

110TH CONGRESS  
1ST SESSION

# S. 625

To protect the public health by providing the Food and Drug Administration with certain authority to regulate tobacco products.

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## IN THE SENATE OF THE UNITED STATES

FEBRUARY 15, 2007

Mr. KENNEDY (for himself, Mr. CORNYN, Mr. HARKIN, Mr. MCCAIN, Mr. DURBIN, Mr. LUGAR, Mr. DODD, Mr. SMITH, Mr. REED, Ms. SNOWE, Mr. LAUTENBERG, Ms. MURKOWSKI, Mr. BINGAMAN, Ms. COLLINS, Ms. MIKULSKI, Mr. STEVENS, Mrs. MURRAY, Mr. DOMENICI, Mrs. CLINTON, Mr. COCHRAN, Mrs. FEINSTEIN, Mr. LEAHY, Mr. OBAMA, Mr. SANDERS, Mr. BROWN, Mr. SCHUMER, Mr. AKAKA, Mr. KOHL, Ms. CANTWELL, Mr. CARPER, and Mr. NELSON of Florida) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

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## A BILL

To protect the public health by providing the Food and Drug Administration with certain authority to regulate tobacco products.

1 *Be it enacted by the Senate and House of Representa-*  
2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. SHORT TITLE; TABLE OF CONTENTS.**

4 (a) SHORT TITLE.—This Act may be cited as the  
5 “Family Smoking Prevention and Tobacco Control Act”.

1 (b) TABLE OF CONTENTS.—The table of contents of  
 2 this Act is as follows:

- Sec. 1. Short title; table of contents.
- Sec. 2. Findings.
- Sec. 3. Purpose.
- Sec. 4. Scope and effect.
- Sec. 5. Severability.

TITLE I—AUTHORITY OF THE FOOD AND DRUG  
 ADMINISTRATION

- Sec. 101. Amendment of Federal food, drug, and Cosmetic Act.
- Sec. 102. Final rule.
- Sec. 103. Conforming and other amendments to general provisions.

TITLE II—TOBACCO PRODUCT WARNINGS; CONSTITUENT AND  
 SMOKE CONSTITUENT DISCLOSURE

- Sec. 201. Cigarette label and advertising warnings.
- Sec. 202. Authority to revise cigarette warning label statements.
- Sec. 203. State regulation of cigarette advertising and promotion.
- Sec. 204. Smokeless Tobacco labels and advertising warnings.
- Sec. 205. Authority to revise Smokeless Tobacco product warning label statements.
- Sec. 206. Tar, Nicotine, and other smoke constituent disclosure to the public.

TITLE III—PREVENTION OF ILLICIT TRADE IN TOBACCO  
 PRODUCTS

- Sec. 301. Labeling, recordkeeping, records inspection.
- Sec. 302. Study and report.

3 **SEC. 2. FINDINGS.**

4 The Congress finds the following:

5 (1) The use of tobacco products by the Nation's  
 6 children is a pediatric disease of considerable pro-  
 7 portions that results in new generations of tobacco-  
 8 dependent children and adults.

9 (2) A consensus exists within the scientific and  
 10 medical communities that tobacco products are in-  
 11 herently dangerous and cause cancer, heart disease,  
 12 and other serious adverse health effects.

1           (3) Nicotine is an addictive drug.

2           (4) Virtually all new users of tobacco products  
3 are under the minimum legal age to purchase such  
4 products.

5           (5) Tobacco advertising and marketing con-  
6 tribute significantly to the use of nicotine-containing  
7 tobacco products by adolescents.

8           (6) Because past efforts to restrict advertising  
9 and marketing of tobacco products have failed ade-  
10 quately to curb tobacco use by adolescents, com-  
11 prehensive restrictions on the sale, promotion, and  
12 distribution of such products are needed.

13           (7) Federal and State governments have lacked  
14 the legal and regulatory authority and resources  
15 they need to address comprehensively the public  
16 health and societal problems caused by the use of to-  
17 bacco products.

18           (8) Federal and State public health officials,  
19 the public health community, and the public at large  
20 recognize that the tobacco industry should be subject  
21 to ongoing oversight.

22           (9) Under article I, section 8 of the Constitu-  
23 tion, the Congress is vested with the responsibility  
24 for regulating interstate commerce and commerce  
25 with Indian tribes.

1           (10) The sale, distribution, marketing, adver-  
2           tising, and use of tobacco products are activities in  
3           and substantially affecting interstate commerce be-  
4           cause they are sold, marketed, advertised, and dis-  
5           tributed in interstate commerce on a nationwide  
6           basis, and have a substantial effect on the Nation's  
7           economy.

8           (11) The sale, distribution, marketing, adver-  
9           tising, and use of such products substantially affect  
10          interstate commerce through the health care and  
11          other costs attributable to the use of tobacco prod-  
12          ucts.

13          (12) It is in the public interest for Congress to  
14          enact legislation that provides the Food and Drug  
15          Administration with the authority to regulate to-  
16          bacco products and the advertising and promotion of  
17          such products. The benefits to the American people  
18          from enacting such legislation would be significant  
19          in human and economic terms.

20          (13) Tobacco use is the foremost preventable  
21          cause of premature death in America. It causes over  
22          400,000 deaths in the United States each year and  
23          approximately 8,600,000 Americans have chronic ill-  
24          nesses related to smoking.

1           (14) Reducing the use of tobacco by minors by  
2           50 percent would prevent well over 10,000,000 of to-  
3           day's children from becoming regular, daily smokers,  
4           saving over 3,000,000 of them from premature  
5           death due to tobacco induced disease. Such a reduc-  
6           tion in youth smoking would also result in approxi-  
7           mately \$75,000,000,000 in savings attributable to  
8           reduced health care costs.

9           (15) Advertising, marketing, and promotion of  
10          tobacco products have been especially directed to at-  
11          tract young persons to use tobacco products and  
12          these efforts have resulted in increased use of such  
13          products by youth. Past efforts to oversee these ac-  
14          tivities have not been successful in adequately pre-  
15          venting such increased use.

16          (16) In 2003, the cigarette manufacturers  
17          spent more than \$15,000,000,000 to attract new  
18          users, retain current users, increase current con-  
19          sumption, and generate favorable long-term atti-  
20          tudes toward smoking and tobacco use.

21          (17) Tobacco product advertising often  
22          misleadingly portrays the use of tobacco as socially  
23          acceptable and healthful to minors.

24          (18) Tobacco product advertising is regularly  
25          seen by persons under the age of 18, and persons

1 under the age of 18 are regularly exposed to tobacco  
2 product promotional efforts.

3 (19) Through advertisements during and spon-  
4 sorship of sporting events, tobacco has become  
5 strongly associated with sports and has become por-  
6 trayed as an integral part of sports and the healthy  
7 lifestyle associated with rigorous sporting activity.

8 (20) Children are exposed to substantial and  
9 unavoidable tobacco advertising that leads to favor-  
10 able beliefs about tobacco use, plays a role in leading  
11 young people to overestimate the prevalence of to-  
12 bacco use, and increases the number of young people  
13 who begin to use tobacco.

14 (21) The use of tobacco products in motion pic-  
15 tures and other mass media glamorizes its use for  
16 young people and encourages them to use tobacco  
17 products.

18 (22) Tobacco advertising expands the size of  
19 the tobacco market by increasing consumption of to-  
20 bacco products including tobacco use by young peo-  
21 ple.

22 (23) Children are more influenced by tobacco  
23 marketing than adults: more than 80 percent of  
24 youth smoke three heavily marketed brands, while

1       only 54 percent of adults, 26 and older, smoke these  
2       same brands.

3               (24) Tobacco company documents indicate that  
4       young people are an important and often crucial seg-  
5       ment of the tobacco market. Children, who tend to  
6       be more price-sensitive than adults, are influenced  
7       by advertising and promotion practices that result in  
8       drastically reduced cigarette prices.

9               (25) Comprehensive advertising restrictions will  
10      have a positive effect on the smoking rates of young  
11      people.

12              (26) Restrictions on advertising are necessary  
13      to prevent unrestricted tobacco advertising from un-  
14      dermining legislation prohibiting access to young  
15      people and providing for education about tobacco  
16      use.

17              (27) International experience shows that adver-  
18      tising regulations that are stringent and comprehen-  
19      sive have a greater impact on overall tobacco use  
20      and young people's use than weaker or less com-  
21      prehensive ones.

22              (28) Text only requirements, although not as  
23      stringent as a ban, will help reduce underage use of  
24      tobacco products while preserving the informational  
25      function of advertising.

1           (29) It is in the public interest for Congress to  
2           adopt legislation to address the public health crisis  
3           created by actions of the tobacco industry.

4           (30) The final regulations promulgated by the  
5           Secretary of Health and Human Services in the Au-  
6           gust 28, 1996, issue of the Federal Register (61  
7           Fed. Reg. 44615–44618) for inclusion as part 897  
8           of title 21, Code of Federal Regulations, are con-  
9           sistent with the First Amendment to the United  
10          States Constitution and with the standards set forth  
11          in the amendments made by this subtitle for the reg-  
12          ulation of tobacco products by the Food and Drug  
13          Administration and the restriction on the sale and  
14          distribution, including access to and the advertising  
15          and promotion of, tobacco products contained in  
16          such regulations are substantially related to accom-  
17          plishing the public health goals of this Act.

18          (31) The regulations described in paragraph  
19          (30) will directly and materially advance the Federal  
20          Government’s substantial interest in reducing the  
21          number of children and adolescents who use ciga-  
22          rettes and smokeless tobacco and in preventing the  
23          life-threatening health consequences associated with  
24          tobacco use. An overwhelming majority of Americans  
25          who use tobacco products begin using such products



1 while they are minors and become addicted to the  
2 nicotine in those products before reaching the age of  
3 18. Tobacco advertising and promotion plays a cru-  
4 cial role in the decision of these minors to begin  
5 using tobacco products. Less restrictive and less  
6 comprehensive approaches have not and will not be  
7 effective in reducing the problems addressed by such  
8 regulations. The reasonable restrictions on the ad-  
9 vertising and promotion of tobacco products con-  
10 tained in such regulations will lead to a significant  
11 decrease in the number of minors using and becom-  
12 ing addicted to those products.

13 (32) The regulations described in paragraph  
14 (30) impose no more extensive restrictions on com-  
15 munication by tobacco manufacturers and sellers  
16 than are necessary to reduce the number of children  
17 and adolescents who use cigarettes and smokeless to-  
18 bacco and to prevent the life-threatening health con-  
19 sequences associated with tobacco use. Such regula-  
20 tions are narrowly tailored to restrict those adver-  
21 tising and promotional practices which are most like-  
22 ly to be seen or heard by youth and most likely to  
23 entice them into tobacco use, while affording tobacco  
24 manufacturers and sellers ample opportunity to con-

1       vey information about their products to adult con-  
2       sumers.

3               (33) Tobacco dependence is a chronic disease,  
4       one that typically requires repeated interventions to  
5       achieve long-term or permanent abstinence.

6               (34) Because the only known safe alternative to  
7       smoking is cessation, interventions should target all  
8       smokers to help them quit completely.

9               (35) Tobacco products have been used to facili-  
10      tate and finance criminal activities both domestically  
11      and internationally. Illicit trade of tobacco products  
12      has been linked to organized crime and terrorist  
13      groups.

14              (36) It is essential that the Food and Drug Ad-  
15      ministration review products sold or distributed for  
16      use to reduce risks or exposures associated with to-  
17      bacco products and that it be empowered to review  
18      any advertising and labeling for such products. It is  
19      also essential that manufacturers, prior to marketing  
20      such products, be required to demonstrate that such  
21      products will meet a series of rigorous criteria, and  
22      will benefit the health of the population as a whole,  
23      taking into account both users of tobacco products  
24      and persons who do not currently use tobacco prod-  
25      ucts.

1           (37) Unless tobacco products that purport to  
2           reduce the risks to the public of tobacco use actually  
3           reduce such risks, those products can cause substan-  
4           tial harm to the public health to the extent that the  
5           individuals, who would otherwise not consume to-  
6           bacco products or would consume such products less,  
7           use tobacco products purporting to reduce risk.  
8           Those who use products sold or distributed as modi-  
9           fied risk products that do not in fact reduce risk,  
10          rather than quitting or reducing their use of tobacco  
11          products, have a substantially increased likelihood of  
12          suffering disability and premature death. The costs  
13          to society of the widespread use of products sold or  
14          distributed as modified risk products that do not in  
15          fact reduce risk or that increase risk include thou-  
16          sands of unnecessary deaths and injuries and huge  
17          costs to our health care system.

18          (38) As the National Cancer Institute has  
19          found, many smokers mistakenly believe that “low  
20          tar” and “light” cigarettes cause fewer health prob-  
21          lems than other cigarettes. As the National Cancer  
22          Institute has also found, mistaken beliefs about the  
23          health consequences of smoking “low tar” and  
24          “light” cigarettes can reduce the motivation to quit

1 smoking entirely and thereby lead to disease and  
2 death.

3 (39) Recent studies have demonstrated that  
4 there has been no reduction in risk on a population-  
5 wide basis from “low tar” and “light” cigarettes and  
6 such products may actually increase the risk of to-  
7 bacco use.

8 (40) The dangers of products sold or distrib-  
9 uted as modified risk tobacco products that do not  
10 in fact reduce risk are so high that there is a com-  
11 pelling governmental interest in insuring that state-  
12 ments about modified risk tobacco products are com-  
13 plete, accurate, and relate to the overall disease risk  
14 of the product.

15 (41) As the Federal Trade Commission has  
16 found, consumers have misinterpreted advertise-  
17 ments in which one product is claimed to be less  
18 harmful than a comparable product, even in the  
19 presence of disclosures and advisories intended to  
20 provide clarification.

21 (42) Permitting manufacturers to make unsub-  
22 substantiated statements concerning modified risk to-  
23 bacco products, whether express or implied, even if  
24 accompanied by disclaimers would be detrimental to  
25 the public health.

1           (43) The only way to effectively protect the  
2 public health from the dangers of unsubstantiated  
3 modified risk tobacco products is to empower the  
4 Food and Drug Administration to require that prod-  
5 ucts that tobacco manufacturers sold or distributed  
6 for risk reduction be approved in advance of mar-  
7 keting, and to require that the evidence relied on to  
8 support approval of these products is rigorous.

9 **SEC. 3. PURPOSE.**

10       The purposes of this Act are—

11           (1) to provide authority to the Food and Drug  
12 Administration to regulate tobacco products under  
13 the Federal Food, Drug, and Cosmetic Act (21  
14 U.S.C. 301 et seq.), by recognizing it as the primary  
15 Federal regulatory authority with respect to the  
16 manufacture, marketing, and distribution of tobacco  
17 products;

18           (2) to ensure that the Food and Drug Adminis-  
19 tration has the authority to address issues of par-  
20 ticular concern to public health officials, especially  
21 the use of tobacco by young people and dependence  
22 on tobacco;

23           (3) to authorize the Food and Drug Adminis-  
24 tration to set national standards controlling the  
25 manufacture of tobacco products and the identity,

1 public disclosure, and amount of ingredients used in  
2 such products;

3 (4) to provide new and flexible enforcement au-  
4 thority to ensure that there is effective oversight of  
5 the tobacco industry's efforts to develop, introduce,  
6 and promote less harmful tobacco products;

7 (5) to vest the Food and Drug Administration  
8 with the authority to regulate the levels of tar, nico-  
9 tine, and other harmful components of tobacco prod-  
10 ucts;

11 (6) in order to ensure that consumers are better  
12 informed, to require tobacco product manufacturers  
13 to disclose research which has not previously been  
14 made available, as well as research generated in the  
15 future, relating to the health and dependency effects  
16 or safety of tobacco products;

17 (7) to continue to permit the sale of tobacco  
18 products to adults in conjunction with measures to  
19 ensure that they are not sold or accessible to under-  
20 age purchasers;

21 (8) to impose appropriate regulatory controls on  
22 the tobacco industry;

23 (9) to promote cessation to reduce disease risk  
24 and the social costs associated with tobacco related  
25 diseases; and

1           (10) to strengthen legislation against illicit  
2           trade in tobacco products.

3 **SEC. 4. SCOPE AND EFFECT.**

4           (a) INTENDED EFFECT.—Nothing in this Act (or an  
5 amendment made by this Act) shall be construed to—

6           (1) establish a precedent with regard to any  
7 other industry, situation, circumstance, or legal ac-  
8 tion; or

9           (2) affect any action pending in Federal, State,  
10 or Tribal court, or any agreement, consent decree, or  
11 contract of any kind.

12           (b) AGRICULTURAL ACTIVITIES.—The provisions of  
13 this Act (or an amendment made by this Act) which au-  
14 thorize the Secretary to take certain actions with regard  
15 to tobacco and tobacco products shall not be construed to  
16 affect any authority of the Secretary of Agriculture under  
17 existing law regarding the growing, cultivation, or curing  
18 of raw tobacco.

19 **SEC. 5. SEVERABILITY.**

20           If any provision of this Act, the amendments made  
21 by this Act, or the application of any provision of this Act  
22 to any person or circumstance is held to be invalid, the  
23 remainder of this Act, the amendments made by this Act,  
24 and the application of the provisions of this Act to any

1 other person or circumstance shall not be affected and  
 2 shall continue to be enforced to the fullest extent possible.

3 **TITLE I—AUTHORITY OF THE**  
 4 **FOOD AND DRUG ADMINIS-**  
 5 **TRATION**

6 **SEC. 101. AMENDMENT OF FEDERAL FOOD, DRUG, AND**  
 7 **COSMETIC ACT.**

8 (a) DEFINITION OF TOBACCO PRODUCTS.—Section  
 9 201 of the Federal Food, Drug, and Cosmetic Act (21  
 10 U.S.C. 321) is amended by adding at the end the fol-  
 11 lowing:

12 “(rr)(1) The term ‘tobacco product’ means any prod-  
 13 uct made or derived from tobacco that is intended for  
 14 human consumption, including any component, part, or  
 15 accessory of a tobacco product (except for raw materials  
 16 other than tobacco used in manufacturing a component,  
 17 part, or accessory of a tobacco product).

18 “(2) The term ‘tobacco product’ does not mean—

19 “(A) a product in the form of conventional food  
 20 (including water and chewing gum), a product rep-  
 21 resented for use as or for use in a conventional food,  
 22 or a product that is intended for ingestion in cap-  
 23 sule, tablet, softgel, or liquid form; or

24 “(B) an article that is approved or is regulated  
 25 as a drug by the Food and Drug Administration.



1       “(3) The products described in paragraph (2)(A)  
 2 shall be subject to chapter IV or chapter V of this Act  
 3 and the articles described in paragraph (2)(B) shall be  
 4 subject to chapter V of this Act.

5       “(4) A tobacco product may not be marketed in com-  
 6 bination with any other article or product regulated under  
 7 this Act (including a drug, biologic, food, cosmetics, med-  
 8 ical device, or a dietary supplement).”.

9       (b) FDA AUTHORITY OVER TOBACCO PRODUCTS.—  
 10 The Federal Food, Drug, and Cosmetic Act (21 U.S.C.  
 11 301 et seq.) is amended—

12           (1) by redesignating chapter IX as chapter X;

13           (2) by redesignating sections 901 through 909  
 14 as sections 1001 through 1009;

15           (3) in section 1009 (as so redesignated), by  
 16 striking “section 908” and inserting “section 1008”;  
 17 and

18           (4) by inserting after chapter VIII the fol-  
 19 lowing:

20       **“CHAPTER IX—TOBACCO PRODUCTS**

21       **“SEC. 900. DEFINITIONS.**

22       “In this chapter:

23           “(1) ADDITIVE.—The term ‘additive’ means  
 24 any substance the intended use of which results or  
 25 may reasonably be expected to result, directly or in-

1 directly, in its becoming a component or otherwise  
2 affecting the characteristic of any tobacco product  
3 (including any substances intended for use as a fla-  
4 voring, coloring or in producing, manufacturing,  
5 packing, processing, preparing, treating, packaging,  
6 transporting, or holding), except that such term does  
7 not include tobacco or a pesticide chemical residue  
8 in or on raw tobacco or a pesticide chemical.

9 “(2) BRAND.—The term ‘brand’ means a vari-  
10 ety of tobacco product distinguished by the tobacco  
11 used, tar content, nicotine content, flavoring used,  
12 size, filtration, or packaging, logo, registered trade-  
13 mark or brand name, identifiable pattern of colors,  
14 or any combination of such attributes.

15 “(3) CIGARETTE.—The term ‘cigarette’ has the  
16 meaning given that term by section 3(1) of the Fed-  
17 eral Cigarette Labeling and Advertising Act, but  
18 also includes tobacco, in any form, that is functional  
19 in the product, which, because of its appearance, the  
20 type of tobacco used in the filler, or its packaging  
21 and labeling, is likely to be offered to, or purchased  
22 by, consumers as a cigarette or as roll-your-own to-  
23 bacco.

24 “(4) CIGARETTE TOBACCO.—The term ‘ciga-  
25 rette tobacco’ means any product that consists of

1 loose tobacco that is intended for use by consumers  
2 in a cigarette. Unless otherwise stated, the require-  
3 ments for cigarettes shall also apply to cigarette to-  
4 bacco.

5 “(5) COMMERCE.—The term ‘commerce’ has  
6 the meaning given that term by section 3(2) of the  
7 Federal Cigarette Labeling and Advertising Act.

8 “(6) COUNTERFEIT TOBACCO PRODUCT.—The  
9 term ‘counterfeit tobacco product’ means a tobacco  
10 product (or the container or labeling of such a prod-  
11 uct) that, without authorization, bears the trade-  
12 mark, trade name, or other identifying mark, im-  
13 print or device, or any likeness thereof, of a tobacco  
14 product listed in a registration under section  
15 905(i)(1).

