

108TH CONGRESS
1ST SESSION

H. R. 2967

To amend title I of the Omnibus Crime Control and Safe Streets Act of 1968 to provide standards and procedures to guide both State and local law enforcement agencies and law enforcement officers during internal investigations, interrogation of law enforcement officers, and administrative disciplinary hearings, to ensure accountability of law enforcement officers, to guarantee the due process rights of law enforcement officers, and to require States to enact law enforcement discipline, accountability, and due process laws.

IN THE HOUSE OF REPRESENTATIVES

JULY 25, 2003

Mr. RAMSTAD introduced the following bill; which was referred to the
Committee on the Judiciary

A BILL

To amend title I of the Omnibus Crime Control and Safe Streets Act of 1968 to provide standards and procedures to guide both State and local law enforcement agencies and law enforcement officers during internal investigations, interrogation of law enforcement officers, and administrative disciplinary hearings, to ensure accountability of law enforcement officers, to guarantee the due process rights of law enforcement officers, and to require States to enact law enforcement discipline, accountability, and due process laws.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE.**

4 This Act may be cited as the “State and Local Law
5 Enforcement Discipline, Accountability, and Due Process
6 Act of 2003”.

7 **SEC. 2. FINDINGS AND DECLARATION OF PURPOSE AND**
8 **POLICY.**

9 (a) FINDINGS.—Congress finds that—

10 (1) the rights of law enforcement officers to en-
11 gage in political activity or to refrain from engaging
12 in political activity, except when on duty, or to run
13 as candidates for public office, unless such service is
14 found to be in conflict with their service as officers,
15 are activities protected by the first amendment of
16 the United States Constitution, as applied to the
17 States through the 14th amendment of the United
18 States Constitution, but these rights are often vio-
19 lated by the management of State and local law en-
20 forcement agencies;

21 (2) a significant lack of due process rights of
22 law enforcement officers during internal investiga-
23 tions and disciplinary proceedings has resulted in a
24 loss of confidence in these processes by many law
25 enforcement officers, including those unfairly tar-

1 geted for their labor organization activities or for
2 their aggressive enforcement of the laws, demor-
3 alizing many rank and file officers in communities
4 and States;

5 (3) unfair treatment of officers has potentially
6 serious long-term consequences for law enforcement
7 by potentially deterring or otherwise preventing offi-
8 cers from carrying out their duties and responsibil-
9 ities effectively and fairly;

10 (4) the lack of labor-management cooperation
11 in disciplinary matters and either the perception or
12 the actuality that officers are not treated fairly det-
13 rimentally impacts the recruitment of and retention
14 of effective officers, as potential officers and experi-
15 enced officers seek other careers which has serious
16 implications and repercussions for officer morale,
17 public safety, and labor-management relations and
18 strife and can affect interstate and intrastate com-
19 merce, interfering with the normal flow of com-
20 merce;

21 (5) there are serious implications for the public
22 safety of the citizens and residents of the United
23 States which threatens the domestic tranquility of
24 the United States because of a lack of statutory pro-
25 tections to ensure—

1 (i) the due process and political rights of
2 law enforcement officers;

3 (ii) fair and thorough internal investiga-
4 tions and interrogations of and disciplinary pro-
5 ceedings against law enforcement officers; and

6 (iii) effective procedures for receipt, review,
7 and investigation of complaints against officers,
8 fair to both officers and complainants; and

9 (6) resolving these disputes and problems and
10 preventing the disruption of vital police services is
11 essential to the well-being of the United States and
12 the domestic tranquility of the Nation.

13 (b) DECLARATION OF POLICY.—Congress declares
14 that it is the purpose of this Act and the policy of the
15 United States to—

16 (1) protect the due process and political rights
17 of State and local law enforcement officers and en-
18 sure equality and fairness of treatment among such
19 officers;

20 (2) provide continued police protection to the
21 general public;

22 (3) provide for the general welfare and ensure
23 domestic tranquility; and

24 (4) prevent any impediments to the free flow of
25 commerce, under the rights guaranteed under the

1 United States Constitution and Congress' authority
2 thereunder.

3 **SEC. 3. DISCIPLINE, ACCOUNTABILITY, AND DUE PROCESS**
4 **OF OFFICERS.**

5 (a) IN GENERAL.—Part H of title I of the Omnibus
6 Crime Control and Safe Streets Act of 1968 (42 U.S.C.
7 3781 et seq.) is amended by adding at the end the fol-
8 lowing:

9 **“SEC. 820. DISCIPLINE, ACCOUNTABILITY, AND DUE PROC-**
10 **ESS OF STATE AND LOCAL LAW ENFORCE-**
11 **MENT OFFICERS.**

12 “(a) DEFINITIONS.—In this section:

13 “(1) DISCIPLINARY ACTION.—The term ‘dis-
14 ciplinary action’ means any adverse personnel action,
15 including suspension, reduction in pay, rank, or
16 other employment benefit, dismissal, transfer, reas-
17 signment, unreasonable denial of secondary employ-
18 ment, or similar punitive action taken against a law
19 enforcement officer.

