

107TH CONGRESS
1ST SESSION

S. 838

AN ACT

To amend the Federal Food, Drug, and Cosmetic Act to improve the safety and efficacy of pharmaceuticals for children.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “Best Pharmaceuticals
5 for Children Act”.

1 **SEC. 2. PEDIATRIC STUDIES OF ALREADY-MARKETED**
2 **DRUGS.**

3 Section 505A of the Federal Food, Drug, and Cos-
4 metic Act (21 U.S.C. 355a) is amended—

5 (1) by striking subsection (b); and

6 (2) in subsection (c)—

7 (A) by inserting after “the Secretary” the
8 following: “determines that information relating
9 to the use of an approved drug in the pediatric
10 population may produce health benefits in that
11 population and”; and

12 (B) by striking “concerning a drug identi-
13 fied in the list described in subsection (b)”.

14 **SEC. 3. RESEARCH FUND FOR THE STUDY OF DRUGS LACK-**
15 **ING EXCLUSIVITY.**

16 Part B of title IV of the Public Health Service Act
17 (42 U.S.C. 284 et seq.) is amended—

18 (1) by redesignating the second section 409C,
19 relating to clinical research (42 U.S.C. 284k), as
20 section 409G;

21 (2) by redesignating the second section 409D,
22 relating to enhancement awards (42 U.S.C. 284l), as
23 section 409H; and

24 (3) by adding at the end the following:

1 **“SEC. 409I. PROGRAM FOR PEDIATRIC STUDIES OF DRUGS.**

2 “(a) LIST OF DRUGS FOR WHICH PEDIATRIC STUD-
3 IES ARE NEEDED.—

4 “(1) IN GENERAL.—Not later than 1 year after
5 the date of enactment of this section, the Secretary,
6 acting through the Director of the National Insti-
7 tutes of Health and in consultation with the Com-
8 missioner of Food and Drugs and experts in pedi-
9 atric research, shall develop, prioritize, and publish
10 an annual list of approved drugs for which—

11 “(A)(i) there is an approved application
12 under section 505(j) of the Federal Food,
13 Drug, and Cosmetic Act (21 U.S.C. 355(j));

14 “(ii) there is a submitted application that
15 could be approved under the criteria of section
16 505(j) of the Federal Food, Drug, and Cos-
17 metic Act (21 U.S.C. 355(j));

18 “(iii) there is no patent protection or mar-
19 ket exclusivity protection under the Federal
20 Food, Drug, and Cosmetic Act (21 U.S.C. 301
21 et seq.); or

22 “(iv) there is a referral for inclusion on the
23 list under section 505A(d)(4)(C) of the Federal
24 Food, Drug, and Cosmetic Act (21 U.S.C.
25 355a(d)(4)(C)); and

1 “(B) in the case of a drug referred to in
2 clause (i), (ii), or (iii) of subparagraph (A), ad-
3 ditional studies are needed to assess the safety
4 and effectiveness of the use of the drug in the
5 pediatric population.

6 “(2) CONSIDERATION OF AVAILABLE INFORMA-
7 TION.—In developing and prioritizing the list under
8 paragraph (1), the Secretary shall consider, for each
9 drug on the list—

10 “(A) the availability of information con-
11 cerning the safe and effective use of the drug
12 in the pediatric population;

13 “(B) whether additional information is
14 needed;

15 “(C) whether new pediatric studies con-
16 cerning the drug may produce health benefits in
17 the pediatric population; and

18 “(D) whether reformulation of the drug is
19 necessary;

20 “(b) CONTRACTS FOR PEDIATRIC STUDIES.—The
21 Secretary shall award contracts to entities that have the
22 expertise to conduct pediatric clinical trials (including
23 qualified universities, hospitals, laboratories, contract re-
24 search organizations, federally funded programs such as
25 pediatric pharmacology research units, other public or pri-

1 vate institutions, or individuals) to enable the entities to
2 conduct pediatric studies concerning one or more drugs
3 identified in the list described in subsection (a).

4 “(c) PROCESS FOR CONTRACTS AND LABELING
5 CHANGES.—

6 “(1) WRITTEN REQUEST TO HOLDERS OF AP-
7 PROVED APPLICATIONS FOR DRUGS LACKING EXCLU-
8 SIVITY.—The Commissioner of Food and Drugs, in
9 consultation with the Director of the National Insti-
10 tutes of Health, may issue a written request (which
11 shall include a timeframe for negotiations for an
12 agreement) for pediatric studies concerning a drug
13 identified in the list described in subsection
14 (a)(1)(A) (except clause (iv)) to all holders of an ap-
15 proved application for the drug under section 505 of
16 the Federal Food, Drug, and Cosmetic Act. Such a
17 request shall be made in accordance with section
18 505A of the Federal Food, Drug, and Cosmetic Act.

19 “(2) REQUESTS FOR CONTRACT PROPOSALS.—
20 If the Commissioner of Food and Drugs does not re-
21 ceive a response to a written request issued under
22 paragraph (1) within 30 days of the date on which
23 a request was issued, or if a referral described in
24 subsection (a)(1)(A)(iv) is made, the Secretary, act-
25 ing through the Director of the National Institutes

1 of Health and in consultation with the Commissioner
2 of Food and Drugs, shall publish a request for con-
3 tract proposals to conduct the pediatric studies de-
4 scribed in the written request.

5 “(3) DISQUALIFICATION.—A holder that re-
6 ceives a first right of refusal shall not be entitled to
7 respond to a request for contract proposals under
8 paragraph (2).

9 “(4) GUIDANCE.—Not later than 270 days
10 after the date of enactment of this section, the Com-
11 missioner of Food and Drugs shall promulgate guid-
12 ance to establish the process for the submission of
13 responses to written requests under paragraph (1).