16 “(7) DISTRIBUTOR.—The term ‘distributor’ as  
17 regards a tobacco product means any person who  
18 furthers the distribution of a tobacco product,  
19 whether domestic or imported, at any point from the  
20 original place of manufacture to the person who sells  
21 or distributes the product to individuals for personal  
22 consumption. Common carriers are not considered  
23 distributors for purposes of this chapter.

24 “(8) ILLICIT TRADE.—The term ‘illicit trade’  
25 means any practice or conduct prohibited by law

1 which relates to production, shipment, receipt, pos-  
2 session, distribution, sale, or purchase of tobacco  
3 products including any practice or conduct intended  
4 to facilitate such activity.

5 “(9) INDIAN TRIBE.—The term ‘Indian tribe’  
6 has the meaning given such term in section 4(e) of  
7 the Indian Self Determination and Education Assist-  
8 ance Act.

9 “(10) LITTLE CIGAR.—The term ‘little cigar’  
10 has the meaning given that term by section 3(7) of  
11 the Federal Cigarette Labeling and Advertising Act.

12 “(11) NICOTINE.—The term ‘nicotine’ means  
13 the chemical substance named 3-(1-Methyl-2-  
14 pyrrolidinyl) pyridine or C[10]H[14]N[2], including  
15 any salt or complex of nicotine.

16 “(12) PACKAGE.—The term ‘package’ means a  
17 pack, box, carton, or container of any kind or, if no  
18 other container, any wrapping (including cello-  
19 phane), in which a tobacco product is offered for  
20 sale, sold, or otherwise distributed to consumers.

21 “(13) RETAILER.—The term ‘retailer’ means  
22 any person who sells tobacco products to individuals  
23 for personal consumption, or who operates a facility  
24 where self-service displays of tobacco products are  
25 permitted.

1           “(14) ROLL-YOUR-OWN TOBACCO.—The term  
2           ‘roll-your-own tobacco’ means any tobacco which, be-  
3           cause of its appearance, type, packaging, or labeling,  
4           is suitable for use and likely to be offered to, or pur-  
5           chased by, consumers as tobacco for making ciga-  
6           rettes.

7           “(15) SMOKE CONSTITUENT.—The term ‘smoke  
8           constituent’ means any chemical or chemical com-  
9           pound in mainstream or sidestream tobacco smoke  
10          that either transfers from any component of the cig-  
11          arette to the smoke or that is formed by the combus-  
12          tion or heating of tobacco, additives, or other compo-  
13          nent of the tobacco product.

14          “(16) SMOKELESS TOBACCO.—The term  
15          ‘smokeless tobacco’ means any tobacco product that  
16          consists of cut, ground, powdered, or leaf tobacco  
17          and that is intended to be placed in the oral or nasal  
18          cavity.

19          “(17) STATE.—The term ‘State’ means any  
20          State of the United States and, for purposes of this  
21          chapter, includes the District of Columbia, the Com-  
22          monwealth of Puerto Rico, Guam, the Virgin Is-  
23          lands, American Samoa, Wake Island, Midway Is-  
24          lands, Kingman Reef, Johnston Atoll, the Northern

1 Mariana Islands, and any other trust territory or  
2 possession of the United States.

3 “(18) TOBACCO PRODUCT MANUFACTURER.—

4 The term ‘tobacco product manufacturer’ means any  
5 person, including any repacker or relabeler, who—

6 “(A) manufactures, fabricates, assembles,  
7 processes, or labels a tobacco product; or

8 “(B) imports a finished cigarette or  
9 smokeless tobacco product for sale or distribu-  
10 tion in the United States.

11 “(19) UNITED STATES.—The term ‘United  
12 States’ means the 50 States of the United States of  
13 America and the District of Columbia, the Common-  
14 wealth of Puerto Rico, Guam, the Virgin Islands,  
15 American Samoa, Wake Island, Midway Islands,  
16 Kingman Reef, Johnston Atoll, the Northern Mar-  
17 iana Islands, and any other trust territory or posses-  
18 sion of the United States.

19 **“SEC. 901. FDA AUTHORITY OVER TOBACCO PRODUCTS.**

20 “(a) IN GENERAL.—Tobacco products shall be regu-  
21 lated by the Secretary under this chapter and shall not  
22 be subject to the provisions of chapter V, unless—

23 “(1) such products are intended for use in the  
24 diagnosis, cure, mitigation, treatment, or prevention

1 of disease (within the meaning of section  
2 201(g)(1)(B) or section 201(h)(2)); or

3 “(2) a claim is made for such products under  
4 section 201(g)(1)(C) or 201(h)(3);  
5 other than modified risk tobacco products approved  
6 in accordance with section 911.

7 “(b) APPLICABILITY.—This chapter shall apply to all  
8 tobacco products subject to the regulations referred to in  
9 section 102 of the Family Smoking Prevention and To-  
10 bacco Control Act, and to any other tobacco products that  
11 the Secretary by regulation deems to be subject to this  
12 chapter.

13 “(c) SCOPE.—

14 “(1) IN GENERAL.—Nothing in this chapter, or  
15 any policy issued or regulation promulgated there-  
16 under, or in sections 101(a), 102, or 103 of title I,  
17 title II, or title III of the Family Smoking Preven-  
18 tion and Tobacco Control Act, shall be construed to  
19 affect, expand, or limit the Secretary’s authority  
20 over (including the authority to determine whether  
21 products may be regulated), or the regulation of,  
22 products under this Act that are not tobacco prod-  
23 ucts under chapter V or any other chapter.

24 “(2) LIMITATION OF AUTHORITY.—

1           “(A) IN GENERAL.—The provisions of this  
2 chapter shall not apply to tobacco leaf that is  
3 not in the possession of a manufacturer of to-  
4 bacco products, or to the producers of tobacco  
5 leaf, including tobacco growers, tobacco ware-  
6 houses, and tobacco grower cooperatives, nor  
7 shall any employee of the Food and Drug Ad-  
8 ministration have any authority to enter onto a  
9 farm owned by a producer of tobacco leaf with-  
10 out the written consent of such producer.

11           “(B) EXCEPTION.—Notwithstanding sub-  
12 paragraph (A), if a producer of tobacco leaf is  
13 also a tobacco product manufacturer or con-  
14 trolled by a tobacco product manufacturer, the  
15 producer shall be subject to this chapter in the  
16 producer’s capacity as a manufacturer.

17           “(C) RULE OF CONSTRUCTION.—Nothing  
18 in this chapter shall be construed to grant the  
19 Secretary authority to promulgate regulations  
20 on any matter that involves the production of  
21 tobacco leaf or a producer thereof, other than  
22 activities by a manufacturer affecting produc-  
23 tion.



1 **“SEC. 902. ADULTERATED TOBACCO PRODUCTS.**

2 “A tobacco product shall be deemed to be adulterated  
3 if—

4 “(1) it consists in whole or in part of any filthy,  
5 putrid, or decomposed substance, or is otherwise  
6 contaminated by any added poisonous or added dele-  
7 terious substance that may render the product inju-  
8 rious to health;

9 “(2) it has been prepared, packed, or held  
10 under insanitary conditions whereby it may have  
11 been contaminated with filth, or whereby it may  
12 have been rendered injurious to health;

13 “(3) its package is composed, in whole or in  
14 part, of any poisonous or deleterious substance  
15 which may render the contents injurious to health;

16 “(4) it is, or purports to be or is represented  
17 as, a tobacco product which is subject to a tobacco  
18 product standard established under section 907 un-  
19 less such tobacco product is in all respects in con-  
20 formity with such standard;

21 “(5)(A) it is required by section 910(a) to have  
22 premarket approval and does not have an approved  
23 application in effect; or

24 “(B) it is in violation of the order approving  
25 such an application;

1           “(6) the methods used in, or the facilities or  
2           controls used for, its manufacture, packing, or stor-  
3           age are not in conformity with applicable require-  
4           ments under section 906(e)(1) or an applicable con-  
5           dition prescribed by an order under section  
6           906(e)(2); or

7           “(7) it is in violation of section 911.

8   **“SEC. 903. MISBRANDED TOBACCO PRODUCTS.**

9           “(a) IN GENERAL.—A tobacco product shall be  
10          deemed to be misbranded—

11           “(1) if its labeling is false or misleading in any  
12          particular;

13           “(2) if in package form unless it bears a label  
14          containing—

15           “(A) the name and place of business of the  
16          tobacco product manufacturer, packer, or dis-  
17          tributor;

18           “(B) an accurate statement of the quantity  
19          of the contents in terms of weight, measure, or  
20          numerical count;

21           “(C) an accurate statement of the percent-  
22          age of the tobacco used in the product that is  
23          domestically grown tobacco and the percentage  
24          that is foreign grown tobacco; and

1                   “(D) the statement required under section  
2                   921(a),  
3                   except that under subparagraph (B) reasonable vari-  
4                   ations shall be permitted, and exemptions as to  
5                   small packages shall be established, by regulations  
6                   prescribed by the Secretary;

7                   “(3) if any word, statement, or other informa-  
8                   tion required by or under authority of this chapter  
9                   to appear on the label or labeling is not prominently  
10                  placed thereon with such conspicuousness (as com-  
11                  pared with other words, statements or designs in the  
12                  labeling) and in such terms as to render it likely to  
13                  be read and understood by the ordinary individual  
14                  under customary conditions of purchase and use;

15                  “(4) if it has an established name, unless its  
16                  label bears, to the exclusion of any other nonpropri-  
17                  etary name, its established name prominently print-  
18                  ed in type as required by the Secretary by regula-  
19                  tion;

20                  “(5) if the Secretary has issued regulations re-  
21                  quiring that its labeling bear adequate directions for  
22                  use, or adequate warnings against use by children,  
23                  that are necessary for the protection of users unless  
24                  its labeling conforms in all respects to such regula-  
25                  tions;

1           “(6) if it was manufactured, prepared, propa-  
2           gated, compounded, or processed in any State in an  
3           establishment not duly registered under section  
4           905(b), 905(c), 905(d), or 905(h), if it was not in-  
5           cluded in a list required by section 905(i), if a notice  
6           or other information respecting it was not provided  
7           as required by such section or section 905(j), or if  
8           it does not bear such symbols from the uniform sys-  
9           tem for identification of tobacco products prescribed  
10          under section 905(e) as the Secretary by regulation  
11          requires;

12           “(7) if, in the case of any tobacco product dis-  
13          tributed or offered for sale in any State—

14                   “(A) its advertising is false or misleading  
15                   in any particular; or

16                   “(B) it is sold or distributed in violation of  
17                   regulations prescribed under section 906(d);

18           “(8) unless, in the case of any tobacco product  
19          distributed or offered for sale in any State, the man-  
20          ufacturer, packer, or distributor thereof includes in  
21          all advertisements and other descriptive printed mat-  
22          ter issued or caused to be issued by the manufac-  
23          turer, packer, or distributor with respect to that to-  
24          bacco product—

1           “(A) a true statement of the tobacco prod-  
2           uct’s established name as described in para-  
3           graph (4), printed prominently; and

4           “(B) a brief statement of—

5                 “(i) the uses of the tobacco product  
6                 and relevant warnings, precautions, side  
7                 effects, and contraindications; and

8                 “(ii) in the case of specific tobacco  
9                 products made subject to a finding by the  
10                Secretary after notice and opportunity for  
11                comment that such action is appropriate to  
12                protect the public health, a full description  
13                of the components of such tobacco product  
14                or the formula showing quantitatively each  
15                ingredient of such tobacco product to the  
16                extent required in regulations which shall  
17                be issued by the Secretary after an oppor-  
18                tunity for a hearing;

19           “(9) if it is a tobacco product subject to a to-  
20           bacco product standard established under section  
21           907, unless it bears such labeling as may be pre-  
22           scribed in such tobacco product standard; or

23           “(10) if there was a failure or refusal—

24                 “(A) to comply with any requirement pre-  
25                 scribed under section 904 or 908; or

1                   “(B) to furnish any material or informa-  
2                   tion required under section 909.

3           “(b) PRIOR APPROVAL OF LABEL STATEMENTS.—  
4 The Secretary may, by regulation, require prior approval  
5 of statements made on the label of a tobacco product. No  
6 regulation issued under this subsection may require prior  
7 approval by the Secretary of the content of any advertise-  
8 ment, except for modified risk tobacco products as pro-  
9 vided in section 911. No advertisement of a tobacco prod-  
10 uct published after the date of enactment of the Family  
11 Smoking Prevention and Tobacco Control Act shall, with  
12 respect to the language of label statements as prescribed  
13 under section 4 of the Cigarette Labeling and Advertising  
14 Act and section 3 of the Comprehensive Smokeless To-  
15 bacco Health Education Act of 1986 or the regulations  
16 issued under such sections, be subject to the provisions  
17 of sections 12 through 15 of the Federal Trade Commis-  
18 sion Act.

19 **“SEC. 904. SUBMISSION OF HEALTH INFORMATION TO THE**  
20 **SECRETARY.**

21           “(a) REQUIREMENT.—Not later than 6 months after  
22 the date of enactment of the Family Smoking Prevention  
23 and Tobacco Control Act, each tobacco product manufac-  
24 turer or importer, or agents thereof, shall submit to the  
25 Secretary the following information:

1           “(1) A listing of all ingredients, including to-  
2           bacco, substances, compounds, and additives that  
3           are, as of such date, added by the manufacturer to  
4           the tobacco, paper, filter, or other part of each to-  
5           bacco product by brand and by quantity in each  
6           brand and subbrand.

7           “(2) A description of the content, delivery, and  
8           form of nicotine in each tobacco product measured  
9           in milligrams of nicotine in accordance with regula-  
10          tions promulgated by the Secretary in accordance  
11          with section 4(a)(5) of the Federal Cigarette Label-  
12          ing and Advertising Act.

13          “(3) A listing of all constituents, including  
14          smoke constituents as applicable, identified by the  
15          Secretary as harmful or potentially harmful to  
16          health in each tobacco product, and as applicable in  
17          the smoke of each tobacco product, by brand and by  
18          quantity in each brand and subbrand. Effective be-  
19          ginning 2 years after the date of enactment of this  
20          chapter, the manufacturer, importer, or agent shall  
21          comply with regulations promulgated under section  
22          916 in reporting information under this paragraph,  
23          where applicable.

24          “(4) All documents developed after the date of  
25          enactment of the Family Smoking Prevention and

1 Tobacco Control Act that relate to health, toxicological, behavioral, or physiologic effects of current  
2 or future tobacco products, their constituents (including smoke constituents), ingredients, components, and additives.

6 “(b) DATA SUBMISSION.—At the request of the Secretary, each tobacco product manufacturer or importer of  
7 tobacco products, or agents thereof, shall submit the following:  
9

10 “(1) Any or all documents (including underlying scientific information) relating to research activities, and research findings, conducted, supported,  
11 or possessed by the manufacturer (or agents thereof) on the health, toxicological, behavioral, or physiologic effects of tobacco products and their constituents (including smoke constituents), ingredients,  
12 components, and additives.  
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18 “(2) Any or all documents (including underlying scientific information) relating to research activities, and research findings, conducted, supported,  
19 or possessed by the manufacturer (or agents thereof) that relate to the issue of whether a reduction in  
20 risk to health from tobacco products can occur upon the employment of technology available or known to  
21 the manufacturer.  
22  
23  
24  
25



1           “(3) Any or all documents (including under-  
2           lying scientific or financial information) relating to  
3           marketing research involving the use of tobacco  
4           products or marketing practices and the effective-  
5           ness of such practices used by tobacco manufactur-  
6           ers and distributors.

7           An importer of a tobacco product not manufactured in the  
8           United States shall supply the information required of a  
9           tobacco product manufacturer under this subsection.

10          “(c) TIME FOR SUBMISSION.—

11                 “(1) IN GENERAL.—At least 90 days prior to  
12                 the delivery for introduction into interstate com-  
13                 merce of a tobacco product not on the market on the  
14                 date of enactment of the Family Smoking Preven-  
15                 tion and Tobacco Control Act, the manufacturer of  
16                 such product shall provide the information required  
17                 under subsection (a).

18                 “(2) DISCLOSURE OF ADDITIVE.—If at any  
19                 time a tobacco product manufacturer adds to its to-  
20                 bacco products a new tobacco additive or increases  
21                 the quantity of an existing tobacco additive, the  
22                 manufacturer shall, except as provided in paragraph  
23                 (3), at least 90 days prior to such action so advise  
24                 the Secretary in writing.

1           “(3) DISCLOSURE OF OTHER ACTIONS.—If at  
2 any time a tobacco product manufacturer eliminates  
3 or decreases an existing additive, or adds or in-  
4 creases an additive that has by regulation been des-  
5 ignated by the Secretary as an additive that is not  
6 a human or animal carcinogen, or otherwise harmful  
7 to health under intended conditions of use, the man-  
8 ufacturer shall within 60 days of such action so ad-  
9 vise the Secretary in writing.

10          “(d) DATA LIST.—

11           “(1) IN GENERAL.—Not later than 3 years  
12 after the date of enactment of the Family Smoking  
13 Prevention and Tobacco Control Act, and annually  
14 thereafter, the Secretary shall publish in a format  
15 that is understandable and not misleading to a lay  
16 person, and place on public display (in a manner de-  
17 termined by the Secretary) the list established under  
18 subsection (e).

19           “(2) CONSUMER RESEARCH.—The Secretary  
20 shall conduct periodic consumer research to ensure  
21 that the list published under paragraph (1) is not  
22 misleading to lay persons. Not later than 5 years  
23 after the date of enactment of the Family Smoking  
24 Prevention and Tobacco Control Act, the Secretary  
25 shall submit to the appropriate committees of Con-

1       gress a report on the results of such research, to-  
2       gether with recommendations on whether such publi-  
3       cation should be continued or modified.

4       “(e) DATA COLLECTION.—Not later than 12 months  
5       after the date of enactment of the Family Smoking Pre-  
6       vention and Tobacco Control Act, the Secretary shall es-  
7       tablish a list of harmful and potentially harmful constitu-  
8       ents, including smoke constituents, to health in each to-  
9       bacco product by brand and by quantity in each brand  
10      and subbrand. The Secretary shall publish a public notice  
11      requesting the submission by interested persons of sci-  
12      entific and other information concerning the harmful and  
13      potentially harmful constituents in tobacco products and  
14      tobacco smoke.

15      **“SEC. 905. ANNUAL REGISTRATION.**

16      “(a) DEFINITIONS.—In this section:

17              “(1)        MANUFACTURE,        PREPARATION,  
18        COMPOUNDING, OR PROCESSING.—The term ‘manu-  
19        facture, preparation, compounding, or processing’  
20        shall include repackaging or otherwise changing the  
21        container, wrapper, or labeling of any tobacco prod-  
22        uct package in furtherance of the distribution of the  
23        tobacco product from the original place of manufac-  
24        ture to the person who makes final delivery or sale  
25        to the ultimate consumer or user.

1           “(2) NAME.—The term ‘name’ shall include in  
2           the case of a partnership the name of each partner  
3           and, in the case of a corporation, the name of each  
4           corporate officer and director, and the State of in-  
5           corporation.

6           “(b) REGISTRATION BY OWNERS AND OPERATORS.—  
7           On or before December 31 of each year every person who  
8           owns or operates any establishment in any State engaged  
9           in the manufacture, preparation, compounding, or proc-  
10          essing of a tobacco product or tobacco products shall reg-  
11          ister with the Secretary the name, places of business, and  
12          all such establishments of that person.

13          “(c) REGISTRATION OF NEW OWNERS AND OPERA-  
14          TORS.—Every person upon first engaging in the manufac-  
15          ture, preparation, compounding, or processing of a tobacco  
16          product or tobacco products in any establishment owned  
17          or operated in any State by that person shall immediately  
18          register with the Secretary that person’s name, place of  
19          business, and such establishment.

20          “(d) REGISTRATION OF ADDED ESTABLISHMENTS.—  
21          Every person required to register under subsection (b) or  
22          (c) shall immediately register with the Secretary any addi-  
23          tional establishment which that person owns or operates  
24          in any State and in which that person begins the manufac-

1 ture, preparation, compounding, or processing of a tobacco  
2 product or tobacco products.

3 “(e) UNIFORM PRODUCT IDENTIFICATION SYS-  
4 TEM.—The Secretary may by regulation prescribe a uni-  
5 form system for the identification of tobacco products and  
6 may require that persons who are required to list such  
7 tobacco products under subsection (i) shall list such to-  
8 bacco products in accordance with such system.

9 “(f) PUBLIC ACCESS TO REGISTRATION INFORMA-  
10 TION.—The Secretary shall make available for inspection,  
11 to any person so requesting, any registration filed under  
12 this section.

13 “(g) BIENNIAL INSPECTION OF REGISTERED ESTAB-  
14 LISHMENTS.—Every establishment in any State registered  
15 with the Secretary under this section shall be subject to  
16 inspection under section 704, and every such establish-  
17 ment engaged in the manufacture, compounding, or proc-  
18 essing of a tobacco product or tobacco products shall be  
19 so inspected by 1 or more officers or employees duly des-  
20 ignated by the Secretary at least once in the 2-year period  
21 beginning with the date of registration of such establish-  
22 ment under this section and at least once in every succes-  
23 sive 2-year period thereafter.

24 “(h) FOREIGN ESTABLISHMENTS SHALL REG-  
25 ISTER.—Any establishment within any foreign country en-

1 gaged in the manufacture, preparation, compounding, or  
2 processing of a tobacco product or tobacco products, shall  
3 register under this section under regulations promulgated  
4 by the Secretary. Such regulations shall require such es-  
5 tablishment to provide the information required by sub-  
6 section (i) of this section and shall include provisions for  
7 registration of any such establishment upon condition that  
8 adequate and effective means are available, by arrange-  
9 ment with the government of such foreign country or oth-  
10 erwise, to enable the Secretary to determine from time to  
11 time whether tobacco products manufactured, prepared,  
12 compounded, or processed in such establishment, if im-  
13 ported or offered for import into the United States, shall  
14 be refused admission on any of the grounds set forth in  
15 section 801(a).