20 “(2) DISCIPLINARY HEARING.—The term ‘dis-
21 ciplinary hearing’ means an administrative hearing
22 initiated by a law enforcement agency against a law
23 enforcement officer, based on an alleged violation of
24 law, that, if proven, would subject the law enforce-
25 ment officer to disciplinary action.

1 “(3) EMERGENCY SUSPENSION.—The term
2 ‘emergency suspension’ means the temporary action
3 by a law enforcement agency of relieving a law en-
4 forcement officer from the active performance of law
5 enforcement duties without a reduction in pay or
6 benefits when the law enforcement agency, or an of-
7 ficial within that agency, determines that there is
8 probable cause, based upon the conduct of the law
9 enforcement officer, to believe that the law enforce-
10 ment officer poses an immediate threat to the safety
11 of that officer or others or the property of others.

12 “(4) INVESTIGATION.—The term ‘investiga-
13 tion’—

14 “(A) means an action taken to determine
15 whether a law enforcement officer violated a
16 law by a public agency or a person employed by
17 a public agency, acting alone or in cooperation
18 with or at the direction of another agency, or
19 a division or unit within another agency, re-
20 gardless of a denial by such an agency that any
21 such action is not an investigation; and

22 “(B) includes—

23 “(i) asking questions of any other law
24 enforcement officer or non-law enforcement
25 officer;

1 “(ii) conducting observations;

2 “(iii) reviewing and evaluating re-
3 ports, records, or other documents; and

4 “(iv) examining physical evidence.

5 “(5) LAW ENFORCEMENT OFFICER.—The terms
6 ‘law enforcement officer’ and ‘officer’ have the
7 meaning given the term ‘law enforcement officer’ in
8 section 1204, except the term does not include a law
9 enforcement officer employed by the United States,
10 or any department, agency, or instrumentality there-
11 of.

12 “(6) PERSONNEL RECORD.—The term ‘per-
13 sonnel record’ means any document, whether in writ-
14 ten or electronic form and irrespective of location,
15 that has been or may be used in determining the
16 qualifications of a law enforcement officer for em-
17 ployment, promotion, transfer, additional compensa-
18 tion, termination or any other disciplinary action.

19 “(7) PUBLIC AGENCY AND LAW ENFORCEMENT
20 AGENCY.—The terms ‘public agency’ and ‘law en-
21 forcement agency’ each have the meaning given the
22 term ‘public agency’ in section 1204, except the
23 terms do not include the United States, or any de-
24 partment, agency, or instrumentality thereof.

1 “(8) SUMMARY PUNISHMENT.—The term ‘sum-
2 mary punishment’ means punishment imposed—

3 “(A) for a violation of law that does not
4 result in any disciplinary action; or

5 “(B) for a violation of law that has been
6 negotiated and agreed upon by the law enforce-
7 ment agency and the law enforcement officer,
8 based upon a written waiver by the officer of
9 the rights of that officer under subsection (i)
10 and any other applicable law or constitutional
11 provision, after consultation with the counsel or
12 representative of that officer.

13 “(b) APPLICABILITY.—

14 “(1) IN GENERAL.—This section sets forth the
15 due process rights, including procedures, that shall
16 be afforded a law enforcement officer who is the
17 subject of an investigation or disciplinary hearing.

18 “(2) NONAPPLICABILITY.—This section does
19 not apply in the case of—

20 “(A) an investigation of specifically alleged
21 conduct by a law enforcement officer that, if
22 proven, would constitute a violation of a statute
23 providing for criminal penalties; or

1 “(B) a nondisciplinary action taken in
2 good faith on the basis of the employment re-
3 lated performance of a law enforcement officer.

4 “(c) POLITICAL ACTIVITY.—

5 “(1) RIGHT TO ENGAGE OR NOT TO ENGAGE IN
6 POLITICAL ACTIVITY.—Except when on duty or act-
7 ing in an official capacity, a law enforcement officer
8 shall not be prohibited from engaging in political ac-
9 tivity or be denied the right to refrain from engaging
10 in political activity.

11 “(2) RIGHT TO RUN FOR ELECTIVE OFFICE.—

12 A law enforcement officer shall not be—

13 “(A) prohibited from being a candidate for
14 an elective office or from serving in such an
15 elective office, solely because of the status of
16 the officer as a law enforcement officer; or

17 “(B) required to resign or take an unpaid
18 leave from employment with a law enforcement
19 agency to be a candidate for an elective office
20 or to serve in an elective office, unless such
21 service is determined to be in conflict with or
22 incompatible with service as a law enforcement
23 officer.

