S. 1194

To impose certain limitations on the receipt of out-of-State municipal solid waste, to authorize State and local controls over the flow of municipal solid waste, and for other purposes.

IN THE SENATE OF THE UNITED STATES

July 18, 2001

Mr. Specter (for himself, Ms. Stabenow, and Mr. Warner) introduced the following bill; which was read twice and referred to the Committee on Environment and Public Works

A BILL

- To impose certain limitations on the receipt of out-of-State municipal solid waste, to authorize State and local controls over the flow of municipal solid waste, and for other purposes.
 - 1 Be it enacted by the Senate and House of Representa-
 - 2 tives of the United States of America in Congress assembled,
 - 3 SECTION 1. SHORT TITLE.
 - 4 This Act may be cited as the "Solid Waste Interstate
 - 5 Transportation and Local Authority Act of 2001".

1	SEC. 2. INTERSTATE TRANSPORTATION AND DISPOSAL OF
2	MUNICIPAL SOLID WASTE.
3	(a) In General.—Subtitle D of the Solid Waste Dis-
4	posal Act (42 U.S.C. 6941 et seq.) is amended by adding
5	after section 4010 the following new section:
6	"SEC. 4011. RECEIPT AND DISPOSAL OF OUT-OF-STATE MU-
7	NICIPAL SOLID WASTE.
8	"(a) Presumptive Ban on Receipt of Out-Of-
9	STATE WASTE.—No landfill or incinerator may receive
10	any out-of-State municipal solid waste for disposal or in-
11	cineration unless the waste is received pursuant to—
12	"(1) a host community agreement in accordance
13	with subsection (b) or (c); or
14	"(2) an exemption under subsection (d).
15	"(b) Existing Host Community Agreements.—
16	Except as provided in subsection (e), out-of-State munic-
17	ipal solid waste may be received at a landfill or incinerator
18	for disposal or incineration pursuant to a host community
19	agreement entered into before the enactment of this sec-
20	tion if—
21	"(1) the agreement specifically authorizes the
22	owner or operator to accept, at the landfill or incin-
23	erator, out-of-State municipal solid waste; and
24	"(2) the owner or operator complies with all of
25	the terms and conditions of the host community
26	agreement.

- 1 The owner or operator shall provide a copy of the host
- 2 community agreement, within 90 days after the enactment
- 3 of this section, to the State and affected local government
- 4 and make such a copy available for inspection by the pub-
- 5 lie in the affected local community.

- 6 "(e) New Host Community Agreements.—
 - "(1) EXEMPTION FROM BAN.—Except as provided in subsection (e), out-of-State municipal solid waste may be received at a landfill or incinerator for disposal or incineration pursuant to a host community agreement entered into on or after the enactment of this section (in this section referred to as a 'new host community agreement') if the agreement specifically authorizes the receipt of such waste and meets the requirements of paragraphs (2) through (5) of this subsection.
 - "(2) REQUIREMENTS FOR AUTHORIZATION.—
 An authorization to receive out-of-State municipal solid waste pursuant to a new host community agreement shall be granted by formal action at a meeting; be recorded in writing in the official record of the meeting; and remain in effect according to its terms. Such authorization may specify terms and conditions, including an amount of out-of-State mu-

nicipal solid waste that an owner or operator may receive and the duration of the authorization.

"(3) Information.—Prior to seeking an authorization to receive out-of-State municipal solid waste pursuant to a new host community agreement under this subsection, the owner or operator of the facility seeking such authorization shall provide (and make readily available to the State, each contiguous local government and Indian tribe, and any other interested person for inspection and copying) each of the following items of information:

"(A) A brief description of the facility, including, with respect to both the facility and any planned expansion of the facility, the size, the ultimate waste capacity, and the anticipated monthly and yearly quantities of waste to be handled. Such quantities shall be expressed in terms of volume.

"(B) A map of the facility site indicating location in relation to the local road system and topography and general hydrogeological features. The map shall indicate any buffer zones to be acquired by the owner or operator as well as all facility units.

"(C) A description of the then current environmental characteristics of the site, a description of ground water use in the area, and a discussion of alterations that may be necessitated by, or occur as a result of, the facility. The description of groundwater use shall include identification of private wells and public drinking water sources.

"(D) A description of environmental controls typically required to be used on the site (pursuant to permit requirements), including run on or run off management, or both, air pollution control devices, source separation procedures (if any), methane monitoring and control, landfill covers, liners or leachate collection systems, and monitoring programs. In addition, the description shall include a description of any waste residuals generated by the facility, including leachate or ash, and the planned management of the residuals.

"(E) A description of site access controls to be employed, and roadway improvements to be made, by the owner or operator, and an estimate of the timing and extent of increased local truck traffic.

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1	"(F) A list of all required Federal, State,
2	and local permits.
3	"(G) Estimates of the personnel require-
4	ments of the facility, including information re-
5	garding the probable skill and education levels
6	required for jobs at the facility. To the extent
7	practicable, the information shall distinguish
8	between employment statistics for
9	preoperational and postoperational levels.
10	"(H) Any information that is required by
11	State or Federal law to be provided with re-
12	spect to any violations of environmental laws
13	(including regulations) by the owner, the oper-
14	ator, and any subsidiary of the owner or oper-
15	ator, the disposition of enforcement proceedings
16	taken with respect to the violations, and correc-
17	tive action and rehabilitation measures taken as
18	a result of the proceedings.
19	"(I) Any information that is required by
20	State or Federal law to be provided with re-
21	spect to gifts and contributions made by the
22	owner or operator.
23	"(J) Any information that is required by

State or Federal law to be provided with re-

1	spect to compliance by the owner or operator
2	with the State solid waste management plan.
3	"(4) Prior notification.—Prior to taking
4	formal action with respect to granting authorization
5	to receive out-of-State municipal solid waste pursu-
6	ant to a new host community agreement under this
7	subsection, an affected local government shall—
8	"(A) notify the State, contiguous local gov-
9	ernments, and any contiguous Indian tribes;
10	"(B) publish notice of the action in a
11	newspaper of general circulation in the affected
12	area at least 15 days before holding a hearing
13	under subparagraph (C), except where State
14	law provides for an alternate form of public no-
15	tification; and
16	"(C) provide an opportunity for public
17	comment in accordance with State law, includ-
18	ing at least 1 public hearing.
19	"(5) Subsequent notification.—Promptly,
20	but not later than 90 days after an authorization is
21	granted pursuant to a new host community agree-
22	ment under this subsection, the affected local gov-
23	ernment shall notify the Governor, contiguous local
24	governments, and any contiguous Indian tribes of

such authorization.

