## In the Senate of the United States,

October 16, 2002.

Resolved, That the bill from the House of Representatives (H.R. 3394) entitled "An Act to authorize funding for computer and network security research and development and research fellowship programs, and for other purposes.", do pass with the following

### **AMENDMENT:**

Strike out all after the enacting clause and insert:

- 1 SECTION 1. SHORT TITLE.
- 2 This Act may be cited as the "Cyber Security Research
- 3 and Development Act".

#### 1 SEC. 2. FINDINGS.

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- (1) Revolutionary advancements in computing and communications technology have interconnected government, commercial, scientific, and educational infrastructures—including critical infrastructures for electric power, natural gas and petroleum production and distribution, telecommunications, transportation, water supply, banking and finance, and emergency and government services—in a vast, interdependent physical and electronic network.
- (2) Exponential increases in interconnectivity have facilitated enhanced communications, economic growth, and the delivery of services critical to the public welfare, but have also increased the consequences of temporary or prolonged failure.
- (3) A Department of Defense Joint Task Force concluded after a 1997 United States information warfare exercise that the results "clearly demonstrated our lack of preparation for a coordinated cyber and physical attack on our critical military and civilian infrastructure".
- (4) Computer security technology and systems implementation lack—
- 25 (A) sufficient long term research funding;

1	(B) adequate coordination across Federal
2	and State government agencies and among gov-
3	ernment, academia, and industry; and
4	(C) sufficient numbers of outstanding re-
5	searchers in the field.
6	(5) Accordingly, Federal investment in computer
7	and network security research and development must
8	be significantly increased to—
9	(A) improve vulnerability assessment and
10	$technological\ and\ systems\ solutions;$
11	(B) expand and improve the pool of infor-
12	mation security professionals, including re-
13	searchers, in the United States workforce; and
14	(C) better coordinate information sharing
15	and collaboration among industry, government,
16	and academic research projects.
17	(6) While African-Americans, Hispanics, and
18	Native Americans constitute 25 percent of the total
19	United States workforce and 30 percent of the college-
20	age population, members of these minorities comprise
21	less than 7 percent of the United States computer and
22	information science workforce.
23	SEC. 3. DEFINITIONS.
24	In this Act:

1	(1) Director.—The term "Director" means the
2	Director of the National Science Foundation.
3	(2) Institution of higher education.—The
4	term "institution of higher education" has the mean-
5	ing given that term in section 101(a) of the Higher
6	Education Act of 1965 (20 U.S.C. 1001(a)).
7	SEC. 4. NATIONAL SCIENCE FOUNDATION RESEARCH.
8	(a) Computer and Network Security Research
9	GRANTS.—
10	(1) In general.—The Director shall award
11	grants for basic research on innovative approaches to
12	the structure of computer and network hardware and
13	software that are aimed at enhancing computer secu-
14	rity. Research areas may include—
15	(A) authentication, cryptography, and other
16	$secure\ data\ communications\ technology;$
17	(B) computer forensics and intrusion detec-
18	tion;
19	(C) reliability of computer and network ap-
20	plications, middleware, operating systems, con-
21	trol systems, and communications infrastructure;
22	(D) privacy and confidentiality;
23	(E) network security architecture, including
24	tools for security administration and analysis;
25	(F) emerging threats;

1	(G) vulnerability assessments and tech-
2	niques for quantifying risk;
3	(H) remote access and wireless security; and
4	(I) enhancement of law enforcement ability
5	to detect, investigate, and prosecute cyber-crimes,
6	including those that involve piracy of intellectual
7	property.
8	(2) Merit review; competition.—Grants shall
9	be awarded under this section on a merit-reviewed
10	competitive basis.
11	(3) Authorization of appropriations.—
12	There are authorized to be appropriated to the Na-
13	tional Science Foundation to carry out this
14	subsection—
15	(A) \$35,000,000 for fiscal year 2003;
16	(B) \$40,000,000 for fiscal year 2004;
17	(C) \$46,000,000 for fiscal year 2005;
18	(D) \$52,000,000 for fiscal year 2006; and
19	(E) \$60,000,000 for fiscal year 2007.
20	(b) Computer and Network Security Research
21	Centers.—
22	(1) In general.—The Director shall award
23	multiyear grants, subject to the availability of appro-
24	priations, to institutions of higher education, non-
25	profit research institutions, or consortia thereof to es-

- tablish multidisciplinary Centers for Computer and
  Network Security Research. Institutions of higher
  education, nonprofit research institutions, or consortia thereof receiving such grants may partner with
  for more government laboratories or for-profit institutions, or other institutions of higher education or
  nonprofit research institutions.
  - (2) Merit review; competition.—Grants shall be awarded under this subsection on a merit-reviewed competitive basis.
  - (3) PURPOSE.—The purpose of the Centers shall be to generate innovative approaches to computer and network security by conducting cutting-edge, multi-disciplinary research in computer and network security, including the research areas described in subsection (a)(1).
  - (4) APPLICATIONS.—An institution of higher education, nonprofit research institution, or consortia thereof seeking funding under this subsection shall submit an application to the Director at such time, in such manner, and containing such information as the Director may require. The application shall include, at a minimum, a description of—