24 “(3) ADVERSE PERSONNEL ACTION.—An action
25 by a public agency against a law enforcement officer,

1 including requiring the officer to take unpaid leave
2 from employment, in violation of this subsection
3 shall be considered an adverse personnel action with-
4 in the meaning of subsection (a)(1).

5 “(d) EFFECTIVE PROCEDURES FOR RECEIPT, RE-
6 VIEW, AND INVESTIGATION OF COMPLAINTS AGAINST
7 LAW ENFORCEMENT OFFICERS.—

8 “(1) COMPLAINT PROCESS.—Not later than 1
9 year after the effective date of this section, each law
10 enforcement agency shall adopt and comply with a
11 written complaint procedure that—

12 “(A) authorizes persons from outside the
13 law enforcement agency to submit written com-
14 plaints about a law enforcement officer to—

15 “(i) the law enforcement agency em-
16 ploying the law enforcement officer; or

17 “(ii) any other law enforcement agen-
18 cy charged with investigating such com-
19 plaints;

20 “(B) sets forth the procedures for the in-
21 vestigation and disposition of such complaints;

22 “(C) provides for public access to required
23 forms and other information concerning the
24 submission and disposition of written com-
25 plaints; and

1 “(D) requires notification to the complain-
2 ant in writing of the final disposition of the
3 complaint and the reasons for such disposition.

4 “(2) INITIATION OF AN INVESTIGATION.—

5 “(A) IN GENERAL.—Except as provided in
6 subparagraph (B), an investigation based on a
7 complaint from outside the law enforcement
8 agency shall commence not later than 15 days
9 after the receipt of the complaint by—

10 “(i) the law enforcement agency em-
11 ploying the law enforcement officer against
12 whom the complaint has been made; or

13 “(ii) any other law enforcement agen-
14 cy charged with investigating such a com-
15 plaint.

16 “(B) EXCEPTION.—Subparagraph (A)
17 does not apply if—

18 “(i) the law enforcement agency deter-
19 mines from the face of the complaint that
20 each allegation does not constitute a viola-
21 tion of law; or

22 “(ii) the complainant fails to comply
23 substantially with the complaint procedure
24 of the law enforcement agency established
25 under this section.

1 “(3) COMPLAINANT OR VICTIM CONFLICT OF
2 INTEREST.—The complainant or victim of the al-
3 leged violation of law giving rise to an investigation
4 under this subsection may not conduct or supervise
5 the investigation or serve as an investigator.

6 “(e) NOTICE OF INVESTIGATION.—

7 “(1) IN GENERAL.—Any law enforcement offi-
8 cer who is the subject of an investigation shall be
9 notified of the investigation 24 hours before the
10 commencement of questioning or to otherwise being
11 required to provide information to an investigating
12 agency.

13 “(2) CONTENTS OF NOTICE.—Notice given
14 under paragraph (1) shall include—

15 “(A) the nature and scope of the investiga-
16 tion;

17 “(B) a description of any allegation con-
18 tained in a written complaint;

19 “(C) a description of each violation of law
20 alleged in the complaint for which suspicion ex-
21 ists that the officer may have engaged in con-
22 duct that may subject the officer to disciplinary
23 action; and

1 “(D) the name, rank, and command of the
2 officer or any other individual who will be con-
3 ducting the investigation.

4 “(f) RIGHTS OF LAW ENFORCEMENT OFFICERS
5 PRIOR TO AND DURING QUESTIONING INCIDENTAL TO AN
6 INVESTIGATION.—If a law enforcement officer is subjected
7 to questioning incidental to an investigation that may re-
8 sult in disciplinary action against the officer, the following
9 minimum safeguards shall apply:

10 “(1) COUNSEL AND REPRESENTATION.—

11 “(A) IN GENERAL.—Any law enforcement
12 officer under investigation shall be entitled to
13 effective counsel by an attorney or representa-
14 tion by any other person who the officer choos-
15 es, such as an employee representative, or both,
16 immediately before and during the entire period
17 of any questioning session, unless the officer
18 consents in writing to being questioned outside
19 the presence of counsel or representative.

20 “(B) PRIVATE CONSULTATION.—During
21 the course of any questioning session, the offi-
22 cer shall be afforded the opportunity to consult
23 privately with counsel or a representative, if
24 such consultation does not repeatedly and un-
25 necessarily disrupt the questioning period.

1 “(C) UNAVAILABILITY OF COUNSEL.—If
2 the counsel or representative of the law enforce-
3 ment officer is not available within 24 hours of
4 the time set for the commencement of any ques-
5 tioning of that officer, the investigating law en-
6 forcement agency shall grant a reasonable ex-
7 tension of time for the law enforcement officer
8 to obtain counsel or representation.

