111TH CONGRESS 2D SESSION

H.R. 2142

AN ACT

To require quarterly performance assessments of Government programs for purposes of assessing agency performance and improvement, and to establish agency performance improvement officers and the Performance Improvement Council.

- 1 Be it enacted by the Senate and House of Representa-
- ${\it 2\ tives\ of\ the\ United\ States\ of\ America\ in\ Congress\ assembled},$

SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

- 2 (a) Short Title.—This Act may be cited as the
- 3 "Government Efficiency, Effectiveness, and Performance
- 4 Improvement Act of 2010".
- 5 (b) Table of Contents for
- 6 this Act is as follows:
 - Sec. 1. Short title; table of contents.
 - Sec. 2. Findings and purposes.
 - Sec. 3. Agency defined.
 - Sec. 4. Sense of Congress regarding the need for increased consultation between Congress and Federal agencies on performance management issues.
 - Sec. 5. Performance assessments.
 - Sec. 6. Strategic planning amendments.
 - Sec. 7. Improving Government performance.
 - Sec. 8. Assessments and reports.
 - Sec. 9. Additions to performance plan.
 - Sec. 10. Savings.
 - Sec. 11. Funding.

7 SEC. 2. FINDINGS AND PURPOSES.

- 8 (a) FINDINGS.—Congress finds the following:
- 9 (1) Weaknesses in established management
- processes pertaining to the use of information about
- the performance of Federal agencies undermine the
- confidence of the American people in the Govern-
- ment and reduce the Federal Government's ability to
- adequately address public needs.
- 15 (2) To restore the confidence of the American
- people in its Government and to increase the Fed-
- eral Government's ability to adequately address vital
- public needs, the Federal Government must contin-

- ually seek to improve the effectiveness, efficiency,
 and accountability of Federal programs.
 - (3) With the passage of the Government Performance and Results Act of 1993, Congress directed the executive branch to seek improvements in the performance and accountability of Federal programs by having agencies focus on strategic objectives and annual results.
 - (4) The requirements of the Government Performance and Results Act of 1993 have produced an infrastructure of outcome-oriented strategic plans, performance measures, and accountability reporting that serve as a solid foundation for agencies working with Congress to achieve long-term strategic goals and improve the performance of Federal programs; use of those plans and reports to improve outcomes has, however, been limited.
 - (5) Congressional policy making, spending decisions, and program oversight have been handicapped by insufficient attention to program performance and results.
 - (6) While improvements have been made in the development of outcome-oriented strategic plans, performance measures, and accountability reporting for individual programs, progress is still needed to

- ensure that agency leaders, employees, and delivery partners regularly use performance information to improve the effectiveness and efficiency of government operations and to communicate performance information coherently and candidly to inform congressional decision-making in conducting program authorization, appropriation, and oversight.
 - (7) Regular performance assessments, complemented by periodic assessments of Federal programs, provide critical information on whether programs are achieving specific performance objectives, help Congress and the executive branch identify the most pressing policy and program issues, and determine if specific legislative, operational, financial, or strategic reforms are needed to increase program effectiveness and efficiency.
 - (8) Programs performing similar or duplicative functions within a single agency or across multiple agencies should be identified and their performance and results shared among all such programs to improve coordination or possible consolidation and, ultimately, performance and results.
 - (9) The performance reporting requirements of the Government Performance and Results Act of 1993, along with individual performance and ac-

| 1 | countability reporting requirements contained in leg- |
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| 2 | islation, are in some cases redundant, and steps |
| 3 | should be taken to eliminate duplicative performance |
| 4 | policies and to streamline outdated and unused re- |
| 5 | ports. |
| 6 | (b) Purposes.—The purposes of this Act are as fol- |
| 7 | lows: |
| 8 | (1) To improve the Government Performance |
| 9 | and Results Act of 1993 by implementing perform- |
| 10 | ance assessment processes that seek to assess Fed- |
| 11 | eral programs on a periodic basis with a particular |
| 12 | focus on the following: |
| 13 | (A) Identification by agency leaders of |
| 14 | clear priorities and setting of outcome-focused, |
| 15 | measurable, ambitious targets for those prior- |
| 16 | ities. |
| 17 | (B) Regular goal-focused, data driven per- |
| 18 | formance assessments to measure progress and |
| 19 | adjust strategies. |
| 20 | (C) Accountability expectations that en- |
| 21 | courage managers to innovate, informed by evi- |
| 22 | dence and analysis of experience. |
| 23 | (D) Transparent, coherent, and candid |
| 24 | communication of results. |