16 “(i) REGISTRATION INFORMATION.—

17 “(1) PRODUCT LIST.—Every person who reg-  
18 isters with the Secretary under subsection (b), (c),  
19 (d), or (h) shall, at the time of registration under  
20 any such subsection, file with the Secretary a list of  
21 all tobacco products which are being manufactured,  
22 prepared, compounded, or processed by that person  
23 for commercial distribution and which has not been  
24 included in any list of tobacco products filed by that  
25 person with the Secretary under this paragraph or

1 paragraph (2) before such time of registration. Such  
2 list shall be prepared in such form and manner as  
3 the Secretary may prescribe and shall be accom-  
4 panied by—

5 “(A) in the case of a tobacco product con-  
6 tained in the applicable list with respect to  
7 which a tobacco product standard has been es-  
8 tablished under section 907 or which is subject  
9 to section 910, a reference to the authority for  
10 the marketing of such tobacco product and a  
11 copy of all labeling for such tobacco product;

12 “(B) in the case of any other tobacco prod-  
13 uct contained in an applicable list, a copy of all  
14 consumer information and other labeling for  
15 such tobacco product, a representative sampling  
16 of advertisements for such tobacco product,  
17 and, upon request made by the Secretary for  
18 good cause, a copy of all advertisements for a  
19 particular tobacco product; and

20 “(C) if the registrant filing a list has de-  
21 termined that a tobacco product contained in  
22 such list is not subject to a tobacco product  
23 standard established under section 907, a brief  
24 statement of the basis upon which the reg-  
25 istrant made such determination if the Sec-

1           retary requests such a statement with respect  
2           to that particular tobacco product.

3           “(2) BIENNIAL REPORT OF ANY CHANGE IN  
4           PRODUCT LIST.—Each person who registers with the  
5           Secretary under this section shall report to the Sec-  
6           retary once during the month of June of each year  
7           and once during the month of December of each  
8           year the following:

9                   “(A) A list of each tobacco product intro-  
10                  duced by the registrant for commercial distribu-  
11                  tion which has not been included in any list  
12                  previously filed by that person with the Sec-  
13                  retary under this subparagraph or paragraph  
14                  (1). A list under this subparagraph shall list a  
15                  tobacco product by its established name and  
16                  shall be accompanied by the other information  
17                  required by paragraph (1).

18                   “(B) If since the date the registrant last  
19                  made a report under this paragraph that person  
20                  has discontinued the manufacture, preparation,  
21                  compounding, or processing for commercial dis-  
22                  tribution of a tobacco product included in a list  
23                  filed under subparagraph (A) or paragraph (1),  
24                  notice of such discontinuance, the date of such



1 discontinuance, and the identity of its estab-  
2 lished name.

3 “(C) If since the date the registrant re-  
4 ported under subparagraph (B) a notice of dis-  
5 continuance that person has resumed the manu-  
6 facture, preparation, compounding, or proc-  
7 essing for commercial distribution of the to-  
8 bacco product with respect to which such notice  
9 of discontinuance was reported, notice of such  
10 resumption, the date of such resumption, the  
11 identity of such tobacco product by established  
12 name, and other information required by para-  
13 graph (1), unless the registrant has previously  
14 reported such resumption to the Secretary  
15 under this subparagraph.

16 “(D) Any material change in any informa-  
17 tion previously submitted under this paragraph  
18 or paragraph (1).

19 “(j) REPORT PRECEDING INTRODUCTION OF CER-  
20 TAIN SUBSTANTIALLY-EQUIVALENT PRODUCTS INTO  
21 INTERSTATE COMMERCE.—

22 “(1) IN GENERAL.—Each person who is re-  
23 quired to register under this section and who pro-  
24 poses to begin the introduction or delivery for intro-  
25 duction into interstate commerce for commercial dis-

1       tribution of a tobacco product intended for human  
2       use that was not commercially marketed (other than  
3       for test marketing) in the United States as of June  
4       1, 2003, shall, at least 90 days prior to making such  
5       introduction or delivery, report to the Secretary (in  
6       such form and manner as the Secretary shall pre-  
7       scribe)—

8               “(A) the basis for such person’s determina-  
9               tion that the tobacco product is substantially  
10              equivalent, within the meaning of section 910,  
11              to a tobacco product commercially marketed  
12              (other than for test marketing) in the United  
13              States as of June 1, 2003, that is in compliance  
14              with the requirements of this Act; and

15             “(B) action taken by such person to com-  
16             ply with the requirements under section 907  
17             that are applicable to the tobacco product.

18             “(2) APPLICATION TO CERTAIN POST JUNE 1,  
19             2003 PRODUCTS.—A report under this subsection for  
20             a tobacco product that was first introduced or deliv-  
21             ered for introduction into interstate commerce for  
22             commercial distribution in the United States after  
23             June 1, 2003, and prior to the date that is 15  
24             months after the date of enactment of the Family  
25             Smoking Prevention and Tobacco Control Act shall

1 be submitted to the Secretary not later than 15  
2 months after such date of enactment.

3 “(3) EXEMPTIONS.—

4 “(A) IN GENERAL.—The Secretary may by  
5 regulation, exempt from the requirements of  
6 this subsection tobacco products that are modi-  
7 fied by adding or deleting a tobacco additive, or  
8 increasing or decreasing the quantity of an ex-  
9 isting tobacco additive, if the Secretary deter-  
10 mines that—

11 “(i) such modification would be a  
12 minor modification of a tobacco product  
13 authorized for sale under this Act;

14 “(ii) a report under this subsection is  
15 not necessary to ensure that permitting the  
16 tobacco product to be marketed would be  
17 appropriate for protection of the public  
18 health; and

19 “(iii) an exemption is otherwise appro-  
20 priate.

21 “(B) REGULATIONS.—Not later than 9  
22 months after the date of enactment of the Fam-  
23 ily Smoking Prevention and Tobacco Control  
24 Act, the Secretary shall issue regulations to im-  
25 plement this paragraph.

1 **“SEC. 906. GENERAL PROVISIONS RESPECTING CONTROL**  
2 **OF TOBACCO PRODUCTS.**

3 “(a) IN GENERAL.—Any requirement established by  
4 or under section 902, 903, 905, or 909 applicable to a  
5 tobacco product shall apply to such tobacco product until  
6 the applicability of the requirement to the tobacco product  
7 has been changed by action taken under section 907, sec-  
8 tion 910, section 911, or subsection (d) of this section,  
9 and any requirement established by or under section 902,  
10 903, 905, or 909 which is inconsistent with a requirement  
11 imposed on such tobacco product under section 907, sec-  
12 tion 910, section 911, or subsection (d) of this section  
13 shall not apply to such tobacco product.

14 “(b) INFORMATION ON PUBLIC ACCESS AND COM-  
15 MENT.—Each notice of proposed rulemaking or other noti-  
16 fication under section 907, 908, 909, 910, or 911 or under  
17 this section, any other notice which is published in the  
18 Federal Register with respect to any other action taken  
19 under any such section and which states the reasons for  
20 such action, and each publication of findings required to  
21 be made in connection with rulemaking under any such  
22 section shall set forth—

23 “(1) the manner in which interested persons  
24 may examine data and other information on which  
25 the notice or findings is based; and

1           “(2) the period within which interested persons  
2           may present their comments on the notice or find-  
3           ings (including the need therefore) orally or in writ-  
4           ing, which period shall be at least 60 days but may  
5           not exceed 90 days unless the time is extended by  
6           the Secretary by a notice published in the Federal  
7           Register stating good cause therefore.

8           “(c) LIMITED CONFIDENTIALITY OF INFORMA-  
9           TION.—Any information reported to or otherwise obtained  
10          by the Secretary or the Secretary’s representative under  
11          section 903, 904, 907, 908, 909, 910, 911, or 704, or  
12          under subsection (e) or (f) of this section, which is exempt  
13          from disclosure under subsection (a) of section 552 of title  
14          5, United States Code, by reason of subsection (b)(4) of  
15          that section shall be considered confidential and shall not  
16          be disclosed, except that the information may be disclosed  
17          to other officers or employees concerned with carrying out  
18          this chapter, or when relevant in any proceeding under  
19          this chapter.

20          “(d) RESTRICTIONS.—

21                 “(1) IN GENERAL.—The Secretary may by reg-  
22                 ulation require restrictions on the sale and distribu-  
23                 tion of a tobacco product, including restrictions on  
24                 the access to, and the advertising and promotion of,  
25                 the tobacco product, if the Secretary determines that

1 such regulation would be appropriate for the protec-  
2 tion of the public health. The Secretary may by reg-  
3 ulation impose restrictions on the advertising and  
4 promotion of a tobacco product consistent with and  
5 to full extent permitted by the first amendment to  
6 the Constitution. The finding as to whether such  
7 regulation would be appropriate for the protection of  
8 the public health shall be determined with respect to  
9 the risks and benefits to the population as a whole,  
10 including users and non-users of the tobacco prod-  
11 uct, and taking into account—

12 “(A) the increased or decreased likelihood  
13 that existing users of tobacco products will stop  
14 using such products; and

15 “(B) the increased or decreased likelihood  
16 that those who do not use tobacco products will  
17 start using such products.

18 No such regulation may require that the sale or dis-  
19 tribution of a tobacco product be limited to the writ-  
20 ten or oral authorization of a practitioner licensed  
21 by law to prescribe medical products.

22 “(2) LABEL STATEMENTS.—The label of a to-  
23 bacco product shall bear such appropriate state-  
24 ments of the restrictions required by a regulation

1 under subsection (a) as the Secretary may in such  
2 regulation prescribe.

3 “(3) LIMITATIONS.—

4 “(A) IN GENERAL.—No restrictions under  
5 paragraph (1) may—

6 “(i) prohibit the sale of any tobacco  
7 product in face-to-face transactions by a  
8 specific category of retail outlets; or

9 “(ii) establish a minimum age of sale  
10 of tobacco products to any person older  
11 than 18 years of age.

12 “(B) MATCHBOOKS.—For purposes of any  
13 regulations issued by the Secretary, matchbooks  
14 of conventional size containing not more than  
15 20 paper matches, and which are customarily  
16 given away for free with the purchase of to-  
17 bacco products shall be considered as adult  
18 written publications which shall be permitted to  
19 contain advertising. Notwithstanding the pre-  
20 ceeding sentence, if the Secretary finds that such  
21 treatment of matchbooks is not appropriate for  
22 the protection of the public health, the Sec-  
23 retary may determine by regulation that match-  
24 books shall not be considered adult written pub-  
25 lications.

1       “(e) GOOD MANUFACTURING PRACTICE REQUIRE-  
2 MENTS.—

3               “(1) METHODS, FACILITIES, AND CONTROLS TO  
4 CONFORM.—

5               “(A) IN GENERAL.—The Secretary may, in  
6 accordance with subparagraph (B), prescribe  
7 regulations (which may differ based on the type  
8 of tobacco product involved) requiring that the  
9 methods used in, and the facilities and controls  
10 used for, the manufacture, pre-production de-  
11 sign validation (including a process to assess  
12 the performance of a tobacco product), packing  
13 and storage of a tobacco product, conform to  
14 current good manufacturing practice, as pre-  
15 scribed in such regulations, to assure that the  
16 public health is protected and that the tobacco  
17 product is in compliance with this chapter.  
18 Good manufacturing practices may include the  
19 testing of raw tobacco for pesticide chemical  
20 residues regardless of whether a tolerance for  
21 such chemical residues has been established.

22               “(B) REQUIREMENTS.—The Secretary  
23 shall—

24               “(i) before promulgating any regula-  
25 tion under subparagraph (A), afford the



1 Tobacco Products Scientific Advisory Com-  
2 mittee an opportunity to submit rec-  
3 ommendations with respect to the regula-  
4 tion proposed to be promulgated;

5 “(ii) before promulgating any regula-  
6 tion under subparagraph (A), afford oppor-  
7 tunity for an oral hearing;

8 “(iii) provide the Tobacco Products  
9 Scientific Advisory Committee a reasonable  
10 time to make its recommendation with re-  
11 spect to proposed regulations under sub-  
12 paragraph (A); and

13 “(iv) in establishing the effective date  
14 of a regulation promulgated under this  
15 subsection, take into account the dif-  
16 ferences in the manner in which the dif-  
17 ferent types of tobacco products have his-  
18 torically been produced, the financial re-  
19 sources of the different tobacco product  
20 manufacturers, and the state of their exist-  
21 ing manufacturing facilities, and shall pro-  
22 vide for a reasonable period of time for  
23 such manufacturers to conform to good  
24 manufacturing practices.

25 “(2) EXEMPTIONS; VARIANCES.—

1           “(A) PETITION.—Any person subject to  
2 any requirement prescribed under paragraph  
3 (1) may petition the Secretary for a permanent  
4 or temporary exemption or variance from such  
5 requirement. Such a petition shall be submitted  
6 to the Secretary in such form and manner as  
7 the Secretary shall prescribe and shall—

8           “(i) in the case of a petition for an ex-  
9 emption from a requirement, set forth the  
10 basis for the petitioner’s determination  
11 that compliance with the requirement is  
12 not required to assure that the tobacco  
13 product will be in compliance with this  
14 chapter;

15           “(ii) in the case of a petition for a  
16 variance from a requirement, set forth the  
17 methods proposed to be used in, and the  
18 facilities and controls proposed to be used  
19 for, the manufacture, packing, and storage  
20 of the tobacco product in lieu of the meth-  
21 ods, facilities, and controls prescribed by  
22 the requirement; and

23           “(iii) contain such other information  
24 as the Secretary shall prescribe.

1           “(B) REFERRAL TO THE TOBACCO PROD-  
2           UCTS SCIENTIFIC ADVISORY COMMITTEE.—The  
3           Secretary may refer to the Tobacco Products  
4           Scientific Advisory Committee any petition sub-  
5           mitted under subparagraph (A). The Tobacco  
6           Products Scientific Advisory Committee shall  
7           report its recommendations to the Secretary  
8           with respect to a petition referred to it within  
9           60 days after the date of the petition’s referral.  
10          Within 60 days after—

11                   “(i) the date the petition was sub-  
12                   mitted to the Secretary under subpara-  
13                   graph (A); or

14                   “(ii) the day after the petition was re-  
15                   ferred to the Tobacco Products Scientific  
16                   Advisory Committee,

17           whichever occurs later, the Secretary shall by  
18           order either deny the petition or approve it.

19           “(C) APPROVAL.—The Secretary may ap-  
20           prove—

21                   “(i) a petition for an exemption for a  
22                   tobacco product from a requirement if the  
23                   Secretary determines that compliance with  
24                   such requirement is not required to assure

1           that the tobacco product will be in compli-  
2           ance with this chapter; and

3           “(ii) a petition for a variance for a to-  
4           bacco product from a requirement if the  
5           Secretary determines that the methods to  
6           be used in, and the facilities and controls  
7           to be used for, the manufacture, packing,  
8           and storage of the tobacco product in lieu  
9           of the methods, controls, and facilities pre-  
10          scribed by the requirement are sufficient to  
11          assure that the tobacco product will be in  
12          compliance with this chapter.

13          “(D) CONDITIONS.—An order of the Sec-  
14          retary approving a petition for a variance shall  
15          prescribe such conditions respecting the meth-  
16          ods used in, and the facilities and controls used  
17          for, the manufacture, packing, and storage of  
18          the tobacco product to be granted the variance  
19          under the petition as may be necessary to as-  
20          sure that the tobacco product will be in compli-  
21          ance with this chapter.

22          “(E) HEARING.—After the issuance of an  
23          order under subparagraph (B) respecting a pe-  
24          tition, the petitioner shall have an opportunity  
25          for an informal hearing on such order.

1           “(3) COMPLIANCE.—Compliance with require-  
2           ments under this subsection shall not be required be-  
3           fore the period ending 3 years after the date of en-  
4           actment of the Family Smoking Prevention and To-  
5           bacco Control Act.

6           “(f) RESEARCH AND DEVELOPMENT.—The Secretary  
7           may enter into contracts for research, testing, and dem-  
8           onstrations respecting tobacco products and may obtain  
9           tobacco products for research, testing, and demonstration  
10          purposes without regard to section 3324(a) and (b) of title  
11          31, United States Code, and section 5 of title 41, United  
12          States Code.

13          **“SEC. 907. TOBACCO PRODUCT STANDARDS.**

14          “(a) IN GENERAL.—

15                 “(1) SPECIAL RULE FOR CIGARETTES.—A ciga-  
16                 rette or any of its component parts (including the  
17                 tobacco, filter, or paper) shall not contain, as a con-  
18                 stituent (including a smoke constituent) or additive,  
19                 an artificial or natural flavor (other than tobacco or  
20                 menthol) or an herb or spice, including strawberry,  
21                 grape, orange, clove, cinnamon, pineapple, vanilla,  
22                 coconut, licorice, cocoa, chocolate, cherry, or coffee,  
23                 that is a characterizing flavor of the tobacco product  
24                 or tobacco smoke. Nothing in this subparagraph  
25                 shall be construed to limit the Secretary’s authority

1 to take action under this section or other sections of  
2 this Act applicable to menthol or any artificial or  
3 natural flavor, herb, or spice not specified in this  
4 paragraph.

5 “(2) REVISION OF TOBACCO PRODUCT STAND-  
6 ARDS.—The Secretary may revise the tobacco prod-  
7 uct standards in paragraph (1) in accordance with  
8 subsection (b).

9 “(3) TOBACCO PRODUCT STANDARDS.—The  
10 Secretary may adopt tobacco product standards in  
11 addition to those in paragraph (1) if the Secretary  
12 finds that a tobacco product standard is appropriate  
13 for the protection of the public health. This finding  
14 shall be determined with respect to the risks and  
15 benefits to the population as a whole, including  
16 users and non-users of the tobacco product, and tak-  
17 ing into account—

18 “(A) the increased or decreased likelihood  
19 that existing users of tobacco products will stop  
20 using such products; and

21 “(B) the increased or decreased likelihood  
22 that those who do not use tobacco products will  
23 start using such products.

1           “(4) CONTENT OF TOBACCO PRODUCT STAND-  
2           ARDS.—A tobacco product standard established  
3           under this section for a tobacco product—

4                   “(A) shall include provisions that are ap-  
5                   propriate for the protection of the public health,  
6                   including provisions, where appropriate—

7                           “(i) for the reduction of nicotine  
8                           yields of the product;

9                           “(ii) for the reduction or elimination  
10                          of other constituents, including smoke con-  
11                          stituents, or harmful components of the  
12                          product; or

13                          “(iii) relating to any other require-  
14                          ment under subparagraph (B);

15                   “(B) shall, where appropriate for the pro-  
16                   tection of the public health, include—

17                           “(i) provisions respecting the con-  
18                           struction, components, ingredients, addi-  
19                           tives, constituents, including smoke con-  
20                           stituents, and properties of the tobacco  
21                           product;

22                           “(ii) provisions for the testing (on a  
23                           sample basis or, if necessary, on an indi-  
24                           vidual basis) of the tobacco product;

1           “(iii) provisions for the measurement  
2           of the tobacco product characteristics of  
3           the tobacco product;

4           “(iv) provisions requiring that the re-  
5           sults of each or of certain of the tests of  
6           the tobacco product required to be made  
7           under clause (ii) show that the tobacco  
8           product is in conformity with the portions  
9           of the standard for which the test or tests  
10          were required; and

11          “(v) a provision requiring that the  
12          sale and distribution of the tobacco prod-  
13          uct be restricted but only to the extent  
14          that the sale and distribution of a tobacco  
15          product may be restricted under a regula-  
16          tion under section 906(d); and

17          “(C) shall, where appropriate, require the  
18          use and prescribe the form and content of label-  
19          ing for the proper use of the tobacco product.

20          “(5) PERIODIC RE-EVALUATION OF TOBACCO  
21          PRODUCT STANDARDS.—The Secretary shall provide  
22          for periodic evaluation of tobacco product standards  
23          established under this section to determine whether  
24          such standards should be changed to reflect new  
25          medical, scientific, or other technological data. The



1 Secretary may provide for testing under paragraph  
2 (4)(B) by any person.

3 “(6) INVOLVEMENT OF OTHER AGENCIES; IN-  
4 FORMED PERSONS.—In carrying out duties under  
5 this section, the Secretary shall endeavor to—

6 “(A) use personnel, facilities, and other  
7 technical support available in other Federal  
8 agencies;

9 “(B) consult with other Federal agencies  
10 concerned with standard-setting and other na-  
11 tionally or internationally recognized standard-  
12 setting entities; and

13 “(C) invite appropriate participation,  
14 through joint or other conferences, workshops,  
15 or other means, by informed persons represent-  
16 ative of scientific, professional, industry, agri-  
17 cultural, or consumer organizations who in the  
18 Secretary’s judgment can make a significant  
19 contribution.

20 “(b) ESTABLISHMENT OF STANDARDS.—

21 “(1) NOTICE.—

22 “(A) IN GENERAL.—The Secretary shall  
23 publish in the Federal Register a notice of pro-  
24 posed rulemaking for the establishment, amend-

1           ment, or revocation of any tobacco product  
2           standard.