9 “(2) REASONABLE HOURS AND TIME.—Any
10 questioning of a law enforcement officer under inves-
11 tigation shall be conducted at a reasonable time
12 when the officer is on duty, unless exigent cir-
13 cumstances compel more immediate questioning, or
14 the officer agrees in writing to being questioned at
15 a different time, subject to the requirements of sub-
16 sections (e) and (f)(1).

17 “(3) PLACE OF QUESTIONING.—Unless the offi-
18 cer consents in writing to being questioned else-
19 where, any questioning of a law enforcement officer
20 under investigation shall take place—

21 “(A) at the office of the individual con-
22 ducting the investigation on behalf of the law
23 enforcement agency employing the officer under
24 investigation; or

1 “(B) the place at which the officer under
2 investigation reports for duty.

3 “(4) IDENTIFICATION OF QUESTIONER.—Before
4 the commencement of any questioning, a law en-
5 forcement officer under investigation shall be in-
6 formed of—

7 “(A) the name, rank, and command of the
8 officer or other individual who will conduct the
9 questioning; and

10 “(B) the relationship between the indi-
11 vidual conducting the questioning and the law
12 enforcement agency employing the officer under
13 investigation.

14 “(5) SINGLE QUESTIONER.—During any single
15 period of questioning of a law enforcement officer
16 under investigation, each question shall be asked by
17 or through 1 individual.

18 “(6) REASONABLE TIME PERIOD.—Any ques-
19 tioning of a law enforcement officer under investiga-
20 tion shall be for a reasonable period of time and
21 shall allow reasonable periods for the rest and per-
22 sonal necessities of the officer and the counsel or
23 representative of the officer, if such person is
24 present.

1 “(7) NO THREATS, FALSE STATEMENTS, OR
2 PROMISES TO BE MADE.—

3 “(A) IN GENERAL.—Except as provided in
4 subparagraph (B), no threat against, false or
5 misleading statement to, harassment of, or
6 promise of reward to a law enforcement officer
7 under investigation shall be made to induce the
8 officer to answer any question, give any state-
9 ment, or otherwise provide information.

10 “(B) EXCEPTION.—The law enforcement
11 agency employing a law enforcement officer
12 under investigation may require the officer to
13 make a statement relating to the investigation
14 by explicitly threatening disciplinary action, in-
15 cluding termination, only if—

16 “(i) the officer has received a written
17 grant of use and derivative use immunity
18 or transactional immunity by a person au-
19 thorized to grant such immunity; and

20 “(ii) the statement given by the law
21 enforcement officer under such an immu-
22 nity may not be used in any subsequent
23 criminal proceeding against that officer.

24 “(8) RECORDING.—

1 “(A) IN GENERAL.—All questioning of a
2 law enforcement officer under an investigation
3 shall be recorded in full, in writing or by elec-
4 tronic device, and a copy of the transcript shall
5 be provided to the officer under investigation
6 before any subsequent period of questioning or
7 the filing of any charge against that officer.

8 “(B) SEPARATE RECORDING.—To ensure
9 the accuracy of the recording, an officer may
10 utilize a separate electronic recording device,
11 and a copy of any such recording (or the tran-
12 script) shall be provided to the public agency
13 conducting the questioning, if that agency so
14 requests.

15 “(9) USE OF HONESTY TESTING DEVICES PRO-
16 HIBITED.—No law enforcement officer under inves-
17 tigation may be compelled to submit to the use of
18 a lie detector, as defined in section 2 of the Em-
19 ployee Polygraph Protection Act of 1988 (29 U.S.C.
20 2001).

21 “(g) NOTICE OF INVESTIGATIVE FINDINGS AND DIS-
22 CIPLINARY RECOMMENDATION AND OPPORTUNITY TO
23 SUBMIT A WRITTEN RESPONSE.—

24 “(1) NOTICE.—Not later than 30 days after the
25 conclusion of an investigation under this section, the

1 person in charge of the investigation or the designee
2 of that person shall notify the law enforcement offi-
3 cer who was the subject of the investigation, in writ-
4 ing, of the investigative findings and any rec-
5 ommendations for disciplinary action.

6 “(2) OPPORTUNITY TO SUBMIT WRITTEN RE-
7 SPONSE.—

8 “(A) IN GENERAL.—Not later than 30
9 days after receipt of a notification under para-
10 graph (1), and before the filing of any charge
11 seeking the discipline of such officer or the
12 commencement of any disciplinary proceeding
13 under subsection (h), the law enforcement offi-
14 cer who was the subject of the investigation
15 may submit a written response to the findings
16 and recommendations included in the notifica-
17 tion.