1	"(6) Authority.—
2	"(A) In general.—A State may enact a
3	law or laws with respect to the entry, by an af-
4	fected local government in the State, into a host
5	community agreement, as it relates to the inter-
6	state transportation of solid waste.
7	"(B) No discrimination.—In enacting a
8	law or laws pursuant to subparagraph (A), a
9	State shall act in a consistent manner that does
10	not discriminate against the receipt of out-of-
11	State municipal solid waste on the basis of
12	State of origin.
13	"(d) Exemption for Waste Not Subject to
14	HOST COMMUNITY AGREEMENTS.—
15	"(1) Exemption from Ban.—Except as pro-
16	vided in subsection (e), out-of-State municipal solid
17	waste received at a landfill or incinerator shall be ex-
18	empt from the presumptive ban contained in sub-
19	section (a) if the owner or operator of the landfill or
20	incinerator provides to the State in which the landfill
21	or incinerator is located and to the affected local
22	government either of the following:
23	"(A) Permit.—Information establishing
24	that, before the enactment of this section, the

owner or operator of the landfill or incinerator

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has received a State permit that specifically authorizes the owner or operator to accept, at the landfill or incinerator, such out-of-State municipal solid waste. This subparagraph shall be effective only if the owner or operator complies with all of the terms and conditions of the permit after the date of enactment of this section and notifies the affected local government of the permit as soon as practicable but not later than 90 days after the date of enactment of this section.

"(B) Contract.—Information establishing that the owner or operator of the landfill or incinerator has entered into a binding contract before March 18, 2001, that commits to the delivery to and receipt at the landfill or incinerator of a specific quantity of out-of-State municipal solid waste and that the owner or operator of the landfill or incinerator has permitted capacity actually available on the date of enactment of this section for receipt of the specific quantity of out-of-State municipal solid waste committed to in the contract. This subparagraph shall be effective only for the longer of—

1	"(i) the life of the contract (not in-
2	cluding any renewal, novation, or extension
3	thereof); or
4	"(ii) a period of 3 years after the date

of enactment of this section,
and only with respect to the amount of the obligation in the contract.

"(2) AVAILABILITY OF DOCUMENTATION.—The owner or operator of a landfill or incinerator receiving out-of-State municipal solid waste pursuant to an exemption under paragraph (1) shall make available for inspection by the public in the affected local community a copy of the permit or contract referred to in paragraph (1). The owner or operator may omit any proprietary information contained in contracts.

"(3) Denied or revoked permits.—A land-fill or incinerator may not receive for disposal or incineration out-of-State municipal solid waste pursuant to an exemption under paragraph (1) if the operating permit for the landfill or incinerator (or renewal thereof) was denied or revoked by the appropriate State agency before the date of enactment of this section, unless such permit or license (or re-

1	newal) has been reinstated as of such date of enact-
2	ment.
3	"(e) Required Compliance.—Exemptions under
4	subsections (b), (c), and (d) shall not apply to a landfill
5	or incinerator during any period with respect to which the
6	State in which the facility is located has determined that
7	the facility is not in compliance with applicable Federal
8	and State laws and regulations relating to—
9	"(1) facility operation and design;
10	"(2) in the case of landfills, facility location
11	standards, leachate collection standards, ground-
12	water monitoring standards, and standards for fi-
13	nancial assurance and for closure and postclosure
14	and corrective action; and
15	"(3) in the case of incinerators, the applicable
16	requirements of section 129 of the Clean Air Act (42
17	U.S.C. 7429).
18	"(f) Authority of State To Restrict Out-Of-
19	STATE MUNICIPAL SOLID WASTE.—
20	"(1) Limitations on amount of waste re-
21	CEIVED.—
22	"(A) LIMIT FOR ALL FACILITIES IN THE
23	STATE.—A State may limit the amount of out-
24	of-State municipal solid waste received annually
25	at each landfill or incinerator in the State to

1	the limitation amount described in paragraph
2	(2), except as provided in this subsection. No
3	such limit may conflict—
4	"(i) with provisions of a permit spe-
5	cifically authorizing the owner or operator
6	to accept, at the facility, out-of-State mu-
7	nicipal solid waste; or
8	"(ii) with a host community agree-
9	ment entered into between the owner or
10	operator of any such landfill or incinerator
11	and the affected local government.
12	"(B) Conflict.—A limit referred to in
13	subparagraph (A) shall be treated as conflicting
14	with—
15	"(i) a permit if the permit establishes
16	a higher limit or does not establish any
17	limit on the amount of out-of-State munic-
18	ipal solid waste which may be received an-
19	nually at the facility; and
20	"(ii) a host community agreement if
21	the host community agreement establishes
22	a higher limit or does not establish any
23	limit on the amount of out-of-State munic-
24	ipal solid waste which may be received an-
25	nually at the facility, but only to the extent

that the landfill or incinerator, at the time
the host community agreement was entered
into, had specifically permitted capacity to
receive the solid waste authorized by the
host community agreement.