3           “(B) REQUIREMENTS OF NOTICE.—A no-  
4           tice of proposed rulemaking for the establish-  
5           ment or amendment of a tobacco product stand-  
6           ard for a tobacco product shall—

7                   “(i) set forth a finding with sup-  
8                   porting justification that the tobacco prod-  
9                   uct standard is appropriate for the protec-  
10                  tion of the public health;

11                   “(ii) set forth proposed findings with  
12                   respect to the risk of illness or injury that  
13                   the tobacco product standard is intended  
14                   to reduce or eliminate; and

15                   “(iii) invite interested persons to sub-  
16                   mit an existing tobacco product standard  
17                   for the tobacco product, including a draft  
18                   or proposed tobacco product standard, for  
19                   consideration by the Secretary.

20           “(C) STANDARD.—Upon a determination  
21           by the Secretary that an additive, constituent  
22           (including smoke constituent), or other compo-  
23           nent of the product that is the subject of the  
24           proposed tobacco product standard is harmful,  
25           it shall be the burden of any party challenging

1 the proposed standard to prove that the pro-  
2 posed standard will not reduce or eliminate the  
3 risk of illness or injury.

4 “(D) FINDING.—A notice of proposed rule-  
5 making for the revocation of a tobacco product  
6 standard shall set forth a finding with sup-  
7 porting justification that the tobacco product  
8 standard is no longer appropriate for the pro-  
9 tection of the public health.

10 “(E) CONSIDERATION BY SECRETARY.—  
11 The Secretary shall consider all information  
12 submitted in connection with a proposed stand-  
13 ard, including information concerning the coun-  
14 tervailing effects of the tobacco product stand-  
15 ard on the health of adolescent tobacco users,  
16 adult tobacco users, or non-tobacco users, such  
17 as the creation of a significant demand for con-  
18 traband or other tobacco products that do not  
19 meet the requirements of this chapter and the  
20 significance of such demand, and shall issue the  
21 standard if the Secretary determines that the  
22 standard would be appropriate for the protec-  
23 tion of the public health.

1           “(F) COMMENT.—The Secretary shall pro-  
2           vide for a comment period of not less than 60  
3           days.

4           “(2) PROMULGATION.—

5           “(A) IN GENERAL.—After the expiration of  
6           the period for comment on a notice of proposed  
7           rulemaking published under paragraph (1) re-  
8           specting a tobacco product standard and after  
9           consideration of such comments and any report  
10          from the Tobacco Products Scientific Advisory  
11          Committee, the Secretary shall—

12           “(i) promulgate a regulation estab-  
13           lishing a tobacco product standard and  
14           publish in the Federal Register findings on  
15           the matters referred to in paragraph (1);  
16           or

17           “(ii) publish a notice terminating the  
18           proceeding for the development of the  
19           standard together with the reasons for  
20           such termination.

21           “(B) EFFECTIVE DATE.—A regulation es-  
22           tablishing a tobacco product standard shall set  
23           forth the date or dates upon which the standard  
24           shall take effect, but no such regulation may  
25           take effect before 1 year after the date of its

1 publication unless the Secretary determines  
2 that an earlier effective date is necessary for  
3 the protection of the public health. Such date or  
4 dates shall be established so as to minimize,  
5 consistent with the public health, economic loss  
6 to, and disruption or dislocation of, domestic  
7 and international trade.

8 “(3) POWER RESERVED TO CONGRESS.—Be-  
9 cause of the importance of a decision of the Sec-  
10 retary to issue a regulation establishing a tobacco  
11 product standard—

12 “(A) banning all cigarettes, all smokeless  
13 tobacco products, all little cigars, all cigars  
14 other than little cigars, all pipe tobacco, or all  
15 roll your own tobacco products; or

16 “(B) requiring the reduction of nicotine  
17 yields of a tobacco product to zero,

18 Congress expressly reserves to itself such power.

19 “(4) AMENDMENT; REVOCATION.—

20 “(A) AUTHORITY.—The Secretary, upon  
21 the Secretary’s own initiative or upon petition  
22 of an interested person may by a regulation,  
23 promulgated in accordance with the require-  
24 ments of paragraphs (1) and (2)(B), amend or  
25 revoke a tobacco product standard.

1           “(B) EFFECTIVE DATE.—The Secretary  
2           may declare a proposed amendment of a to-  
3           bacco product standard to be effective on and  
4           after its publication in the Federal Register and  
5           until the effective date of any final action taken  
6           on such amendment if the Secretary determines  
7           that making it so effective is in the public inter-  
8           est.

9           “(5) REFERENCE TO ADVISORY COMMITTEE.—

10           “(A) IN GENERAL.—The Secretary may  
11           refer a proposed regulation for the establish-  
12           ment, amendment, or revocation of a tobacco  
13           product standard to the Tobacco Products Sci-  
14           entific Advisory Committee for a report and  
15           recommendation with respect to any matter in-  
16           volved in the proposed regulation which requires  
17           the exercise of scientific judgment.

18           “(B) INITIATION OF REFERRAL.—The Sec-  
19           retary may make a referral under this para-  
20           graph—

21                   “(i) on the Secretary’s own initiative;

22                   or

23                   “(ii) upon the request of an interested  
24                   person that—

1                   “(I) demonstrates good cause for  
2                   the referral; and

3                   “(II) is made before the expira-  
4                   tion of the period for submission of  
5                   comments on the proposed regulation.

6                   “(C) PROVISION OF DATA.—If a proposed  
7                   regulation is referred under this paragraph to  
8                   the Tobacco Products Scientific Advisory Com-  
9                   mittee, the Secretary shall provide the Advisory  
10                  Committee with the data and information on  
11                  which such proposed regulation is based.

12                  “(D) REPORT AND RECOMMENDATION.—  
13                  The Tobacco Products Scientific Advisory Com-  
14                  mittee shall, within 60 days after the referral of  
15                  a proposed regulation under this paragraph and  
16                  after independent study of the data and infor-  
17                  mation furnished to it by the Secretary and  
18                  other data and information before it, submit to  
19                  the Secretary a report and recommendation re-  
20                  specting such regulation, together with all un-  
21                  derlying data and information and a statement  
22                  of the reason or basis for the recommendation.

23                  “(E) PUBLIC AVAILABILITY.—The Sec-  
24                  retary shall make a copy of each report and rec-

1           ommendation under subparagraph (D) publicly  
2           available.

3 **“SEC. 908. NOTIFICATION AND OTHER REMEDIES.**

4           “(a) NOTIFICATION.—If the Secretary determines  
5 that—

6           “(1) a tobacco product which is introduced or  
7           delivered for introduction into interstate commerce  
8           for commercial distribution presents an unreasonable  
9           risk of substantial harm to the public health; and

10           “(2) notification under this subsection is nec-  
11           essary to eliminate the unreasonable risk of such  
12           harm and no more practicable means is available  
13           under the provisions of this chapter (other than this  
14           section) to eliminate such risk,

15 the Secretary may issue such order as may be necessary  
16 to assure that adequate notification is provided in an ap-  
17 propriate form, by the persons and means best suited  
18 under the circumstances involved, to all persons who  
19 should properly receive such notification in order to elimi-  
20 nate such risk. The Secretary may order notification by  
21 any appropriate means, including public service announce-  
22 ments. Before issuing an order under this subsection, the  
23 Secretary shall consult with the persons who are to give  
24 notice under the order.



1       “(b) NO EXEMPTION FROM OTHER LIABILITY.—  
2 Compliance with an order issued under this section shall  
3 not relieve any person from liability under Federal or  
4 State law. In awarding damages for economic loss in an  
5 action brought for the enforcement of any such liability,  
6 the value to the plaintiff in such action of any remedy  
7 provided under such order shall be taken into account.

8       “(c) RECALL AUTHORITY.—

9           “(1) IN GENERAL.—If the Secretary finds that  
10 there is a reasonable probability that a tobacco prod-  
11 uct contains a manufacturing or other defect not or-  
12 dinarily contained in tobacco products on the market  
13 that would cause serious, adverse health con-  
14 sequences or death, the Secretary shall issue an  
15 order requiring the appropriate person (including  
16 the manufacturers, importers, distributors, or retail-  
17 ers of the tobacco product) to immediately cease dis-  
18 tribution of such tobacco product. The order shall  
19 provide the person subject to the order with an op-  
20 portunity for an informal hearing, to be held not  
21 later than 10 days after the date of the issuance of  
22 the order, on the actions required by the order and  
23 on whether the order should be amended to require  
24 a recall of such tobacco product. If, after providing  
25 an opportunity for such a hearing, the Secretary de-

1       termines that inadequate grounds exist to support  
2       the actions required by the order, the Secretary shall  
3       vacate the order.

4               “(2) AMENDMENT OF ORDER TO REQUIRE RE-  
5       CALL.—

6               “(A) IN GENERAL.—If, after providing an  
7       opportunity for an informal hearing under  
8       paragraph (1), the Secretary determines that  
9       the order should be amended to include a recall  
10      of the tobacco product with respect to which the  
11      order was issued, the Secretary shall, except as  
12      provided in subparagraph (B), amend the order  
13      to require a recall. The Secretary shall specify  
14      a timetable in which the tobacco product recall  
15      will occur and shall require periodic reports to  
16      the Secretary describing the progress of the re-  
17      call.

18              “(B) NOTICE.—An amended order under  
19      subparagraph (A)—

20                      “(i) shall not include recall of a to-  
21                      bacco product from individuals; and

22                      “(ii) shall provide for notice to per-  
23                      sons subject to the risks associated with  
24                      the use of such tobacco product.

1           In providing the notice required by clause (ii),  
2           the Secretary may use the assistance of retail-  
3           ers and other persons who distributed such to-  
4           bacco product. If a significant number of such  
5           persons cannot be identified, the Secretary shall  
6           notify such persons under section 705(b).

7           “(3) REMEDY NOT EXCLUSIVE.—The remedy  
8           provided by this subsection shall be in addition to  
9           remedies provided by subsection (a) of this section.

10 **“SEC. 909. RECORDS AND REPORTS ON TOBACCO PROD-**  
11 **UCTS.**

12           “(a) IN GENERAL.—Every person who is a tobacco  
13           product manufacturer or importer of a tobacco product  
14           shall establish and maintain such records, make such re-  
15           ports, and provide such information, as the Secretary may  
16           by regulation reasonably require to assure that such to-  
17           bacco product is not adulterated or misbranded and to  
18           otherwise protect public health. Regulations prescribed  
19           under the preceding sentence—

20           “(1) may require a tobacco product manufac-  
21           turer or importer to report to the Secretary when-  
22           ever the manufacturer or importer receives or other-  
23           wise becomes aware of information that reasonably  
24           suggests that one of its marketed tobacco products  
25           may have caused or contributed to a serious unex-

1       pected adverse experience associated with the use of  
2       the product or any significant increase in the fre-  
3       quency of a serious, expected adverse product experi-  
4       ence;

5               “(2) shall require reporting of other significant  
6       adverse tobacco product experiences as determined  
7       by the Secretary to be necessary to be reported;

8               “(3) shall not impose requirements unduly bur-  
9       densome to a tobacco product manufacturer or im-  
10      porter, taking into account the cost of complying  
11      with such requirements and the need for the protec-  
12      tion of the public health and the implementation of  
13      this chapter;

14              “(4) when prescribing the procedure for making  
15      requests for reports or information, shall require  
16      that each request made under such regulations for  
17      submission of a report or information to the Sec-  
18      retary state the reason or purpose for such request  
19      and identify to the fullest extent practicable such re-  
20      port or information;

21              “(5) when requiring submission of a report or  
22      information to the Secretary, shall state the reason  
23      or purpose for the submission of such report or in-  
24      formation and identify to the fullest extent prac-  
25      ticable such report or information; and

1           “(6) may not require that the identity of any  
2           patient or user be disclosed in records, reports, or  
3           information required under this subsection unless re-  
4           quired for the medical welfare of an individual, to  
5           determine risks to public health of a tobacco prod-  
6           uct, or to verify a record, report, or information sub-  
7           mitted under this chapter.

8           In prescribing regulations under this subsection, the Sec-  
9           retary shall have due regard for the professional ethics of  
10          the medical profession and the interests of patients. The  
11          prohibitions of paragraph (6) continue to apply to records,  
12          reports, and information concerning any individual who  
13          has been a patient, irrespective of whether or when he  
14          ceases to be a patient.

15          “(b) REPORTS OF REMOVALS AND CORRECTIONS.—

16                 “(1) IN GENERAL.—Except as provided in para-  
17                 graph (2), the Secretary shall by regulation require  
18                 a tobacco product manufacturer or importer of a to-  
19                 bacco product to report promptly to the Secretary  
20                 any corrective action taken or removal from the  
21                 market of a tobacco product undertaken by such  
22                 manufacturer or importer if the removal or correc-  
23                 tion was undertaken—

24                         “(A) to reduce a risk to health posed by  
25                         the tobacco product; or

1           “(B) to remedy a violation of this chapter  
2           caused by the tobacco product which may  
3           present a risk to health.

4           A tobacco product manufacturer or importer of a to-  
5           bacco product who undertakes a corrective action or  
6           removal from the market of a tobacco product which  
7           is not required to be reported under this subsection  
8           shall keep a record of such correction or removal.

9           “(2) EXCEPTION.—No report of the corrective  
10          action or removal of a tobacco product may be re-  
11          quired under paragraph (1) if a report of the correc-  
12          tive action or removal is required and has been sub-  
13          mitted under subsection (a).

14 **“SEC. 910. APPLICATION FOR REVIEW OF CERTAIN TO-**  
15 **BACCO PRODUCTS.**

16          “(a) IN GENERAL.—

17               “(1) NEW TOBACCO PRODUCT DEFINED.—For  
18               purposes of this section the term ‘new tobacco prod-  
19               uct’ means—

20                       “(A) any tobacco product (including those  
21                       products in test markets) that was not commer-  
22                       cially marketed in the United States as of June  
23                       1, 2003; or

24                       “(B) any modification (including a change  
25                       in design, any component, any part, or any con-

1           stituent, including a smoke constituent, or in  
2           the content, delivery or form of nicotine, or any  
3           other additive or ingredient) of a tobacco prod-  
4           uct where the modified product was commer-  
5           cially marketed in the United States after June  
6           1, 2003.

7           “(2) PREMARKET APPROVAL REQUIRED.—

8                   “(A) NEW PRODUCTS.—Approval under  
9           this section of an application for premarket ap-  
10          proval for any new tobacco product is required  
11          unless—

12                           “(i) the manufacturer has submitted a  
13                           report under section 905(j); and

14                           “(ii) the Secretary has issued an order  
15                           that the tobacco product—

16                                   “(I) is substantially equivalent to  
17                                   a tobacco product commercially mar-  
18                                   keted (other than for test marketing)  
19                                   in the United States as of June 1,  
20                                   2003; and

21   “(II)(aa) is in compliance with  
22   the requirements of this Act; or

23   “(bb) is exempt from the require-  
24   ments of section 905(j) pursuant to a

1 regulation issued under section  
2 905(j)(3).

3 “(B) APPLICATION TO CERTAIN POST  
4 JUNE 1, 2003 PRODUCTS.—Subparagraph (A)  
5 shall not apply to a tobacco product—

6 “(i) that was first introduced or deliv-  
7 ered for introduction into interstate com-  
8 merce for commercial distribution in the  
9 United States after June 1, 2003, and  
10 prior to the date that is 15 months after  
11 the date of enactment of the Family Smok-  
12 ing Prevention and Tobacco Control Act;  
13 and

14 “(ii) for which a report was submitted  
15 under section 905(j) within such 15-month  
16 period,

17 except that subparagraph (A) shall apply to the  
18 tobacco product if the Secretary issues an order  
19 that the tobacco product is not substantially  
20 equivalent.

21 “(3) SUBSTANTIALLY EQUIVALENT DEFINED.—

22 “(A) IN GENERAL.—In this section and  
23 section 905(j), the terms ‘substantially equiva-  
24 lent’ or ‘substantial equivalence’ mean, with re-  
25 spect to the tobacco product being compared to



1 the predicate tobacco product, that the Sec-  
2 retary by order has found that the tobacco  
3 product—

4 “(i) has the same characteristics as  
5 the predicate tobacco product; or

6 “(ii) has different characteristics and  
7 the information submitted contains infor-  
8 mation, including clinical data if deemed  
9 necessary by the Secretary, that dem-  
10 onstrates that it is not appropriate to reg-  
11 ulate the product under this section be-  
12 cause the product does not raise different  
13 questions of public health.

14 “(B) CHARACTERISTICS.—In subpara-  
15 graph (A), the term ‘characteristics’ means the  
16 materials, ingredients, design, composition,  
17 heating source, or other features of a tobacco  
18 product.

19 “(C) LIMITATION.—A tobacco product may  
20 not be found to be substantially equivalent to a  
21 predicate tobacco product that has been re-  
22 moved from the market at the initiative of the  
23 Secretary or that has been determined by a ju-  
24 dicial order to be misbranded or adulterated.

25 “(4) HEALTH INFORMATION.—

1           “(A) SUMMARY.—As part of a submission  
2 under section 905(j) respecting a tobacco prod-  
3 uct, the person required to file a premarket no-  
4 tification under such section shall provide an  
5 adequate summary of any health information  
6 related to the tobacco product or state that  
7 such information will be made available upon  
8 request by any person.

9           “(B) REQUIRED INFORMATION.—Any sum-  
10 mary under subparagraph (A) respecting a to-  
11 bacco product shall contain detailed information  
12 regarding data concerning adverse health ef-  
13 fects and shall be made available to the public  
14 by the Secretary within 30 days of the issuance  
15 of a determination that such tobacco product is  
16 substantially equivalent to another tobacco  
17 product.

18       “(b) APPLICATION.—

19           “(1) CONTENTS.—An application for premarket  
20 approval shall contain—

21           “(A) full reports of all information, pub-  
22 lished or known to, or which should reasonably  
23 be known to, the applicant, concerning inves-  
24 tigations which have been made to show the  
25 health risks of such tobacco product and wheth-

1 er such tobacco product presents less risk than  
2 other tobacco products;

3 “(B) a full statement of the components,  
4 ingredients, additives, and properties, and of  
5 the principle or principles of operation, of such  
6 tobacco product;

7 “(C) a full description of the methods used  
8 in, and the facilities and controls used for, the  
9 manufacture, processing, and, when relevant,  
10 packing and installation of, such tobacco prod-  
11 uct;

12 “(D) an identifying reference to any to-  
13 bacco product standard under section 907  
14 which would be applicable to any aspect of such  
15 tobacco product, and either adequate informa-  
16 tion to show that such aspect of such tobacco  
17 product fully meets such tobacco product stand-  
18 ard or adequate information to justify any devi-  
19 ation from such standard;

20 “(E) such samples of such tobacco product  
21 and of components thereof as the Secretary  
22 may reasonably require;

23 “(F) specimens of the labeling proposed to  
24 be used for such tobacco product; and

1           “(G) such other information relevant to  
2           the subject matter of the application as the Sec-  
3           retary may require.

4           “(2) REFERENCE TO TOBACCO PRODUCTS SCI-  
5           ENTIFIC ADVISORY COMMITTEE.—Upon receipt of an  
6           application meeting the requirements set forth in  
7           paragraph (1), the Secretary—

8           “(A) may, on the Secretary’s own initia-  
9           tive; or

10           “(B) may, upon the request of an appli-  
11           cant,

12           refer such application to the Tobacco Products Sci-  
13           entific Advisory Committee for reference and for  
14           submission (within such period as the Secretary may  
15           establish) of a report and recommendation respect-  
16           ing approval of the application, together with all un-  
17           derlying data and the reasons or basis for the rec-  
18           ommendation.

19           “(c) ACTION ON APPLICATION.—

20           “(1) DEADLINE.—

21           “(A) IN GENERAL.—As promptly as pos-  
22           sible, but in no event later than 180 days after  
23           the receipt of an application under subsection  
24           (b), the Secretary, after considering the report

1 and recommendation submitted under para-  
2 graph (2) of such subsection, shall—

3 “(i) issue an order approving the ap-  
4 plication if the Secretary finds that none of  
5 the grounds for denying approval specified  
6 in paragraph (2) of this subsection applies;  
7 or

8 “(ii) deny approval of the application  
9 if the Secretary finds (and sets forth the  
10 basis for such finding as part of or accom-  
11 panying such denial) that 1 or more  
12 grounds for denial specified in paragraph  
13 (2) of this subsection apply.

14 “(B) RESTRICTIONS ON SALE AND DIS-  
15 TRIBUTION.—An order approving an application  
16 for a tobacco product may require as a condi-  
17 tion to such approval that the sale and distribu-  
18 tion of the tobacco product be restricted but  
19 only to the extent that the sale and distribution  
20 of a tobacco product may be restricted under a  
21 regulation under section 906(d).

22 “(2) DENIAL OF APPROVAL.—The Secretary  
23 shall deny approval of an application for a tobacco  
24 product if, upon the basis of the information sub-  
25 mitted to the Secretary as part of the application

1 and any other information before the Secretary with  
2 respect to such tobacco product, the Secretary finds  
3 that—

4 “(A) there is a lack of a showing that per-  
5 mitting such tobacco product to be marketed  
6 would be appropriate for the protection of the  
7 public health;

8 “(B) the methods used in, or the facilities  
9 or controls used for, the manufacture, proc-  
10 essing, or packing of such tobacco product do  
11 not conform to the requirements of section  
12 906(e);

13 “(C) based on a fair evaluation of all mate-  
14 rial facts, the proposed labeling is false or mis-  
15 leading in any particular; or

16 “(D) such tobacco product is not shown to  
17 conform in all respects to a tobacco product  
18 standard in effect under section 907, compli-  
19 ance with which is a condition to approval of  
20 the application, and there is a lack of adequate  
21 information to justify the deviation from such  
22 standard.

23 “(3) DENIAL INFORMATION.—Any denial of an  
24 application shall, insofar as the Secretary determines  
25 to be practicable, be accompanied by a statement in-

1 forming the applicant of the measures required to  
2 place such application in approvable form (which  
3 measures may include further research by the appli-  
4 cant in accordance with 1 or more protocols pre-  
5 scribed by the Secretary).