1	(A) the research projects that will be under-
2	taken by the Center and the contributions of each
3	of the participating entities;
4	(B) how the Center will promote active col-
5	laboration among scientists and engineers from
6	different disciplines, such as computer scientists,
7	engineers, mathematicians, and social science re-
8	searchers;
9	(C) how the Center will contribute to in-
10	creasing the number and quality of computer
11	and network security researchers and other pro-
12	fessionals, including individuals from groups
13	historically underrepresented in these fields; and
14	(D) how the center will disseminate research
15	results quickly and widely to improve cyber secu-
16	rity in information technology networks, prod-
17	ucts, and services.
18	(5) Criteria.—In evaluating the applications
19	submitted under paragraph (4), the Director shall
20	consider, at a minimum—
21	(A) the ability of the applicant to generate
22	innovative approaches to computer and network
23	security and effectively carry out the research
24	program;

1	(B) the experience of the applicant in con-
2	ducting research on computer and network secu-
3	rity and the capacity of the applicant to foster
4	$new\ multidisciplinary\ collaborations;$
5	(C) the capacity of the applicant to attract
6	and provide adequate support for a diverse
7	group of undergraduate and graduate students
8	and postdoctoral fellows to pursue computer and
9	network security research; and
10	(D) the extent to which the applicant will
11	partner with government laboratories, for-profit
12	entities, other institutions of higher education, or
13	nonprofit research institutions, and the role the
14	partners will play in the research undertaken by
15	the Center.
16	(6) Annual meeting.—The Director shall con-
17	vene an annual meeting of the Centers in order to fos-
18	ter collaboration and communication between Center
19	participants.
20	(7) Authorization of Appropriations.—
21	There are authorized to be appropriated for the Na-
22	tional Science Foundation to carry out this
23	subsection—
24	(A) \$12,000,000 for fiscal year 2003;
25	(B) \$24,000,000 for fiscal year 2004;

1	(C) \$36,000,000 for fiscal year 2005;
2	(D) \$36,000,000 for fiscal year 2006; and
3	(E) \$36,000,000 for fiscal year 2007.
4	SEC. 5. NATIONAL SCIENCE FOUNDATION COMPUTER AND
5	NETWORK SECURITY PROGRAMS.
6	(a) Computer and Network Security Capacity
7	Building Grants.—
8	(1) In general.—The Director shall establish a
9	program to award grants to institutions of higher
10	education (or consortia thereof) to establish or im-
11	prove undergraduate and master's degree programs in
12	computer and network security, to increase the num-
13	ber of students, including the number of students from
14	groups historically underrepresented in these fields,
15	who pursue undergraduate or master's degrees in
16	fields related to computer and network security, and
17	to provide students with experience in government or
18	industry related to their computer and network secu-
19	rity studies.
20	(2) Merit review.—Grants shall be awarded
21	under this subsection on a merit-reviewed competitive
22	basis.
23	(3) Use of funds.—Grants awarded under this
24	subsection shall be used for activities that enhance the
25	ability of an institution of higher education (or con-

1	sortium thereof) to provide high-quality under-
2	graduate and master's degree programs in computer
3	and network security and to recruit and retain in-
4	creased numbers of students to such programs. Activi-
5	ties may include—
6	(A) revising curriculum to better prepare
7	undergraduate and master's degree students for
8	careers in computer and network security;
9	(B) establishing degree and certificate pro-
10	grams in computer and network security;
11	(C) creating opportunities for under-
12	graduate students to participate in computer
13	and network security research projects;
14	(D) acquiring equipment necessary for stu-
15	dent instruction in computer and network secu-
16	rity, including the installation of testbed net-
17	works for student use;
18	(E) providing opportunities for faculty to
19	work with local or Federal Government agencies,
20	private industry, nonprofit research institutions,
21	or other academic institutions to develop new ex-
22	pertise or to formulate new research directions in
23	computer and network security;
24	(F) establishing collaborations with other
25	academic institutions or academic departments

