## <sup>107TH CONGRESS</sup> 1ST SESSION **S. 1034**

To amend the Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990 to require the Secretary of Transportation to promulgate and review regulations to ensure, to the maximum extent practicable, that vessels entering the Great Lakes do not spread nonindigenous aquatic species, to require treatment of ballast water and its sediments through the most effective and efficient techniques available, and for other purposes.

### IN THE SENATE OF THE UNITED STATES

JUNE 13, 2001

Ms. STABENOW (for herself, Mr. FITZGERALD, Mr. LEVIN, Mr. KOHL, Mr. FEINGOLD, Mr. DURBIN, Mr. DAYTON, Mr. WELLSTONE, Mr. DEWINE, Mr. VOINOVICH, Mr. SCHUMER, Mr. BAYH and Mrs. CLINTON) introduced the following bill; which was read twice and referred to the Committee on Commerce, Science and Transportation

# A BILL

- To amend the Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990 to require the Secretary of Transportation to promulgate and review regulations to ensure, to the maximum extent practicable, that vessels entering the Great Lakes do not spread nonindigenous aquatic species, to require treatment of ballast water and its sediments through the most effective and efficient techniques available, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,

#### 1 SECTION 1. SHORT TITLE.

2 This Act may be cited as the "Great Lakes Ecology3 Protection Act".

### 4 SEC. 2. BALLAST WATER TREATMENT REGULATIONS.

5 (a) IN GENERAL.—Section 1101(b) of the Nonindige6 nous Aquatic Nuisance Prevention and Control Act of
7 1990 (16 U.S.C. 4711(b)) is amended—

8 (1) by redesignating paragraph (4) as para-9 graph (5); and

10 (2) by striking "(3) ADDITIONAL REGULA11 TIONS.—In addition" and inserting the following:

12 "(3) REGULATIONS CONCERNING AQUATIC NUI13 SANCE SPECIES.—

"(A) IN GENERAL.—The Secretary of 14 15 Transportation shall, in consultation with the 16 Secretary of the Interior, the Secretary of Com-17 merce, the Secretary of Defense, the Adminis-18 trator of the Environmental Protection Agency, 19 the Governors of States that border the Great 20 Lakes, and in accordance with this paragraph, 21 promulgate and review regulations to prevent, 22 to the maximum extent practicable, the intro-23 duction and spread of aquatic nuisance species 24 in the Great Lakes.

1 "(B) CONTENTS OF REGULATIONS.—The 2 regulations promulgated under subparagraph 3 (A)—

4	"(i) shall apply to all vessels capable
5	of discharging ballast water (including ves-
6	sels equipped with ballast water tank sys-
7	tems or other water tank systems) that
8	enter the Great Lakes after operating on
9	water outside of the Exclusive Economic
10	Zone;

"(ii) shall ensure, to the maximum extent practicable, that ballast water containing aquatic nuisance species is not discharged into the Great Lakes (including by
establishing the standard described in
clause (iii));

17 "(iii) shall include a ballast water
18 treatment standard for vessels that elect to
19 carry out ballast water management or
20 treatment that, at a minimum, requires—
21 "(I) a demonstrated 95 percent
22 volumetric exchange of ballast water;
23 or

24 "(II) a ballast treatment that de-25 stroys not less than 95 percent of all

1	animal fauna in a standard ballast
2	water intake, as approved by the Sec-
3	retary;
4	"(iv) shall protect the safety of each
5	vessel (including crew and passengers);
6	"(v) shall include requirements on
7	new vessel construction to ensure that ves-
8	sels entering service after January 1,
9	2005, minimize the transfer of organisms;
10	"(vi) shall require vessels to carry out
11	any discharge or exchange of ballast water
12	within the Great Lakes only in compliance
13	with the regulations;
14	"(vii) shall be promulgated after tak-
15	ing into consideration a range of vessel op-
16	erating conditions, from normal to ex-
17	treme;
18	"(viii) shall—
19	"(I) ensure that technologies and
20	practices implemented under this sec-
21	tion are environmentally sound treat-
22	ment methods for ballast water and
23	ballast sediments that prevent and
24	control infestations of aquatic nui-
25	sance species; and

- 1 "(II) include a detailed timetable 2 for-"(aa) the implementation of 3 4 treatment methods determined to 5 be technologically available and 6 cost-effective at the time of the 7 publication of the notice of pro-8 posed rulemaking; and "(bb) the development, test-9 10 ing, evaluation, approval, and im-11 plementation of additional technologically innovative treatment 12 13 methods; "(ix) shall provide for certification by 14 15 the master of each vessel entering the Great Lakes that the vessel is in compli-16 17 ance with the regulations; 18 "(x) shall ensure compliance with the 19 regulations, to the maximum extent prac-20 ticable, through— "(I) sampling or monitoring pro-21 22 cedures;
- 23 "(II) the inspection of records;

"(III) the imposition of sanctions 1 2 in accordance with subsection (g)(1); 3 and "(IV) the certification of ballast 4 5 water treatment vendors and vessel 6 vendors; 7 "(xi) shall be based on the best sci-8 entific information available; 9 "(xii) shall not supersede or adversely 10 affect any requirement or prohibition per-11 taining to the discharge of ballast water 12 into water of the United States under the 13 Federal Water Pollution Control Act (33 14 U.S.C. 1251 et seq.); and 15 "(xiii) shall include such other re-16 quirements as the Secretary of Transpor-17 tation considers appropriate. 18 "(C) Regulatory schedule.— 19 "(i) NOTICE OF PROPOSED RULE-20 MAKING.-"(I) IN GENERAL.—Not later 21 22 than 120 days after the date of enact-23 ment of the Great Lakes Ecology Pro-24 tection Act, the Secretary of Trans-