- "(C) LIMIT FOR PARTICULAR FACILITIES.—An affected local government that has not executed a host community agreement with a particular landfill or incinerator may limit the amount of out-of-State municipal solid waste received annually at the landfill or incinerator concerned to the limitation amount described in paragraph (2). No such limit may conflict with provisions of a permit specifically authorizing the owner or operator to accept, at the facility, out-of-State municipal solid waste.
- "(D) Effect on other laws.—Nothing in this subsection shall be interpreted or construed to supersede any State law relating to contracts.
- "(2) LIMITATION AMOUNT.—For any landfill or incinerator that commenced receiving documented out-of-State municipal solid waste before the date of enactment of this section, the limitation amount referred to in paragraph (1) for any year shall be

- 1 equal to the amount of out-of-State municipal solid 2 waste received at the landfill or incinerator con-3 cerned during calendar year 1993. The documentation referred to in this paragraph shall be such as 5 would result in criminal penalties under State law 6 in case of false or misleading information. Such doc-7 umentation shall include the amount of waste re-8 ceived in 1993, place of origin, identity of the gener-9 ator, date of shipment, and type of waste.
- "(3) NO DISCRIMINATION.—In establishing a limitation under this subsection, a State shall act in a consistent manner that does not discriminate against any shipments of out-of-State municipal solid waste on the basis of State of origin.
- 15 "(g) Limitations on Prospective Waste 16 Flows.—
- "(1) STATE AUTHORITY TO DENY PERMITS.—A

 State may provide by law that the State will deny,
 or refuse to renew, a permit for the construction or
 operation of a landfill or incinerator, or for a major
 modification to an existing landfill or incinerator,
 if—
- 23 "(A) the State has approved a State or 24 local comprehensive municipal solid waste man-

agement plan developed under Federal or State law; and

"(B) the denial or refusal to renew is based on a determination, pursuant to a State law authorizing the denial or refusal to renew, that there is not a local or regional need for the landfill or incinerator in the State.

"(2) Percentage limit.—

"(A) In General.—A State may provide by law that a State permit issued or renewed after the date of enactment of this section for a municipal solid waste landfill or incinerator, or for expansion of a municipal solid waste landfill or incinerator, shall include a requirement that not more than a specified percentage of the total amount of municipal solid waste received annually at the landfill or incinerator may be out-of-State municipal solid waste. A percentage limitation established by a State under this subparagraph shall not be less than 20 percent.

"(B) Host community agreement.— Notwithstanding subparagraphs (A) and (C), a landfill or incinerator acting pursuant to a host community agreement entered into prior to the

1	date of enactment of this section that specifi-
2	cally authorizes the landfill or incinerator to re-
3	ceive a specific quantity of out-of-State munic-
4	ipal solid waste annually may receive the spe-
5	cific quantity authorized under the host com-
6	munity agreement.
7	"(C) Nondiscrimination.—An annual
8	percentage limitation referred to in subpara-
9	graph (A)—
10	"(i) shall be uniform for all municipal
11	solid waste landfills and incinerators in the
12	State; and
13	"(ii) may not discriminate against
14	out-of-State municipal solid waste accord-
15	ing to the State of origin.
16	"(h) Authority of State To Restrict Out-Of-
17	STATE MUNICIPAL SOLID WASTE BASED ON RECYCLING
18	Programs.—
19	"(1) Authority.—
20	"(A) LIMITATION.—A State may limit the
21	amount of out-of-State municipal solid waste
22	received annually at each landfill or incinerator
23	in the State to the amount of out-of-State mu-
24	nicipal solid waste received at the landfill or in-
25	cinerator concerned during calendar year 1995

1	if the State has enacted a comprehensive, state-
2	wide recycling program. No such limit may
3	conflict—
4	"(i) with provisions of a permit spe-
5	cifically authorizing the owner or operator
6	to accept, at the facility, out-of-State mu-
7	nicipal solid waste; or
8	"(ii) with a host community agree-
9	ment entered into between the owner or
10	operator of any such landfill or incinerator
11	and the affected local government.
12	"(B) Conflict.—A limit referred to in
13	subparagraph (A) shall be treated as conflicting
14	with—
15	"(i) a permit if the permit establishes
16	a higher limit or does not establish any
17	limit on the amount of out-of-State munic-
18	ipal solid waste which may be received an-
19	nually at the facility; and
20	"(ii) a host community agreement if
21	the host community agreement establishes
22	a higher limit or does not establish any
23	limit on the amount of out-of-State munic-
24	ipal solid waste which may be received an-
25	nually at the facility, but only to the extent

1	that the landfill or incinerator, at the time
2	the host community agreement was entered
3	into, had specifically permitted capacity to
4	receive the solid waste authorized by the
5	host community agreement.
6	"(2) No discrimination.—In establishing a
7	limitation under this subsection, a State shall act in
8	a consistent manner that does not discriminate
9	against any shipments of out-of-State municipal
10	solid waste on the basis of State of origin.
11	"(3) Effect on other laws.—Nothing in
12	this subsection shall be interpreted or construed to
13	supersede any State law relating to contracts.
14	"(4) Definition.—As used in this subsection,
15	the term 'comprehensive, statewide recycling pro-
16	gram' means a law of statewide applicability that re-
17	quires the generators of municipal solid waste to
18	separate all of the following materials for recycling
19	as a condition of disposing of the waste at landfills
20	or incinerators in the State:
21	"(A) Aluminum containers.
22	"(B) Corrugated paper or other container
23	board.
24	"(C) Glass containers.