1	that seek to establish, expand, or enhance pro-
2	grams in computer and network security;
3	(G) establishing student internships in com-
4	puter and network security at government agen-
5	cies or in private industry;
6	(H) establishing collaborations with other
7	academic institutions to establish or enhance a
8	web-based collection of computer and network se-
9	curity courseware and laboratory exercises for
10	sharing with other institutions of higher edu-
11	cation, including community colleges;
12	(I) establishing or enhancing bridge pro-
13	grams in computer and network security between
14	community colleges and universities; and
15	(J) any other activities the Director deter-
16	mines will accomplish the goals of this sub-
17	section.
18	(4) Selection process.—
19	(A) APPLICATION.—An institution of higher
20	education (or a consortium thereof) seeking fund-
21	ing under this subsection shall submit an appli-
22	cation to the Director at such time, in such man-
23	ner, and containing such information as the Di-
24	rector may require. The application shall in-

clude, at a minimum—

1	(i) a description of the applicant's
2	computer and network security research and
3	instructional capacity, and in the case of
4	an application from a consortium of insti-
5	tutions of higher education, a description of
6	the role that each member will play in im-
7	plementing the proposal;
8	(ii) a comprehensive plan by which the
9	institution or consortium will build instruc-
10	tional capacity in computer and informa-
11	tion security;
12	(iii) a description of relevant collabo-
13	rations with government agencies or private
14	industry that inform the instructional pro-
15	gram in computer and network security;
16	(iv) a survey of the applicant's historic
17	student enrollment and placement data in
18	fields related to computer and network secu-
19	rity and a study of potential enrollment
20	and placement for students enrolled in the
21	proposed computer and network security
22	program; and
23	(v) a plan to evaluate the success of the
24	proposed computer and network security
25	program, including post-graduation assess-

1	ment of graduate school and job placement
2	and retention rates as well as the relevance
3	of the instructional program to graduate
4	study and to the workplace.
5	(B) AWARDS.—(i) The Director shall en-
6	sure, to the extent practicable, that grants are
7	awarded under this subsection in a wide range
8	of geographic areas and categories of institutions
9	of higher education, including minority serving
10	institutions.
11	(ii) The Director shall award grants under
12	this subsection for a period not to exceed 5 years.
13	(5) Assessment required.—The Director shall
14	evaluate the program established under this subsection
15	no later than 6 years after the establishment of the
16	program. At a minimum, the Director shall evaluate
17	the extent to which the program achieved its objectives
18	of increasing the quality and quantity of students, in-
19	cluding students from groups historically underrep-
20	resented in computer and network security related

(6) AUTHORIZATION OF APPROPRIATIONS.—
There are authorized to be appropriated to the Na-

disciplines, pursuing undergraduate or master's de-

grees in computer and network security.

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1	tional Science Foundation to carry out this
2	subsection—
3	(A) \$15,000,000 for fiscal year 2003;
4	(B) \$20,000,000 for fiscal year 2004;
5	(C) \$20,000,000 for fiscal year 2005;
6	(D) \$20,000,000 for fiscal year 2006; and
7	(E) \$20,000,000 for fiscal year 2007.
8	(b) Scientific and Advanced Technology Act of
9	1992.—
10	(1) Grants.—The Director shall provide grants
11	under the Scientific and Advanced Technology Act of
12	1992 (42 U.S.C. 1862i) for the purposes of section
13	3(a) and (b) of that Act, except that the activities
14	supported pursuant to this subsection shall be limited
15	to improving education in fields related to computer
16	and network security.
17	(2) Authorization of appropriations.—
18	There are authorized to be appropriated to the Na-
19	tional Science Foundation to carry out this
20	subsection—
21	(A) \$1,000,000 for fiscal year 2003;
22	(B) \$1,250,000 for fiscal year 2004;
23	(C) \$1,250,000 for fiscal year 2005;
24	(D) \$1,250,000 for fiscal year 2006; and
25	(E) \$1,250,000 for fiscal year 2007.

1	(c) Graduate Traineeships in Computer and
2	Network Security Research.—
3	(1) In general.—The Director shall establish a
4	program to award grants to institutions of higher
5	education to establish traineeship programs for grad-
6	uate students who pursue computer and network secu-
7	rity research leading to a doctorate degree by pro-
8	viding funding and other assistance, and by pro-
9	viding graduate students with research experience in
10	government or industry related to the students' com-
11	puter and network security studies.
12	(2) Merit review.—Grants shall be provided
13	under this subsection on a merit-reviewed competitive
14	basis.
15	(3) USE OF FUNDS.—An institution of higher
16	education shall use grant funds for the purposes of—
17	(A) providing traineeships to students who
18	are citizens, nationals, or lawfully admitted per-
19	manent resident aliens of the United States and
20	are pursuing research in computer or network
21	security leading to a doctorate degree;
22	(B) paying tuition and fees for students re-
23	ceiving traineeships under subparagraph (A);
24	(C) establishing scientific internship pro-
25	grams for students receiving traineeships under

1	subparagraph (A) in computer and network se-
2	curity at for-profit institutions, nonprofit re-
3	search institutions, or government laboratories;
4	and
5	(D) other costs associated with the adminis-
6	tration of the program.
7	(4) Traineeships pro-
8	vided under paragraph (3)(A) shall be in the amount
9	of \$25,000 per year, or the level of the National
10	Science Foundation Graduate Research Fellowships,
11	whichever is greater, for up to 3 years.
12	(5) Selection process.—An institution of
13	higher education seeking funding under this sub-
14	section shall submit an application to the Director at
15	such time, in such manner, and containing such in-
16	formation as the Director may require. The applica-
17	tion shall include, at a minimum, a description of—
18	(A) the instructional program and research
19	opportunities in computer and network security
20	available to graduate students at the applicant's
21	institution; and
22	(B) the internship program to be estab-
23	lished, including the opportunities that will be
24	made available to students for internships at for-