- 1 (2) To use relevant performance and related in2 formation to help agencies make informed manage3 ment decisions, improve the effectiveness of agency
 4 and program operations (particularly for those pro5 grams, projects, and activities that are deemed poor6 ly performing), and submit funding requests based
 7 on evidence and other relevant information.
 - (3) To provide congressional policy makers with information needed to conduct more effective oversight and assist in the improvement of agency operations, and to make performance-informed and results-based authorization and appropriation decisions that improve the effectiveness of program operations.
 - (4) To establish the Performance Improvement Council as a body that will assist in the development of performance measurement and management standards and assessment methodologies, identify best practices in Federal performance management, facilitate the exchange of information among agencies on these practices, and collaborate on and strengthen the effectiveness of agency performance improvement efforts.
 - (5) To establish agency performance improvement officers to institutionalize and enhance the

- 1 strategic and performance management activities of
- 2 Federal agencies.
- 3 SEC. 3. AGENCY DEFINED.
- 4 In this Act, the term "agency" means an executive
- 5 agency as defined in section 306 of title 5, United States
- 6 Code.
- 7 SEC. 4. SENSE OF CONGRESS REGARDING THE NEED FOR
- 8 INCREASED CONSULTATION BETWEEN CON-
- 9 GRESS AND FEDERAL AGENCIES ON PER-
- 10 FORMANCE MANAGEMENT ISSUES.
- It is the sense of Congress that the head of each Fed-
- 12 eral agency should make every effort to consult with the
- 13 committees with jurisdiction over the agency and other in-
- 14 terested members of Congress each fiscal year regarding
- 15 the performance plan and priorities of the agency (re-
- 16 quired by sections 1115 and 1120 of title 31, United
- 17 States Code).
- 18 SEC. 5. PERFORMANCE ASSESSMENTS.
- 19 (a) REQUIREMENT FOR PERFORMANCE ASSESS-
- 20 Ments.—Chapter 11 of title 31, United States Code, is
- 21 amended by adding at the end the following new section:
- 22 "§ 1120. Performance assessments
- 23 "(a) Identification of High-Priority Perform-
- 24 ANCE GOALS.—For the purpose of improving agency per-
- 25 formance, the head of each Federal agency, in consultation

| 1 | with the Director of the Office of Management and Budg- |
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| 2 | et, shall identify near-term and long-term high-priority |
| 3 | goals for purposes of this section. In identifying such |
| 4 | goals, the head of the agency shall— |
| 5 | "(1) rely on the agency's mission, strategic plan |
| 6 | and objectives, and statutory directives; |
| 7 | "(2) consult with Congress, including each ap- |
| 8 | propriate committee of Congress; |
| 9 | "(3) select goals that— |
| 10 | "(A) clearly identify agency priorities and |
| 11 | have performance outcomes that can be clearly |
| 12 | and objectively assessed and measured; |
| 13 | "(B) are ambitious targets that have high |
| 14 | direct value to the public; |
| 15 | "(C) involve indicators for which the agen- |
| 16 | cy can collect reliable and timely data that may |
| 17 | be used in performance assessments to measure |
| 18 | progress and adjust strategies; and |
| 19 | "(D) involve multiple programs, including |
| 20 | programs within and across multiple agencies |
| 21 | that are performing similar functions, serve |
| 22 | similar populations, have similar purposes, or |
| 23 | share common objectives, for purposes of identi- |
| 24 | fying common challenges, exemplary goals and |
| 25 | practices, common measures of performance, |