1	"(D) Magazines or other material printed
2	on similar paper.
3	"(E) Newspapers or other material printed
4	on newsprint.
5	"(F) Office paper.
6	"(G) Plastic containers.
7	"(H) Steel containers.
8	"(I) Containers for carbonated or malt
9	beverages that are primarily made of a com-
10	bination of steel and aluminum.
11	"(i) Cost Recovery Surcharge.—
12	"(1) AUTHORITY.—A State may impose and
13	collect a cost recovery charge on the processing,
14	combustion, or disposal in a landfill or incinerator of
15	out-of-State municipal solid waste in the State in ac-
16	cordance with this subsection.
17	"(2) Amount of surcharge.—The amount of
18	the cost recovery surcharge may be no greater than
19	the amount necessary to recover those costs deter-
20	mined in conformance with paragraph (4) and in no
21	event may exceed \$2.00 per ton of waste.
22	"(3) Use of surcharge collected.—All
23	cost recovery surcharges collected by a State shall be
24	used to fund those solid waste management pro-
25	grams administered by the State or its political sub-

1	division that incur costs for which the surcharge is
2	collected.
3	"(4) Conditions.—(A) Subject to subpara-
4	graphs (B) and (C), a State may impose and collect
5	a cost recovery surcharge on the processing, combus-
6	tion, or disposal within the State of out-of-State mu-
7	nicipal solid waste if—
8	"(i) the State demonstrates a cost to the
9	State arising from the processing, combustion,
10	or disposal within the State of a volume of mu-
11	nicipal solid waste from a source outside the
12	State;
13	"(ii) the surcharge is based on those costs
14	to the State demonstrated under clause (i) that,
15	if not paid for through the surcharge, would
16	otherwise have to be paid or subsidized by the
17	State; and
18	"(iii) the surcharge is compensatory and is
19	not discriminatory.
20	"(B) In no event shall a cost recovery surcharge
21	be imposed by a State to the extent that the cost for
22	which recovery is sought is otherwise paid, recov-
23	ered, or offset by any other fee or tax paid to the
24	State or its political subdivision or to the extent that

the amount of the surcharge is offset by voluntarily

agreed payments to a State or its political subdivision in connection with the generation, transportation, treatment, processing, combustion, or disposal of solid waste.

"(C) The grant of a subsidy by a State with respect to entities disposing of waste generated within the State does not constitute discrimination for purposes of subparagraph (A)(iii).

"(5) DEFINITIONS.—As used in this subsection:

"(A) The term 'costs' means the costs incurred by the State for the implementation of its laws governing the processing, combustion, or disposal of municipal solid waste, limited to the issuance of new permits and renewal of or modification of permits, inspection and compliance monitoring, enforcement, and costs associated with technical assistance, data management, and collection of fees.

"(B) The term 'processing' means any activity to reduce the volume of solid waste or alter its chemical, biological or physical state, through processes such as thermal treatment, bailing, composting, crushing, shredding, separation, or compaction.

- 1 "(j) Implementation and Enforcement.—Any
- 2 State may adopt such laws and regulations, not incon-
- 3 sistent with this section, as are necessary to implement
- 4 and enforce this section, including provisions for penalties.
- 5 "(k) Effect on Interstate Commerce.—No
- 6 State or local government action taken as authorized by
- 7 this section, including the establishment of a limit pursu-
- 8 ant to subsection (f) or the enactment or execution of a
- 9 law or regulation described in subsection (c)(6), (g), (h),
- 10 (i), or (j), shall be considered to impose an undue burden
- 11 on interstate commerce or to otherwise impair, restrain,
- 12 or discriminate against interstate commerce.
- 13 "(1) ANNUAL STATE REPORT.—Each year the owner
- 14 or operator of each landfill or incinerator receiving out-
- 15 of-State municipal solid waste shall submit to the Gov-
- 16 ernor of the State in which the landfill or incinerator is
- 17 located information specifying the amount of out-of-State
- 18 municipal solid waste received for disposal during the pre-
- 19 ceding year. Each year each such State shall publish and
- 20 make available to the public a report containing informa-
- 21 tion on the amount of out-of-State municipal solid waste
- 22 received for disposal in the State during the preceding
- 23 year.
- 24 "(m) Definitions.—For purposes of this section:

1	"(1) AFFECTED LOCAL GOVERNMENT.—The
2	term 'affected local government' means—
3	"(A) the public body authorized by State
4	law to plan for the management of municipal
5	solid waste, a majority of the members of which
6	are elected officials, for the area in which a
7	landfill or incinerator is located or proposed to
8	be located;
9	"(B) if there is no such body authorized by
10	State law, the elected officials of the city, town,
11	township, borough, county, or parish exercising
12	primary responsibility over municipal solid
13	waste management or the use of land in the ju-
14	risdiction in which a landfill or incinerator is lo-
15	cated or proposed to be located; or
16	"(C) contiguous units of local government
17	located in each of 2 or more adjoining States
18	acting jointly as an affected local government,
19	pursuant to the authority provided in section
20	1005(b), for purposes of providing authoriza-
21	tion under subsection (b), (c), or (d) for munic-
22	ipal solid waste generated in the jurisdiction of
23	one of those units of local government and re-
24	ceived for disposal or incineration in the juris-

diction of another.

1	"(2) Host community agreement.—The
2	term 'host community agreement' means a written
3	legally binding agreement, lawfully entered into be-
4	tween an owner or operator of a landfill or inciner-
5	ator and an affected local government that specifi-
6	cally authorizes the landfill or incinerator to receive
7	out-of-State municipal solid waste.
8	"(3) Municipal solid waste.—
9	"(A) Waste included.—Except as pro-
10	vided in subparagraph (B), the term 'municipal
11	solid waste' means—
12	"(i) all waste materials discarded for
13	disposal by households, including single
14	and multifamily residences, and hotels and
15	motels; and
16	"(ii) all waste materials discarded for
17	disposal that were generated by commer-
18	cial, institutional, municipal, and industrial
19	sources, to the extent such materials—
20	"(I) are essentially the same as
21	materials described in clause (i); or
22	"(II) were collected and disposed
23	of with other municipal solid waste
24	described in clause (i) or subclause (I)
25	of this clause as part of normal mu-