14 “(5) CONTRACTS.—A contract under this sec-
15 tion may be awarded only if a proposal for the con-
16 tract is submitted to the Secretary in such form and
17 manner, and containing such agreements, assur-
18 ances, and information as the Secretary determines
19 to be necessary to carry out this section.

20 “(6) REPORTING OF STUDIES.—

21 “(A) IN GENERAL.—On completion of a
22 pediatric study in accordance with a contract
23 awarded under this section, a report concerning
24 the study shall be submitted to the Director of
25 the National Institutes of Health and the Com-

1 missioner of Food and Drugs. The report shall
2 include all data generated in connection with
3 the study.

4 “(B) AVAILABILITY OF REPORTS.—Each
5 report submitted under subparagraph (A) shall
6 be considered to be in the public domain (sub-
7 ject to section 505A(d)(4)(D)) of the Federal
8 Food, Drug, and Cosmetic Act (21 U.S.C.
9 355a(d)(4)(D)) and shall be assigned a docket
10 number by the Commissioner of Food and
11 Drugs. An interested person may submit writ-
12 ten comments concerning such pediatric studies
13 to the Commissioner of Food and Drugs, and
14 the written comments shall become part of the
15 docket file with respect to each of the drugs.

16 “(C) ACTION BY COMMISSIONER.—The
17 Commissioner of Food and Drugs shall take ap-
18 propriate action in response to the reports sub-
19 mitted under subparagraph (A) in accordance
20 with paragraph (7).

21 “(7) REQUESTS FOR LABELING CHANGE.—Dur-
22 ing the 180-day period after the date on which a re-
23 port is submitted under paragraph (6)(A), the Com-
24 missioner of Food and Drugs shall—

1 “(A) review the report and such other data
2 as are available concerning the safe and effec-
3 tive use in the pediatric population of the drug
4 studied;

5 “(B) negotiate with the holders of ap-
6 proved applications for the drug studied for any
7 labeling changes that the Commissioner of Food
8 and Drugs determines to be appropriate and re-
9 quests the holders to make; and

10 “(C)(i) place in the public docket file a
11 copy of the report and of any requested labeling
12 changes; and

13 “(ii) publish in the Federal Register a
14 summary of the report and a copy of any re-
15 quested labeling changes.

16 “(8) DISPUTE RESOLUTION.—

17 “(A) REFERRAL TO PEDIATRIC ADVISORY
18 COMMITTEE.—If, not later than the end of the
19 180-day period specified in paragraph (7), the
20 holder of an approved application for the drug
21 involved does not agree to any labeling change
22 requested by the Commissioner of Food and
23 Drugs under that paragraph, the Commissioner
24 of Food and Drugs may refer the request to the
25 Pediatric Advisory Committee.

1 “(B) ACTION BY THE PEDIATRIC ADVISORY
2 COMMITTEE.—Not later than 90 days after re-
3 ceiving a referral under subparagraph (A), the
4 Pediatric Advisory Committee shall—

5 “(i) review the available information
6 on the safe and effective use of the drug
7 in the pediatric population, including study
8 reports submitted under this section; and

9 “(ii) make a recommendation to the
10 Commissioner of Food and Drugs as to ap-
11 propriate labeling changes, if any.

12 “(9) FDA DETERMINATION.—Not later than 30
13 days after receiving a recommendation from the Pe-
14 diatric Advisory Committee under paragraph
15 (8)(B)(ii) with respect to a drug, the Commissioner
16 of Food and Drugs shall consider the recommenda-
17 tion and, if appropriate, make a request to the hold-
18 ers of approved applications for the drug to make
19 any labeling change that the Commissioner of Food
20 and Drugs determines to be appropriate.

21 “(10) FAILURE TO AGREE.—If a holder of an
22 approved application for a drug, within 30 days
23 after receiving a request to make a labeling change
24 under paragraph (9), does not agree to make a re-
25 quested labeling change, the Commissioner may

1 deem the drug to be misbranded under the Federal
2 Food, Drug, and Cosmetic Act (21 U.S.C. 301 et
3 seq.).

4 “(11) NO EFFECT ON AUTHORITY.—Nothing in
5 this subsection limits the authority of the United
6 States to bring an enforcement action under section
7 502 when a drug lacks appropriate pediatric label-
8 ing.

9 “(12) RECOMMENDATION FOR FORMULATION
10 CHANGES.—If a pediatric study completed under
11 public contract indicates that a formulation change
12 is necessary and the Secretary agrees, the Secretary
13 shall send a nonbinding letter of recommendation re-
14 garding that change to each holder of an approved
15 application.

16 “(d) AUTHORIZATION OF APPROPRIATIONS.—

17 “(1) IN GENERAL.—There are authorized to be
18 appropriated to carry out this section—

19 “(A) \$200,000,000 for fiscal year 2002;
20 and

21 “(B) such sums as are necessary for each
22 of the 5 succeeding fiscal years.

23 “(2) AVAILABILITY.—Any amount appropriated
24 under paragraph (1) shall remain available to carry
25 out this section until expended.”.

1 **SEC. 4. WRITTEN REQUEST TO HOLDERS OF APPROVED AP-**
2 **PLICATIONS FOR DRUGS THAT HAVE MAR-**
3 **KET EXCLUSIVITY.**

4 Section 505A(d) of the Federal Food, Drug, and Cos-
5 metic Act (21 U.S.C. 355a(d)) is amended by adding at
6 the end the following:

7 “(4) WRITTEN REQUEST TO HOLDERS OF AP-
8 PROVED APPLICATIONS FOR DRUGS THAT HAVE
9 MARKET EXCLUSIVITY.—

10 “(A) REQUEST AND RESPONSE.—If the
11 Secretary makes a written request for pediatric
12 studies (including neonates, as appropriate)
13 under subsection (c) to the holder of an applica-
14 tion approved under section 505(b)(1), the
15 holder, not later than 180 days after receiving
16 the written request, shall respond to the Sec-
17 retary as to the intention of the holder to act
18 on the request by—

19 “(i) indicating when the pediatric
20 studies will be initiated, if the holder
21 agrees to the request; or

22 “(ii) indicating that the holder does
23 not agree to the request.

