

107<sup>TH</sup> CONGRESS  
2<sup>D</sup> SESSION

# H. R. 5669

To establish the Nanoscience and Nanotechnology Advisory Board.

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IN THE HOUSE OF REPRESENTATIVES

OCTOBER 16, 2002

Mr. HONDA introduced the following bill; which was referred to the Committee  
on Science

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## A BILL

To establish the Nanoscience and Nanotechnology Advisory  
Board.

1 *Be it enacted by the Senate and House of Representa-*  
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Nanoscience and  
5 Nanotechnology Advisory Board Act of 2002”.

6 **SEC. 2. FINDINGS.**

7 Congress makes the following findings:

8 (1) The emerging fields of nanoscience and  
9 nanoengineering (collectively, “nanotechnology”), in  
10 which matter is manipulated at the atomic level in  
11 order to build materials, machines, and devices with

1 novel properties or functions, are leading to unprece-  
2 dented scientific and technological opportunities that  
3 will benefit society by changing the way many things  
4 are designed and made.

5 (2) Long-term nanoscale research and develop-  
6 ment leading to potential breakthroughs in areas  
7 such as materials and manufacturing, electronics,  
8 medicine and health care, environment, energy,  
9 chemicals, biotechnology, agriculture, information  
10 technology, and national security could be as signifi-  
11 cant for the 21st century as the combined influences  
12 of microelectronics, biotechnology, and information  
13 technology were for the 20th century.

14 (3) Long-term, high-risk research is necessary  
15 to create breakthroughs in technology.

16 (4) Such research requires government funding  
17 since the benefits are too distant or uncertain for in-  
18 dustry alone to support, and the Federal government  
19 can play an important role in the development of  
20 nanotechnology, as it will take many years of sus-  
21 tained investment for this field to achieve maturity.

22 (5) Advancements in nanotechnology stemming  
23 from Federal investments in fundamental research  
24 and subsequent private sector development likely will  
25 create technologies that support the work and im-

1 prove the efficiency of the Federal government, and  
2 contribute significantly to the efforts of the govern-  
3 ment's mission agencies.

4 (6) According to various estimates, including  
5 those of the National Science Foundation, the mar-  
6 ket for nanotechnology products and services in the  
7 United States alone could reach over \$1 trillion later  
8 this century.

9 (7) Mastering nanotechnology will require a  
10 unique skill set for scientists and engineers that  
11 combine chemistry, physics, materials science, and  
12 information science.

13 (8) Funding in these critical areas has been flat  
14 for many years and as a result fewer young people  
15 are electing to go into these areas in graduate  
16 schools throughout the Nation, a trend which will  
17 have to reverse if we hope to develop the next gen-  
18 eration of skilled workers with multidisciplinary per-  
19 spectives necessary for the development of  
20 nanotechnology.

21 (9) Research on nanotechnology creates unprec-  
22 edented capabilities to alter ourselves and our envi-  
23 ronment and will give rise to a host of novel social,  
24 ethical, philosophical, and legal issues, and address-  
25 ing these issues will require wide reflection and guid-

1       ance that is responsive to the realities of the science,  
2       as well as additional research to predict, understand,  
3       and alleviate anticipated problems.

4           (10) Achieving and maintaining international  
5       leadership in nanotechnology is an important na-  
6       tional security issue for the Nation, and in addition  
7       to the plethora of devices that can be developed for  
8       use by the Defense Department, there are many  
9       other ways in which nanotechnology has national se-  
10      curity implications.

11          (11) The Executive Branch has previously es-  
12      tablished a National Nanotechnology Initiative  
13      (NNI) to coordinate Federal nanotechnology re-  
14      search and development programs and this initiative  
15      has contributed significantly to the development of  
16      nanotechnology.

17          (12) Authorizing legislation can serve to estab-  
18      lish new technology goals and research directions,  
19      improve agency coordination and oversight mecha-  
20      nisms, help ensure optimal returns on investments,  
21      and simplify reporting, budgeting, and planning  
22      processes for the Executive Branch and Congress.

23 **SEC. 3. ESTABLISHMENT.**

24       There is established the Nanoscience and  
25      Nanotechnology Advisory Board (in this Act referred to

1 as the “Advisory Board”). The Advisory Board shall oper-  
2 ate in coordination with the White House Office of Science  
3 and Technology Policy, and shall provide advice to the  
4 President and the National Science and Technology Coun-  
5 cil on research investment policy, strategy, program goals,  
6 and management processes relating to nanoscience and  
7 nanotechnology.