6 “(4) BASIS FOR FINDING.—For purposes of  
7 this section, the finding as to whether approval of a  
8 tobacco product is appropriate for the protection of  
9 the public health shall be determined with respect to  
10 the risks and benefits to the population as a whole,  
11 including users and nonusers of the tobacco product,  
12 and taking into account—

13 “(A) the increased or decreased likelihood  
14 that existing users of tobacco products will stop  
15 using such products; and

16 “(B) the increased or decreased likelihood  
17 that those who do not use tobacco products will  
18 start using such products.

19 “(5) BASIS FOR ACTION.—

20 “(A) INVESTIGATIONS.—For purposes of  
21 paragraph (2)(A), whether permitting a tobacco  
22 product to be marketed would be appropriate  
23 for the protection of the public health shall,  
24 when appropriate, be determined on the basis of  
25 well-controlled investigations, which may in-

1           clude 1 or more clinical investigations by ex-  
2           perts qualified by training and experience to  
3           evaluate the tobacco product.

4           “(B) OTHER EVIDENCE.—If the Secretary  
5           determines that there exists valid scientific evi-  
6           dence (other than evidence derived from inves-  
7           tigations described in subparagraph (A)) which  
8           is sufficient to evaluate the tobacco product the  
9           Secretary may authorize that the determination  
10          for purposes of paragraph (2)(A) be made on  
11          the basis of such evidence.

12          “(d) WITHDRAWAL AND TEMPORARY SUSPENSION.—

13           “(1) IN GENERAL.—The Secretary shall, upon  
14          obtaining, where appropriate, advice on scientific  
15          matters from the Tobacco Products Scientific Advi-  
16          sory Committee, and after due notice and oppor-  
17          tunity for informal hearing to the holder of an ap-  
18          proved application for a tobacco product, issue an  
19          order withdrawing approval of the application if the  
20          Secretary finds—

21           “(A) that the continued marketing of such  
22          tobacco product no longer is appropriate for the  
23          protection of the public health;



1           “(B) that the application contained or was  
2 accompanied by an untrue statement of a mate-  
3 rial fact;

4           “(C) that the applicant—

5               “(i) has failed to establish a system  
6 for maintaining records, or has repeatedly  
7 or deliberately failed to maintain records  
8 or to make reports, required by an applica-  
9 ble regulation under section 909;

10               “(ii) has refused to permit access to,  
11 or copying or verification of, such records  
12 as required by section 704; or

13               “(iii) has not complied with the re-  
14 quirements of section 905;

15           “(D) on the basis of new information be-  
16 fore the Secretary with respect to such tobacco  
17 product, evaluated together with the evidence  
18 before the Secretary when the application was  
19 approved, that the methods used in, or the fa-  
20 cilities and controls used for, the manufacture,  
21 processing, packing, or installation of such to-  
22 bacco product do not conform with the require-  
23 ments of section 906(e) and were not brought  
24 into conformity with such requirements within a

1 reasonable time after receipt of written notice  
2 from the Secretary of nonconformity;

3 “(E) on the basis of new information be-  
4 fore the Secretary, evaluated together with the  
5 evidence before the Secretary when the applica-  
6 tion was approved, that the labeling of such to-  
7 bacco product, based on a fair evaluation of all  
8 material facts, is false or misleading in any par-  
9 ticular and was not corrected within a reason-  
10 able time after receipt of written notice from  
11 the Secretary of such fact; or

12 “(F) on the basis of new information be-  
13 fore the Secretary, evaluated together with the  
14 evidence before the Secretary when the applica-  
15 tion was approved, that such tobacco product is  
16 not shown to conform in all respects to a to-  
17 bacco product standard which is in effect under  
18 section 907, compliance with which was a con-  
19 dition to approval of the application, and that  
20 there is a lack of adequate information to jus-  
21 tify the deviation from such standard.

22 “(2) APPEAL.—The holder of an application  
23 subject to an order issued under paragraph (1) with-  
24 drawing approval of the application may, by petition  
25 filed on or before the 30th day after the date upon

1       which such holder receives notice of such with-  
2       drawal, obtain review thereof in accordance with sec-  
3       tion 912.

4               “(3) TEMPORARY SUSPENSION.—If, after pro-  
5       viding an opportunity for an informal hearing, the  
6       Secretary determines there is reasonable probability  
7       that the continuation of distribution of a tobacco  
8       product under an approved application would cause  
9       serious, adverse health consequences or death, that  
10      is greater than ordinarily caused by tobacco prod-  
11      ucts on the market, the Secretary shall by order  
12      temporarily suspend the approval of the application  
13      approved under this section. If the Secretary issues  
14      such an order, the Secretary shall proceed expedi-  
15      tiously under paragraph (1) to withdraw such appli-  
16      cation.

17              “(e) SERVICE OF ORDER.—An order issued by the  
18      Secretary under this section shall be served—

19                      “(1) in person by any officer or employee of the  
20      department designated by the Secretary; or

21                      “(2) by mailing the order by registered mail or  
22      certified mail addressed to the applicant at the ap-  
23      plicant’s last known address in the records of the  
24      Secretary.

25              “(f) RECORDS.—

1           “(1) ADDITIONAL INFORMATION.—In the case  
2 of any tobacco product for which an approval of an  
3 application filed under subsection (b) is in effect, the  
4 applicant shall establish and maintain such records,  
5 and make such reports to the Secretary, as the Sec-  
6 retary may by regulation, or by order with respect  
7 to such application, prescribe on the basis of a find-  
8 ing that such records and reports are necessary in  
9 order to enable the Secretary to determine, or facili-  
10 tate a determination of, whether there is or may be  
11 grounds for withdrawing or temporarily suspending  
12 such approval.

13           “(2) ACCESS TO RECORDS.—Each person re-  
14 quired under this section to maintain records, and  
15 each person in charge or custody thereof, shall, upon  
16 request of an officer or employee designated by the  
17 Secretary, permit such officer or employee at all rea-  
18 sonable times to have access to and copy and verify  
19 such records.

20           “(g) INVESTIGATIONAL TOBACCO PRODUCT EXEMP-  
21 TION FOR INVESTIGATIONAL USE.—The Secretary may  
22 exempt tobacco products intended for investigational use  
23 from the provisions of this chapter under such conditions  
24 as the Secretary may by regulation prescribe.

1 **“SEC. 911. MODIFIED RISK TOBACCO PRODUCTS.**

2       “(a) IN GENERAL.—No person may introduce or de-  
3 liver for introduction into interstate commerce any modi-  
4 fied risk tobacco product unless approval of an application  
5 filed pursuant to subsection (d) is effective with respect  
6 to such product.

7       “(b) DEFINITIONS.—In this section:

8           “(1) MODIFIED RISK TOBACCO PRODUCT.—The  
9 term ‘modified risk tobacco product’ means any to-  
10 bacco product that is sold or distributed for use to  
11 reduce harm or the risk of tobacco-related disease  
12 associated with commercially marketed tobacco prod-  
13 ucts.

14           “(2) SOLD OR DISTRIBUTED.—

15           “(A) IN GENERAL.—With respect to a to-  
16 bacco product, the term ‘sold or distributed for  
17 use to reduce harm or the risk of tobacco-re-  
18 lated disease associated with commercially mar-  
19 keted tobacco products’ means a tobacco prod-  
20 uct—

21           “(i) the label, labeling, or advertising  
22 of which represents explicitly or implicitly  
23 that—

24           “(I) the tobacco product presents  
25 a lower risk of tobacco-related disease  
26 or is less harmful than one or more

1 other commercially marketed tobacco  
2 products;

3 “(II) the tobacco product or its  
4 smoke contains a reduced level of a  
5 substance or presents a reduced expo-  
6 sure to a substance; or

7 “(III) the tobacco product or its  
8 smoke does not contain or is free of a  
9 substance;

10 “(ii) the label, labeling, or advertising  
11 of which uses the descriptors ‘light’, ‘mild’,  
12 or ‘low’ or similar descriptors; or

13 “(iii) the tobacco product manufac-  
14 turer of which has taken any action di-  
15 rected to consumers through the media or  
16 otherwise, other than by means of the to-  
17 bacco product’s label, labeling, or adver-  
18 tising, after the date of enactment of the  
19 Family Smoking Prevention and Tobacco  
20 Control Act, respecting the product that  
21 would be reasonably expected to result in  
22 consumers believing that the tobacco prod-  
23 uct or its smoke may present a lower risk  
24 of disease or is less harmful than one or  
25 more commercially marketed tobacco prod-

1                   ucts, or presents a reduced exposure to, or  
2                   does not contain or is free of, a substance  
3                   or substances.

4                   “(B) LIMITATION.—No tobacco product  
5                   shall be considered to be ‘sold or distributed for  
6                   use to reduce harm or the risk of tobacco-re-  
7                   lated disease associated with commercially mar-  
8                   keted tobacco products’, except as described in  
9                   subparagraph (A).

10                  “(c) TOBACCO DEPENDENCE PRODUCTS.—A product  
11                  that is intended to be used for the treatment of tobacco  
12                  dependence, including smoking cessation, is not a modified  
13                  risk tobacco product under this section and is subject to  
14                  the requirements of chapter V.

15                  “(d) FILING.—Any person may file with the Sec-  
16                  retary an application for a modified risk tobacco product.  
17                  Such application shall include—

18                         “(1) a description of the proposed product and  
19                         any proposed advertising and labeling;

20                         “(2) the conditions for using the product;

21                         “(3) the formulation of the product;

22                         “(4) sample product labels and labeling;

23                         “(5) all documents (including underlying sci-  
24                         entific information) relating to research findings  
25                         conducted, supported, or possessed by the tobacco

1 product manufacturer relating to the effect of the  
2 product on tobacco-related diseases and health-re-  
3 lated conditions, including information both favor-  
4 able and unfavorable to the ability of the product to  
5 reduce risk or exposure and relating to human  
6 health;

7 “(6) data and information on how consumers  
8 actually use the tobacco product; and

9 “(7) such other information as the Secretary  
10 may require.

11 “(e) PUBLIC AVAILABILITY.—The Secretary shall  
12 make the application described in subsection (d) publicly  
13 available (except matters in the application which are  
14 trade secrets or otherwise confidential, commercial infor-  
15 mation) and shall request comments by interested persons  
16 on the information contained in the application and on the  
17 label, labeling, and advertising accompanying such appli-  
18 cation.

19 “(f) ADVISORY COMMITTEE.—

20 “(1) IN GENERAL.—The Secretary shall refer to  
21 the Tobacco Products Scientific Advisory Committee  
22 any application submitted under this subsection.

23 “(2) RECOMMENDATIONS.—Not later than 60  
24 days after the date an application is referred to the  
25 Tobacco Products Scientific Advisory Committee



1 under paragraph (1), the Advisory Committee shall  
2 report its recommendations on the application to the  
3 Secretary.

4 “(g) APPROVAL.—

5 “(1) MODIFIED RISK PRODUCTS.—Except as  
6 provided in paragraph (2), the Secretary shall ap-  
7 prove an application for a modified risk tobacco  
8 product filed under this section only if the Secretary  
9 determines that the applicant has demonstrated that  
10 such product, as it is actually used by consumers,  
11 will—

12 “(A) significantly reduce harm and the  
13 risk of tobacco-related disease to individual to-  
14 bacco users; and

15 “(B) benefit the health of the population  
16 as a whole taking into account both users of to-  
17 bacco products and persons who do not cur-  
18 rently use tobacco products.

19 “(2) SPECIAL RULE FOR CERTAIN PRODUCTS.—

20 “(A) IN GENERAL.—The Secretary may  
21 approve an application for a tobacco product  
22 that has not been approved as a modified risk  
23 tobacco product pursuant to paragraph (1) if  
24 the Secretary makes the findings required

1 under this paragraph and determines that the  
2 applicant has demonstrated that—

3 “(i) the approval of the application  
4 would be appropriate to promote the public  
5 health;

6 “(ii) any aspect of the label, labeling,  
7 and advertising for such product that  
8 would cause the tobacco product to be a  
9 modified risk tobacco product under sub-  
10 section (b)(2) is limited to an explicit or  
11 implicit representation that such tobacco  
12 product or its smoke contains or is free of  
13 a substance or contains a reduced level of  
14 a substance, or presents a reduced expo-  
15 sure to a substance in tobacco smoke;

16 “(iii) scientific evidence is not avail-  
17 able and, using the best available scientific  
18 methods, cannot be made available without  
19 conducting long-term epidemiological stud-  
20 ies for an application to meet the stand-  
21 ards set forth in paragraph (1); and

22 “(iv) the scientific evidence that is  
23 available without conducting long-term epi-  
24 demiological studies demonstrates that a  
25 measurable and substantial reduction in

1 morbidity or mortality among individual  
2 tobacco users is anticipated in subsequent  
3 studies.

4 “(B) ADDITIONAL FINDINGS REQUIRED.—

5 In order to approve an application under sub-  
6 paragraph (A) the Secretary must also find  
7 that the applicant has demonstrated that—

8 “(i) the magnitude of the overall re-  
9 ductions in exposure to the substance or  
10 substances which are the subject of the ap-  
11 plication is substantial, such substance or  
12 substances are harmful, and the product as  
13 actually used exposes consumers to the  
14 specified reduced level of the substance or  
15 substances;

16 “(ii) the product as actually used by  
17 consumers will not expose them to higher  
18 levels of other harmful substances com-  
19 pared to the similar types of tobacco prod-  
20 ucts then on the market unless such in-  
21 creases are minimal and the anticipated  
22 overall impact of use of the product re-  
23 mains a substantial and measurable reduc-  
24 tion in overall morbidity and mortality  
25 among individual tobacco users;

1           “(iii) testing of actual consumer per-  
2           ception shows that, as the applicant pro-  
3           poses to label and market the product, con-  
4           sumers will not be misled into believing  
5           that the product—

6                       “(I) is or has been demonstrated  
7                       to be less harmful; or

8                       “(II) presents or has been dem-  
9                       onstrated to present less of a risk of  
10                      disease than 1 or more other commer-  
11                      cially marketed tobacco products; and

12                     “(iv) approval of the application is ex-  
13                     pected to benefit the health of the popu-  
14                     lation as a whole taking into account both  
15                     users of tobacco products and persons who  
16                     do not currently use tobacco products.

17           “(C) CONDITIONS OF APPROVAL.—

18                     “(i) IN GENERAL.—Applications ap-  
19                     proved under this paragraph shall be lim-  
20                     ited to a term of not more than 5 years,  
21                     but may be renewed upon a finding by the  
22                     Secretary that the requirements of this  
23                     paragraph continue to be satisfied based  
24                     on the filing of a new application.

1                   “(ii) AGREEMENTS BY APPLICANT.—  
2                   Applications approved under this para-  
3                   graph shall be conditioned on the appli-  
4                   cant’s agreement to conduct post-market  
5                   surveillance and studies and to submit to  
6                   the Secretary the results of such surveil-  
7                   lance and studies to determine the impact  
8                   of the application approval on consumer  
9                   perception, behavior, and health and to en-  
10                  able the Secretary to review the accuracy  
11                  of the determinations upon which the ap-  
12                  proval was based in accordance with a pro-  
13                  tocol approved by the Secretary.

14                  “(iii) ANNUAL SUBMISSION.—The re-  
15                  sults of such post-market surveillance and  
16                  studies described in clause (ii) shall be  
17                  submitted annually.

18                  “(3) BASIS.—The determinations under para-  
19                  graphs (1) and (2) shall be based on—

20                         “(A) the scientific evidence submitted by  
21                         the applicant; and

22                         “(B) scientific evidence and other informa-  
23                         tion that is available to the Secretary.

24                  “(4) BENEFIT TO HEALTH OF INDIVIDUALS  
25                  AND OF POPULATION AS A WHOLE.—In making the

1 determinations under paragraphs (1) and (2), the  
2 Secretary shall take into account—

3 “(A) the relative health risks to individuals  
4 of the tobacco product that is the subject of the  
5 application;

6 “(B) the increased or decreased likelihood  
7 that existing users of tobacco products who  
8 would otherwise stop using such products will  
9 switch to the tobacco product that is the subject  
10 of the application;

11 “(C) the increased or decreased likelihood  
12 that persons who do not use tobacco products  
13 will start using the tobacco product that is the  
14 subject of the application;

15 “(D) the risks and benefits to persons  
16 from the use of the tobacco product that is the  
17 subject of the application as compared to the  
18 use of products for smoking cessation approved  
19 under chapter V to treat nicotine dependence;  
20 and

21 “(E) comments, data, and information  
22 submitted by interested persons.

23 “(h) ADDITIONAL CONDITIONS FOR APPROVAL.—

24 “(1) MODIFIED RISK PRODUCTS.—The Sec-  
25 retary shall require for the approval of an applica-

1       tion under this section that any advertising or label-  
2       ing concerning modified risk products enable the  
3       public to comprehend the information concerning  
4       modified risk and to understand the relative signifi-  
5       cance of such information in the context of total  
6       health and in relation to all of the diseases and  
7       health-related conditions associated with the use of  
8       tobacco products.

9               “(2) COMPARATIVE CLAIMS.—

10               “(A) IN GENERAL.—The Secretary may re-  
11               quire for the approval of an application under  
12               this subsection that a claim comparing a to-  
13               bacco product to 1 or more other commercially  
14               marketed tobacco products shall compare the  
15               tobacco product to a commercially marketed to-  
16               bacco product that is representative of that type  
17               of tobacco product on the market (for example  
18               the average value of the top 3 brands of an es-  
19               tablished regular tobacco product).

20               “(B) QUANTITATIVE COMPARISONS.—The  
21               Secretary may also require, for purposes of sub-  
22               paragraph (A), that the percent (or fraction) of  
23               change and identity of the reference tobacco  
24               product and a quantitative comparison of the  
25               amount of the substance claimed to be reduced

1 shall be stated in immediate proximity to the  
2 most prominent claim.

3 “(3) LABEL DISCLOSURE.—

4 “(A) IN GENERAL.—The Secretary may re-  
5 quire the disclosure on the label of other sub-  
6 stances in the tobacco product, or substances  
7 that may be produced by the consumption of  
8 that tobacco product, that may affect a disease  
9 or health-related condition or may increase the  
10 risk of other diseases or health-related condi-  
11 tions associated with the use of tobacco prod-  
12 ucts.

13 “(B) CONDITIONS OF USE.—If the condi-  
14 tions of use of the tobacco product may affect  
15 the risk of the product to human health, the  
16 Secretary may require the labeling of conditions  
17 of use.

18 “(4) TIME.—The Secretary shall limit an ap-  
19 proval under subsection (g)(1) for a specified period  
20 of time.

21 “(5) ADVERTISING.—The Secretary may re-  
22 quire that an applicant, whose application has been  
23 approved under this subsection, comply with require-  
24 ments relating to advertising and promotion of the  
25 tobacco product.



1 “(i) POSTMARKET SURVEILLANCE AND STUDIES.—

2 “(1) IN GENERAL.—The Secretary shall require  
3 that an applicant under subsection (g)(1) conduct  
4 post market surveillance and studies for a tobacco  
5 product for which an application has been approved  
6 to determine the impact of the application approval  
7 on consumer perception, behavior, and health, to en-  
8 able the Secretary to review the accuracy of the de-  
9 terminations upon which the approval was based,  
10 and to provide information that the Secretary deter-  
11 mines is otherwise necessary regarding the use or  
12 health risks involving the tobacco product. The re-  
13 sults of post-market surveillance and studies shall be  
14 submitted to the Secretary on an annual basis.

15 “(2) SURVEILLANCE PROTOCOL.—Each appli-  
16 cant required to conduct a surveillance of a tobacco  
17 product under paragraph (1) shall, within 30 days  
18 after receiving notice that the applicant is required  
19 to conduct such surveillance, submit, for the ap-  
20 proval of the Secretary, a protocol for the required  
21 surveillance. The Secretary, within 60 days of the  
22 receipt of such protocol, shall determine if the prin-  
23 cipal investigator proposed to be used in the surveil-  
24 lance has sufficient qualifications and experience to  
25 conduct such surveillance and if such protocol will

1 result in collection of the data or other information  
2 designated by the Secretary as necessary to protect  
3 the public health.

4 “(j) WITHDRAWAL OF APPROVAL.—The Secretary,  
5 after an opportunity for an informal hearing, shall with-  
6 draw the approval of an application under this section if  
7 the Secretary determines that—

8 “(1) the applicant, based on new information,  
9 can no longer make the demonstrations required  
10 under subsection (g), or the Secretary can no longer  
11 make the determinations required under subsection  
12 (g);

13 “(2) the application failed to include material  
14 information or included any untrue statement of ma-  
15 terial fact;

16 “(3) any explicit or implicit representation that  
17 the product reduces risk or exposure is no longer  
18 valid, including if—

19 “(A) a tobacco product standard is estab-  
20 lished pursuant to section 907;

21 “(B) an action is taken that affects the  
22 risks presented by other commercially marketed  
23 tobacco products that were compared to the  
24 product that is the subject of the application; or

1           “(C) any postmarket surveillance or stud-  
2           ies reveal that the approval of the application is  
3           no longer consistent with the protection of the  
4           public health;

5           “(4) the applicant failed to conduct or submit  
6           the postmarket surveillance and studies required  
7           under subsection (g)(2)(C)(ii) or (i); or

8           “(5) the applicant failed to meet a condition  
9           imposed under subsection (h).

10          “(k) CHAPTER IV OR V.—A product approved in ac-  
11          cordance with this section shall not be subject to chapter  
12          IV or V.