24 “(B) NO AGREEMENT TO REQUEST.—

25 “(i) REFERRAL.—If the holder does
26 not agree to a written request within the

1 time period specified in subparagraph (A),
2 and if the Secretary determines that there
3 is a continuing need for information relat-
4 ing to the use of the drug in the pediatric
5 population (including neonates, as appro-
6 priate), the Secretary shall refer the drug
7 to the Foundation for the National Insti-
8 tutes of Health established under section
9 499 of the Public Health Service Act (42
10 U.S.C. 290b) (referred to in this para-
11 graph as the ‘Foundation’) for the conduct
12 of the pediatric studies described in the
13 written request.

14 “(ii) PUBLIC NOTICE.—The Secretary
15 shall give public notice of the name of the
16 drug, the name of the manufacturer, and
17 the indications to be studied made in a re-
18 ferral under clause (i).

19 “(C) LACK OF FUNDS.—On referral of a
20 drug under subparagraph (B)(i), the Founda-
21 tion shall issue a proposal to award a grant to
22 conduct the requested studies unless the Foun-
23 dation certifies to the Secretary, within a time-
24 frame that the Secretary determines is appro-
25 priate through guidance, that the Foundation

1 does not have funds available to conduct the re-
2 quested studies. If the Foundation so certifies,
3 the Secretary shall refer the drug for inclusion
4 on the list established under section 409I of the
5 Public Health Service Act for the conduct of
6 the studies.

7 “(D) EFFECT OF SUBSECTION.—Nothing
8 in this subsection (including with respect to re-
9 ferrals from the Secretary to the Foundation)
10 alters or amends section 301(j) of this Act or
11 section 552 of title 5 or section 1905 of title
12 18, United States Code.

13 “(E) NO REQUIREMENT TO REFER.—
14 Nothing in this subsection shall be construed to
15 require that every declined written request shall
16 be referred to the Foundation.

17 “(F) USE OF DRUG.—Research conducted
18 under this paragraph using a commercially
19 available drug shall be considered to be an ac-
20 tivity conducted for the purpose of development
21 and submission of information to the Secretary
22 under this Act.

23 “(G) WRITTEN REQUESTS UNDER SUB-
24 SECTION (b).—For drugs under subsection (b)
25 for which written requests have not been ac-

1 cepted, if the Secretary determines that there is
 2 a continuing need for information relating to
 3 the use of the drug in the pediatric population
 4 (including neonates, as appropriate), the Sec-
 5 retary shall issue a written request under sub-
 6 section (c) after the date of approval of the
 7 drug.”.

8 **SEC. 5. TIMELY LABELING CHANGES FOR DRUGS GRANTED**
 9 **EXCLUSIVITY; DRUG FEES.**

10 (a) **ELIMINATION OF USER FEE WAIVER FOR PEDI-**
 11 **ATRIC SUPPLEMENTS.**—Section 736(a)(1) of the Federal
 12 Food, Drug, and Cosmetic Act (21 U.S.C. 379h(a)(1)) is
 13 amended—

14 (1) by striking subparagraph (F); and
 15 (2) by redesignating subparagraph (G) as sub-
 16 paragraph (F).

17 (b) **LABELING CHANGES.**—

18 (1) **DEFINITION OF PRIORITY SUPPLEMENT.**—
 19 Section 201 of the Federal Food Drug, and Cos-
 20 metic Act (21 U.S.C. 321) is amended by adding at
 21 the end the following:

22 “(kk) **PRIORITY SUPPLEMENT.**—The term ‘pri-
 23 ority supplement’ means a drug application referred
 24 to in section 101(4) of the Food and Drug Adminis-

1 tration Modernization Act of 1997 (111 Stat.
2 2298).”.

3 (2) TREATMENT AS PRIORITY SUPPLEMENTS.—
4 Section 505A of the Federal Food, Drug, and Cos-
5 metic Act (21 U.S.C. 355a) is amended by adding
6 at the end the following:

7 “(1) LABELING SUPPLEMENTS.—

8 “(1) PRIORITY STATUS FOR PEDIATRIC SUP-
9 PLEMENTS.—Any supplement to an application
10 under section 505 proposing a labeling change pur-
11 suant to a report on a pediatric study under this
12 section—

13 “(A) shall be considered to be a priority
14 supplement; and

15 “(B) shall be subject to the performance
16 goals established by the Commissioner for pri-
17 ority drugs.

18 “(2) DISPUTE RESOLUTION.—

19 “(A) REQUEST FOR LABELING CHANGE
20 AND FAILURE TO AGREE.—If the Commissioner
21 determines that an application with respect to
22 which a pediatric study is conducted under this
23 section is approvable and that the only open
24 issue for final action on the application is the
25 reaching of an agreement between the sponsor

1 of the application and the Commissioner on ap-
2 propriate changes to the labeling for the drug
3 that is the subject of the application, not later
4 than 180 days after the date of submission of
5 the application—

6 “(i) the Commissioner shall request
7 that the sponsor of the application make
8 any labeling change that the Commissioner
9 determines to be appropriate; and

10 “(ii) if the sponsor of the application
11 does not agree to make a labeling change
12 requested by the Commissioner, the Com-
13 missioner may refer the matter to the Pe-
14 diatric Advisory Committee.

