# 110TH CONGRESS 1ST SESSION H.R. 3774

To provide for greater diversity within, and to improve policy direction and oversight of, the Senior Executive Service.

## IN THE HOUSE OF REPRESENTATIVES

October 9, 2007

Mr. DAVIS of Illinois (for himself, Mr. KUCINICH, Ms. NORTON, Mr. CUMMINGS, Mr. CLAY, Mr. LYNCH, Mr. SARBANES, and Mr. GONZALEZ) introduced the following bill; which was referred to the Committee on Oversight and Government Reform

# A BILL

To provide for greater diversity within, and to improve policy direction and oversight of, the Senior Executive Service.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

# **3** SECTION 1. SHORT TITLE.

- 4 This Act may be cited as the "Senior Executive Serv-
- 5 ice Diversity Assurance Act".

## 6 SEC. 2. SENIOR EXECUTIVE SERVICE RESOURCE OFFICE.

- 7 (a) DEFINITIONS.—For purposes of this section—
- 8 (1) the term "Director" means the Director of
- 9 the Office of Personnel Management;

(2) the term "Senior Executive Service" has the
 meaning given such term by section 2101a of title
 5, United States Code;

4 (3) the terms "agency", "career appointee",
5 and "career reserved position" have the meanings
6 given them by section 3132 of title 5, United States
7 Code; and

8 (4) the term "SES Resource Office" means the
9 Senior Executive Service Resource Office, estab10 lished under subsection (b).

(b) ESTABLISHMENT.—Not later than January 1,
2009, the Director shall establish within the Office of Personnel Management an office to be known as the Senior
Executive Service Resource Office. The mission of the
SES Resource Office shall be—

16 (1) to improve the efficiency, effectiveness, and
17 productivity of the Senior Executive Service through
18 policy formulation and oversight;

19 (2) to advance the professionalism of the Senior20 Executive Service; and

(3) to ensure that, in seeking to achieve a Senior Executive Service reflective of the Nation's diversity, recruitment is from qualified individuals from
appropriate sources.

1 (c) FUNCTIONS.—It shall be the function of the SES 2 Resource Office to make recommendations to the Director 3 with respect to regulations, and to provide guidance to 4 agencies, concerning the structure, management, and di-5 verse composition of the Senior Executive Service. In 6 order to carry out the purposes of this section, the SES 7 Resource Office shall—

8 (1) take such actions as the SES Resource Of-9 fice considers necessary to manage and promote an 10 efficient, elite, and diverse corps of senior executives 11 by—

12 (A) creating policies for the management
13 and improvement of the Senior Executive Serv14 ice;

(B) providing oversight of the performance, structure, and composition of the Senior
Executive Service; and

18 (C) providing guidance and oversight to
19 agencies in the management of senior executives
20 and candidates for the Senior Executive Serv21 ice;

(2) be responsible for the policy development,
management, and oversight of the Senior Executive
Service pay system;

1	(3) develop standards for certification of each
2	agency's Senior Executive Service performance man-
3	agement system and evaluate all agency applications
4	for certification;
5	(4) be responsible for developing and moni-
6	toring programs for the advancement and training of
7	senior executives, including the Senior Executive
8	Service Federal Candidate Development Program;
9	(5) provide oversight of and guidance to agency
10	executive resources boards;
11	(6) be responsible for the administration of the
12	qualifications review board;
13	(7) establish and maintain lists (in a form that
14	renders them useful to appointing authorities and
15	candidates) of—
16	(A) the total number of career reserved po-
17	sitions at each agency;
18	(B) the total number of vacant career re-
19	served positions at each agency;
20	(C) whether candidates are being sought
21	for each such vacant position; and
22	(D) the names and (to the extent avail-
23	able) the race, ethnicity, gender, and any dis-
24	abilities of individuals who have been certified,
25	in accordance with section 3393(d) of title 5,