13          “(l) IMPLEMENTING REGULATIONS OR GUIDANCE.—

14                 “(1) SCIENTIFIC EVIDENCE.—Not later than 2  
15                 years after the date of enactment of the Family  
16                 Smoking Prevention and Tobacco Control Act, the  
17                 Secretary shall issue regulations or guidance (or any  
18                 combination thereof) on the scientific evidence re-  
19                 quired for assessment and ongoing review of modi-  
20                 fied risk tobacco products. Such regulations or guid-  
21                 ance shall—

22                         “(A) establish minimum standards for sci-  
23                         entific studies needed prior to approval to show  
24                         that a substantial reduction in morbidity or

1 mortality among individual tobacco users is  
2 likely;

3 “(B) include validated biomarkers, inter-  
4 mediate clinical endpoints, and other feasible  
5 outcome measures, as appropriate;

6 “(C) establish minimum standards for post  
7 market studies, that shall include regular and  
8 long-term assessments of health outcomes and  
9 mortality, intermediate clinical endpoints, con-  
10 sumer perception of harm reduction, and the  
11 impact on quitting behavior and new use of to-  
12 bacco products, as appropriate;

13 “(D) establish minimum standards for re-  
14 quired postmarket surveillance, including ongo-  
15 ing assessments of consumer perception; and

16 “(E) require that data from the required  
17 studies and surveillance be made available to  
18 the Secretary prior to the decision on renewal  
19 of a modified risk tobacco product.

20 “(2) CONSULTATION.—The regulations or guid-  
21 ance issued under paragraph (1) shall be developed  
22 in consultation with the Institute of Medicine, and  
23 with the input of other appropriate scientific and  
24 medical experts, on the design and conduct of such  
25 studies and surveillance.

1           “(3) REVISION.—The regulations or guidance  
2           under paragraph (1) shall be revised on a regular  
3           basis as new scientific information becomes avail-  
4           able.

5           “(4) NEW TOBACCO PRODUCTS.—Not later  
6           than 2 years after the date of enactment of the  
7           Family Smoking Prevention and Tobacco Control  
8           Act, the Secretary shall issue a regulation or guid-  
9           ance that permits the filing of a single application  
10          for any tobacco product that is a new tobacco prod-  
11          uct under section 910 and for which the applicant  
12          seeks approval as a modified risk tobacco product  
13          under this section.

14          “(m) DISTRIBUTORS.—No distributor may take any  
15          action, after the date of enactment of the Family Smoking  
16          Prevention and Tobacco Control Act, with respect to a to-  
17          bacco product that would reasonably be expected to result  
18          in consumers believing that the tobacco product or its  
19          smoke may present a lower risk of disease or is less harm-  
20          ful than one or more commercially marketed tobacco prod-  
21          ucts, or presents a reduced exposure to, or does not con-  
22          tain or is free of, a substance or substances.

23          **“SEC. 912. JUDICIAL REVIEW.**

24          “(a) RIGHT TO REVIEW.—

1           “(1) IN GENERAL.—Not later than 30 days  
2 after—

3           “(A) the promulgation of a regulation  
4 under section 907 establishing, amending, or  
5 revoking a tobacco product standard; or

6           “(B) a denial of an application for ap-  
7 proval under section 910(c),  
8 any person adversely affected by such regulation or  
9 denial may file a petition for judicial review of such  
10 regulation or denial with the United States Court of  
11 Appeals for the District of Columbia or for the cir-  
12 cuit in which such person resides or has their prin-  
13 cipal place of business.

14           “(2) REQUIREMENTS.—

15           “(A) COPY OF PETITION.—A copy of the  
16 petition filed under paragraph (1) shall be  
17 transmitted by the clerk of the court involved to  
18 the Secretary.

19           “(B) RECORD OF PROCEEDINGS.—On re-  
20 ceipt of a petition under subparagraph (A), the  
21 Secretary shall file in the court in which such  
22 petition was filed—

23           “(i) the record of the proceedings on  
24 which the regulation or order was based;  
25 and

1           “(ii) a statement of the reasons for  
2           the issuance of such a regulation or order.

3           “(C) DEFINITION OF RECORD.—In this  
4           section, the term ‘record’ means—

5           “(i) all notices and other matter pub-  
6           lished in the Federal Register with respect  
7           to the regulation or order reviewed;

8           “(ii) all information submitted to the  
9           Secretary with respect to such regulation  
10          or order;

11          “(iii) proceedings of any panel or ad-  
12          visory committee with respect to such reg-  
13          ulation or order;

14          “(iv) any hearing held with respect to  
15          such regulation or order; and

16          “(v) any other information identified  
17          by the Secretary, in the administrative pro-  
18          ceeding held with respect to such regula-  
19          tion or order, as being relevant to such  
20          regulation or order.

21          “(b) STANDARD OF REVIEW.—Upon the filing of the  
22          petition under subsection (a) for judicial review of a regu-  
23          lation or order, the court shall have jurisdiction to review  
24          the regulation or order in accordance with chapter 7 of  
25          title 5, United States Code, and to grant appropriate re-

1 lief, including interim relief, as provided for in such chap-  
2 ter. A regulation or denial described in subsection (a) shall  
3 be reviewed in accordance with section 706(2)(A) of title  
4 5, United States Code.

5       “(c) FINALITY OF JUDGMENT.—The judgment of the  
6 court affirming or setting aside, in whole or in part, any  
7 regulation or order shall be final, subject to review by the  
8 Supreme Court of the United States upon certiorari or  
9 certification, as provided in section 1254 of title 28,  
10 United States Code.

11       “(d) OTHER REMEDIES.—The remedies provided for  
12 in this section shall be in addition to, and not in lieu of,  
13 any other remedies provided by law.

14       “(e) REGULATIONS AND ORDERS MUST RECITE  
15 BASIS IN RECORD.—To facilitate judicial review, a regula-  
16 tion or order issued under section 906, 907, 908, 909,  
17 910, or 916 shall contain a statement of the reasons for  
18 the issuance of such regulation or order in the record of  
19 the proceedings held in connection with its issuance.

20 **“SEC. 913. EQUAL TREATMENT OF RETAIL OUTLETS.**

21       “The Secretary shall issue regulations to require that  
22 retail establishments for which the predominant business  
23 is the sale of tobacco products comply with any advertising  
24 restrictions applicable to retail establishments accessible  
25 to individuals under the age of 18.



1 **“SEC. 914. JURISDICTION OF AND COORDINATION WITH**  
2 **THE FEDERAL TRADE COMMISSION.**

3 “(a) JURISDICTION.—

4 “(1) IN GENERAL.—Except where expressly  
5 provided in this chapter, nothing in this chapter  
6 shall be construed as limiting or diminishing the au-  
7 thority of the Federal Trade Commission to enforce  
8 the laws under its jurisdiction with respect to the  
9 advertising, sale, or distribution of tobacco products.

10 “(2) ENFORCEMENT.—Any advertising that vio-  
11 lates this chapter or a provision of the regulations  
12 referred to in section 102 of the Family Smoking  
13 Prevention and Tobacco Control Act, is an unfair or  
14 deceptive act or practice under section 5(a) of the  
15 Federal Trade Commission Act and shall be consid-  
16 ered a violation of a rule promulgated under section  
17 18 of that Act.

18 “(b) COORDINATION.—With respect to the require-  
19 ments of section 4 of the Federal Cigarette Labeling and  
20 Advertising Act and section 3 of the Comprehensive  
21 Smokeless Tobacco Health Education Act of 1986—

22 “(1) the Chairman of the Federal Trade Com-  
23 mission shall coordinate with the Secretary con-  
24 cerning the enforcement of such Act as such enforce-  
25 ment relates to unfair or deceptive acts or practices

1 in the advertising of cigarettes or smokeless tobacco;  
2 and

3 “(2) the Secretary shall consult with the Chair-  
4 man of such Commission in revising the label state-  
5 ments and requirements under such sections.

6 **“SEC. 915. CONGRESSIONAL REVIEW PROVISIONS.**

7 “In accordance with section 801 of title 5, United  
8 States Code, Congress shall review, and may disapprove,  
9 any rule under this chapter that is subject to section 801.  
10 This section and section 801 do not apply to the final rule  
11 referred to in paragraphs (1) and (2) of section 102(a)  
12 of the Family Smoking Prevention and Tobacco Control  
13 Act.

14 **“SEC. 916. REGULATION REQUIREMENT.**

15 “(a) TESTING, REPORTING, AND DISCLOSURE.—Not  
16 later than 24 months after the date of enactment of the  
17 Family Smoking Prevention and Tobacco Control Act, the  
18 Secretary, acting through the Commissioner of Food and  
19 Drugs, shall promulgate regulations under this Act that  
20 meet the requirements of subsection (b).

21 “(b) CONTENTS OF RULES.—The regulations pro-  
22 mulgated under subsection (a) shall require testing and  
23 reporting of tobacco product constituents, ingredients, and  
24 additives, including smoke constituents, by brand and sub-  
25 brand that the Secretary determines should be tested to

1 protect the public health. The regulations may require  
2 that tobacco product manufacturers, packagers, or import-  
3 ers make disclosures relating to the results of the testing  
4 of tar and nicotine through labels or advertising or other  
5 appropriate means, and make disclosures regarding the re-  
6 sults of the testing of other constituents, including smoke  
7 constituents, ingredients, or additives, that the Secretary  
8 determines should be disclosed to the public to protect the  
9 public health and will not mislead consumers about the  
10 risk of tobacco related disease.

11       “(c) **AUTHORITY.**—The Food and Drug Administra-  
12 tion shall have the authority under this chapter to conduct  
13 or to require the testing, reporting, or disclosure of to-  
14 bacco product constituents, including smoke constituents.

15 **“SEC. 917. PRESERVATION OF STATE AND LOCAL AUTHOR-**  
16 **ITY.**

17       “(a) **IN GENERAL.**—

18               “(1) **PRESERVATION.**—Except as provided in  
19 paragraph (2)(A), nothing in this chapter, or rules  
20 promulgated under this chapter, shall be construed  
21 to limit the authority of a Federal agency (including  
22 the Armed Forces), a State or political subdivision  
23 of a State, or the government of an Indian tribe to  
24 enact, adopt, promulgate, and enforce any law, rule,  
25 regulation, or other measure with respect to tobacco

1 products that is in addition to, or more stringent  
2 than, requirements established under this chapter,  
3 including a law, rule, regulation, or other measure  
4 relating to or prohibiting the sale, distribution, pos-  
5 session, exposure to, access to, advertising and pro-  
6 motion of, or use of tobacco products by individuals  
7 of any age, information reporting to the State, or  
8 measures relating to fire safety standards for to-  
9 bacco products. No provision of this chapter shall  
10 limit or otherwise affect any State, Tribal, or local  
11 taxation of tobacco products.

12 “(2) PREEMPTION OF CERTAIN STATE AND  
13 LOCAL REQUIREMENTS.—

14 “(A) IN GENERAL.—No State or political  
15 subdivision of a State may establish or continue  
16 in effect with respect to a tobacco product any  
17 requirement which is different from, or in addi-  
18 tion to, any requirement under the provisions of  
19 this chapter relating to tobacco product stand-  
20 ards, premarket approval, adulteration, mis-  
21 branding, labeling, registration, good manufac-  
22 turing standards, or modified risk tobacco prod-  
23 ucts.

24 “(B) EXCEPTION.—Subparagraph (A)  
25 does not apply to requirements relating to the

1 sale, distribution, possession, information re-  
2 porting to the State, exposure to, access to, the  
3 advertising and promotion of, or use of, tobacco  
4 products by individuals of any age, or relating  
5 to fire safety standards for tobacco products.  
6 Information disclosed to a State under subpara-  
7 graph (A) that is exempt from disclosure under  
8 section 552(b)(4) of title 5, United States Code,  
9 shall be treated as a trade secret and confiden-  
10 tial information by the State.

11 “(b) **RULE OF CONSTRUCTION REGARDING PRODUCT**  
12 **LIABILITY.**—No provision of this chapter relating to a to-  
13 bacco product shall be construed to modify or otherwise  
14 affect any action or the liability of any person under the  
15 product liability law of any State.

16 **“SEC. 918. TOBACCO PRODUCTS SCIENTIFIC ADVISORY**  
17 **COMMITTEE.**

18 “(a) **ESTABLISHMENT.**—Not later than 1 year after  
19 the date of enactment of the Family Smoking Prevention  
20 and Tobacco Control Act, the Secretary shall establish an  
21 11-member advisory committee, to be known as the ‘To-  
22 bacco Products Scientific Advisory Committee’ (in this  
23 section referred to as the ‘Advisory Committee’).

24 “(b) **MEMBERSHIP.**—

25 “(1) **IN GENERAL.**—

1           “(A) MEMBERS.—The Secretary shall ap-  
2           point as members of the Tobacco Products Sci-  
3           entific Advisory Committee individuals who are  
4           technically qualified by training and experience  
5           in the medicine, medical ethics, science, or tech-  
6           nology involving the manufacture, evaluation, or  
7           use of tobacco products, who are of appro-  
8           priately diversified professional backgrounds.  
9           The committee shall be composed of—

10                   “(i) 7 individuals who are physicians,  
11                   dentists, scientists, or health care profes-  
12                   sionals practicing in the area of oncology,  
13                   pulmonology, cardiology, toxicology, phar-  
14                   macology, addiction, or any other relevant  
15                   specialty;

16                   “(ii) 1 individual who is an officer or  
17                   employee of a State or local government or  
18                   of the Federal Government;

19                   “(iii) 1 individual as a representative  
20                   of the general public;

21                   “(iv) 1 individual as a representative  
22                   of the interests in the tobacco manufac-  
23                   turing industry; and

24                   “(v) 1 individual as a representative  
25                   of the interests of the tobacco growers.

1           “(B) NONVOTING MEMBERS.—The mem-  
2           bers of the committee appointed under clauses  
3           (iv) and (v) of subparagraph (A) shall serve as  
4           consultants to those described in clauses (i)  
5           through (iii) of subparagraph (A) and shall be  
6           nonvoting representatives.

7           “(2) LIMITATION.—The Secretary may not ap-  
8           point to the Advisory Committee any individual who  
9           is in the regular full-time employ of the Food and  
10          Drug Administration or any agency responsible for  
11          the enforcement of this Act. The Secretary may ap-  
12          point Federal officials as ex officio members.

13          “(3) CHAIRPERSON.—The Secretary shall des-  
14          ignate 1 of the members of the Advisory Committee  
15          to serve as chairperson.

16          “(c) DUTIES.—The Tobacco Products Scientific Ad-  
17          visory Committee shall provide advice, information, and  
18          recommendations to the Secretary—

19                 “(1) as provided in this chapter;

20                 “(2) on the effects of the alteration of the nico-  
21          tine yields from tobacco products;

22                 “(3) on whether there is a threshold level below  
23          which nicotine yields do not produce dependence on  
24          the tobacco product involved; and

1           “(4) on its review of other safety, dependence,  
2 or health issues relating to tobacco products as re-  
3 quested by the Secretary.

4           “(d) COMPENSATION; SUPPORT; FACA.—

5           “(1) COMPENSATION AND TRAVEL.—Members  
6 of the Advisory Committee who are not officers or  
7 employees of the United States, while attending con-  
8 ferences or meetings of the committee or otherwise  
9 engaged in its business, shall be entitled to receive  
10 compensation at rates to be fixed by the Secretary,  
11 which may not exceed the daily equivalent of the  
12 rate in effect under the Senior Executive Schedule  
13 under section 5382 of title 5, United States Code,  
14 for each day (including travel time) they are so en-  
15 gaged; and while so serving away from their homes  
16 or regular places of business each member may be  
17 allowed travel expenses, including per diem in lieu of  
18 subsistence, as authorized by section 5703 of title 5,  
19 United States Code, for persons in the Government  
20 service employed intermittently.

21           “(2) ADMINISTRATIVE SUPPORT.—The Sec-  
22 retary shall furnish the Advisory Committee clerical  
23 and other assistance.





1 **“SEC. 920. USER FEE.**

2 “(a) ESTABLISHMENT OF QUARTERLY USER FEE.—

3 The Secretary shall assess a quarterly user fee with re-  
4 spect to every quarter of each fiscal year commencing fis-  
5 cal year 2008, calculated in accordance with this section,  
6 upon each manufacturer and importer of tobacco products  
7 subject to this chapter.

8 “(b) FUNDING OF FDA REGULATION OF TOBACCO

9 PRODUCTS.—The Secretary shall make user fees collected  
10 pursuant to this section available to pay, in each fiscal  
11 year, for the costs of the activities of the Food and Drug  
12 Administration related to the regulation of tobacco prod-  
13 ucts under this chapter.

14 “(c) ASSESSMENT OF USER FEE.—

15 “(1) AMOUNT OF ASSESSMENT.—Except as  
16 provided in paragraph (4), the total user fees as-  
17 sessed each year pursuant to this section shall be  
18 sufficient, and shall not exceed what is necessary, to  
19 pay for the costs of the activities described in sub-  
20 section (b) for each fiscal year.

21 “(2) ALLOCATION OF ASSESSMENT BY CLASS  
22 OF TOBACCO PRODUCTS.—

23 “(A) IN GENERAL.—Subject to paragraph  
24 (3), the total user fees assessed each fiscal year  
25 with respect to each class of importers and  
26 manufacturers shall be equal to an amount that

1 is the applicable percentage of the total costs of  
2 activities of the Food and Drug Administration  
3 described in subsection (b).

4 “(B) APPLICABLE PERCENTAGE.—For  
5 purposes of subparagraph (A), the applicable  
6 percentage for a fiscal year shall be the fol-  
7 lowing:

8 “(i) 92.07 percent shall be assessed  
9 on manufacturers and importers of ciga-  
10 rettes;

11 “(ii) 0.05 percent shall be assessed on  
12 manufacturers and importers of little ci-  
13 gars;

14 “(iii) 7.15 percent shall be assessed  
15 on manufacturers and importers of cigars  
16 other than little cigars;

17 “(iv) 0.43 percent shall be assessed on  
18 manufacturers and importers of snuff;

19 “(v) 0.10 percent shall be assessed on  
20 manufacturers and importers of chewing  
21 tobacco;

22 “(vi) 0.06 percent shall be assessed on  
23 manufacturers and importers of pipe to-  
24 bacco; and

1                   “(vii) 0.14 percent shall be assessed  
2                   on manufacturers and importers of roll-  
3                   your-own tobacco.

4                   “(3) DISTRIBUTION OF FEE SHARES OF MANU-  
5                   FACTURERS AND IMPORTERS EXEMPT FROM USER  
6                   FEE.—Where a class of tobacco products is not sub-  
7                   ject to a user fee under this section, the portion of  
8                   the user fee assigned to such class under paragraph  
9                   (2) shall be allocated by the Secretary on a pro rata  
10                  basis among the classes of tobacco products that are  
11                  subject to a user fee under this section. Such pro  
12                  rata allocation for each class of tobacco products  
13                  that is subject to a user fee under this section shall  
14                  be the quotient of—

15                  “(A) the percentage assigned to such class  
16                  under paragraph (2); divided by

17                  “(B) the sum of the percentages assigned  
18                  to all classes of tobacco products subject to this  
19                  section.

20                  “(4) ANNUAL LIMIT ON ASSESSMENT.—The  
21                  total assessment under this section—

22                  “(A) for fiscal year 2008 shall be  
23                  \$85,000,000;

24                  “(B) for fiscal year 2009 shall be  
25                  \$175,000,000;

1           “(C) for fiscal year 2010 shall be  
2           \$300,000,000; and

3           “(D) for each subsequent fiscal year, shall  
4           not exceed the limit on the assessment imposed  
5           during the previous fiscal year, as adjusted by  
6           the Secretary (after notice, published in the  
7           Federal Register) to reflect the greater of—

8                   “(i) the total percentage change that  
9                   occurred in the Consumer Price Index for  
10                  all urban consumers (all items; United  
11                  States city average) for the 12-month pe-  
12                  riod ending on June 30 preceding the fis-  
13                  cal year for which fees are being estab-  
14                  lished; or

15                  “(ii) the total percentage change for  
16                  the previous fiscal year in basic pay under  
17                  the General Schedule in accordance with  
18                  section 5332 of title 5, United States  
19                  Code, as adjusted by any locality-based  
20                  comparability payment pursuant to section  
21                  5304 of such title for Federal employees  
22                  stationed in the District of Columbia.

23           “(5) TIMING OF USER FEE ASSESSMENT.—The  
24           Secretary shall notify each manufacturer and im-  
25           porter of tobacco products subject to this section of

1 the amount of the quarterly assessment imposed on  
 2 such manufacturer or importer under subsection (f)  
 3 during each quarter of each fiscal year. Such notifi-  
 4 cations shall occur not earlier than 3 months prior  
 5 to the end of the quarter for which such assessment  
 6 is made, and payments of all assessments shall be  
 7 made not later than 60 days after each such notifi-  
 8 cation.

9 “(d) DETERMINATION OF USER FEE BY COMPANY  
 10 MARKET SHARE.—

11 “(1) IN GENERAL.—The user fee to be paid by  
 12 each manufacturer or importer of a given class of to-  
 13 bacco products shall be determined in each quarter  
 14 by multiplying—

15 “(A) such manufacturer’s or importer’s  
 16 market share of such class of tobacco products;  
 17 by

18 “(B) the portion of the user fee amount  
 19 for the current quarter to be assessed on manu-  
 20 facturers and importers of such class of tobacco  
 21 products as determined under subsection (e).

22 “(2) NO FEE IN EXCESS OF MARKET SHARE.—  
 23 No manufacturer or importer of tobacco products  
 24 shall be required to pay a user fee in excess of the  
 25 market share of such manufacturer or importer.