15 “(B) ACTION BY THE PEDIATRIC ADVISORY
16 COMMITTEE.—Not later than 90 days after re-
17 ceiving a referral under subparagraph (A)(ii),
18 the Pediatric Advisory Committee shall—

19 “(i) review the pediatric study reports;
20 and

21 “(ii) make a recommendation to the
22 Commissioner concerning appropriate la-
23 beling changes, if any.

24 “(C) CONSIDERATION OF RECOMMENDA-
25 TIONS.—The Commissioner shall consider the

1 recommendations of the Pediatric Advisory
2 Committee and, if appropriate, not later than
3 30 days after receiving the recommendation,
4 make a request to the sponsor of the applica-
5 tion to make any labeling change that the Com-
6 missioner determines to be appropriate.

7 “(D) MISBRANDING.—If the sponsor of the
8 application, within 30 days after receiving a re-
9 quest under subparagraph (C), does not agree
10 to make a labeling change requested by the
11 Commissioner, the Commissioner may deem the
12 drug that is the subject of the application to be
13 misbranded.

14 “(E) NO EFFECT ON AUTHORITY.—Noth-
15 ing in this subsection limits the authority of the
16 United States to bring an enforcement action
17 under section 502 when a drug lacks appro-
18 priate pediatric labeling.”.

19 **SEC. 6. OFFICE OF PEDIATRIC THERAPEUTICS.**

20 (a) ESTABLISHMENT.—The Secretary of Health and
21 Human Services shall establish an Office of Pediatric
22 Therapeutics within the Office of the Commissioner of
23 Food and Drugs.

24 (b) DUTIES.—The Office of Pediatric Therapeutics
25 shall be responsible for oversight and coordination of all

1 activities of the Food and Drug Administration that may
2 have any effect on a pediatric population or the practice
3 of pediatrics or may in any other way involve pediatric
4 issues.

5 (c) STAFF.—The staff of the Office of Pediatric
6 Therapeutics shall include—

7 (1) employees of the Department of Health and
8 Human Services who, as of the date of enactment of
9 this Act, exercise responsibilities relating to pediatric
10 therapeutics;

11 (2) 1 or more additional individuals with exper-
12 tise concerning ethical issues presented by the con-
13 duct of clinical research in the pediatric population;
14 and

15 (3) 1 or more additional individuals with exper-
16 tise in pediatrics who shall consult and collaborate
17 with all components of the Food and Drug Adminis-
18 tration concerning activities described in subsection

19 (b).

20 **SEC. 7. NEONATES.**

21 Section 505A(g) of the Federal Food, Drug, and Cos-
22 metic Act (21 U.S.C. 355a(g)) is amended by inserting
23 “(including neonates in appropriate cases)” after “pedi-
24 atric age groups”.

1 **SEC. 8. SUNSET.**

2 Section 505A of the Federal Food, Drug, and Cos-
3 metic Act (21 U.S.C. 355a) is amended by striking sub-
4 section (j) and inserting the following:

5 “(j) SUNSET.—A drug may not receive any 6-month
6 period under subsection (a) or (c) unless—

7 “(1) on or before October 1, 2007, the Sec-
8 retary makes a written request for pediatric studies
9 of the drug;

10 “(2) on or before October 1, 2007, an applica-
11 tion for the drug is submitted under section
12 505(b)(1); and

13 “(3) all requirements of this section are met.”.

14 **SEC. 9. DISSEMINATION OF PEDIATRIC INFORMATION.**

15 Section 505A of the Federal Food, Drug, and Cos-
16 metic Act (21 U.S.C. 355a) (as amended by section
17 5(b)(2)) is amended by adding at the end the following:

18 “(m) DISSEMINATION OF PEDIATRIC INFORMA-
19 TION.—

20 “(1) IN GENERAL.—Not later than 180 days
21 after the date of submission of a report on a pedi-
22 atric study under this section, the Commissioner
23 shall make available to the public a summary of the
24 medical and clinical pharmacology reviews of pedi-
25 atric studies conducted for the supplement, including
26 by publication in the Federal Register.

1 “(2) EFFECT OF SUBSECTION.—Nothing in this
2 subsection alters or amends section 301(j) of this
3 Act or section 552 of title 5 or section 1905 of title
4 18, United States Code.”.

5 **SEC. 10. CLARIFICATION OF INTERACTION OF PEDIATRIC**
6 **EXCLUSIVITY UNDER SECTION 505A OF THE**
7 **FEDERAL FOOD, DRUG, AND COSMETIC ACT**
8 **AND 180-DAY EXCLUSIVITY AWARDED TO AN**
9 **APPLICANT FOR APPROVAL OF A DRUG**
10 **UNDER SECTION 505(j) OF THAT ACT.**

11 Section 505A of the Federal Food, Drug, and Cos-
12 metic Act (21 U.S.C. 355a) (as amended by section 9)
13 is amended by adding at the end the following:

14 “(n) CLARIFICATION OF INTERACTION OF MARKET
15 EXCLUSIVITY UNDER THIS SECTION AND MARKET EX-
16 CLUSIVITY AWARDED TO AN APPLICANT FOR APPROVAL
17 OF A DRUG UNDER SECTION 505(j).—

18 “(1) IN GENERAL.—If a 180-day period under
19 section 505(j)(5)(B)(iv) overlaps with a 6-month ex-
20 tension under this section, so that the applicant for
21 approval of a drug under section 505(j) entitled to
22 the 180-day period under that section loses a portion
23 of the 180-day period to which the applicant is enti-
24 tled for the drug, the 180-day period shall be
25 extended—

1 “(A) if the 180-day period would, but for
2 this subsection, expire after the 6-month exten-
3 sion, by the number of days of the overlap; or

4 “(B) if the 180-day period would, but for
5 this subsection, expire during the 6-month ex-
6 tension, by 6 months.