1	United States Code (as so redesignated by sec-
2	tion 3(a)), as having the executive qualifications
3	necessary for initial appointment as a career
4	appointee;
5	(8) establish mentoring programs for individ-
6	uals described in paragraph (7)(D);
7	(9) collect and maintain statistics relating to
8	the composition of the Senior Executive Service
9	based on race, ethnicity, gender, age, and persons
10	with disabilities;
11	(10) publish annually in the Federal Register
12	statistics relating to—
13	(A) the data collected by the SES Re-
14	source Office under paragraph (7); and
15	(B) the composition of the Senior Execu-
16	tive Service based on the factors listed in para-
17	graph $(7)(D)$ ; and
18	(11) conduct a continuing program for the re-
19	cruitment of women, members of racial and ethnic
20	minority groups, and the disabled for Senior Execu-
21	tive Service positions, with special efforts directed at
22	recruiting from educational institutions, professional
23	associations, and other sources.
24	(d) Public Access to Statistics.—The SES Re-
25	source Office shall make the statistics under subsection

1 (c)(10) accessible to the public through an Internet2 website.

### **3 SEC. 3. CAREER APPOINTMENTS.**

4 (a) ESTABLISHMENT AND ROLE OF SES EVALUA5 TION PANELS.—Section 3393 of title 5, United States
6 Code, is amended—

7 (1) by redesignating subsections (b) through (g)
8 as subsections (c) through (h), respectively; and

9 (2) by inserting after subsection (a) the fol-10 lowing:

"(b)(1)(A) Each agency shall establish one or more
Senior Executive Service evaluation panels, as appropriate, the members of which shall be appointed by the
head of the agency (or his or her designee)—

15 "(i) from among senior executives of the
16 agency or commissioned officers of the uni17 formed services serving on active duty in such
18 agency; or

"(ii) from among senior executives of or
commissioned officers of the uniformed services
serving on active duty in another agency, if—
"(I) subparagraph (B) could not (but
for this clause) otherwise be satisfied; and
"(II) the consent of the head of the
other agency is obtained.

"(B) Each panel shall consist of 3 members, of whom
 at least 1 shall be a woman and 1 other shall be a member
 of a racial or ethnic minority group.

4 "(2) It shall be the function of a Senior Executive
5 Service evaluation panel, with respect to any Senior Exec6 utive Service position for which a vacancy announcement
7 is posted—

8 "(A) to review the executive qualifications of
9 each candidate for a position which is to be filled by
10 a career appointee; and

"(B) to certify to the appropriate executive resources board the names of candidates who, in the
judgment of the panel, are best qualified for such
position.

15 Nothing in subparagraph (A) shall be considered to apply16 in the case of any candidate who is already a career ap-17 pointee.".

(b) ROLE OF EXECUTIVE RESOURCES BOARDS.—
Paragraph (1) of section 3393(c) of title 5, United States
Code (as so redesignated by subsection (a)), is amended
to read as follows:

"(1) for each career reserved position for which
a vacancy is posted, review the executive qualifications of candidates certified under subsection (b)
with respect to such position; and".

1	(c) Definition of Appointing Authority.—Sec-
2	tion 3393 of title 5, United States Code, is amended by
3	adding after subsection (h) (as so redesignated by sub-
4	section (a)) the following:
5	"(i) For purposes of this section, the term 'appoint-
6	ing authority' means, with respect to a position within an
7	agency, the head of such agency (or his or her designee).".
8	(d) Technical and Conforming Amendments.—
9	(1) Section $3592(a)(1)$ of title 5, United States
10	Code, is amended by striking "3393(d)" and insert-
11	ing "3393(e)".
12	(2) Section 3593 of such title is amended—
13	(A) in subsection (a)—
14	(i) in the matter before paragraph
15	(1), by striking "3393(b) and (c)" and in-
16	serting "3393(c) and (d)"; and
17	(ii) in paragraph (1), by striking
18	"3393(d)" and inserting "3393(e)"; and
19	(B) in subsection $(c)(1)$ —
20	(i) in the matter before subparagraph
21	(A), by striking "3393(b) and (c)" and in-
22	serting "3393(c) and (d)"; and
23	(ii) in subparagraph (C), by striking
24	"3393(d)" and inserting "3393(e)".

(3) Section 3594 of such title is amended in
 subsections (a) and (b) by striking "3393(d)" and
 inserting "3393(e)".

4 (4) Section 3595(b)(1) of such title is amended
5 by striking "3393(d)" and inserting "3393(e)".

6 (5) Section 7541(1)(A) of such title is amended
7 by striking "3393(d)" and inserting "3393(e)".

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