1       “(e) DETERMINATION OF VOLUME OF DOMESTIC  
2 SALES.—

3           “(1) IN GENERAL.—The calculation of gross  
4 domestic volume of a class of tobacco product by a  
5 manufacturer or importer, and by all manufacturers  
6 and importers as a group, shall be made by the Sec-  
7 retary using information provided by manufacturers  
8 and importers pursuant to subsection (f), as well as  
9 any other relevant information provided to or ob-  
10 tained by the Secretary.

11           “(2) MEASUREMENT.—For purposes of the cal-  
12 culations under this subsection and the information  
13 provided under subsection (f) by the Secretary, gross  
14 domestic volume shall be measured by—

15           “(A) in the case of cigarettes, the number  
16 of cigarettes sold;

17           “(B) in the case of little cigars, the num-  
18 ber of little cigars sold;

19           “(C) in the case of large cigars, the num-  
20 ber of cigars weighing more than 3 pounds per  
21 thousand sold; and

22           “(D) in the case of other classes of tobacco  
23 products, in terms of number of pounds, or  
24 fraction thereof, of these products sold.

1       “(f) MEASUREMENT OF GROSS DOMESTIC VOL-  
2 UME.—

3           “(1) IN GENERAL.—Each tobacco product man-  
4 ufacturer and importer shall submit to the Secretary  
5 a certified copy of each of the returns or forms de-  
6 scribed by this paragraph that are required to be  
7 filed with a Government agency on the same date  
8 that those returns or forms are required to be filed  
9 with such agency. The returns and forms described  
10 by this paragraph are those returns and forms re-  
11 lated to the removal, as defined by section 5702(j)  
12 of the Internal Revenue Code of 1986, of tobacco  
13 products into domestic commerce or the payment of  
14 the taxes imposed under chapter 52 of such Code.

15           “(2) PENALTIES.—Any person that knowingly  
16 fails to provide information required under this sub-  
17 section or that provides false information under this  
18 subsection shall be subject to the penalties described  
19 in section 1001 of title 18, United States Code. In  
20 addition, such person may be subject to a civil pen-  
21 alty in an amount not to exceed 2 percent of the  
22 value of the kind of tobacco products manufactured  
23 or imported by such person during the applicable  
24 quarter, as determined by the Secretary.



1       “(g) EFFECTIVE DATE.—The user fees prescribed by  
2 this section shall be assessed in fiscal year 2008, based  
3 on domestic sales of tobacco products during fiscal year  
4 2007 and shall be assessed in each fiscal year thereafter.”.

5 **SEC. 102. FINAL RULE.**

6       (a) CIGARETTES AND SMOKELESS TOBACCO.—

7           (1) IN GENERAL.—Not later than 30 days after  
8 the date of enactment of this Act, the Secretary of  
9 Health and Human Services shall publish in the  
10 Federal Register a final rule regarding cigarettes  
11 and smokeless tobacco, which is hereby deemed to be  
12 in compliance with the Administrative Procedures  
13 Act and other applicable law.

14           (2) CONTENTS OF RULE.—Except as provided  
15 in this subsection, the final rule published under  
16 paragraph (1), shall be identical in its provisions to  
17 part 897 of the regulations promulgated by the Sec-  
18 retary of Health and Human Services in the August  
19 28, 1996, issue of the Federal Register (61 Fed.  
20 Reg., 44615–44618). Such rule shall—

21           (A) provide for the designation of jurisdic-  
22 tional authority that is in accordance with this  
23 subsection;

24           (B) strike Subpart C—Labels and section  
25 897.32(c); and

1 (C) become effective not later than 1 year  
2 after the date of enactment of this Act.

3 (3) AMENDMENTS TO RULE.—Prior to making  
4 amendments to the rule published under paragraph  
5 (1), the Secretary shall promulgate a proposed rule  
6 in accordance with the Administrative Procedures  
7 Act.

8 (4) RULE OF CONSTRUCTION.—Except as pro-  
9 vided in paragraph (3), nothing in this section shall  
10 be construed to limit the authority of the Secretary  
11 to amend, in accordance with the Administrative  
12 Procedures Act, the regulation promulgated pursu-  
13 ant to this section.

14 (b) LIMITATION ON ADVISORY OPINIONS.—As of the  
15 date of enactment of this Act, the following documents  
16 issued by the Food and Drug Administration shall not  
17 constitute advisory opinions under section 10.85(d)(1) of  
18 title 21, Code of Federal Regulations, except as they apply  
19 to tobacco products, and shall not be cited by the Sec-  
20 retary of Health and Human Services or the Food and  
21 Drug Administration as binding precedent:

22 (1) The preamble to the proposed rule in the  
23 document entitled “Regulations Restricting the Sale  
24 and Distribution of Cigarettes and Smokeless To-  
25 bacco Products to Protect Children and Adoles-

1 cents” (60 Fed. Reg. 41314–41372 (August 11,  
2 1995)).

3 (2) The document entitled “Nicotine in Ciga-  
4 rettes and Smokeless Tobacco Products is a Drug  
5 and These Products Are Nicotine Delivery Devices  
6 Under the Federal Food, Drug, and Cosmetic Act”  
7 (60 Fed. Reg. 41453–41787 (August 11, 1995)).

8 (3) The preamble to the final rule in the docu-  
9 ment entitled “Regulations Restricting the Sale and  
10 Distribution of Cigarettes and Smokeless Tobacco to  
11 Protect Children and Adolescents” (61 Fed. Reg.  
12 44396–44615 (August 28, 1996)).

13 (4) The document entitled “Nicotine in Ciga-  
14 rettes and Smokeless Tobacco is a Drug and These  
15 Products are Nicotine Delivery Devices Under the  
16 Federal Food, Drug, and Cosmetic Act; Jurisdic-  
17 tional Determination” (61 Fed. Reg. 44619–45318  
18 (August 28, 1996)).

19 **SEC. 103. CONFORMING AND OTHER AMENDMENTS TO GEN-**  
20 **ERAL PROVISIONS.**

21 (a) AMENDMENT OF FEDERAL FOOD, DRUG, AND  
22 COSMETIC ACT.—Except as otherwise expressly provided,  
23 whenever in this section an amendment is expressed in  
24 terms of an amendment to, or repeal of, a section or other  
25 provision, the reference is to a section or other provision

1 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C.  
2 301 et seq.).

3 (b) SECTION 301.—Section 301 (21 U.S.C. 331) is  
4 amended—

5 (1) in subsection (a), by inserting “tobacco  
6 product,” after “device,”;

7 (2) in subsection (b), by inserting “tobacco  
8 product,” after “device,”;

9 (3) in subsection (c), by inserting “tobacco  
10 product,” after “device,”;

11 (4) in subsection (e) (as amended by sections  
12 2(c) and 3(b) of the Dietary Supplement and Non-  
13 prescription Drug Consumer Protection Act (Public  
14 Law 109–462; 120 Stat. 3472)), by inserting “, or  
15 909” before “or the refusal to permit access to”;

16 (5) in subsection (g), by inserting “tobacco  
17 product,” after “device,”;

18 (6) in subsection (h), by inserting “tobacco  
19 product,” after “device,”;

20 (7) in subsection (j), by striking “708, or 721”  
21 and inserting “708, 721, 904, 905, 906, 907, 908,  
22 909, or section 921(b)”;

23 (8) in subsection (k), by inserting “tobacco  
24 product,” after “device,”;

1           (9) by striking subsection (p) and inserting the  
2 following:

3           “(p) The failure to register in accordance with section  
4 510 or 905, the failure to provide any information re-  
5 quired by section 510(j), 510(k), 905(i), or 905(j), or the  
6 failure to provide a notice required by section 510(j)(2)  
7 or 905(i)(2).”;

8           (10) by striking subsection (q)(1) and inserting  
9 the following:

10          “(q)(1) The failure or refusal—

11           “(A) to comply with any requirement prescribed  
12 under section 518, 520(g), 903(b), or 908;

13           “(B) to furnish any notification or other mate-  
14 rial or information required by or under section 519,  
15 520(g), 904, 909, or section 921; or

16           “(C) to comply with a requirement under sec-  
17 tion 522 or 913.”;

18          (11) in subsection (q)(2), by striking “device,”  
19 and inserting “device or tobacco product,”;

20          (12) in subsection (r), by inserting “or tobacco  
21 product” after the term “device” each time that  
22 such term appears; and

23          (13) by adding at the end (as amended by sec-  
24 tion 4(a) of the Dietary Supplement and Non-

1 prescription Drug Consumer Protection Act (Public  
2 Law 109–462; 120 Stat. 3475)) the following:

3 “(jj) The sale of tobacco products in violation  
4 of a no-tobacco-sale order issued under section  
5 303(f).

6 “(kk) The introduction or delivery for introduc-  
7 tion into interstate commerce of a tobacco product  
8 in violation of section 911.

9 “(ll)(1) Forging, counterfeiting, simulating, or  
10 falsely representing, or without proper authority  
11 using any mark, stamp (including tax stamp), tag,  
12 label, or other identification device upon any tobacco  
13 product or container or labeling thereof so as to  
14 render such tobacco product a counterfeit tobacco  
15 product.

16 “(2) Making, selling, disposing of, or keeping in  
17 possession, control, or custody, or concealing any  
18 punch, die, plate, stone, or other item that is de-  
19 signed to print, imprint, or reproduce the trade-  
20 mark, trade name, or other identifying mark, im-  
21 print, or device of another or any likeness of any of  
22 the foregoing upon any tobacco product or container  
23 or labeling thereof so as to render such tobacco  
24 product a counterfeit tobacco product.

1           “(3) The doing of any act that causes a tobacco  
2           product to be a counterfeit tobacco product, or the  
3           sale or dispensing, or the holding for sale or dis-  
4           pensing, of a counterfeit tobacco product.

5           “(mm) The charitable distribution of tobacco  
6           products.

7           “(nn) The failure of a manufacturer or dis-  
8           tributor to notify the Attorney General of their  
9           knowledge of tobacco products used in illicit trade.”.

10          (c) SECTION 303.—Section 303 (21 U.S.C. 333(f))  
11 is amended by redesignating the subsection that follows  
12 subsection (e) as subsection (f) and in subsection (f) (as  
13 so redesignated)—

14           (1) in paragraph (1)(A), by inserting “or to-  
15           bacco products” after “devices”;

16           (2) in paragraph (2)(C), by striking “paragraph  
17           (3)(A)” and inserting “paragraph (4)(A)”;

18           (3) by redesignating paragraphs (3), (4), and  
19           (5) as paragraphs (4), (5), and (6), and inserting  
20           after paragraph (2) the following:

21           “(3) If the Secretary finds that a person has  
22           committed repeated violations of restrictions promul-  
23           gated under section 906(d) at a particular retail out-  
24           let then the Secretary may impose a no-tobacco-sale  
25           order on that person prohibiting the sale of tobacco

1 products in that outlet. A no-tobacco-sale order may  
2 be imposed with a civil penalty under paragraph  
3 (1).”;

4 (4) in paragraph (4) as so redesignated—

5 (A) in subparagraph (A)—

6 (i) by striking “assessed” the first  
7 time it appears and inserting “assessed, or  
8 a no-tobacco-sale order may be imposed,”;  
9 and

10 (ii) by striking “penalty” and insert-  
11 ing “penalty, or upon whom a no-tobacco-  
12 order is to be imposed,”;

13 (B) in subparagraph (B)—

14 (i) by inserting after “penalty,” the  
15 following: “or the period to be covered by  
16 a no-tobacco-sale order,”; and

17 (ii) by adding at the end the fol-  
18 lowing: “A no-tobacco-sale order perma-  
19 nently prohibiting an individual retail out-  
20 let from selling tobacco products shall in-  
21 clude provisions that allow the outlet, after  
22 a specified period of time, to request that  
23 the Secretary compromise, modify, or ter-  
24 minate the order.”; and

25 (C) by adding at the end the following:



1           “(D) The Secretary may compromise, mod-  
2 ify, or terminate, with or without conditions,  
3 any no-tobacco-sale order.”;

4           (5) in paragraph (5) as so redesignated—

5           (A) by striking “(3)(A)” as redesignated,  
6 and inserting “(4)(A)”;

7           (B) by inserting “or the imposition of a  
8 no-tobacco-sale order” after the term “penalty”  
9 the first 2 places such term appears; and

10           (C) by striking “issued.” and inserting  
11 “issued, or on which the no-tobacco-sale order  
12 was imposed, as the case may be.”; and

13           (6) in paragraph (6), as so redesignated, by  
14 striking the term “paragraph (4)” each place such  
15 term appears and inserting “paragraph (5)”.

16           (d) SECTION 304.—Section 304 (21 U.S.C. 334) is  
17 amended—

18           (1) in subsection (a)(2)—

19           (A) by striking “and” before “(D)”;

20           (B) by striking “device.” and inserting the  
21 following: “device, and (E) Any adulterated or  
22 misbranded tobacco product.”;

23           (2) in subsection (d)(1), by inserting “tobacco  
24 product,” after “device,”;

1           (3) in subsection (g)(1), by inserting “or to-  
2           bacco product” after the term “device” each place  
3           such term appears; and

4           (4) in subsection (g)(2)(A), by inserting “or to-  
5           bacco product” after the term “device” each place  
6           such term appears.

7           (e) SECTION 702.—Section 702(a) (21 U.S.C.  
8           372(a)) is amended by adding at the end of paragraph  
9           (1) the following: “For a tobacco product, to the extent  
10          feasible, the Secretary shall contract with the States in  
11          accordance with this paragraph to carry out inspections  
12          of retailers within that State in connection with the en-  
13          forcement of this Act.”.

14          (f) SECTION 703.—Section 703 (21 U.S.C. 373) is  
15          amended—

16                 (1) by inserting “tobacco product,” after the  
17                 term “device,” each place such term appears; and

18                 (2) by inserting “tobacco products,” after the  
19                 term “devices,” each place such term appears.

20          (g) SECTION 704.—Section 704 (21 U.S.C. 374) is  
21          amended—

22                 (1) in subsection (a)(1)(A), by inserting “to-  
23                 bacco products,” after the term “devices,” each  
24                 place such term appears;

1           (2) in subsection (a)(1)(B), by inserting “or to-  
2           bacco product” after the term “restricted devices”  
3           each place such term appears; and

4           (3) in subsection (b), by inserting “tobacco  
5           product,” after “device,”.

6           (h) SECTION 705.—Section 705(b) (21 U.S.C.  
7           375(b)) is amended by inserting “tobacco products,” after  
8           “devices,”.

9           (i) SECTION 709.—Section 709 (21 U.S.C. 379) is  
10          amended by inserting “tobacco product,” after “device,”.

11          (j) SECTION 801.—Section 801 (21 U.S.C. 381) is  
12          amended—

13               (1) in subsection (a)—

14                       (A) by inserting “tobacco products,” after  
15                       the term “devices,” the first time such term ap-  
16                       pears;

17                       (B) by inserting “or section 905(j)” after  
18                       “section 510”; and

19                       (C) by striking the term “drugs or de-  
20                       vices” each time such term appears and insert-  
21                       ing “drugs, devices, or tobacco products”;

22           (2) in subsection (e)(1), by inserting “tobacco  
23           product,” after “device,”; and

24           (3) by adding at the end the following:

1       “(p)(1) Not later than 2 years after the date of enact-  
2 ment of the Family Smoking Prevention and Tobacco  
3 Control Act, and annually thereafter, the Secretary shall  
4 submit to the Committee on Health, Education, Labor,  
5 and Pensions of the Senate and the Committee on Energy  
6 and Commerce of the House of Representatives, a report  
7 regarding—

8           “(A) the nature, extent, and destination of  
9 United States tobacco product exports that do not  
10 conform to tobacco product standards established  
11 pursuant to this Act;

12           “(B) the public health implications of such ex-  
13 ports, including any evidence of a negative public  
14 health impact; and

15           “(C) recommendations or assessments of policy  
16 alternatives available to Congress and the Executive  
17 Branch to reduce any negative public health impact  
18 caused by such exports.

19       “(2) The Secretary is authorized to establish appro-  
20 priate information disclosure requirements to carry out  
21 this subsection.”.

22       (k) SECTION 1003.—Section 1003(d)(2)(C) (as re-  
23 designated by section 101(b)) is amended—

24           (1) by striking “and” after “cosmetics,”; and

1           (2) inserting “, and tobacco products” after  
2 “devices”.

3 (l) GUIDANCE AND EFFECTIVE DATES.—

4           (1) IN GENERAL.—The Secretary of Health and  
5 Human Services shall issue guidance—

6           (A) defining the term “repeated violation”,  
7 as used in section 303(f) of the Federal Food,  
8 Drug, and Cosmetic Act (21 U.S.C. 333(f)) as  
9 amended by subsection (c), by identifying the  
10 number of violations of particular requirements  
11 over a specified period of time at a particular  
12 retail outlet that constitute a repeated violation;

13           (B) providing for timely and effective no-  
14 tice to the retailer of each alleged violation at  
15 a particular retail outlet;

16           (C) providing for an expedited procedure  
17 for the administrative appeal of an alleged vio-  
18 lation;

19           (D) providing that a person may not be  
20 charged with a violation at a particular retail  
21 outlet unless the Secretary has provided notice  
22 to the retailer of all previous violations at that  
23 outlet;

24           (E) establishing a period of time during  
25 which, if there are no violations by a particular

1 retail outlet, that outlet will not be considered  
2 to have been the site of repeated violations  
3 when the next violation occurs; and

4 (F) providing that good faith reliance on  
5 the presentation of a false government issued  
6 photographic identification that contains a date  
7 of birth does not constitute a violation of any  
8 minimum age requirement for the sale of to-  
9 bacco products if the retailer has taken effective  
10 steps to prevent such violations, including—

11 (i) adopting and enforcing a written  
12 policy against sales to minors;

13 (ii) informing its employees of all ap-  
14 plicable laws;

15 (iii) establishing disciplinary sanctions  
16 for employee noncompliance; and

17 (iv) requiring its employees to verify  
18 age by way of photographic identification  
19 or electronic scanning device.

20 (2) GENERAL EFFECTIVE DATE.—The amend-  
21 ments made by subsection (c), other than the  
22 amendment made by paragraph (2) of such sub-  
23 section, shall take effect upon the issuance of guid-  
24 ance described in paragraph (1).

1           (3) SPECIAL EFFECTIVE DATE.—The amend-  
2           ments made by paragraph (2) of subsection (c) shall  
3           take effect on the date of enactment of this Act.

4 **TITLE II—TOBACCO PRODUCT**  
5 **WARNINGS; CONSTITUENT**  
6 **AND SMOKE CONSTITUENT**  
7 **DISCLOSURE**

8 **SEC. 201. CIGARETTE LABEL AND ADVERTISING WARNINGS.**

9           Section 4 of the Federal Cigarette Labeling and Ad-  
10          vertising Act (15 U.S.C. 1333) is amended to read as fol-  
11          lows:

12 **“SEC. 4. LABELING.**

13          “(a) LABEL REQUIREMENTS.—

14                 “(1) IN GENERAL.—It shall be unlawful for any  
15                 person to manufacture, package, sell, offer to sell,  
16                 distribute, or import for sale or distribution within  
17                 the United States any cigarettes the package of  
18                 which fails to bear, in accordance with the require-  
19                 ments of this section, one of the following labels:

20                         “‘WARNING: Cigarettes are addictive’.

21                         “‘WARNING: Tobacco smoke can harm your  
22                         children’.

23                         “‘WARNING: Cigarettes cause fatal lung dis-  
24                         ease’.

25                         “‘WARNING: Cigarettes cause cancer’.

1           “‘WARNING: Cigarettes cause strokes and  
2 heart disease’.

3           “‘WARNING: Smoking during pregnancy can  
4 harm your baby’.

5           “‘WARNING: Smoking can kill you’.

6           “‘WARNING: Tobacco smoke causes fatal lung  
7 disease in non-smokers’.

8           “‘WARNING: Quitting smoking now greatly  
9 reduces serious risks to your health’.

10          “(2) PLACEMENT; TYPOGRAPHY; ETC.—

11           “(A) IN GENERAL.—Each label statement  
12 required by paragraph (1) shall be located in  
13 the upper portion of the front and rear panels  
14 of the package, directly on the package under-  
15 neath the cellophane or other clear wrapping.  
16 Except as provided in subparagraph (B), each  
17 label statement shall comprise at least the top  
18 30 percent of the front and rear panels of the  
19 package. The word ‘WARNING’ shall appear in  
20 capital letters and all text shall be in con-  
21 spicuous and legible 17-point type, unless the  
22 text of the label statement would occupy more  
23 than 70 percent of such area, in which case the  
24 text may be in a smaller conspicuous and leg-  
25 ible type size, provided that at least 60 percent



1 of such area is occupied by required text. The  
2 text shall be black on a white background, or  
3 white on a black background, in a manner that  
4 contrasts, by typography, layout, or color, with  
5 all other printed material on the package, in an  
6 alternating fashion under the plan submitted  
7 under subsection (b)(4).

8 “(B) HINGED LID BOXES.—For any ciga-  
9 rette brand package manufactured or distrib-  
10 uted before January 1, 2000, which employs a  
11 hinged lid style (if such packaging was used for  
12 that brand in commerce prior to June 21,  
13 1997), the label statement required by para-  
14 graph (1) shall be located on the hinged lid  
15 area of the package, even if such area is less  
16 than 25 percent of the area of the front panel.  
17 Except as provided in this paragraph, the provi-  
18 sions of this subsection shall apply to such  
19 packages.

20 “(3) DOES NOT APPLY TO FOREIGN DISTRIBU-  
21 TION.—The provisions of this subsection do not  
22 apply to a tobacco product manufacturer or dis-  
23 tributor of cigarettes which does not manufacture,  
24 package, or import cigarettes for sale or distribution  
25 within the United States.