7 “(2) EFFECT OF SUBSECTION.—Under no cir-
8 cumstances shall application of this section result in
9 an applicant for approval of a drug under section
10 505(j) being enabled to commercially market the
11 drug to the exclusion of a subsequent applicant for
12 approval of a drug under section 505(j) for more
13 than 180 days.”.

14 **SEC. 11. PROMPT APPROVAL OF DRUGS UNDER SECTION**
15 **505(j) WHEN PEDIATRIC INFORMATION IS**
16 **ADDED TO LABELING.**

17 (a) IN GENERAL.—Section 505A of the Federal
18 Food, Drug, and Cosmetics Act (21 U.S.C. 355a) (as
19 amended by section 10) is amended by adding at the end
20 the following:

21 “(o) PROMPT APPROVAL OF DRUGS UNDER SECTION
22 505(j) WHEN PEDIATRIC INFORMATION IS ADDED TO LA-
23 BELING.—

24 “(1) GENERAL RULE.—A drug for which an ap-
25 plication has been submitted or approved under sec-

1 tion 505(j) shall not be considered ineligible for ap-
2 proval under that section or misbranded under sec-
3 tion 502 on the basis that the labeling of the drug
4 omits a pediatric indication or any other aspect of
5 labeling pertaining to pediatric use when the omitted
6 indication or other aspect is protected by patent or
7 by exclusivity under clause (iii) or (iv) of section
8 505(j)(5)(D).

9 “(2) LABELING.—Notwithstanding clauses (iii)
10 and (iv) of section 505(j)(5)(D), the Secretary may
11 require that the labeling of a drug approved under
12 section 505(j) that omits a pediatric indication or
13 other aspect of labeling as described in paragraph
14 (1) include—

15 “(A) a statement that, because of mar-
16 keting exclusivity for the manufacturer—

17 “(i) the drug is not labeled for pedi-
18 atric use; or

19 “(ii) in the case of a drug for which
20 there is an additional pediatric use not re-
21 ferred to in paragraph (1), the drug is not
22 labeled for the pediatric use under para-
23 graph (1); and

1 “(B) a statement of any appropriate pedi-
2 atric contraindications, warnings, or pre-
3 cautions that the Secretary considers necessary.

4 “(3) PRESERVATION OF PEDIATRIC EXCLU-
5 SIVITY AND OTHER PROVISIONS.—This subsection
6 does not affect—

7 “(A) the availability or scope of exclusivity
8 under this section;

9 “(B) the availability or scope of exclusivity
10 under section 505 for pediatric formulations;

11 “(C) the question of the eligibility for ap-
12 proval of any application under section 505(j)
13 that omits any other conditions of approval en-
14 titled to exclusivity under clause (iii) or (iv) of
15 section 505(j)(5)(D); or

16 “(D) except as expressly provided in para-
17 graphs (1) and (2), the operation of section
18 505.”.

19 (b) EFFECTIVE DATE.—The amendment made by
20 subsection (a) takes effect on the date of enactment of
21 this Act, including with respect to applications under sec-
22 tion 505(j) of the Federal Food, Drug, and Cosmetic Act
23 (21 U.S.C. 355(j)) that are approved or pending on that
24 date.

1 **SEC. 12. STUDY CONCERNING RESEARCH INVOLVING CHIL-**
2 **DREN.**

3 (a) **CONTRACT WITH INSTITUTE OF MEDICINE.—**

4 The Secretary of Health and Human Services shall enter
5 into a contract with the Institute of Medicine for—

6 (1) the conduct, in accordance with subsection

7 (b), of a review of—

8 (A) Federal regulations in effect on the
9 date of the enactment of this Act relating to re-
10 search involving children;

11 (B) federally prepared or supported re-
12 ports relating to research involving children;
13 and

14 (C) federally supported evidence-based re-
15 search involving children; and

16 (2) the submission to the Committee on Health,
17 Education, Labor, and Pensions of the Senate and
18 the Committee on Energy and Commerce of the
19 House of Representatives, not later than 2 years
20 after the date of enactment of this Act, of a report
21 concerning the review conducted under paragraph
22 (1) that includes recommendations on best practices
23 relating to research involving children.

24 (b) **AREAS OF REVIEW.—**In conducting the review
25 under subsection (a)(1), the Institute of Medicine shall
26 consider the following:

1 (1) The written and oral process of obtaining
2 and defining “assent”, “permission” and “informed
3 consent” with respect to child clinical research par-
4 ticipants and the parents, guardians, and the indi-
5 viduals who may serve as the legally authorized rep-
6 resentatives of such children (as defined in subpart
7 A of part 46 of title 45, Code of Federal Regula-
8 tions).

9 (2) The expectations and comprehension of
10 child research participants and the parents, guard-
11 ians, or legally authorized representatives of such
12 children, for the direct benefits and risks of the
13 child’s research involvement, particularly in terms of
14 research versus therapeutic treatment.

15 (3) The definition of “minimal risk” with re-
16 spect to a healthy child or a child with an illness.

17 (4) The appropriateness of the regulations ap-
18 plicable to children of differing ages and maturity
19 levels, including regulations relating to legal status.

20 (5) Whether payment (financial or otherwise)
21 may be provided to a child or his or her parent,
22 guardian, or legally authorized representative for the
23 participation of the child in research, and if so, the
24 amount and type of payment that may be made.

1 (6) Compliance with the regulations referred to
2 in subsection (a)(1)(A), the monitoring of such com-
3 pliance (including the role of institutional review
4 boards), and the enforcement actions taken for viola-
5 tions of such regulations.