| 1 | and potential opportunities for more effective |
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| 2 | and efficient means of achieving goals, includ- |
| 3 | ing through the integration and consolidation of |
| 4 | Federal functions; and |
| 5 | "(4) with respect to a subcomponent of the |
| 6 | agency, ensure the goals are consistent with the |
| 7 | goals of the entire agency. |
| 8 | "(b) Performance Assessments.—The head of |
| 9 | each Federal agency, in consultation with the Director of |
| 10 | the Office of Management and Budget, shall, not less |
| 11 | often than quarterly for high-priority goals identified in |
| 12 | subsection (a), and on a semi-annual basis for perform- |
| 13 | ance goals established pursuant to section 1115(a)(1) of |
| 14 | this title— |
| 15 | "(1) assess progress toward achieving the goals |
| 16 | identified under subsection (a) and toward achieving |
| 17 | the annual performance goals for each program ac- |
| 18 | tivity established pursuant to section 1115(a)(1) of |
| 19 | this title; |
| 20 | "(2) assess whether relevant agency programs |
| 21 | and initiatives are contributing as expected toward |
| 22 | the goals identified under subsection (a) and the an- |
| 23 | nual performance goals for each program activity es- |
| 24 | tablished pursuant to section $1115(a)(1)$ of this title; |
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and

| 1 | "(3) identify prospects and strategies for per- |
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| 2 | formance improvement, including any needed |
| 3 | changes to agency programs or initiatives. |
| 4 | "(c) Performance Assessment Require- |
| 5 | MENTS.—In conducting an assessment of agency progress |
| 6 | toward achieving the goals identified under subsection (a) |
| 7 | and toward achieving the annual performance goals for |
| 8 | each program activity established pursuant to section |
| 9 | 1115(a)(1) of this title, the head of a Federal agency, in |
| 10 | consultation with the Director of the Office of Manage- |
| 11 | ment and Budget, shall— |
| 12 | "(1) coordinate with relevant personnel within |
| 13 | and outside the agency who contribute to the accom- |
| 14 | plishment of the goals; and |
| 15 | "(2) encourage innovation and hold leaders and |
| 16 | managers accountable for effective and efficient im- |
| 17 | plementation based on evidence and continuing anal- |
| 18 | ysis of experience. |
| 19 | "(d) Transparency of Goals and Performance |
| 20 | Assessments.—The Director of the Office of Manage |
| 21 | ment and Budget shall— |
| 22 | "(1) make available, as part of the President's |
| 23 | budget submission and through the Office of Man- |
| 24 | agement and Budget website and other relevant |

| 1 | websites, and provide to the congressional commit- |
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| 2 | tees described in subsection (i)— |
| 3 | "(A) a list of goals identified under sub- |
| 4 | section (a) and reviewed by the Director; |
| 5 | "(B) consistent with section 1115 of this |
| 6 | title, annual goals defined by objectively meas- |
| 7 | urable outcomes for each program administered |
| 8 | in whole or in part by the agency; |
| 9 | "(C) the methods that will be used to |
| 10 | make progress toward achieving the goals iden- |
| 11 | tified under subparagraphs (A) and (B); |
| 12 | "(D) the expected contribution that dif- |
| 13 | ferent agency programs and initiatives will |
| 14 | make toward achieving the goals identified |
| 15 | under subparagraphs (A) and (B) and the ex- |
| 16 | pected timeline for achieving those goals; and |
| 17 | "(E) the approach that will be used by |
| 18 | agencies to assess progress toward achieving |
| 19 | the goals identified under subparagraphs (A) |
| 20 | and (B); |
| 21 | "(2) provide a mechanism for interested per- |
| 22 | sons, including the general public and members and |
| 23 | committees of Congress, to submit comments on the |
| 24 | goals being assessed under subsection (a) and the |
| 25 | annual performance goals for each program activity |

established pursuant to section 1115(a)(1) of this title and the methods that will be used to make progress toward achieving those goals;

> "(3) provide a mechanism for agency delivery to and consideration of comments provided under paragraph (2) by each relevant agency and adjustment of goals under subsection (a) and the annual performance goals for each program activity established pursuant to section 1115(a)(1) of this title based on the comments, with approval of the Director; and

> "(4) make available through the Office of Management and Budget website a summary of comments received under paragraph (2), any adjustment of goals under paragraph (3), and any changes to goals required by the Office of Management and Budget.