1           “(4) APPLICABILITY TO RETAILERS.—A retailer  
2 of cigarettes shall not be in violation of this sub-  
3 section for packaging that is supplied to the retailer  
4 by a tobacco product manufacturer, importer, or dis-  
5 tributor and is not altered by the retailer in a way  
6 that is material to the requirements of this sub-  
7 section except that this paragraph shall not relieve  
8 a retailer of liability if the retailer sells or distributes  
9 tobacco products that are not labeled in accordance  
10 with this subsection.

11           “(b) ADVERTISING REQUIREMENTS.—

12           “(1) IN GENERAL.—It shall be unlawful for any  
13 tobacco product manufacturer, importer, distributor,  
14 or retailer of cigarettes to advertise or cause to be  
15 advertised within the United States any cigarette  
16 unless its advertising bears, in accordance with the  
17 requirements of this section, one of the labels speci-  
18 fied in subsection (a) of this section.

19           “(2) TYPOGRAPHY, ETC.—Each label statement  
20 required by subsection (a) of this section in cigarette  
21 advertising shall comply with the standards set forth  
22 in this paragraph. For press and poster advertise-  
23 ments, each such statement and (where applicable)  
24 any required statement relating to tar, nicotine, or  
25 other constituent (including a smoke constituent)

1 yield shall comprise at least 20 percent of the area  
2 of the advertisement and shall appear in a con-  
3 spicuous and prominent format and location at the  
4 top of each advertisement within the trim area. The  
5 Secretary may revise the required type sizes in such  
6 area in such manner as the Secretary determines ap-  
7 propriate. The word 'WARNING' shall appear in  
8 capital letters, and each label statement shall appear  
9 in conspicuous and legible type. The text of the label  
10 statement shall be black if the background is white  
11 and white if the background is black, under the plan  
12 submitted under paragraph (4) of this subsection.  
13 The label statements shall be enclosed by a rectan-  
14 gular border that is the same color as the letters of  
15 the statements and that is the width of the first  
16 downstroke of the capital 'W' of the word 'WARN-  
17 ING' in the label statements. The text of such label  
18 statements shall be in a typeface pro rata to the fol-  
19 lowing requirements: 45-point type for a whole-page  
20 broadsheet newspaper advertisement; 39-point type  
21 for a half-page broadsheet newspaper advertisement;  
22 39-point type for a whole-page tabloid newspaper ad-  
23 vertisement; 27-point type for a half-page tabloid  
24 newspaper advertisement; 31.5-point type for a dou-  
25 ble page spread magazine or whole-page magazine

1 advertisement; 22.5-point type for a 28 centimeter  
2 by 3 column advertisement; and 15-point type for a  
3 20 centimeter by 2 column advertisement. The label  
4 statements shall be in English, except that in the  
5 case of—

6 “(A) an advertisement that appears in a  
7 newspaper, magazine, periodical, or other publi-  
8 cation that is not in English, the statements  
9 shall appear in the predominant language of the  
10 publication; and

11 “(B) in the case of any other advertise-  
12 ment that is not in English, the statements  
13 shall appear in the same language as that prin-  
14 cipally used in the advertisement.

15 “(3) MATCHBOOKS.—Notwithstanding para-  
16 graph (2), for matchbooks (defined as containing not  
17 more than 20 matches) customarily given away with  
18 the purchase of tobacco products, each label state-  
19 ment required by subsection (a) may be printed on  
20 the inside cover of the matchbook.

21 “(4) ADJUSTMENT BY SECRETARY.—The Sec-  
22 retary may, through a rulemaking under section 553  
23 of title 5, United States Code, adjust the format and  
24 type sizes for the label statements required by this  
25 section or the text, format, and type sizes of any re-

1       quired tar, nicotine yield, or other constituent (in-  
2       cluding smoke constituent) disclosures, or to estab-  
3       lish the text, format, and type sizes for any other  
4       disclosures required under the Federal Food, Drug,  
5       and Cosmetic Act. The text of any such label state-  
6       ments or disclosures shall be required to appear only  
7       within the 20 percent area of cigarette advertise-  
8       ments provided by paragraph (2) of this subsection.  
9       The Secretary shall promulgate regulations which  
10      provide for adjustments in the format and type sizes  
11      of any text required to appear in such area to ensure  
12      that the total text required to appear by law will fit  
13      within such area.

14      “(c) MARKETING REQUIREMENTS.—

15           “(1) RANDOM DISPLAY.—The label statements  
16      specified in subsection (a)(1) shall be randomly dis-  
17      played in each 12-month period, in as equal a num-  
18      ber of times as is possible on each brand of the  
19      product and be randomly distributed in all areas of  
20      the United States in which the product is marketed  
21      in accordance with a plan submitted by the tobacco  
22      product manufacturer, importer, distributor, or re-  
23      tailer and approved by the Secretary.

24           “(2) ROTATION.—The label statements speci-  
25      fied in subsection (a)(1) shall be rotated quarterly in

1 alternating sequence in advertisements for each  
2 brand of cigarettes in accordance with a plan sub-  
3 mitted by the tobacco product manufacturer, im-  
4 porter, distributor, or retailer to, and approved by,  
5 the Secretary.

6 “(3) REVIEW.—The Secretary shall review each  
7 plan submitted under paragraph (2) and approve it  
8 if the plan—

9 “(A) will provide for the equal distribution  
10 and display on packaging and the rotation re-  
11 quired in advertising under this subsection; and

12 “(B) assures that all of the labels required  
13 under this section will be displayed by the to-  
14 bacco product manufacturer, importer, dis-  
15 tributor, or retailer at the same time.

16 “(4) APPLICABILITY TO RETAILERS.—This sub-  
17 section and subsection (b) apply to a retailer only if  
18 that retailer is responsible for or directs the label  
19 statements required under this section except that  
20 this paragraph shall not relieve a retailer of liability  
21 if the retailer displays, in a location open to the pub-  
22 lic, an advertisement that is not labeled in accord-  
23 ance with the requirements of this subsection and  
24 subsection (b).”.

1 **SEC. 202. AUTHORITY TO REVISE CIGARETTE WARNING**  
2 **LABEL STATEMENTS.**

3 Section 4 of the Federal Cigarette Labeling and Ad-  
4 vertising Act (15 U.S.C. 1333), as amended by section  
5 201, is further amended by adding at the end the fol-  
6 lowing:

7 “(d) CHANGE IN REQUIRED STATEMENTS.—The  
8 Secretary may, by a rulemaking conducted under section  
9 553 of title 5, United States Code, adjust the format, type  
10 size, and text of any of the label requirements, require  
11 color graphics to accompany the text, increase the re-  
12 quired label area from 30 percent up to 50 percent of the  
13 front and rear panels of the package, or establish the for-  
14 mat, type size, and text of any other disclosures required  
15 under the Federal Food, Drug, and Cosmetic Act, if the  
16 Secretary finds that such a change would promote greater  
17 public understanding of the risks associated with the use  
18 of tobacco products.”.

19 **SEC. 203. STATE REGULATION OF CIGARETTE ADVER-**  
20 **TISING AND PROMOTION.**

21 Section 5 of the Federal Cigarette Labeling and Ad-  
22 vertising Act (15 U.S.C. 1334) is amended by adding at  
23 the end the following:

24 “(c) EXCEPTION.—Notwithstanding subsection (b), a  
25 State or locality may enact statutes and promulgate regu-  
26 lations, based on smoking and health, that take effect

1 after the effective date of the Family Smoking Prevention  
 2 and Tobacco Control Act, imposing specific bans or re-  
 3 strictions on the time, place, and manner, but not content,  
 4 of the advertising or promotion of any cigarettes.”.

5 **SEC. 204. SMOKELESS TOBACCO LABELS AND ADVERTISING**  
 6 **WARNINGS.**

7 Section 3 of the Comprehensive Smokeless Tobacco  
 8 Health Education Act of 1986 (15 U.S.C. 4402) is amend-  
 9 ed to read as follows:

10 **“SEC. 3. SMOKELESS TOBACCO WARNING.**

11 “(a) GENERAL RULE.—

12 “(1) It shall be unlawful for any person to man-  
 13 ufacture, package, sell, offer to sell, distribute, or  
 14 import for sale or distribution within the United  
 15 States any smokeless tobacco product unless the  
 16 product package bears, in accordance with the re-  
 17 quirements of this Act, one of the following labels:

18 “‘WARNING: This product can cause mouth  
 19 cancer’.

20 “‘WARNING: This product can cause gum dis-  
 21 ease and tooth loss’.

22 “‘WARNING: This product is not a safe alter-  
 23 native to cigarettes’.

24 “‘WARNING: Smokeless tobacco is addictive’.



1           “(2) Each label statement required by para-  
2 graph (1) shall be—

3           “(A) located on the 2 principal display  
4 panels of the package, and each label statement  
5 shall comprise at least 30 percent of each such  
6 display panel; and

7           “(B) in 17-point conspicuous and legible  
8 type and in black text on a white background,  
9 or white text on a black background, in a man-  
10 ner that contrasts by typography, layout, or  
11 color, with all other printed material on the  
12 package, in an alternating fashion under the  
13 plan submitted under subsection (b)(3), except  
14 that if the text of a label statement would oc-  
15 cupy more than 70 percent of the area specified  
16 by subparagraph (A), such text may appear in  
17 a smaller type size, so long as at least 60 per-  
18 cent of such warning area is occupied by the  
19 label statement.

20           “(3) The label statements required by para-  
21 graph (1) shall be introduced by each tobacco prod-  
22 uct manufacturer, packager, importer, distributor, or  
23 retailer of smokeless tobacco products concurrently  
24 into the distribution chain of such products.

1           “(4) The provisions of this subsection do not  
2           apply to a tobacco product manufacturer or dis-  
3           tributor of any smokeless tobacco product that does  
4           not manufacture, package, or import smokeless to-  
5           bacco products for sale or distribution within the  
6           United States.

7           “(5) A retailer of smokeless tobacco products  
8           shall not be in violation of this subsection for pack-  
9           aging that is supplied to the retailer by a tobacco  
10          products manufacturer, importer, or distributor and  
11          that is not altered by the retailer unless the retailer  
12          offers for sale, sells, or distributes a smokeless to-  
13          bacco product that is not labeled in accordance with  
14          this subsection.

15          “(b) REQUIRED LABELS.—

16                 “(1) It shall be unlawful for any tobacco prod-  
17                 uct manufacturer, packager, importer, distributor, or  
18                 retailer of smokeless tobacco products to advertise or  
19                 cause to be advertised within the United States any  
20                 smokeless tobacco product unless its advertising  
21                 bears, in accordance with the requirements of this  
22                 section, one of the labels specified in subsection (a).

23                 “(2) Each label statement required by sub-  
24                 section (a) in smokeless tobacco advertising shall  
25                 comply with the standards set forth in this para-

1 graph. For press and poster advertisements, each  
2 such statement and (where applicable) any required  
3 statement relating to tar, nicotine, or other con-  
4 stituent yield shall—

5 “(A) comprise at least 20 percent of the  
6 area of the advertisement, and the warning area  
7 shall be delineated by a dividing line of con-  
8 trasting color from the advertisement; and

9 “(B) the word ‘WARNING’ shall appear in  
10 capital letters and each label statement shall  
11 appear in conspicuous and legible type. The text  
12 of the label statement shall be black on a white  
13 background, or white on a black background, in  
14 an alternating fashion under the plan submitted  
15 under paragraph (3).

16 “(3)(A) The label statements specified in sub-  
17 section (a)(1) shall be randomly displayed in each  
18 12-month period, in as equal a number of times as  
19 is possible on each brand of the product and be ran-  
20 domly distributed in all areas of the United States  
21 in which the product is marketed in accordance with  
22 a plan submitted by the tobacco product manufac-  
23 turer, importer, distributor, or retailer and approved  
24 by the Secretary.

1           “(B) The label statements specified in sub-  
2           section (a)(1) shall be rotated quarterly in alter-  
3           nating sequence in advertisements for each brand of  
4           smokeless tobacco product in accordance with a plan  
5           submitted by the tobacco product manufacturer, im-  
6           porter, distributor, or retailer to, and approved by,  
7           the Secretary.

8           “(C) The Secretary shall review each plan sub-  
9           mitted under subparagraph (B) and approve it if the  
10          plan—

11                   “(i) will provide for the equal distribution  
12                   and display on packaging and the rotation re-  
13                   quired in advertising under this subsection; and

14                   “(ii) assures that all of the labels required  
15                   under this section will be displayed by the to-  
16                   bacco product manufacturer, importer, dis-  
17                   tributor, or retailer at the same time.

18          “(D) This paragraph applies to a retailer only  
19          if that retailer is responsible for or directs the label  
20          statements under this section, unless the retailer dis-  
21          plays in a location open to the public, an advertise-  
22          ment that is not labeled in accordance with the re-  
23          quirements of this subsection.

24          “(c) TELEVISION AND RADIO ADVERTISING.—It is  
25          unlawful to advertise smokeless tobacco on any medium

1 of electronic communications subject to the jurisdiction of  
2 the Federal Communications Commission.”.

3 **SEC. 205. AUTHORITY TO REVISE SMOKELESS TOBACCO**  
4 **PRODUCT WARNING LABEL STATEMENTS.**

5 Section 3 of the Comprehensive Smokeless Tobacco  
6 Health Education Act of 1986 (15 U.S.C. 4402), as  
7 amended by section 204, is further amended by adding  
8 at the end the following:

9 “(d) **AUTHORITY TO REVISE WARNING LABEL**  
10 **STATEMENTS.**—The Secretary may, by a rulemaking con-  
11 ducted under section 553 of title 5, United States Code,  
12 adjust the format, type size, and text of any of the label  
13 requirements, require color graphics to accompany the  
14 text, increase the required label area from 30 percent up  
15 to 50 percent of the front and rear panels of the package,  
16 or establish the format, type size, and text of any other  
17 disclosures required under the Federal Food, Drug, and  
18 Cosmetic Act, if the Secretary finds that such a change  
19 would promote greater public understanding of the risks  
20 associated with the use of smokeless tobacco products.”.

21 **SEC. 206. TAR, NICOTINE, AND OTHER SMOKE CON-**  
22 **STITUENT DISCLOSURE TO THE PUBLIC.**

23 Section 4 of the Federal Cigarette Labeling and Ad-  
24 vertising Act (15 U.S.C. 1333), as amended by sections

1 201 and 202, is further amended by adding at the end  
2 the following:

3 “(e) TAR, NICOTINE, AND OTHER SMOKE CON-  
4 STITUENT DISCLOSURE.—

5 “(1) IN GENERAL.—The Secretary shall, by a  
6 rulemaking conducted under section 553 of title 5,  
7 United States Code, determine (in the Secretary’s  
8 sole discretion) whether cigarette and other tobacco  
9 product manufacturers shall be required to include  
10 in the area of each cigarette advertisement specified  
11 by subsection (b) of this section, or on the package  
12 label, or both, the tar and nicotine yields of the ad-  
13 vertised or packaged brand. Any such disclosure  
14 shall be in accordance with the methodology estab-  
15 lished under such regulations, shall conform to the  
16 type size requirements of subsection (b) of this sec-  
17 tion, and shall appear within the area specified in  
18 subsection (b) of this section.

19 “(2) RESOLUTION OF DIFFERENCES.—Any dif-  
20 ferences between the requirements established by the  
21 Secretary under paragraph (1) and tar and nicotine  
22 yield reporting requirements established by the Fed-  
23 eral Trade Commission shall be resolved by a memo-  
24 randum of understanding between the Secretary and  
25 the Federal Trade Commission.

1           “(3) CIGARETTE AND OTHER TOBACCO PROD-  
2           UCT CONSTITUENTS.—In addition to the disclosures  
3           required by paragraph (1), the Secretary may, under  
4           a rulemaking conducted under section 553 of title 5,  
5           United States Code, prescribe disclosure require-  
6           ments regarding the level of any cigarette or other  
7           tobacco product constituent including any smoke  
8           constituent. Any such disclosure may be required if  
9           the Secretary determines that disclosure would be of  
10          benefit to the public health, or otherwise would in-  
11          crease consumer awareness of the health con-  
12          sequences of the use of tobacco products, except that  
13          no such prescribed disclosure shall be required on  
14          the face of any cigarette package or advertisement.  
15          Nothing in this section shall prohibit the Secretary  
16          from requiring such prescribed disclosure through a  
17          cigarette or other tobacco product package or adver-  
18          tisement insert, or by any other means under the  
19          Federal Food, Drug, and Cosmetic Act.

20           “(4) RETAILERS.—This subsection applies to a  
21          retailer only if that retailer is responsible for or di-  
22          rects the label statements required under this sec-  
23          tion, except that this subsection shall not relieve a  
24          retailer of liability if the retailer sells or distributes

1 tobacco products that are not labeled in accordance  
2 with the requirements of subsection (a).”.

3 **TITLE III—PREVENTION OF IL-**  
4 **LICIT TRADE IN TOBACCO**  
5 **PRODUCTS**

6 **SEC. 301. LABELING, RECORDKEEPING, RECORDS INSPEC-**  
7 **TION.**

8 Chapter IX of the Federal Food, Drug, and Cosmetic  
9 Act, as added by section 101, is further amended by add-  
10 ing at the end the following:

11 **“SEC. 921. LABELING, RECORDKEEPING, RECORDS INSPEC-**  
12 **TION.**

13 “(a) ORIGIN LABELING.—The label, packaging, and  
14 shipping containers of tobacco products for introduction  
15 or delivery for introduction into interstate commerce in the  
16 United States shall bear the statement ‘sale only allowed  
17 in the United States.’

18 “(b) REGULATIONS CONCERNING RECORDKEEPING  
19 FOR TRACKING AND TRACING.—

20 “(1) IN GENERAL.—Not later than 9 months  
21 after the date of enactment of the Family Smoking  
22 Prevention and Tobacco Control Act, the Secretary  
23 shall promulgate regulations regarding the establish-  
24 ment and maintenance of records by any person who  
25 manufactures, processes, transports, distributes, re-



1 ceives, packages, holds, exports, or imports tobacco  
2 products.

3 “(2) INSPECTION.—In promulgating the regula-  
4 tions described in paragraph (1), the Secretary shall  
5 consider which records are needed for inspection to  
6 monitor the movement of tobacco products from the  
7 point of manufacture through distribution to retail  
8 outlets to assist in investigating potential illicit  
9 trade, smuggling or counterfeiting of tobacco prod-  
10 ucts.

11 “(3) CODES.—The Secretary may require codes  
12 on the labels of tobacco products or other designs or  
13 devices for the purpose of tracking or tracing the to-  
14 bacco product through the distribution system.

15 “(4) SIZE OF BUSINESS.—The Secretary shall  
16 take into account the size of a business in promul-  
17 gating regulations under this section.

18 “(5) RECORDKEEPING BY RETAILERS.—The  
19 Secretary shall not require any retailer to maintain  
20 records relating to individual purchasers of tobacco  
21 products for personal consumption.

22 “(c) RECORDS INSPECTION.—If the Secretary has a  
23 reasonable belief that a tobacco product is part of an illicit  
24 trade or smuggling or is a counterfeit product, each person  
25 who manufactures, processes, transports, distributes, re-

1 ceives, holds, packages, exports, or imports tobacco prod-  
2 ucts shall, at the request of an officer or employee duly  
3 designated by the Secretary, permit such officer or em-  
4 ployee, at reasonable times and within reasonable limits  
5 and in a reasonable manner, upon the presentation of ap-  
6 propriate credentials and a written notice to such person,  
7 to have access to and copy all records (including financial  
8 records) relating to such article that are needed to assist  
9 the Secretary in investigating potential illicit trade, smug-  
10 gling or counterfeiting of tobacco products.

11 “(d) KNOWLEDGE OF ILLEGAL TRANSACTION.—

12 “(1) NOTIFICATION.—If the manufacturer or  
13 distributor of a tobacco product has knowledge  
14 which reasonably supports the conclusion that a to-  
15 bacco product manufactured or distributed by such  
16 manufacturer or distributor that has left the control  
17 of such person may be or has been—

18 “(A) imported, exported, distributed or of-  
19 fered for sale in interstate commerce by a per-  
20 son without paying duties or taxes required by  
21 law; or

22 “(B) imported, exported, distributed or di-  
23 verted for possible illicit marketing,

24 the manufacturer or distributor shall promptly notify the  
25 Attorney General of such knowledge.

1           “(2) KNOWLEDGE DEFINED.—For purposes of  
2 this subsection, the term ‘knowledge’ as applied to  
3 a manufacturer or distributor means—

4                   “(A) the actual knowledge that the manu-  
5                   facturer or distributor had; or

6                   “(B) the knowledge which a reasonable  
7                   person would have had under like circumstances  
8                   or which would have been obtained upon the ex-  
9                   ercise of due care.”.

10 **SEC. 302. STUDY AND REPORT.**

11           (a) STUDY.—The Comptroller General of the United  
12 States shall conduct a study of cross-border trade in to-  
13 bacco products to—

14                   (1) collect data on cross-border trade in tobacco  
15                   products, including illicit trade and trade of counter-  
16                   feit tobacco products and make recommendations on  
17                   the monitoring of such trade;

18                   (2) collect data on cross-border advertising (any  
19                   advertising intended to be broadcast, transmitted, or  
20                   distributed from the United States to another coun-  
21                   try) of tobacco products and make recommendations  
22                   on how to prevent or eliminate, and what tech-  
23                   nologies could help facilitate the elimination of,  
24                   cross-border advertising.

1       (b) REPORT.—Not later than 18 months after the  
2 date of enactment of this Act, the Comptroller General  
3 of the United States shall submit to the Committee on  
4 Health, Education, Labor, and Pensions of the Senate and  
5 the Committee on Energy and Commerce of the House  
6 of Representatives a report on the study described in sub-  
7 section (a).

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