18 “(B) CONTENTS OF RESPONSE.—The re-
19 sponse submitted under subparagraph (A) may
20 include references to additional documents,
21 physical objects, witnesses, or any other infor-
22 mation that the law enforcement officer believes
23 may provide exculpatory evidence.

24 “(h) DISCIPLINARY HEARING.—

1 “(1) NOTICE OF OPPORTUNITY FOR HEAR-
2 ING.—Except in a case of summary punishment or
3 emergency suspension (subject to subsection (k)),
4 before the imposition of any disciplinary action the
5 law enforcement agency shall notify the officer that
6 the officer is entitled to a due process hearing by an
7 independent and impartial hearing officer or board.

8 “(2) REQUIREMENT OF DETERMINATION OF
9 VIOLATION.—No disciplinary action may be taken
10 against a law enforcement officer unless an inde-
11 pendent and impartial hearing officer or board de-
12 termines, after a hearing and in accordance with the
13 requirements of this subsection, that the law en-
14 forcement officer committed a violation of law.

15 “(3) TIME LIMIT.—No disciplinary charge may
16 be brought against a law enforcement officer un-
17 less—

18 “(A) the charge is filed not later than the
19 earlier of—

20 “(i) 1 year after the date on which the
21 law enforcement agency filing the charge
22 had knowledge or reasonably should have
23 had knowledge of an alleged violation of
24 law; or

1 “(ii) 90 days after the commencement
2 of an investigation; or

3 “(B) the requirements of this paragraph
4 are waived in writing by the officer or the coun-
5 sel or representative of the officer.

6 “(4) NOTICE OF HEARING.—Unless waived in
7 writing by the officer or the counsel or representa-
8 tive of the officer, not later than 30 days after the
9 filing of a disciplinary charge against a law enforce-
10 ment officer, the law enforcement agency filing the
11 charge shall provide written notification to the law
12 enforcement officer who is the subject of the charge,
13 of—

14 “(A) the date, time, and location of any
15 disciplinary hearing, which shall be scheduled in
16 cooperation with the law enforcement officer, or
17 the counsel or representative of the officer, and
18 which shall take place not earlier than 30 days
19 and not later than 60 days after notification of
20 the hearing is given to the law enforcement offi-
21 cer under investigation;

22 “(B) the name and mailing address of the
23 independent and impartial hearing officer, or
24 the names and mailing addresses of the inde-

pendent and impartial hearing board members;
and

“(C) the name, rank, command, and address of the law enforcement officer prosecuting the matter for the law enforcement agency, or the name, position, and mailing address of the person prosecuting the matter for a public agency, if the prosecutor is not a law enforcement officer.

“(5) ACCESS TO DOCUMENTARY EVIDENCE AND INVESTIGATIVE FILE.—Unless waived in writing by the law enforcement officer or the counsel or representative of that officer, not later than 15 days before a disciplinary hearing described in paragraph (4)(A), the law enforcement officer shall be provided with—

“(A) a copy of the complete file of the pre-disciplinary investigation; and

“(B) access to and, if so requested, copies of all documents, including transcripts, records, written statements, written reports, analyses, and electronically recorded information that—

“(i) contain exculpatory information;

“(ii) are intended to support any disciplinary action; or

1 “(iii) are to be introduced in the dis-
2 ciplinary hearing.

3 “(6) EXAMINATION OF PHYSICAL EVIDENCE.—
4 Unless waived in writing by the law enforcement of-
5 ficer or the counsel or representative of that offi-
6 cer—

7 “(A) not later than 15 days before a dis-
8 ciplinary hearing, the prosecuting agency shall
9 notify the law enforcement officer or the coun-
10 sel or representative of that officer of all phys-
11 ical, non-documentary evidence; and

12 “(B) not later than 10 days before a dis-
13 ciplinary hearing, the prosecuting agency shall
14 provide a reasonable date, time, place, and
15 manner for the law enforcement officer or the
16 counsel or representative of the law enforce-
17 ment officer to examine the evidence described
18 in subparagraph (A).

19 “(7) IDENTIFICATION OF WITNESSES.—Unless
20 waived in writing by the law enforcement officer or
21 the counsel or representative of the officer, not later
22 than 15 days before a disciplinary hearing, the pros-
23 ecuting agency shall notify the law enforcement offi-
24 cer or the counsel or representative of the officer, of
25 the name and address of each witness for the law

1 enforcement agency employing the law enforcement
2 officer.

3 “(8) REPRESENTATION.—During a disciplinary
4 hearing, the law enforcement officer who is the sub-
5 ject of the hearing shall be entitled to due process,
6 including—

7 “(A) the right to be represented by counsel
8 or a representative;

9 “(B) the right to confront and examine all
10 witnesses against the officer; and

11 “(C) the right to call and examine wit-
12 nesses on behalf of the officer.