1	Register and through other means de-
2	signed to reach persons likely to be
3	subject to or affected by the regula-
4	tions (including publication in local
5	newspapers and by electronic means),
6	a notice of proposed rulemaking con-
7	cerning the regulations proposed to be
8	promulgated under this paragraph.
9	"(II) FINAL REGULATIONS.—The
10	Secretary of Transportation shall pro-
11	mulgate final regulations under this
12	paragraph—
13	"(aa) with respect to the im-
14	plementation of treatment meth-
14 15	plementation of treatment meth- ods described in subparagraph
15	ods described in subparagraph
15 16	ods described in subparagraph (B)(vii)(II)(aa), not later than
15 16 17	ods described in subparagraph (B)(vii)(II)(aa), not later than 270 days after the date of enact-
15 16 17 18	ods described in subparagraph (B)(vii)(II)(aa), not later than 270 days after the date of enact- ment of the Great Lakes Ecology
15 16 17 18 19	ods described in subparagraph (B)(vii)(II)(aa), not later than 270 days after the date of enact- ment of the Great Lakes Ecology Protection Act; and
15 16 17 18 19 20	ods described in subparagraph (B)(vii)(II)(aa), not later than 270 days after the date of enact- ment of the Great Lakes Ecology Protection Act; and "(bb) with respect to the ad-
15 16 17 18 19 20 21	ods described in subparagraph (B)(vii)(II)(aa), not later than 270 days after the date of enact- ment of the Great Lakes Ecology Protection Act; and "(bb) with respect to the ad- ditional technologically innovative

1 "(AA) the date estab-2 by the lished timetable 3 under subparagraph (B)(vii)(II) for implementa-4 tion of those methods; or 5 "(BB) 720 days after 6 7 the date of enactment of the 8 Great Lakes Ecology Protec-9 tion Act. "(III) REVIEW AND REVISION OF 10 11 REGULATIONS.—Not later than 3 years after the date on which final 12 13 regulations are promulgated under this subparagraph, and every 3 years 14 15 thereafter, the Secretary shall review 16 revise and necessary, the as 17 regulations-18 "(aa) to improve the effec-19 tiveness of the regulations; and "(bb) to incorporate better 20 21 management practices and bal-

8

last water treatment standards 23 and methods.

	9
1	"(IV) PUBLIC PARTICIPATION.—
2	The Secretary of Transportation
3	shall—
4	"(aa) provide not less than
5	120 days for public comment on
6	the proposed regulations; and
7	"(bb) provide for an effec-
8	tive date that is not less than 30
9	days after the date of publication
10	of the final regulations.
11	"(4) Additional regulations.—In addition".
12	(b) Definition of Treatment Method.—Section
13	1003 of the Nonindigenous Aquatic Nuisance Prevention
14	and Control Act of 1990 (16 U.S.C. 4702) is amended—
15	(1) by redesignating paragraphs $(13)$ , $(14)$ ,
16	(15), (16), and (17) as paragraphs $(14), (15), (16),$
17	(17), and (18), respectively; and
18	(2) by inserting after paragraph $(12)$ the fol-
19	lowing:
20	"(13) 'treatment method' means a method for
21	treatment of the contents of a ballast water tank
22	(including the sediments within the tank) to remove
23	or destroy nonindigenous organisms through—

24 "(A) filtration;

1	"(B) the application of biocides or ultra-
2	violet light;
3	"(C) thermal methods; or
4	"(D) other treatment techniques that meet
5	applicable ballast water treatment standards, as
6	approved by the Secretary;".
7	SEC. 3. INVASIVE SPECIES AND BALLAST WATER TECH-
8	NOLOGIES RESEARCH GRANTS.
9	(a) GRANTS AUTHORIZED.—The Secretary of Com-
10	merce, through the National Oceanic and Atmospheric Ad-
11	ministration, and in consultation with the Secretary of the
12	Interior, the Secretary of Agriculture, the Secretary of
13	Transportation, and the Administrator of the Environ-
14	mental Protection Agency, is authorized to award Invasive
15	Species and Ballast Water Technologies Research Grants.
16	(b) USE OF FUNDS.—Grants awarded under sub-
17	section (a) may be used to—
18	(1) study the impact of invasive species on the
19	environment of the Great Lakes region; and
20	(2) develop technologies and treatment meth-
21	ods, including ballast water tank technology, de-
22	signed to destroy or remove invasive species.
23	(c) ELIGIBLE RECIPIENTS.—

(1) IN GENERAL.—The Secretary may award
 grants under subsection (a) to any post-secondary
 educational institution in the United States.

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4 (2) Special consideration for institu-5 TIONS COLLABORATING WITH INDUSTRY.—In award-6 ing grants under subsection (a), the Secretary shall 7 give special consideration to post-secondary edu-8 cational institutions that work collaboratively with 9 members of the United States shipping industry to 10 carry out an activity for which grant funds may be 11 used under subsection (b).

12 (d) AVAILABILITY AND MARKETING OF TECH-13 NOLOGY.—In awarding grants under subsection (a), the 14 Secretary shall ensure that to the greatest extent prac-15 ticable, technologies and treatments developed as the re-16 sult of a grant awarded under subsection (a) are made 17 commercially available.

(e) AUTHORIZATION OF APPROPRIATIONS.—There
are authorized to be appropriated to carry out the provisions of this section \$100,000,000 for the period of fiscal
year 2002 through fiscal year 2006.