1	profit institutions, nonprofit research institu-
2	tions, and government laboratories.
3	(6) Review of Applications.—In evaluating
4	the applications submitted under paragraph (5), the
5	Director shall consider—
6	(A) the ability of the applicant to effectively
7	carry out the proposed program;
8	(B) the quality of the applicant's existing
9	research and education programs;
10	(C) the likelihood that the program will re-
11	cruit increased numbers of students, including
12	students from groups historically underrep-
13	resented in computer and network security re-
14	lated disciplines, to pursue and earn doctorate
15	degrees in computer and network security;
16	(D) the nature and quality of the internship
17	program established through collaborations with
18	government laboratories, nonprofit research in-
19	stitutions, and for-profit institutions;
20	(E) the integration of internship opportuni-
21	ties into graduate students' research; and
22	(F) the relevance of the proposed program to
23	current and future computer and network secu-
24	rity needs.

1	(7) Authorization of appropriations.—
2	There are authorized to be appropriated to the Na-
3	tional Science Foundation to carry out this
4	subsection—
5	(A) \$10,000,000 for fiscal year 2003;
6	(B) \$20,000,000 for fiscal year 2004;
7	(C) \$20,000,000 for fiscal year 2005;
8	(D) \$20,000,000 for fiscal year 2006; and
9	(E) \$20,000,000 for fiscal year 2007.
10	(d) Graduate Research Fellowships Program
11	Support.—Computer and network security shall be in-
12	cluded among the fields of specialization supported by the
13	National Science Foundation's Graduate Research Fellow-
14	ships program under section 10 of the National Science
15	Foundation Act of 1950 (42 U.S.C. 1869).
16	(e) Cyber Security Faculty Development
17	Traineeship Program.—
18	(1) In general.—The Director shall establish a
19	program to award grants to institutions of higher
20	education to establish traineeship programs to enable
21	graduate students to pursue academic careers in cyber
22	security upon completion of doctoral degrees.
23	(2) Merit review; competition.—Grants shall
24	be awarded under this section on a merit-reviewed
25	competitive basis.

1	(3) Application.—Each institution of higher
2	education desiring to receive a grant under this sub-
3	section shall submit an application to the Director at
4	such time, in such manner, and containing such in-
5	formation as the Director shall require.
6	(4) Use of funds.—Funds received by an insti-
7	tution of higher education under this paragraph
8	shall—
9	(A) be made available to individuals on a
10	merit-reviewed competitive basis and in accord-
11	ance with the requirements established in para-
12	graph(7);
13	(B) be in an amount that is sufficient to
14	cover annual tuition and fees for doctoral study
15	at an institution of higher education for the du-
16	ration of the graduate traineeship, and shall in-
17	clude, in addition, an annual living stipend of
18	\$25,000; and
19	(C) be provided to individuals for a dura-
20	tion of no more than 5 years, the specific dura-
21	tion of each graduate traineeship to be deter-
22	mined by the institution of higher education, on
23	a case-by-case basis.
24	(5) Repayment.—Each graduate traineeship
25	shall—

- 1 (A) subject to paragraph (5)(B), be subject 2 to full repayment upon completion of the doc-3 toral degree according to a repayment schedule 4 established and administered by the institution 5 of higher education; 6 (B) be forgiven at the rate of 20 percent of 7 the total amount of the graduate traineeship assistance received under this section for each aca-8 9 demic year that a recipient is employed as a 10 full-time faculty member at an institution of 11 higher education for a period not to exceed 5 12 years; and 13 (C) be monitored by the institution of high-14 er education receiving a grant under this sub-15 section to ensure compliance with this subsection. 16 (6) Exceptions.—The Director may provide for 17 the partial or total waiver or suspension of any serv-18 ice obligation or payment by an individual under 19 this section whenever compliance by the individual is 20 impossible or would involve extreme hardship to the 21 individual, or if enforcement of such obligation with 22 respect to the individual would be unconscionable. 23 (7) Eligibility.—To be eligible to receive a
  - graduate traineeship under this section, an individual shall—