1	nicipal solid waste collection services,
2	except that this subclause does not
3	apply to hazardous materials other
4	than hazardous materials that, pursu-
5	ant to regulations issued under sec-
6	tion 3001(d), are not subject to regu-
7	lation under subtitle C.
8	Examples of municipal solid waste include food
9	and yard waste, paper, clothing, appliances,
10	consumer product packaging, disposable dia-
11	pers, office supplies, cosmetics, glass and metal
12	food containers, and household hazardous
13	waste. Such term shall include debris resulting
14	from construction, remodeling, repair, or demo-
15	lition of structures.
16	"(B) WASTE NOT INCLUDED.—The term
17	'municipal solid waste' does not include any of
18	the following:
19	"(i) Any solid waste identified or list-
20	ed as a hazardous waste under section
21	3001, except for household hazardous
22	waste.
23	"(ii) Any solid waste, including con-
24	taminated soil and debris, resulting from—

1	"(I) a response action taken
2	under section 104 or 106 of the Com-
3	prehensive Environmental Response,
4	Compensation, and Liability Act (42
5	U.S.C. 9604 or 9606);
6	"(II) a response action taken
7	under a State law with authorities
8	comparable to the authorities of such
9	section 104 or 106; or
10	"(III) a corrective action taken
11	under this Act.
12	"(iii) Recyclable materials that have
13	been separated, at the source of the waste,
14	from waste otherwise destined for disposal
15	or that have been managed separately from
16	waste destined for disposal.
17	"(iv) Scrap rubber to be used as a
18	fuel source.
19	"(v) Materials and products returned
20	from a dispenser or distributor to the man-
21	ufacturer or an agent of the manufacturer
22	for credit, evaluation, and possible reuse.
23	"(vi) Any solid waste that is—
24	"(I) generated by an industrial
25	facility; and

1	"(II) transported for the purpose
2	of treatment, storage, or disposal to a
3	facility or unit thereof that is owned
4	or operated by the generator of the
5	waste, located on property owned by
6	the generator or a company with
7	which the generator is affiliated, or
8	the capacity of which is contractually
9	dedicated exclusively to a specific gen-
10	erator, so long as the disposal area
11	complies with local and State land use
12	and zoning regulations applicable to
13	the disposal site.
14	"(vii) Any medical waste that is seg-
15	regated from or not mixed with solid
16	waste.
17	"(viii) Sewage sludge and residuals
18	from any sewage treatment plant, includ-
19	ing any sewage treatment plant required to
20	be constructed in the State of Massachu-
21	setts pursuant to any court order issued
22	against the Massachusetts Water Re-
23	sources Authority.
24	"(ix) Combustion ash generated by re-
25	source recovery facilities or municipal in-

- cinerators, or waste from manufacturing or processing (including pollution control) operations not essentially the same as waste normally generated by households.
 - "(4) OUT-OF-STATE MUNICIPAL SOLID WASTE.—The term 'out-of-State municipal solid waste' means, with respect to any State, municipal solid waste generated outside of the State. The term includes municipal solid waste generated outside of the United States.
 - "(5) RECYCLABLE MATERIALS.—The term 'recyclable materials' means materials that are diverted, separated from, or separately managed from materials otherwise destined for disposal as solid waste, by collecting, sorting, or processing for use as raw materials or feedstocks in lieu of, or in addition to, virgin materials, including petroleum, in the manufacture of usable materials or products.
 - "(6) Specifically authorizes' refers to an explicit authorization, contained in a host community agreement or permit, to import municipal solid waste from outside the State. Such authorization may include a reference to a fixed radius surrounding the landfill or incinerator which includes an area outside the State

- or a reference to 'any place of origin', reference to 1 2 specific places outside the State, or use of such 3 phrases as 'regardless of origin' or 'outside the State'. The language for such authorization must 5 clearly and affirmatively state the approval or con-6 sent of the affected local government or State for 7 receipt of municipal solid waste from sources or lo-8 cations outside the State from which the owner or 9 operator of a landfill or incinerator proposes to im-10 port it. The term shall not include general references 11 to the receipt of waste from outside the jurisdiction 12 of the affected local government.".
- 13 (b) TABLE OF CONTENTS.—The table of contents of 14 the Solid Waste Disposal Act (42 U.S.C. prec. 6901) is 15 amended by adding after the item relating to section 4010 16 the following new item:

"Sec. 4011. Receipt and disposal of out-of-State municipal solid waste.".

- 17 (c) Incident Reports.—Not later than one year
 18 after the date of the enactment of this Act and annually
 19 for the next two years, the General Accounting Office shall
 20 submit a report to the Committee on Commerce of the
 21 House of Representatives and the Committee on Environ22 ment and Public Works of the Senate that contains the
 23 following information:
- 24 (1) Available information for each State that 25 imports municipal solid waste detailing any incidents

- or circumstances where waste materials that are not authorized by permit to be disposed of at a landfill or incinerator have been discovered in the imported municipal solid waste during the transportation, processing, or disposal of such waste. Such unauthorized waste materials can include hazardous waste, medical waste, radioactive waste, and industrial waste.
 - (2) For each incident or circumstance identified under paragraph (1), an indication of the method or circumstances of detection, and the identity of the source of the waste, the transporter, and the disposal facility.
 - (3) For each incident or circumstance identified under paragraph (1), an indication of whether anyone was cited for a violation, and if so the nature of the violation and any penalty assessed.
- 18 SEC. 3. CONGRESSIONAL AUTHORIZATION OF STATE AND
- 19 LOCAL MUNICIPAL SOLID WASTE FLOW CON-
- 20 **TROL**.