13 “(9) HEARING BOARD AND PROCEDURE.—

14 “(A) IN GENERAL.—A State or local gov-
15 ernment agency, other than the law enforce-
16 ment agency employing the officer who is sub-
17 ject of the disciplinary hearing, shall—

18 “(i) determine the composition of an
19 independent and impartial disciplinary
20 hearing board;

21 “(ii) appoint an independent and im-
22 partial hearing officer; and

23 “(iii) establish such procedures as
24 may be necessary to comply with this sec-
25 tion.

1 “(B) PEER REPRESENTATION ON DISCIPLI-
2 NARY HEARING BOARD.—A disciplinary hearing
3 board that includes employees of the law en-
4 forcement agency employing the law enforce-
5 ment officer who is the subject of the hearing,
6 shall include not less than 1 law enforcement
7 officer of equal or lesser rank to the officer who
8 is the subject of the hearing.

9 “(10) SUMMONSES AND SUBPOENAS.—

10 “(A) IN GENERAL.—The disciplinary hear-
11 ing board or independent hearing officer—

12 “(i) shall have the authority to issue
13 summonses or subpoenas, on behalf of—

14 “(I) the law enforcement agency
15 employing the officer who is the sub-
16 ject of the hearing; or

17 “(II) the law enforcement officer
18 who is the subject of the hearing; and

19 “(ii) upon written request of either
20 the agency or the officer, shall issue a
21 summons or subpoena, as appropriate, to
22 compel the appearance and testimony of a
23 witness or the production of documentary
24 evidence.

1 “(B) EFFECT OF FAILURE TO COMPLY
2 WITH SUMMONS OR SUBPOENA.—With respect
3 to any failure to comply with a summons or a
4 subpoena issued under subparagraph (A)—

5 “(i) the disciplinary hearing officer or
6 board shall petition a court of competent
7 jurisdiction to issue an order compelling
8 compliance; and

9 “(ii) subsequent failure to comply
10 with such a court order issued pursuant to
11 a petition under clause (i) shall—

12 “(I) be subject to contempt of a
13 court proceedings according to the
14 laws of the jurisdiction within which
15 the disciplinary hearing is being con-
16 ducted; and

17 “(II) result in the recess of the
18 disciplinary hearing until the witness
19 becomes available to testify and does
20 testify or is held in contempt.

21 “(11) CLOSED HEARING.—A disciplinary hear-
22 ing shall be closed to the public unless the law en-
23 forcement officer who is the subject of the hearing
24 requests, in writing, that the hearing be open to
25 specified individuals or to the general public.

1 “(12) RECORDING.—All aspects of a discipli-
2 nary hearing, including pre-hearing motions, shall be
3 recorded by audio tape, video tape, or transcription.

4 “(13) SEQUESTRATION OF WITNESSES.—Either
5 side in a disciplinary hearing may move for and be
6 entitled to sequestration of witnesses.

7 “(14) TESTIMONY UNDER OATH.—The hearing
8 officer or board shall administer an oath or affirma-
9 tion to each witness, who shall testify subject to the
10 laws of perjury of the State in which the disciplinary
11 hearing is being conducted.

12 “(15) FINAL DECISION ON EACH CHARGE.—

13 “(A) IN GENERAL.—At the conclusion of
14 the presentation of all the evidence and after
15 oral or written argument, the hearing officer or
16 board shall deliberate and render a written final
17 decision on each charge.

18 “(B) FINAL DECISION ISOLATED TO
19 CHARGE BROUGHT.—The hearing officer or
20 board may not find that the law enforcement
21 officer who is the subject of the hearing is liable
22 for disciplinary action for any violation of law,
23 as to which the officer was not charged.

1 “(16) BURDEN OF PERSUASION AND STANDARD
2 OF PROOF.—The burden of persuasion or standard
3 of proof of the prosecuting agency shall be—

4 “(A) by clear and convincing evidence as to
5 each charge alleging false statement or rep-
6 resentation, fraud, dishonesty, deceit, moral
7 turpitude, or criminal behavior on the part of
8 the law enforcement officer who is the subject
9 of the charge; and

10 “(B) by a preponderance of the evidence as
11 to all other charges.

12 “(17) FACTORS OF JUST CAUSE TO BE CONSID-
13 ERED BY THE HEARING OFFICER OR BOARD.—A law
14 enforcement officer who is the subject of a discipli-
15 nary hearing shall not be found guilty of any charge
16 or subjected to any disciplinary action unless the
17 disciplinary hearing board or independent hearing
18 officer finds that—

19 “(A) the officer who is the subject of the
20 charge could reasonably be expected to have
21 had knowledge of the probable consequences of
22 the alleged conduct set forth in the charge
23 against the officer;

1 “(B) the rule, regulation, order, or proce-
2 dure that the officer who is the subject of the
3 charge allegedly violated is reasonable;

4 “(C) the charging party, before filing the
5 charge, made a reasonable, fair, and objective
6 effort to discover whether the officer did in fact
7 violate the rule, regulation, order, or procedure
8 as charged;

9 “(D) the charging party did not conduct
10 the investigation arbitrarily or unfairly, or in a
11 discriminatory manner, against the officer who
12 is the subject of the charge, and the charge was
13 brought in good faith; and

14 “(E) the proposed disciplinary action rea-
15 sonably relates to the seriousness of the alleged
16 violation and to the record of service of the offi-
17 cer who is the subject of the charge.