1	(A) be a citizen, national, or lawfully ad-
2	mitted permanent resident alien of the United
3	States;
4	(B) demonstrate a commitment to a career
5	in higher education.
6	(8) Consideration.—In making selections for
7	graduate traineeships under this paragraph, an insti-
8	tution receiving a grant under this subsection shall
9	consider, to the extent possible, a diverse pool of ap-
10	plicants whose interests are of an interdisciplinary
11	nature, encompassing the social scientific as well as
12	the technical dimensions of cyber security.
13	(9) Authorization of appropriations.—
14	There are authorized to be appropriated to the Na-
15	tional Science Foundation to carry out this para-
16	graph \$5,000,000 for each of fiscal years 2003
17	through 2007.
18	SEC. 6. CONSULTATION.
19	In carrying out sections 4 and 5, the Director shall
20	consult with other Federal agencies.
21	SEC. 7. FOSTERING RESEARCH AND EDUCATION IN COM-
22	PUTER AND NETWORK SECURITY.
23	Section 3(a) of the National Science Foundation Act
24	of 1950 (42 U.S.C. 1862(a)) is amended—

1	(1) by striking "and" at the end of paragraph
2	(6);
3	(2) by striking "Congress." in paragraph (7)
4	and inserting "Congress; and"; and
5	(3) by adding at the end the following:
6	"(8) to take a leading role in fostering and sup-
7	porting research and education activities to improve
8	the security of networked information systems.".
9	SEC. 8. NATIONAL INSTITUTE OF STANDARDS AND TECH-
10	NOLOGY PROGRAMS.
11	(a) Research Program.—The National Institute of
12	Standards and Technology Act (15 U.S.C. 271 et seq.) is
13	amended—
14	(1) by moving section 22 to the end of the Act
15	and redesignating it as section 32;
16	(2) by inserting after section 21 the following
17	new section:
18	"SEC. 22. RESEARCH PROGRAM ON SECURITY OF
19	COMPUTER SYSTEMS
20	"(a) Establishment.—The Director shall establish a
21	program of assistance to institutions of higher education
22	that enter into partnerships with for-profit entities to sup-
23	port research to improve the security of computer systems.
24	The partnerships may also include government laboratories
25	and nonprofit research institutions. The program shall—

- 1 "(1) include multidisciplinary, long-term re-2 search;
- "(2) include research directed toward addressing
   needs identified through the activities of the Computer
   System Security and Privacy Advisory Board under
   section 20(f); and
  - "(3) promote the development of a robust research community working at the leading edge of knowledge in subject areas relevant to the security of computer systems by providing support for graduate students, post-doctoral researchers, and senior researchers.

#### "(b) Fellowships.—

"(1) Post-doctoral research fellowships to gram to award post-doctoral research fellowships to individuals who are citizens, nationals, or lawfully admitted permanent resident aliens of the United States and are seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act.

"(2) Senior research fellowships to establish a program to award senior research fellowships to individuals seeking research positions at institutions, including the Institute, engaged in research activities related to the security of computer systems, including the research areas described in section 4(a)(1) of the Cyber Security Research and Development Act. Senior research fellowships shall be made available for established researchers at institutions of higher education who seek to change research fields and pursue studies related to the security of computer systems.

#### "(3) Eligibility.—

"(A) In General.—To be eligible for an award under this subsection, an individual shall submit an application to the Director at such time, in such manner, and containing such information as the Director may require.

"(B) STIPENDS.—Under this subsection, the Director is authorized to provide stipends for post-doctoral research fellowships at the level of the Institute's Post Doctoral Research Fellowship Program and senior research fellowships at levels consistent with support for a faculty member in a sabbatical position.

"(c) Awards; Applications.—
"(1) In general.—The Director is authorized to
award grants or cooperative agreements to institu-
tions of higher education to carry out the program es-
tablished under subsection (a). No funds made avail-
able under this section shall be made available di-
rectly to any for-profit partners.
"(2) Eligibility.—To be eligible for an award
under this section, an institution of higher education
shall submit an application to the Director at such
time, in such manner, and containing such informa-
tion as the Director may require. The application
shall include, at a minimum, a description of—
"(A) the number of graduate students an-
ticipated to participate in the research project
and the level of support to be provided to each,
"(B) the number of post-doctoral research
positions included under the research project and
the level of support to be provided to each;
"(C) the number of individuals, if any, in-
tending to change research fields and pursue
studies related to the security of computer sys-
tems to be included under the research project
and the level of support to be provided to each,

and

"(D) how the for-profit entities, nonprofit
research institutions, and any other partners
will participate in developing and carrying out
the research and education agenda of the partnership.