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- 21 (a) AMENDMENT OF SUBTITLE D.—Subtitle D of the
- 22 Solid Waste Disposal Act is amended by adding after sec-
- 23 tion 4011 the following new section:

1	"SEC. 4012. CONGRESSIONAL AUTHORIZATION OF STATE
2	AND LOCAL GOVERNMENT CONTROL OVER
3	MOVEMENT OF MUNICIPAL SOLID WASTE
4	AND RECYCLABLE MATERIALS.
5	"(a) Flow Control Authority for Facilities
6	Previously Designated.—Any State or political sub-
7	division thereof is authorized to exercise flow control au-
8	thority to direct the movement of municipal solid waste
9	and recyclable materials voluntarily relinquished by the
10	owner or generator thereof to particular waste manage-
11	ment facilities, or facilities for recyclable materials, des-
12	ignated as of the suspension date, if each of the following
13	conditions are met:
14	"(1) The waste and recyclable materials are
15	generated within the jurisdictional boundaries of
16	such State or political subdivision, as such jurisdic-
17	tion was in effect on the suspension date.
18	"(2) Such flow control authority is imposed
19	through the adoption or execution of a law, ordi-
20	nance, regulation, resolution, or other legally binding
21	provision or official act of the State or political sub-
22	division that—
23	"(A) was in effect on the suspension date;
24	"(B) was in effect prior to the issuance of
25	an injunction or other order by a court based
26	on a ruling that such law, ordinance, regula-

1	tion, resolution, or other legally binding provi-
2	sion or official act violated the Commerce
3	Clause of the United States Constitution; or
4	"(C) was in effect immediately prior to
5	suspension or partial suspension thereof by leg-
6	islative or official administrative action of the
7	State or political subdivision expressly because
8	of the existence of an injunction or other court
9	order of the type described in subparagraph (B)
10	issued by a court of competent jurisdiction.
11	"(3) The State or a political subdivision thereof
12	has, for one or more of such designated facilities—
13	"(A) on or before the suspension date, pre-
14	sented eligible bonds for sale;
15	"(B) on or before the suspension date,
16	issued a written public declaration or regulation
17	stating that bonds would be issued and held
18	hearings regarding such issuance, and subse-
19	quently presented eligible bonds for sale within
20	180 days of the declaration or regulation; or
21	"(C) on or before the suspension date, exe-
22	cuted a legally binding contract or agreement
23	that—
24	"(i) was in effect as of the suspension
25	date;

1	"(ii) obligates the delivery of a min-
2	imum quantity of municipal solid waste or
3	recyclable materials to one or more such
4	designated waste management facilities or
5	facilities for recyclable materials; and
6	"(iii) either—
7	"(I) obligates the State or polit-
8	ical subdivision to pay for that min-
9	imum quantity of waste or recyclable
10	materials even if the stated minimum
11	quantity of such waste or recyclable
12	materials is not delivered within a re-
13	quired timeframe; or
14	"(II) otherwise imposes liability
15	for damages resulting from such fail-
16	ure.
17	"(b) Waste Stream Subject to Flow Con-
18	TROL.—Subsection (a) authorizes only the exercise of flow
19	control authority with respect to the flow to any des-
20	ignated facility of the specific classes or categories of mu-
21	nicipal solid waste and voluntarily relinquished recyclable
22	materials to which such flow control authority was applica-
23	ble on the suspension date and—
24	"(1) in the case of any designated waste man-
25	agement facility or facility for recyclable materials

- 1 that was in operation as of the suspension date, only
- 2 if the facility concerned received municipal solid
- 3 waste or recyclable materials in those classes or cat-
- 4 egories on or before the suspension date; and
- 5 "(2) in the case of any designated waste man-
- 6 agement facility or facility for recyclable materials
- 7 that was not yet in operation as of the suspension
- 8 date, only of the classes or categories that were
- 9 clearly identified by the State or political subdivision
- as of the suspension date to be flow controlled to
- 11 such facility.
- 12 "(c) Duration of Flow Control Authority.—
- 13 Flow control authority may be exercised pursuant to this
- 14 section with respect to any facility or facilities only until
- 15 the later of the following:
- 16 "(1) The final maturity date of the bond re-
- ferred to in subsection (a)(3)(A) or (B).
- 18 "(2) The expiration date of the contract or
- agreement referred to in subsection (a)(3)(C).
- 20 "(3) The adjusted expiration date of a bond
- 21 issued for a qualified environmental retrofit.
- 22 The dates referred to in paragraphs (1) and (2) shall be
- 23 determined based upon the terms and provisions of the
- 24 bond or contract or agreement. In the case of a contract
- 25 or agreement described in subsection (a)(3)(C) that has

- 1 no specified expiration date, for purposes of paragraph (2)
- 2 of this subsection the expiration date shall be the first date
- 3 that the State or political subdivision that is a party to
- 4 the contract or agreement can withdraw from its respon-
- 5 sibilities under the contract or agreement without being
- 6 in default thereunder and without substantial penalty or
- 7 other substantial legal sanction. The expiration date of a
- 8 contract or agreement referred to in subsection (a)(3)(C)
- 9 shall be deemed to occur at the end of the period of an
- 10 extension exercised during the term of the original con-
- 11 tract or agreement, if the duration of that extension was
- 12 specified by such contract or agreement as in effect on
- 13 the suspension date.
- 14 "(d) Indemnification for Certain Transpor-
- 15 TATION.—Notwithstanding any other provision of this sec-
- 16 tion, no State or political subdivision may require any per-
- 17 son to transport municipal solid waste or recyclable mate-
- 18 rials, or to deliver such waste or materials for transpor-
- 19 tation, to any active portion of a municipal solid waste
- 20 landfill unit if contamination of such active portion is a
- 21 basis for listing of the municipal solid waste landfill unit
- 22 on the National Priorities List established under the Com-
- 23 prehensive Environmental Response, Compensation, and
- 24 Liability Act of 1980 unless such State or political subdivi-
- 25 sion or the owner or operator of such landfill unit has in-

- demnified that person against all liability under that Act 2 with respect to such waste or materials. 3 "(e) Ownership of Recyclable Materials.— Nothing in this section shall authorize any State or polit-5 ical subdivision to require any person to sell or transfer any recyclable materials to such State or political subdivi-6 7 sion. 8 "(f) Limitation on Revenue.—A State or political subdivision may exercise the flow control authority grant-10 ed in this section only if the State or political subdivision limits the use of any of the revenues it derives from the 12 exercise of such authority to the payment of one or more of the following: 13 "(1) Principal and interest on any eligible bond. 14 15 "(2) Principal and interest on a bond issued for 16 a qualified environmental retrofit. "(3) Payments required by the terms of a con-17 18 tract referred to in subsection (a)(3)(C). "(4) Other expenses necessary for the operation 19 20 and maintenance and closure of designated facilities 21 and other integral facilities identified by the bond 22 necessary for the operation and maintenance of such
- 24 "(5) To the extent not covered by paragraphs 25 (1) through (4), expenses for recycling, composting,

designated facilities.

