committed to achieve  
13      through such plans,

14           “(iii) achieve modern, customer responsive serv-  
15      ice, and

16           “(iv) protect the integrity of the programs ad-  
17      ministered by the Commissioner under this Act  
18      through exemplary stewardship.

19 The Commissioner shall ensure that a copy of each such  
20 plan is submitted to the Committee on Appropriations and  
21 the Committee on Ways and Means of the House of Rep-  
22 resentatives and the Committee on Appropriations and the  
23 Committee on Finance of the Senate.

1           “(C)(i) The Inspector General of the Social Security  
2 Administration shall prepare for the Office of the Inspec-  
3 tor General for each fiscal year—

4                   “(I) an annual performance plan with respect  
5 to the activities of the Office, and

6                   “(II) a report on the program performance of  
7 the Office in relation to such plan.

8 The Inspector General shall submit the report on program  
9 performance for each fiscal year to the Committee on Ap-  
10 propriations and the Committee on Ways and Means of  
11 the House of Representatives and the Committee on Ap-  
12 propriations and the Committee on Finance of the Senate  
13 not later than the time required for the submission of the  
14 program performance report of the Social Security Admin-  
15 istration for such fiscal year.

16           “(ii) Each program performance report by the Office  
17 of the Inspector General shall include documentation by  
18 the Inspector General of the manner in which and the ex-  
19 tent to which funds which have been appropriated for the  
20 current fiscal year pursuant to subparagraph (A) have  
21 been and will be used, and the manner in which and the  
22 extent to which funds which are to be appropriated for  
23 the following fiscal year pursuant to subparagraph (A)  
24 would be used, to effectively—

1           “(I) carry out the mission of the Office of the  
2           Inspector General, and

3           “(II) meet specific levels of performance that  
4           the Inspector General has committed to achieve  
5           through the performance plans prepared by the In-  
6           spector General.

7           “(D) Not later than 90 days after the latest date for  
8           the annual submission by the President to the Congress  
9           of the budget of the United States Government, the Comp-  
10          troller General of the United States shall submit a report  
11          to the President and to the Congress, including submis-  
12          sions to the Committee on Appropriations and the Com-  
13          mittee on Ways and Means of the House of Representa-  
14          tives and the Committee on Appropriations and the Com-  
15          mittee on Finance of the Senate, detailing the Comptroller  
16          General’s assessment of the degree to which the Social Se-  
17          curity Administration is meeting its established perform-  
18          ance goals, along with such recommendations for improve-  
19          ments in the performance of the Social Security Adminis-  
20          tration as the Comptroller General considers appro-  
21          priate.”.

22          (c) ESTABLISHMENT AND MAINTENANCE OF AD-  
23          VANCED TECHNOLOGY SYSTEM.—Section 201(g)(1) of  
24          such Act (as amended by the preceding provisions of this  
25          section) is amended further by redesignating subpara-

1 graphs (E), (F), and (G) as subparagraphs (F), (G), and  
2 (H), respectively, and by inserting after subparagraph (D)  
3 the following new subparagraph:

4       “(E)(i) The Commissioner of Social Security shall es-  
5 tablish and maintain an advanced, proven technology sys-  
6 tem sufficient to meet the increasing future demands of  
7 the programs administered by the Social Security Admin-  
8 istration. The Commissioner shall establish in the Admin-  
9 istration a technical panel of leading information tech-  
10 nology experts to assist the Commissioner in establishing  
11 such system.

12       “(ii)(I) Not later than the latest date for submission  
13 of the President’s budget for fiscal year 2002, and such  
14 date biennially thereafter, the Commissioner of Social Se-  
15 curity shall submit a comprehensive information tech-  
16 nology investment plan to the Committee on Appropria-  
17 tions and the Committee on Ways and Means of the House  
18 of Representatives and the Committee on Appropriations  
19 and the Committee on Finance of the Senate. Such plan  
20 shall include a description of progress made by the Social  
21 Security Administration as of the date of the submission  
22 of the report in carrying out the requirements of clause  
23 (i). Such plan may be prepared as a portion of the pro-  
24 gram performance report submitted by the Commissioner

1 of Social Security pursuant to section 1116 of title 31,  
2 United States Code.