#### "(d) Program Operation.—

- "(1) Management.—The program established under subsection (a) shall be managed by individuals who shall have both expertise in research related to the security of computer systems and knowledge of the vulnerabilities of existing computer systems. The Director shall designate such individuals as program managers.
- "(2) Managers May be employees.—Program managers designated under paragraph (1) may be new or existing employees of the Institute or individuals on assignment at the Institute under the Intergovernmental Personnel Act of 1970, except that individuals on assignment at the Institute under the Intergovernmental Personnel Act of 1970 shall not directly manage such employees.
- "(3) Manager Responsibility.—Program managers designated under paragraph (1) shall be responsible for—

1	"(A) establishing and publicizing the broad
2	research goals for the program;
3	"(B) soliciting applications for specific re-
4	search projects to address the goals developed
5	$under\ subparagraph\ (A);$
6	"(C) selecting research projects for support
7	under the program from among applications
8	submitted to the Institute, following consider-
9	ation of—
10	"(i) the novelty and scientific and
11	technical merit of the proposed projects;
12	"(ii) the demonstrated capabilities of
13	the individual or individuals submitting the
14	applications to successfully carry out the
15	proposed research;
16	"(iii) the impact the proposed projects
17	will have on increasing the number of com-
18	puter security researchers;
19	"(iv) the nature of the participation by
20	for-profit entities and the extent to which
21	the proposed projects address the concerns of
22	industry; and
23	"(v) other criteria determined by the
24	Director, based on information specified for

inclusion in applications under subsection
(c); and
"(D) monitoring the progress of research
projects supported under the program.
"(4) Reports.—The Director shall report to the
Senate Committee on Commerce, Science, and Trans-
portation and the House of Representatives Com-
mittee on Science annually on the use and responsi-
bility of individuals on assignment at the Institute
under the Intergovernmental Personnel Act of 1970
who are performing duties under subsection (d).
"(e) Review of Program.—
"(1) Periodic review.—The Director shall pe-
riodically review the portfolio of research awards
monitored by each program manager designated in
accordance with subsection (d). In conducting those
reviews, the Director shall seek the advice of the Com-
puter System Security and Privacy Advisory Board,
established under section 21, on the appropriateness
of the research goals and on the quality and utility
of research projects managed by program managers in
$accordance\ with\ subsection\ (d).$
"(2) Comprehensive 5-year review.—The Di-
rector shall also contract with the National Research

Council for a comprehensive review of the program es-

1 tablished under subsection (a) during the 5th year of 2 the program. Such review shall include an assessment of the scientific quality of the research conducted, the 3 relevance of the research results obtained to the goals of the program established under subsection (d)(3)(A), 5 6 and the progress of the program in promoting the de-7 velopment of a substantial academic research commu-8 nity working at the leading edge of knowledge in the 9 field. The Director shall submit to Congress a report 10 on the results of the review under this paragraph no 11 later than 6 years after the initiation of the program. 12 "(f) Definitions.—In this section: 13 "(1) Computer system.—The term 'computer 14 system' has the meaning given that term in section 15 20(d)(1). 16 "(2) Institution of higher education.—The 17 term 'institution of higher education' has the meaning 18 given that term in section 101(a) of the Higher Edu-19 cation Act of 1965 (20 U.S.C. 1001(a)).". 20 (b) Amendment of Computer system Defini-TION.—Section 20(d)(1)(B)(i) of National Institute of 21 22 Standards and Technology Act (15 U.S.C. 278q-23 3(d)(1)(B)(i)) is amended to read as follows: 24 "(i) computers and computer net-

works;".

(c) Checklists for Government Systems.—

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- (1) In General.—The Director of the National Institute of Standards and Technology shall develop, and revise as necessary, a checklist setting forth settings and option selections that minimize the security risks associated with each computer hardware or software system that is, or is likely to become, widely used within the Federal government.
  - (2) Priorities for development; excluded Systems.—The Director of the National Institute of Standards and Technology may establish priorities for the development of checklists under this paragraph on the basis of the security risks associated with the use of the system, the number of agencies that use a particular system, the usefulness of the checklist to Federal agencies that are users or potential users of the system, or such other factors as the Director determines to be appropriate. The Director of the National Institute of Standards and Technology may exclude from the application of paragraph (1) any computer hardware or software system for which the Director of the National Institute of Standards and Technology determines that the development of a checklist is inappropriate because of the infrequency of use of the system, the obsolescence of the system, or the inutility or

1	impracticability of developing a checklist for the sys-
2	tem.
3	(3) Dissemination of Checklists.—The Di-
4	rector of the National Institute of Standards and
5	Technology shall make any checklist developed under
6	this paragraph for any computer hardware or soft-
7	ware system available to each Federal agency that is
8	a user or potential user of the system.
9	(4) Agency use requirements.—The develop-
10	ment of a checklist under paragraph (1) for a com-
11	puter hardware or software system does not—
12	(A) require any Federal agency to select the
13	specific settings or options recommended by the
14	checklist for the system;
15	(B) establish conditions or prerequisites for
16	Federal agency procurement or deployment of
17	any such system;
18	(C) represent an endorsement of any such
19	system by the Director of the National Institute
20	of Standards and Technology; nor
21	(D) preclude any Federal agency from pro-
22	curing or deploying other computer hardware or
23	software systems for which no such checklist has
24	been developed.