- and household hazardous waste activities in which
- 2 the State or political subdivision was engaged before
- 3 the suspension date. The amount and nature of pay-
- 4 ments described in this paragraph shall be fully dis-
- 5 closed to the public annually.
- 6 "(g) Interim Contracts.—A contract of the type
- 7 referred to in subsection (a)(3)(C) that was entered into
- 8 during the period—
- 9 "(1) before November 10, 1995, and after the
- effective date of any applicable final court order no
- 11 longer subject to judicial review specifically invali-
- dating the flow control authority of the applicable
- 13 State or political subdivision; or
- 14 "(2) after the applicable State or political sub-
- division refrained pursuant to legislative or official
- administrative action from enforcing flow control au-
- thority expressly because of the existence of a court
- order of the type described in subsection (a)(2)(B)
- issued by a court of the same State or the Federal
- judicial circuit within which such State is located
- and before the effective date on which it resumes en-
- forcement of flow control authority after enactment
- of this section,
- 24 shall be fully enforceable in accordance with State law.
- 25 "(h) Areas With Pre-1984 Flow Control.—

1	"(1) General Authority.—A State that or
2	or before January 1, 1984—
3	"(A) adopted regulations under a State
4	law that required or directed transportation
5	management, or disposal of municipal solid
6	waste from residential, commercial, institu-
7	tional, or industrial sources (as defined under
8	State law) to specifically identified waste man-
9	agement facilities, and applied those regulations
10	to every political subdivision of the State; and
11	"(B) subjected such waste management fa-
12	cilities to the jurisdiction of a State public utili-
13	ties commission,
14	may exercise flow control authority over municipal
15	solid waste in accordance with the other provisions
16	of this section.
17	"(2) Duration of Authority.—The authority
18	to direct municipal solid waste to any facility pursu-
19	ant to this subsection shall terminate with regard to
20	such facility in accordance with subsection (c).
21	"(i) EFFECT ON AUTHORITY OF STATES AND POLIT-
22	ICAL SUBDIVISIONS.—Nothing in this section shall be
23	interpreted—

- "(1) to authorize a political subdivision to exercise the flow control authority granted by this section in a manner inconsistent with State law;
 - "(2) to permit the exercise of flow control authority over municipal solid waste and recyclable materials to an extent greater than the maximum volume authorized by State permit to be disposed at the waste management facility or processed at the facility for recyclable materials;
 - "(3) to limit the authority of any State or political subdivision to place a condition on a franchise, license, or contract for municipal solid waste or recyclable materials collection, processing, or disposal; or
 - "(4) to impair in any manner the authority of any State or political subdivision to adopt or enforce any law, ordinance, regulation, or other legally binding provision or official act relating to the movement or processing of municipal solid waste or recyclable materials which does not constitute discrimination against or an undue burden upon interstate commerce.
- "(j) Effective Date.—The provisions of this sec-23 tion shall take effect with respect to the exercise by any 24 State or political subdivision of flow control authority on 25 or after the date of enactment of this section. Such provi-

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- 1 sions, other than subsection (d), shall also apply to the
- 2 exercise by any State or political subdivision of flow con-
- 3 trol authority before such date of enactment, except that
- 4 nothing in this section shall affect any final judgment that
- 5 is no longer subject to judicial review as of the date of
- 6 enactment of this section insofar as such judgment award-
- 7 ed damages based on a finding that the exercise of flow
- 8 control authority was unconstitutional.
- 9 "(k) State Solid Waste District Authority.—
- 10 In addition to any other flow control authority authorized
- 11 under this section a solid waste district or a political sub-
- 12 division of a State may exercise flow control authority for
- 13 a period of 20 years after the enactment of this section,
- 14 for municipal solid waste and for recyclable materials that
- 15 is generated within its jurisdiction if—
- "(1) the solid waste district, or a political sub-
- division within such district, is required through a
- 18 recyclable materials recycling program to meet a
- municipal solid waste reduction goal of at least 30
- percent by the year 2005, and uses revenues gen-
- 21 erated by the exercise of flow control authority
- strictly to implement programs to manage municipal
- solid waste and recyclable materials, other than in-
- 24 cineration programs; and

1	"(2) prior to the suspension date, the solid
2	waste district, or a political subdivision within such
3	district—
4	"(A) was responsible under State law for
5	the management and regulation of the storage,
6	collection, processing, and disposal of solid
7	wastes within its jurisdiction;
8	"(B) was authorized by State statute (en-
9	acted prior to January 1, 1992) to exercise flow
10	control authority, and subsequently adopted or
11	sought to exercise the authority through a law,
12	ordinance, regulation, regulatory proceeding,
13	contract, franchise, or other legally binding pro-
14	vision; and
15	"(C) was required by State statute (en-
16	acted prior to January 1, 1992) to develop and
17	implement a solid waste management plan con-
18	sistent with the State solid waste management
19	plan, and the district solid waste management
20	plan was approved by the appropriate State
21	agency prior to September 15, 1994.
22	"(l) Special Rule for Certain Consortia.—For
23	purposes of this section, if—
24	"(1) two or more political subdivisions are
25	members of a consortium of political subdivisions es-