3 “(II) Each plan prepared pursuant to subclause (I)  
4 shall set forth specific performance goals and, in relation  
5 to such goals, information regarding project costs, bene-  
6 fits, risks, and returns. Such plan shall also set forth a  
7 defined process for measuring performance in achieving  
8 such goals.

9 “(iii) Not later than 90 days after the date of the  
10 submission by the Commissioner of each plan as required  
11 by clause (i), the Comptroller General of the United States  
12 shall submit a report to the Committee on Appropriations  
13 and the Committee on Ways and Means of the House of  
14 Representatives and the Committee on Appropriations and  
15 the Committee on Finance of the Senate detailing the  
16 Comptroller General’s assessment of the plan, together  
17 with such recommendations as the Comptroller General  
18 considers appropriate.”.

19 (d) CONFORMING AMENDMENTS.—Section 201(g)(1)  
20 of such Act is amended further—

21 (1) in subparagraph (G) (as redesignated by  
22 the preceding provisions of this section), by striking  
23 “subparagraph (B)” and inserting “subparagraph  
24 (F)”; and





1                   “(II) for fiscal year 2002,  
2                   \$8,450,000,000 in new budget authority  
3                   and \$8,352,000,000 in outlays.

4                   “(ii) As used in this subparagraph, the  
5                   term ‘administrative expenses of the Social Se-  
6                   curity Administration’ refers to the following  
7                   budget accounts:

8                   “(I) 20–8007–0–7–651 (Limitations  
9                   on Administrative Expenses), including ex-  
10                  penses for information technology acquisi-  
11                  tion for the Social Security Administration,  
12                  and

13                  “(II) 28–0400–0–1–651 (Office of In-  
14                  specter General).”.

15                  (b) BUDGET ALLOCATION ADJUSTMENT BY BUDGET  
16 COMMITTEES.—Section 314(b)(2) of the Congressional  
17 Budget Act of 1974 (2 U.S.C. 645(b)(2)) is amended to  
18 read as follows:

19                  “(2) an amount provided for administrative ex-  
20                  penses of the Social Security Administration subject  
21                  to the limitations in section 251(b)(2)(C) of the Bal-  
22                  anced Budget and Emergency Deficit Control Act of  
23                  1985.”.

24                  (c) DEFINITION OF ADMINISTRATIVE EXPENSES OF  
25 THE SOCIAL SECURITY ADMINISTRATION.—Section

1 314(e) of the Congressional Budget Act of 1974 (2 U.S.C.  
2 645(e)) is amended to read as follows:

3 “(e) DEFINITION OF ADMINISTRATIVE EXPENSES OF  
4 THE SOCIAL SECURITY ADMINISTRATION.—As used in  
5 subsection (b)(2), the term ‘administrative expenses of the  
6 Social Security Administration’ shall have the same mean-  
7 ing as provided in section 251(b)(2)(C)(ii) of the Balanced  
8 Budget and Emergency Deficit Control Act of 1985.”.

9 **SEC. 4. OFF-BUDGET STATUS OF SOCIAL SECURITY ADMIN-**  
10 **ISTRATIVE EXPENSES.**

11 Section 704(b) of the Social Security Act (42 U.S.C.  
12 904(b)) is amended by adding at the end the following  
13 new paragraph:

14 “(3) Effective October 1, 2002, and notwithstanding  
15 any other provision of law, the administrative expenses of  
16 the Social Security Administration referred to in the  
17 fourth sentence of section 201(g)(1)(A) shall not be count-  
18 ed as new budget authority, outlays, or deficit or surplus  
19 for purposes of—

20 (A) the budget of the United States Govern-  
21 ment as submitted by the President,

22 (B) the congressional budget, or

23 (C) the Balanced Budget and Emergency Def-  
24 icit Control Act of 1985.”.