1	(d) Federal Agency Information Security Pro-
2	GRAMS.—
3	(1) In General.—In developing the agencywide
4	information security program required by section
5	3534(b) of title 44, United States Code, an agency
6	that deploys a computer hardware or software system
7	for which the Director of the National Institute of
8	Standards and Technology has developed a checklist
9	under subsection (c) of this section—
10	(A) shall include in that program an expla-
11	nation of how the agency has considered such
12	checklist in deploying that system; and
13	(B) may treat the explanation as if it were
14	a portion of the agency's annual performance
15	plan properly classified under criteria estab-
16	lished by an Executive Order (within the mean-
17	ing of section 1115(d) of title 31, United States
18	Code).
19	(2) Limitation.—Paragraph (1) does not apply
20	to any computer hardware or software system for
21	which the National Institute of Standards and Tech-
22	nology does not have responsibility under section
23	20(a)(3) of the National Institute of Standards and
24	Technology Act (15 U.S.C.278 $g$ -3(a)(3)).

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1	SEC. 9. COMPUTER SECURITY REVIEW, PUBLIC MEETINGS,
2	AND INFORMATION.
3	Section 20 of the National Institute of Standards and
4	Technology Act (15 U.S.C. 278g-3) is amended by adding
5	at the end the following new subsection:
6	"(e) Authorization of Appropriations.—There are
7	authorized to be appropriated to the Secretary \$1,060,000
8	for fiscal year 2003 and \$1,090,000 for fiscal year 2004
9	to enable the Computer System Security and Privacy Advi-
10	sory Board, established by section 21, to identify emerging
11	issues, including research needs, related to computer secu-
12	rity, privacy, and cryptography and, as appropriate, to
13	convene public meetings on those subjects, receive presen-
14	tations, and publish reports, digests, and summaries for
15	public distribution on those subjects.".
16	SEC. 10. INTRAMURAL SECURITY RESEARCH.
17	Section 20 of the National Institute of Standards and
18	Technology Act (15 U.S.C. 278g-3), as amended by this Act,
19	is further amended by redesignating subsection (e) as sub-
20	section (f), and by inserting after subsection (d) the fol-
21	lowing:
22	"(e) Intramural Security Research.—As part of
23	the research activities conducted in accordance with sub-
24	section (b)(4), the Institute shall—

25 "(1) conduct a research program to address 26 emerging technologies associated with assembling a

1	networked computer system from components while
2	ensuring it maintains desired security properties;
3	"(2) carry out research associated with improv-
4	ing the security of real-time computing and commu-
5	nications systems for use in process control; and
6	"(3) carry out multidisciplinary, long-term,
7	high-risk research on ways to improve the security of
8	computer systems.".
9	SEC. 11. AUTHORIZATION OF APPROPRIATIONS.
10	There are authorized to be appropriated to the Sec-
11	retary of Commerce for the National Institute of Standards
12	and Technology—
13	(1) for activities under section 22 of the National
14	Institute of Standards and Technology Act, as added
15	by section 8 of this Act—
16	(A) \$25,000,000 for fiscal year 2003;
17	(B) \$40,000,000 for fiscal year 2004;
18	(C) \$55,000,000 for fiscal year 2005;
19	(D) \$70,000,000 for fiscal year 2006;
20	(E) \$85,000,000 for fiscal year 2007; and
21	(2) for activities under section 20(f) of the Na-
22	tional Institute of Standards and Technology Act, as
23	added by section 10 of this Act—
24	(A) \$6,000,000 for fiscal year 2003;
25	(B) \$6,200,000 for fiscal year 2004;

1	(C) \$6,400,000 for fiscal year 2005;
2	(D) \$6,600,000 for fiscal year 2006; and
3	(E) \$6,800,000 for fiscal year 2007.
4	SEC. 12. NATIONAL ACADEMY OF SCIENCES STUDY ON COM
5	PUTER AND NETWORK SECURITY IN CRITICAL
6	INFRASTRUCTURES.
7	(a) STUDY.—Not later than 3 months after the date
8	of the enactment of this Act, the Director of the Nationa
9	Institute of Standards and Technology shall enter into ar
10	arrangement with the National Research Council of the Na
11	tional Academy of Sciences to conduct a study of the
12	vulnerabilities of the Nation's network infrastructure and
13	make recommendations for appropriate improvements. The
14	National Research Council shall—
15	(1) review existing studies and associated date
16	on the architectural, hardware, and software
17	vulnerabilities and interdependencies in United
18	States critical infrastructure networks;
19	(2) identify and assess gaps in technical capa-
20	bility for robust critical infrastructure network secu-
21	rity and make recommendations for research prior
22	ities and resource requirements; and
23	(3) review any and all other essential elements
24	of computer and network security, including security