18 “(18) NO COMMISSION OF A VIOLATION.—If the
19 officer who is the subject of the disciplinary hearing
20 is found not to have committed the alleged viola-
21 tion—

22 “(A) the matter is concluded;

23 “(B) no disciplinary action may be taken
24 against the officer;

1 “(C) the personnel file of that officer shall
2 not contain any reference to the charge for
3 which the officer was found not guilty; and

4 “(D) any pay and benefits lost or deferred
5 during the pendency of the disposition of the
6 charge shall be restored to the officer as though
7 no charge had ever been filed against the offi-
8 cer, including salary or regular pay, vacation,
9 holidays, longevity pay, education incentive pay,
10 shift differential, uniform allowance, lost over-
11 time, or other premium pay opportunities, and
12 lost promotional opportunities.

13 “(19) COMMISSION OF A VIOLATION.—

14 “(A) IN GENERAL.—If the officer who is
15 the subject of the charge is found to have com-
16 mitted the alleged violation, the hearing officer
17 or board shall make a written recommendation
18 of a penalty to the law enforcement agency em-
19 ploying the officer or any other governmental
20 entity that has final disciplinary authority, as
21 provided by applicable State or local law.

22 “(B) PENALTY.—The employing agency or
23 other governmental entity may not impose a
24 penalty greater than the penalty recommended
25 by the hearing officer or board.

1 “(20) APPEAL.—Any officer who has been
2 found to have committed an alleged violation may
3 appeal from a final decision of a hearing officer or
4 hearing board to a court of competent jurisdiction or
5 to an independent neutral arbitrator to the extent
6 available in any other administrative proceeding
7 under applicable State or local law, or a collective
8 bargaining agreement.

9 “(i) WAIVER OF RIGHTS.—

10 “(1) IN GENERAL.—An officer who is notified
11 that the officer is under investigation or is the sub-
12 ject of a charge may, after such notification, waive
13 any right or procedure guaranteed by this section.

14 “(2) WRITTEN WAIVER.—A written waiver
15 under this subsection shall be—

16 “(A) in writing; and

17 “(B) signed by—

18 “(i) the officer, who shall have con-
19 sulted with counsel or a representative be-
20 fore signing any such waiver; or

21 “(ii) the counsel or representative of
22 the officer, if expressly authorized by sub-
23 section (h).

1 “(j) SUMMARY PUNISHMENT.—Nothing in this sec-
2 tion shall preclude a public agency from imposing sum-
3 mary punishment.

4 “(k) EMERGENCY SUSPENSION.—Nothing in this
5 section may be construed to preclude a law enforcement
6 agency from imposing an emergency suspension on a law
7 enforcement officer, except that any such suspension
8 shall—

9 “(1) be followed by a hearing in accordance
10 with the requirements of subsection (h); and

11 “(2) not deprive the affected officer of any pay
12 or benefit.

13 “(l) RETALIATION FOR EXERCISING RIGHTS.—There
14 shall be no imposition of, or threat of, disciplinary action
15 or other penalty against a law enforcement officer for the
16 exercise of any right provided to the officer under this sec-
17 tion.

18 “(m) OTHER REMEDIES NOT IMPAIRED.—Nothing in
19 this section may be construed to impair any other right
20 or remedy that a law enforcement officer may have under
21 any constitution, statute, ordinance, order, rule, regula-
22 tion, procedure, written policy, collective bargaining agree-
23 ment, or any other source.

24 “(n) DECLARATORY OR INJUNCTIVE RELIEF.—A law
25 enforcement officer who is aggrieved by a violation of, or

1 is otherwise denied any right afforded by, the Constitution
2 of the United States, a State constitution, this section,
3 or any administrative rule or regulation promulgated pur-
4 suant thereto, may file suit in any Federal or State court
5 of competent jurisdiction for declaratory or injunctive re-
6 lief to prohibit the law enforcement agency from violating
7 or otherwise denying such right, and such court shall have
8 jurisdiction, for cause shown, to restrain such a violation
9 or denial.