6 (7) The unique roles and responsibilities of in-
7 stitutional review boards in reviewing research in-
8 volving children, including composition of member-
9 ship on institutional review boards.

10 (c) **REQUIREMENTS OF EXPERTISE.**—The Institute
11 of Medicine shall conduct the review under subsection
12 (a)(1) and make recommendations under subsection (a)(2)
13 in conjunction with experts in pediatric medicine, pediatric
14 research, and the ethical conduct of research involving
15 children.

16 **SEC. 13. FOUNDATION FOR THE NATIONAL INSTITUTES OF**
17 **HEALTH.**

18 Section 499 of the Public Health Service Act (42
19 U.S.C. 290b) is amended—

20 (1) in subsection (b), by inserting “(including
21 collection of funds and awarding of grants for pedi-
22 atric research and studies on drugs)” after “mis-
23 sion”;

24 (2) in subsection (c)(1)—

1 (A) by redesignating subparagraph (C) as
2 subparagraph (D); and

3 (B) by inserting after subparagraph (B)
4 the following:

5 “(C) A program to collect funds and award
6 grants for pediatric research and studies listed
7 by the Secretary pursuant to section
8 409I(a)(1)(A) of this Act and referred under
9 section 505A(d)(4)(C) of the Federal Food,
10 Drug, and Cosmetic Act (21 U.S.C.
11 355a(d)(4)(C)).”;

12 (3) in subsection (d)—

13 (A) in paragraph (1)—

14 (i) in subparagraph (B)—

15 (I) in clause (ii), by striking
16 “and” at the end;

17 (II) in clause (iii), by striking the
18 period and inserting “; and”; and

19 (III) by adding at the end the
20 following:

21 “(iv) the Commissioner of Food and
22 Drugs.”; and

23 (ii) by striking subparagraph (C) and
24 inserting the following:

1 “(C) The ex officio members of the Board
2 under subparagraph (B) shall appoint to the
3 Board individuals from among a list of can-
4 didates to be provided by the National Academy
5 of Science. Such appointed members shall
6 include—

7 “(i) representatives of the general bio-
8 medical field;

9 “(ii) representatives of experts in pe-
10 diatric medicine and research;

11 “(iii) representatives of the general
12 biobehavioral field, which may include ex-
13 perts in biomedical ethics; and

14 “(iv) representatives of the general
15 public, which may include representatives
16 of affected industries.”; and

17 (B) in paragraph (2), by realigning the
18 margin of subparagraph (B) to align with sub-
19 paragraph (A);

20 (4) in subsection (k)(9)—

21 (A) by striking “The Foundation” and in-
22 serting the following:

23 “(A) IN GENERAL.—The Foundation”; and

24 (B) by adding at the end the following:

1 “(B) GIFTS, GRANTS, AND OTHER DONA-
2 TIONS.—

3 “(i) IN GENERAL.—Gifts, grants, and
4 other donations to the Foundation may be
5 designated for pediatric research and stud-
6 ies on drugs, and funds so designated shall
7 be used solely for grants for research and
8 studies under subsection (c)(1)(C). Other
9 gifts, grants, or donations received by the
10 Foundation may also be used to support
11 such pediatric research and studies.

12 “(ii) REPORT.—The recipient of a
13 grant for research and studies shall agree
14 to provide the Director of the National In-
15 stitutes of Health and the Commissioner of
16 Food and Drugs, at the conclusion of the
17 research and studies—

18 “(I) a report describing the re-
19 sults of the research and studies; and

20 “(II) all data generated in con-
21 nection with the research and studies.

22 “(iii) ACTION BY THE COMMISSIONER
23 OF FOOD AND DRUGS.—The Commissioner
24 of Food and Drugs shall take appropriate
25 action in response to a report received

1 under clause (ii) in accordance with section
2 409I(e)(7), including negotiating with the
3 holders of approved applications for the
4 drugs studied for any labeling changes that
5 the Commissioner determines to be appro-
6 priate and requests the holders to make.

7 “(C) APPLICABILITY.—Subparagraph (A)
8 does not apply to the program described in sub-
9 section (e)(1)(C).”;
10 (5) by redesignating subsections (f) through
11 (m) as subsections (e) through (l), respectively;
12 (6) in subsection (h)(11) (as so redesignated),
13 by striking “solicit” and inserting “solicit,”; and
14 (7) in paragraphs (1) and (2) of subsection (j)
15 (as so redesignated), by striking “(including those
16 developed under subsection (d)(2)(B)(i)(II))” each
17 place it appears.

18 **SEC. 14. PEDIATRIC ADVISORY COMMITTEE.**

19 (a) IN GENERAL.—The Secretary of Health and
20 Human Services shall, under section 222 of the Public
21 Health Service Act (42 U.S.C. 217a), convene and consult
22 an advisory committee on pediatrics (referred to in this
23 section as the “advisory committee”).

24 (b) PURPOSE.—

1 (1) IN GENERAL.—The advisory committee
2 shall advise and make recommendations to the Sec-
3 retary, through the Commissioner of Food and
4 Drugs and in consultation with the Director of the
5 National Institute of Health, on all matters relating
6 to pediatrics, including pediatric therapeutics.

7 (2) MATTERS INCLUDED.—The matters re-
8 ferred to in paragraph (1) include—

9 (A) pediatric research conducted under
10 sections 351, 409I, and 499 of the Public
11 Health Service Act and sections 501, 502, 505,
12 and 505A of the Federal Food, Drug, and Cos-
13 metic Act;

14 (B) identification of pediatric research pri-
15 orities and the need for additional treatments of
16 specific pediatric diseases or conditions; and

17 (C) the ethics, design, and analysis of pedi-
18 atric clinical trials.