8 **SEC. 4. MEMBERSHIP.**

9 (a) IN GENERAL.—The President, in consultation  
10 with the Director of the White House Office of Science  
11 and Technology Policy, shall establish procedures for the  
12 selection if individuals not employed by the Federal gov-  
13 ernment who are qualified in the science of  
14 nanotechnology and other appropriate fields and shall,  
15 pursuant to such procedures, appoint up to 20 individuals  
16 to serve on the Advisory Board.

17 (b) MEMBERSHIP QUALIFICATIONS.—Members of the  
18 Advisory Board shall be appointed from among leaders  
19 from industry and academia having scientific, technical,  
20 social science, or research management credentials. Mem-  
21 bers shall hold a reasonable cross-section of views and ex-  
22 pertise regarding societal, ethical, educational, legal, and  
23 workforce issues related to nanotechnology. In selecting  
24 individuals to serve on the Advisory Board the President  
25 shall give due consideration to the recommendations of

1 Congress, industry leaders, the scientific community (in-  
2 cluding the National Academy of Sciences), academia, the  
3 defense community, the education community, State and  
4 local governments, and other appropriate organizations.

5 (c) CHAIRPERSON.—The President shall designate a  
6 Chairperson who shall serve for a term of 3 years.

7 (d) TERMS.—Each member of the Advisory Board  
8 shall be appointed for a term of 1 to 3 years, as deter-  
9 mined by the President upon appointment, and may be  
10 reappointed when their terms expire.

11 (e) VACANCIES.—A vacancy on the Advisory Board  
12 shall be filled in the same manner in which the original  
13 appointment was made.

14 (f) COMPENSATION.—Members shall serve without  
15 pay but shall receive travel expenses, including per diem  
16 in lieu of subsistence, in accordance with applicable provi-  
17 sions under subchapter I of chapter 57 of title 5, United  
18 States Code.

19 (g) MEETINGS.—The Advisory Board shall meet not  
20 less than 2 times per year, at the call of the Chairperson  
21 in consultation with the National Nanotechnology Coordi-  
22 nation Office established under section 5 of this Act.

1 **SEC. 5. NATIONAL NANOTECHNOLOGY COORDINATION OF-**  
2 **FICE.**

3 (a) STAFF TO ASSIST ADVISORY BOARD.—The  
4 President shall establish a National Nanotechnology Co-  
5 ordination Office to provide necessary technical and ad-  
6 ministrative support to the Advisory Board and to coordi-  
7 nate Federal nanotechnology activities between Federal  
8 agencies, private sector industry, and academia.

9 (b) APPLICABILITY OF CERTAIN CIVIL SERVICE  
10 LAWS.—The staff of the National Nanotechnology Coordi-  
11 nation Office established under subsection (a) shall be ap-  
12 pointed subject to the provisions of title 5, United States  
13 Code, governing appointments in the competitive service,  
14 and shall be paid in accordance with the provisions of  
15 chapter 51 and subchapter III of chapter 53 of that title  
16 relating to classification and General Schedule pay rates.

17 **SEC. 6. DUTIES.**

18 The Advisory Board shall—

19 (1) advise the President and the National  
20 Science and Technology Council, and inform the  
21 Congress, on matters relating to the National  
22 Nanotechnology Program, including—

23 (A) the articulation of short-term (1 to 5  
24 years), medium-range (6 to 10 years), and long-  
25 range (beyond 10 years) goals and objectives  
26 within the program;

1 (B) the need for emphasis on the long-  
2 range goals that move results out of the labora-  
3 tory and into the service of society;

4 (C) the capabilities and research needs of  
5 the nanotechnology program;

6 (D) methods or approaches for achieving  
7 major program objectives;

8 (E) establishing and measuring perform-  
9 ance goals using appropriate metrics;

10 (F) approaches to increase multi-agency  
11 investments in research at the intersection be-  
12 tween nanoscale technology and biology;

13 (G) creation of programs for the invention  
14 and development of new instruments for  
15 nanoscience and the establishment of centers of  
16 excellence where these instruments can be used  
17 by a number of scientists, faculty, and students;