10 “(o) PROTECTION OF LAW ENFORCEMENT OFFICER
11 PERSONNEL FILES.—

12 “(1) RESTRICTIONS ON ADVERSE MATERIAL
13 MAINTAINED IN OFFICERS’ PERSONNEL RECORDS.—

14 “(A) IN GENERAL.—Unless the officer has
15 had an opportunity to review and comment, in
16 writing, on any adverse material included in a
17 personnel record relating to the officer, no law
18 enforcement agency or other governmental enti-
19 ty may—

20 “(i) include the adverse material in
21 that personnel record; or

22 “(ii) possess or maintain control over
23 the adverse material in any form as a per-
24 sonnel record within the law enforcement

1 agency or elsewhere in the control of the
2 employing governmental entity.

3 “(B) RESPONSIVE MATERIAL.—Any re-
4 sponsive material provided by an officer to ad-
5 verse material included in a personnel record
6 pertaining to the officer shall be—

7 “(i) attached to the adverse material;
8 and

9 “(ii) released to any person or entity
10 to whom the adverse material is released in
11 accordance with law and at the same time
12 as the adverse material is released.

13 “(2) RIGHT TO INSPECTION OF, AND RESTRIC-
14 TIONS ON ACCESS TO INFORMATION IN, THE OFFI-
15 CER’S OWN PERSONNEL RECORDS.—

16 “(A) IN GENERAL.—Subject to subpara-
17 graph (B), a law enforcement officer shall have
18 the right to inspect all of the personnel records
19 of the officer not less than annually.

20 “(B) RESTRICTIONS.—A law enforcement
21 officer shall not have access to information in
22 the personnel records of the officer if the infor-
23 mation—

24 “(i) relates to the investigation of al-
25 leged conduct that, if proven, would con-

1 stitute or have constituted a definite viola-
2 tion of a statute providing for criminal
3 penalties, but as to which no formal charge
4 was brought;

5 “(ii) contains letters of reference for
6 the officer;

7 “(iii) contains any portion of a test
8 document other than the results;

9 “(iv) is of a personal nature about an-
10 other officer, and if disclosure of that in-
11 formation in non-redacted form would con-
12 stitute a clearly unwarranted intrusion into
13 the privacy rights of that other officer; or

14 “(v) is relevant to any pending claim
15 brought by or on behalf of the officer
16 against the employing agency of that offi-
17 cer that may be discovered in any judicial
18 or administrative proceeding between the
19 officer and the employer of that officer.

20 “(p) STATES’ RIGHTS.—

21 “(1) IN GENERAL.—Nothing in this section
22 may be construed—

23 “(A) to preempt any State or local law, or
24 any provision of a State or local law, in effect
25 on the date of enactment of the State and Local

1 Law Enforcement Discipline, Accountability,
2 and Due Process Act of 2003, that confers a
3 right or a protection that equals or exceeds the
4 right or protection afforded by this section; or

5 “(B) to prohibit the enactment of any
6 State or local law that confers a right or protec-
7 tion that equals or exceeds a right or protection
8 afforded by this section.

9 “(2) STATE OR LOCAL LAWS PREEMPTED.—A
10 State or local law, or any provision of a State or
11 local law, that confers fewer rights or provides less
12 protection for a law enforcement officer than any
13 provision in this section shall be preempted by this
14 section.

15 “(q) COLLECTIVE BARGAINING AGREEMENTS.—
16 Nothing in this section may be construed to—

17 “(1) preempt any provision in a mutually
18 agreed-upon collective bargaining agreement, in ef-
19 fect on the date of enactment of the State and Local
20 Law Enforcement Discipline, Accountability, and
21 Due Process Act of 2003, that provides for substan-
22 tially the same or a greater right or protection af-
23 farded under this section; or

1 “(2) prohibit the negotiation of any additional
 2 right or protection for an officer who is subject to
 3 any collective bargaining agreement.”.

4 (b) TECHNICAL AMENDMENT.—The table of contents
 5 of title I of the Omnibus Crime Control and Safe Streets
 6 Act of 1968 (42 U.S.C. 3711 et seq.) is amended by in-
 7 serting after the item relating to section 819 the following:

“820. Discipline, accountability, and due process of State and local law enforce-
 ment officers.”.

8 **SEC. 4. PROHIBITION OF FEDERAL CONTROL OVER STATE**
 9 **AND LOCAL CRIMINAL JUSTICE AGENCIES.**

10 Nothing in this Act shall be construed to authorize
 11 any department, agency, officer, or employee of the United
 12 States to exercise any direction, supervision, or control of
 13 any police force or any criminal justice agency of any
 14 State or any political subdivision thereof.

15 **SEC. 5. EFFECTIVE DATE.**

16 The amendments made by this Act shall take effect
 17 with respect to each State on the earlier of—

18 (1) 2 years after the date of enactment of this
 19 Act; or

20 (2) the conclusion of the second legislative ses-
 21 sion of the State that begins on or after the date of
 22 enactment of this Act.

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