1	tablished to exercise flow control authority with re-
2	spect to any waste management facility or facility
3	for recyclable materials;
4	"(2) all of such members have either presented
5	eligible bonds for sale or executed contracts with the
6	owner or operator of the facility requiring use of
7	such facility;
8	"(3) the facility was designated as of the sus-
9	pension date by at least one of such members;
10	"(4) at least one of such members has met the
11	requirements of subsection (a)(2) with respect to
12	such facility; and
13	"(5) at least one of such members has pre-
14	sented eligible bonds for sale, or entered into a con-
15	tract or agreement referred to in subsection
16	(a)(3)(C), on or before the suspension date, for such
17	facility,
18	the facility shall be treated as having been designated, as
19	of May 16, 1994, by all members of such consortium, and
20	all such members shall be treated as meeting the require-
21	ments of subsection (a)(2) and (3) with respect to such
22	facility.
23	"(m) Recovery of Damages.—
24	"(1) Prohibition.—No damages, interest or

damages, costs, or attorneys' fees may be recovered

- in any claim against any State or local government, or official or employee thereof, based on the exercise of flow control authority on or before May 16, 1994.
- 4 "(2) APPLICABILITY.—Paragraph (1) shall
 5 apply to cases commenced on or after the date of en6 actment of the Solid Waste Interstate Transpor7 tation and Local Authority Act of 2001, and shall
 8 apply to cases commenced before such date except
 9 cases in which a final judgment no longer subject to
 10 judicial review has been rendered.
- 11 "(n) Definitions.—For the purposes of this 12 section—
 - "(1) Adjusted expiration date' means, with respect to a bond issued for a qualified environmental retrofit, the earlier of the final maturity date of such bond or 15 years after the date of issuance of such bond.
 - "(2) Bond Issued for a Qualified environmental retrofit' means a bond described in paragraph (4)(A) or (B), the proceeds of which are dedicated to financing the retrofitting of a resource recovery facility or a municipal solid waste incinerator necessary to comply with section 129 of the Clean Air Act, provided that such bond

- is presented for sale before the expiration date of the bond or contract referred to in subsection (a)(3)(A), (B), or (C) that is applicable to such facility and no later than December 31, 2001.
 - "(3) Designated.—The term 'designated' means identified by a State or political subdivision for receipt of all or any portion of the municipal solid waste or recyclable materials that is generated within the boundaries of the State or political subdivision. Such designation includes designation through—
 - "(A) bond covenants, official statements, or other official financing documents issued by a State or political subdivision issuing an eligible bond; and
 - "(B) the execution of a contract of the type described in subsection (a)(3)(C),

in which one or more specific waste management facilities are identified as the requisite facility or facilities for receipt of municipal solid waste or recyclable materials generated within the jurisdictional boundaries of that State or political subdivision.

"(4) Eligible Bond.—The term 'eligible bond' means—

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1	"(A) a revenue bond or similar instrument
2	of indebtedness pledging payment to the bond-
3	holder or holder of the debt of identified reve-
4	nues; or
5	"(B) a general obligation bond,
6	the proceeds of which are used to finance one or
7	more designated waste management facilities, facili-
8	ties for recyclable materials, or specifically and di-
9	rectly related assets, development costs, or finance
10	costs, as evidenced by the bond documents.
11	"(5) Flow control authority.—The term
12	'flow control authority' means the regulatory author-
13	ity to control the movement of municipal solid waste
14	or voluntarily relinquished recyclable materials and
15	direct such solid waste or recyclable materials to one
16	or more designated waste management facilities or
17	facilities for recyclable materials within the bound-
18	aries of a State or political subdivision.
19	"(6) Municipal solid waste.—The term
20	'municipal solid waste' has the meaning given that
21	term in section 4011, except that such term—
22	"(A) includes waste material removed from
23	a septic tank, septage pit, or cesspool (other
24	than from portable toilets); and
25	"(B) does not include—

1	"(i) any substance the treatment and
2	disposal of which is regulated under the
3	Toxic Substances Control Act;
4	"(ii) waste generated during scrap
5	processing and scrap recycling; or
6	"(iii) construction and demolition de-
7	bris, except where the State or political
8	subdivision had on or before January 1,
9	1989, issued eligible bonds secured pursu-
10	ant to State or local law requiring the de-
11	livery of construction and demolition debris
12	to a waste management facility designated
13	by such State or political subdivision.
14	"(7) Political subdivision.—The term 'polit-
15	ical subdivision' means a city, town, borough, coun-
16	ty, parish, district, or public service authority or
17	other public body created by or pursuant to State
18	law with authority to present for sale an eligible
19	bond or to exercise flow control authority.
20	"(8) RECYCLABLE MATERIALS.—The term 're-
21	cyclable materials' means any materials that have
22	been separated from waste otherwise destined for
23	disposal (either at the source of the waste or at
24	processing facilities) or that have been managed sep-

arately from waste destined for disposal, for the pur-

1	pose of recycling, reclamation, composting of organic
2	materials such as food and yard waste, or reuse
3	(other than for the purpose of incineration). Such
4	term includes scrap tires to be used in resource re-
5	covery.
6	"(9) Suspension date.—The term 'suspension
7	date' means, with respect to a State or political
8	subdivision—
9	"(A) May 16, 1994;
10	"(B) the date of an injunction or other
11	court order described in subsection (a)(2)(B)
12	that was issued with respect to that State or
13	political subdivision; or
14	"(C) the date of a suspension or partial
15	suspension described in subsection $(a)(2)(C)$
16	with respect to that State or political subdivi-
17	sion.
18	"(10) Waste management facility.—The
19	term 'waste management facility' means any facility
20	for separating, storing, transferring, treating, proc-
21	essing, combusting, or disposing of municipal solid
22	waste.".
23	(b) Table of Contents.—The table of contents for
24	subtitle D of the Solid Waste Disposal Act is amended

- 1 by adding the following new item after the item relating
- 2 to section 4011:

"Sec. 4012. Congressional authorization of State and local government control over movement of municipal solid waste and recyclable materials.".

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