19 (c) COMPOSITION.—The advisory committee shall in-
20 clude representatives of pediatric health organizations, pe-
21 diatric researchers, relevant patient and patient-family or-
22 ganizations, and other experts selected by the Secretary.

23 (d) CLARIFICATION OF AUTHORITIES.—

24 (1) IN GENERAL.—The Pediatric Subcommittee
25 of the Oncologic Drugs Advisory Committee (re-

1 ferred to in this subsection as the “Subcommittee”),
2 in carrying out the mission of reviewing and evalu-
3 ating the data concerning the safety and effective-
4 ness of marketed and investigational human drug
5 products for use in the treatment of pediatric can-
6 cers, shall—

7 (A) evaluate and, to the extent practicable,
8 prioritize new and emerging therapeutic alter-
9 natives available to treat pediatric cancer;

10 (B) provide recommendations and guidance
11 to help ensure that children with cancer have
12 timely access to the most promising new cancer
13 therapies; and

14 (C) advise on ways to improve consistency
15 in the availability of new therapeutic agents.

16 (2) MEMBERSHIP.—

17 (A) IN GENERAL.—The Secretary shall ap-
18 point at least 13 voting members to the Pedi-
19 atric Subcommittee.

20 (B) REQUEST FOR PARTICIPATION.—The
21 Subcommittee shall request participation of the
22 following members in the scientific and ethical
23 consideration of topics of pediatric cancer, as
24 necessary:

- 1 (i) At least 2 pediatric oncology spe-
2 cialists from the National Cancer Institute.
- 3 (ii) At least 6 pediatric oncology spe-
4 cialists from—
- 5 (I) the Children’s Oncology
6 Group;
- 7 (II) other pediatric experts with
8 an established history of conducting
9 clinical trials in children; or
- 10 (III) consortia sponsored by the
11 National Cancer Institute, such as the
12 Pediatric Brain Tumor Consortium,
13 the New Approaches to Neuro-
14 blastoma Therapy or other pediatric
15 oncology consortia.
- 16 (iii) At least 2 representatives of the
17 pediatric cancer patient and patient-family
18 community.
- 19 (iv) 1 representative of the nursing
20 community.
- 21 (v) At least 1 statistician.
- 22 (vi) At least 1 representative of the
23 pharmaceutical industry.
- 24 (e) PRE-CLINICAL MODELS TO EVALUATE PROM-
25 ISING PEDIATRIC CANCER THERAPIES.—Section 413 of

1 the Public Health Service Act (42 U.S.C. 285a–2) is
2 amended by adding at the end the following:

3 “(c) PRE-CLINICAL MODELS TO EVALUATE PROM-
4 ISING PEDIATRIC CANCER THERAPIES.—

5 “(1) EXPANSION AND COORDINATION OF AC-
6 TIVITIES.—The Director of the National Cancer In-
7 stitute shall expand, intensify, and coordinate the
8 activities of the Institute with respect to research on
9 the development of preclinical models to evaluate
10 which therapies are likely to be effective for treating
11 pediatric cancer.

12 “(2) COORDINATION WITH OTHER INSTI-
13 TUTES.—The Director of the Institute shall coordi-
14 nate the activities under paragraph (1) with similar
15 activities conducted by other national research insti-
16 tutes and agencies of the National Institutes of
17 Health to the extent that those Institutes and agen-
18 cies have responsibilities that are related to pediatric
19 cancer.”.

20 (f) CLARIFICATION OF AVAILABILITY OF INVESTIGA-
21 TIONAL NEW DRUGS FOR PEDIATRIC STUDY AND USE.—

22 (1) AMENDMENT OF THE FEDERAL FOOD,
23 DRUG, AND COSMETIC ACT.—Section 505(i)(1) of
24 the Federal Food, Drug, and Cosmetic Act (21
25 U.S.C. 355(i)(1)) is amended—

1 (A) in subparagraph (B), by striking
2 “and” at the end;

3 (B) in subparagraph (C), by striking the
4 period at the end and inserting “; and”; and

5 (C) by adding at the end the following:

6 “(D) the submission to the Secretary by
7 the manufacturer or the sponsor of the inves-
8 tigation of a new drug of a statement of intent
9 regarding whether the manufacturer or sponsor
10 has plans for assessing pediatric safety and effi-
11 cacy.”.

12 (2) AMENDMENT OF THE PUBLIC HEALTH
13 SERVICE ACT.—Section 402(j)(3)(A) of the Public
14 Health Service Act (42 U.S.C. 282(j)(3)(A)) is
15 amended in the first sentence—

16 (A) by striking “trial sites, and” and in-
17 serting “trial sites,”; and

18 (B) by striking “in the trial,” and insert-
19 ing “in the trial, and a description of whether,
20 and through what procedure, the manufacturer
21 or sponsor of the investigation of a new drug
22 will respond to requests for protocol exception,
23 with appropriate safeguards, for single-patient
24 and expanded protocol use of the new drug,
25 particularly in children,”.

1 (g) REPORT.—Not later than January 31, 2003, the
2 Secretary of Health and Human Services, acting through
3 the Commissioner of Food and Drugs and in consultation
4 with the Director of the National Institutes of Health,
5 shall submit to the Committee on Health, Education,
6 Labor, and Pensions of the Senate and the Committee on
7 Energy and Commerce of the House of Representatives
8 a report on patient access to new therapeutic agents for
9 pediatric cancer, including access to single patient use of
10 new therapeutic agents.