- 1 of industrial process controls, to be determined in the
- 2 conduct of the study.
- 3 (b) Report.—The Director of the National Institute
- 4 of Standards and Technology shall transmit a report con-
- 5 taining the results of the study and recommendations re-
- 6 quired by subsection (a) to the Senate Committee on Com-
- 7 merce, Science, and Transportation and the House of Rep-
- 8 resentatives Committee on Science not later than 21 months
- 9 after the date of enactment of this Act.
- 10 (c) Security.—The Director of the National Institute
- 11 of Standards and Technology shall ensure that no informa-
- 12 tion that is classified is included in any publicly released
- 13 version of the report required by this section.
- 14 (d) Authorization of Appropriations.—There are
- 15 authorized to be appropriated to the Secretary of Commerce
- 16 for the National Institute of Standards and Technology for
- 17 the purposes of carrying out this section, \$700,000.
- 18 SEC. 13. COORDINATION OF FEDERAL CYBER SECURITY RE-
- 19 SEARCH AND DEVELOPMENT
- 20 The Director of the National Science Foundation and
- 21 the Director of the National Institute of Standards and
- 22 Technology shall coordinate the research programs author-
- 23 ized by this Act or pursuant to amendments made by this
- 24 Act. The Director of the Office of Science and Technology
- 25 Policy shall work with the Director of the National Science

- 1 Foundation and the Director of the National Institute of
- 2 Standards and Technology to ensure that programs author-
- 3 ized by this Act or pursuant to amendments made by this
- 4 Act are taken into account in any government-wide cyber
- 5 security research effort.
- 6 SEC. 14. OFFICE OF SPACE COMMERCIALIZATION.
- 7 Section 8(a) of the Technology Administration Act of
- 8 1998 (15 U.S.C. 1511e(a)) is amended by inserting "the
- 9 Technology Administration of 'after "within".
- 10 SEC. 15. TECHNICAL CORRECTION OF NATIONAL CON-
- 11 STRUCTION SAFETY TEAM ACT.
- 12 Section 2(c)(1)(d) of the National Construction Safety
- 13 Team Act is amended by striking "section 8;" and inserting
- 14 "section 7;".
- 15 SEC. 16. GRANT ELIGIBILITY REQUIREMENTS AND COMPLI-
- 16 ANCE WITH IMMIGRATION LAWS.
- 17 (a) Immigration Status.—No grant or fellowship
- 18 may be awarded under this Act, directly or indirectly, to
- 19 any individual who is in violation of the terms of his or
- 20 her status as a nonimmigrant under section 101(a)(15)(F),
- 21 (M), or (J) of the Immigration and Nationality Act (8)
- 22  $U.S.C.\ 1101(a)(15)(F),\ (M),\ or\ (J)).$
- 23 (b) Aliens from Certain Countries.—No grant or
- 24 fellowship may be awarded under this Act, directly or indi-
- 25 rectly, to any alien from a country that is a state sponsor

- 1 of international terrorism, as defined under section 306(b)
- 2 of the Enhanced Border Security and VISA Entry Reform
- 3 Act (8 U.S.C. 1735(b)), unless the Secretary of State deter-
- 4 mines, in consultation with the Attorney General and the
- 5 heads of other appropriate agencies, that such alien does
- 6 not pose a threat to the safety or national security of the
- 7 United States.
- 8 (c) Non-complying Institutions.—No grant or fel-
- 9 lowship may be awarded under this Act, directly or indi-
- 10 rectly, to any institution of higher education or non-profit
- 11 institution (or consortia thereof) that has—
- 12 (1) materially failed to comply with the record-
- 13 keeping and reporting requirements to receive non-
- immigrant students or exchange visitor program par-
- 15 ticipants under section 101(a)(15)(F), (M), or (J) of
- 16 the Immigration and Nationality Act (8 U.S.C.
- 17 1101(a)(15)(F), (M), or (J)), or section 641 of the  $\Pi$ -
- 18 legal Immigration Reform and Responsibility Act of
- 19 1996 (8 U.S.C. 1372), as required by section 502 of
- 20 the Enhanced Border Security and VISA Entry Re-
- 21 form Act (8 U.S.C. 1762); or
- 22 (2) been suspended or terminated pursuant to
- 23 section 502(c) of the Enhanced Border Security and
- 24 *VISA Entry Reform Act* (8 *U.S.C* 1762(c)).

#### 1 SEC. 17. REPORT ON GRANT AND FELLOWSHIP PROGRAMS.

- Within 24 months after the date of enactment of this
- 3 Act, the Director, in consultation with the Assistant to the
- 4 President for National Security Affairs, shall submit to
- 5 Congress a report reviewing this Act to ensure that the pro-
- 6 grams and fellowships are being awarded under this Act
- 7 to individuals and institutions of higher education who are
- 8 in compliance with the Immigration and Nationality Act
- 9 (8 U.S.C. 1101 et seq.) in order to protect our national secu-
- 10 rity.

Attest:

Secretary.

# ${}^{\tiny{107\text{TH CONGRESS}}}_{\tiny{2D Session}} \; H.\,R.\,3394$

## **AMENDMENT**