18 (H) approaches to stimulate and nurture  
19 industrial partnerships, both domestically and  
20 internationally, to help accelerate the commer-  
21 cialization of nanotechnology developments;

22 (I) approaches to addressing workforce  
23 issues through training grants, internships, fel-  
24 lowships, professional development, and retrain-  
25 ing; and

1           (J) the need to coordinate the nanoscale  
2           research and development activities and strate-  
3           gies of the civilian Federal agencies and the De-  
4           partment of Defense to maintain a balanced, in-  
5           tegrated, and fully-coordinated Federal  
6           nanotechnology research effort;

7           (2) consult with academic industrial entities,  
8           State and local governments and agencies, and other  
9           appropriate entities conducting research on and  
10          using nanotechnology; and

11          (3) ensure that the Federal nanotechnology pro-  
12          gram considers fully the societal implications of  
13          nanoscale science and technology.

14 **SEC. 7. REPORTS.**

15          The Advisory Board shall transmit an annual report  
16          to the President, the heads of each agency involved in the  
17          nanotechnology program, the Committee on Science of the  
18          House of Representatives, and the Committee on Com-  
19          merce, Science, and Transportation of the Senate. The an-  
20          nual report shall include—

21               (1) a review of the program’s technical success  
22               in achieving the stated goals and grand challenges  
23               according to the metrics established by the program  
24               and Advisory Panel;

1           (2) a review of the program’s management and  
2           coordination among civilian Federal agencies; be-  
3           tween these agencies and the Department of De-  
4           fense; and between state, local, international, and  
5           private sector efforts in nanotechnology research and  
6           development; as well as how this coordination sup-  
7           ports the goals and the mission needs of the entities  
8           involved;

9           (3) a review of the funding levels by each agen-  
10          cy for the program’s activities and their ability to  
11          achieve the program’s stated goals and grand chal-  
12          lenges;

13          (4) a review of the balance in the program’s  
14          portfolio and components across agencies and dis-  
15          ciplines;

16          (5) an assessment of the degree of participation  
17          in the program by minority serving institutions and  
18          institutions located in States participating in Na-  
19          tional Science Foundation’s Experimental Program  
20          to Stimulate Competitive Research (EPSCoR);

21          (6) a review of policy issues resulting from ad-  
22          vancements in nanotechnology and its effects on the  
23          scientific enterprise, commerce, workforce, competi-  
24          tiveness, national security, medicine, and govern-  
25          ment operations;

1           (7) recommendations for new program goals  
2 and grand challenges;

3           (8) recommendations for new research areas,  
4 partnerships, coordination and management mecha-  
5 nisms, or programs to be established to achieve the  
6 program's stated goals and grand challenges;

7           (9) recommendations for new investments by  
8 each participating agency in each program funding  
9 area for the 5-year period following the delivery of  
10 the report;

11           (10) reviews and recommendations regarding  
12 other issues deemed pertinent or specified by the  
13 panel; and

14           (11) a technology transition study which in-  
15 cludes an evaluation of the Federal nanotechnology  
16 research and development program's success in  
17 transitioning its research, technologies, and concepts  
18 into commercial and military products, including—

19                   (A) examples of successful transition of re-  
20 search, technologies, and concepts from the  
21 Federal nanotechnology research and develop-  
22 ment program into commercial and military  
23 products;

24                   (B) best practices of universities, govern-  
25 ment, and industry in promoting efficient and

1 rapid technology transition in the  
2 nanotechnology sector;

3 (C) barriers to efficient technology transi-  
4 tion in the nanotechnology sector, including, but  
5 not limited to, standards, pace of technological  
6 change, qualification and testing of research  
7 products, intellectual property issues, and Fed-  
8 eral funding; and

9 (D) recommendations for government  
10 sponsored activities to promote rapid technology  
11 transition in the nanotechnology sector.

12 **SEC. 8. TERMINATION.**

13 Section 14(a)(2)(B) of the Federal Advisory Com-  
14 mittee Act (5 U.S.C. App.; relating to the termination of  
15 advisory committees) shall not apply to this Act.

16 **SEC. 9. AUTHORIZATION OF APPROPRIATIONS.**

17 There are authorized to be appropriated such sums  
18 as may be necessary to carry out this Act.

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