11 **SEC. 15. REPORT ON PEDIATRIC EXCLUSIVITY PROGRAM.**

12 (a) IN GENERAL.—Not later than January 31, 2007,
13 the Secretary of Health and Human Services, in consulta-
14 tion with the Comptroller General of the United States,
15 shall submit to Congress a report that addresses the fol-
16 lowing issues, using publicly available data or data other-
17 wise available to the Government that may be used and
18 disclosed under applicable law:

19 (1) The effectiveness of this Act and the
20 amendments made by this Act in ensuring that
21 medicines used by children are tested and properly
22 labeled, including—

23 (A) the number and importance of drugs
24 for children that are being tested as a result of
25 this legislation and the importance for children,

1 health care providers, parents, and others of la-
2 beling changes made as a result of such testing;

3 (B) the number and importance of drugs
4 for children that are not being tested for their
5 use notwithstanding the provisions of this legis-
6 lation, and possible reasons for the lack of test-
7 ing; and

8 (C) the number of drugs for which testing
9 is being done, exclusivity granted, and labeling
10 changes required, including the date pediatric
11 exclusivity is granted and the date labeling
12 changes are made (noting whether or not label-
13 ing changes were requested by the Food and
14 Drug Administration and what, if any, rec-
15 ommendation was made by the Pediatric Advi-
16 sory Committee).

17 (2) The economic impact of this Act and the
18 amendments made by this Act, including an estimate
19 of—

20 (A) the costs to taxpayers in the form of
21 higher expenditures by medicaid and other Gov-
22 ernment programs;

23 (B) increased sales for each drug during
24 the 6-month period for which exclusivity is
25 granted;

1 (C) costs to consumers and private insur-
2 ers as a result of any delay in the availability
3 of lower cost generic equivalents of drugs tested
4 and granted exclusivity under the Federal
5 Food, Drug, and Cosmetic Act (21 U.S.C. 301
6 et seq.), and loss of revenue by the generic drug
7 industry as a result of any such delay; and

8 (D) savings to taxpayers (in the form of
9 lower expenditures by medicaid and other Gov-
10 ernment programs), private insurers, and con-
11 sumers due to more appropriate and more ef-
12 fective use of medications in children as a result
13 of testing and relabeling, including savings from
14 fewer hospitalizations and fewer medical errors.

15 (3) The nature and type of studies in children
16 for each drug granted exclusivity under the Federal
17 Food, Drug, and Cosmetic Act (21 U.S.C. 301 et
18 seq.), including—

19 (A) a description of the complexity of the
20 studies;

21 (B) the number of study sites necessary to
22 obtain appropriate data;

23 (C) the numbers of children involved in
24 any clinical studies; and

1 (D) the estimated cost of each of the stud-
2 ies.

3 (4) Any recommendations for modifications to
4 the programs established under section 505A of the
5 Federal Food, Drug, and Cosmetic Act (21 U.S.C.
6 355a) and section 409I of the Public Health Service
7 Act this Act (as added by section 3) that the Sec-
8 retary determines to be appropriate, including a de-
9 tailed rationale for each recommendation.

10 (5) The increased private and Government-
11 funded pediatric research capability associated with
12 this Act and the amendments made by this Act.

13 (6) The number of written requests and addi-
14 tional letters of recommendation that the Secretary
15 issues.

16 (7) The prioritized list of off-patent drugs for
17 which the Secretary issues written requests.

18 (8)(A) The efforts made by Secretary to in-
19 crease the number of studies conducted in the
20 neonate population; and

21 (B) the results of those efforts, including efforts
22 made to encourage the conduct of appropriate stud-
23 ies in neonates by companies with products that
24 have sufficient safety and other information to make
25 the conduct of studies ethical and safe.

1 (b) TIMING.—

2 (1) REPORT ON METHODOLOGY.—Not later
3 than January 31, 2004, the Secretary shall submit
4 to Congress a report explaining the methodology
5 that the Secretary intends to use to prepare the re-
6 port under subsection (a).

7 (2) INTERIM REPORTS.—Before submission of a
8 final report under subsection (a), the Secretary shall
9 periodically make publicly available information on
10 the matters described in paragraphs (1), (3), (6),
11 and (7) of subsection (a).

12 **SEC. 16. TECHNICAL AND CONFORMING AMENDMENTS.**

13 Section 505A of the Federal Food, Drug, and Cos-
14 metic Act (21 U.S.C. 355a) (as amended by sections 2(1),
15 5(b)(2), 9, 10, and (11)) is amended—

16 (1)(A) by striking “(j)(4)(D)(ii)” each place it
17 appears and inserting “(j)(5)(D)(ii)”;

18 (B) by striking “(j)(4)(D)” each place it ap-
19 pears and inserting “(j)(5)(D)”;

20 (C) by striking “505(j)(4)(D)” each place it ap-
21 pears and inserting “505(j)(5)(D)”;

22 (2) by redesignating subsections (a), (g), (h),
23 (i), (j), (k), (l), (m), (n), and (o) as subsections (b),
24 (a), (g), (h), (n), (m), (i), (j), (k), and (l) respec-
25 tively;

1 (3) by moving the subsections so as to appear
2 in alphabetical order;

3 (4) in paragraphs (1), (2), and (3) of sub-
4 section (d), subsection (e), and subsection (m) (as
5 redesignated by paragraph (2)), by striking “sub-
6 section (a) or (c)” and inserting “subsection (b) or
7 (c)”; and

8 (5) in subsection (g) (as redesignated by para-
9 graph (2)), by striking “subsection (a) or (b)” and
10 inserting “subsection (b) or (c)”.

Passed the Senate October 18, 2001.

Attest:

Secretary.

107TH CONGRESS
1ST SESSION

S. 838

AN ACT

To amend the Federal Food, Drug, and Cosmetic Act to improve the safety and efficacy of pharmaceuticals for children.