"(e) Transparency of Performance Results.—
18 (1) The head of an agency shall ensure that all results
19 of the assessments conducted under this section by the
20 agency during a fiscal year shall be readily accessible to
21 and easily found on the Internet by the public and mem22 bers and committees of Congress in a searchable, machine
23 readable format, in accordance with guidance provided by
24 the Director of the Office of Management and Budget that
25 ensures such information is provided in a way that pre-

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| 1 | sents a coherent picture of the performance of Federa |
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| 2 | agencies. At a minimum, the results of the assessments |
| 3 | conducted under this section shall be available on the |
| 4 | website of the Office of Management and Budget and also |
| 5 | may be made available on any other website considered |
| 6 | appropriate by the agency or the Director. The Director |
| 7 | shall also notify the appropriate committees of Congress |
| 8 | when quarterly assessments become available on the Inter- |
| 9 | net. |
| 10 | "(2) The performance information related to the as- |
| 11 | sessments of goals in this section and section 1115 of this |
| 12 | title shall— |
| 13 | "(A) include— |
| 14 | "(i) a brief summary of the problem or op- |
| 15 | portunity being addressed and reasons for iden- |
| 16 | tifying these agency goals as well as key find- |
| 17 | ings of the assessments; |
| 18 | "(ii) a list of each program and agency |
| 19 | contributing to achievement of the goal and the |
| 20 | time frame for such contributions; |
| 21 | "(iii) an assessment of the quality of the |
| 22 | performance measures, and the extent to which |
| 23 | necessary performance data are collected; |
| 24 | "(iv) a description of how leaders and |
| 25 | managers are held accountable for achieving |

| 1 | program results, and the extent to which strong |
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| 2 | financial management tools are in place; |
| 3 | "(v) contextual indicators that provide a |
| 4 | sense of external factors that can influence per- |
| 5 | formance trends related to key outcomes; |
| 6 | "(vi) as appropriate, indicators that pro- |
| 7 | vide information about the population being |
| 8 | served and to the extent possible, the impact on |
| 9 | disadvantaged and minority communities and |
| 10 | individuals; |
| 11 | "(vii) factors affecting the performance of |
| 12 | programs, projects, and activities and how they |
| 13 | are impeding or contributing to failures or suc- |
| 14 | cesses of the programs, projects, and activities, |
| 15 | and the reasons for any substantial variation |
| 16 | from the targeted level of achievement of the |
| 17 | goals; |
| 18 | "(viii) the process used by the agency to |
| 19 | assess progress made toward achieving the |
| 20 | goals; and |
| 21 | "(ix) such other items and adjustments as |
| 22 | may be specified by the Director; |
| 23 | "(B) describe the extent to which any trends, |
| 24 | developments or emerging conditions affect the need |

1 to change the mission of programs being carried out 2 to achieve the goal; 3 "(C) identify, as part of any performance as-4 sessment, practices that resulted in positive out-5 comes, and the key reasons why such practices re-6 sulted in positive outcomes; and 7 "(D) include recommendations for actions to 8 improve results, including opportunities that might 9 exist for the coordination, consolidation, or integra-10 tion of programs to improve service or generate cost 11 savings. 12 "(3) The head of each agency shall— "(A) use, as necessary and appropriate, a vari-13 14 ety of assessment methods to support performance 15 assessments, including methods contained in reports 16 from evaluation centers, in assessments by States, 17 and in available Federal program assessments; 18 "(B) maintain an archive of information re-19 quired to be disclosed under this section that is, to 20 the maximum extent practicable, readily available, 21 accessible, and easily found by the public; and 22 "(C) consider the relevant comments submitted 23 under subsection (d)(2). "(f) CLASSIFIED INFORMATION.—(1) With respect to 24

performance assessments conducted during a fiscal year

- 1 that contain classified information, the President shall
- 2 submit—
- 3 "(A) each quarterly performance assessment
- 4 (including the classified information), to the appro-
- 5 priate committees of Congress; and
- 6 "(B) an appendix containing a list of each af-
- 7 fected goal and the committees to which a copy of
- 8 the performance assessment was submitted under
- 9 subparagraph (A), to the congressional committees
- described in subsection (i).
- 11 "(2) Upon request from a congressional committee
- 12 described in subsection (i), the Director of the Office of
- 13 Management and Budget shall provide to the Committee
- 14 a copy of—
- 15 "(A) any performance assessment described in
- subparagraph (A) of paragraph (1) (including any
- assessment not listed in any appendix submitted
- under subparagraph (B) of such paragraph); and
- 19 "(B) any appendix described in subparagraph
- 20 (B) of paragraph (1).
- 21 "(3) In this subsection, the term 'classified informa-
- 22 tion' refers to matters described in section 552(b)(1)(A)
- 23 of title 5.
- 24 "(g) Inherently Governmental Functions.—
- 25 The functions and activities authorized or required by this

- 1 section shall be considered inherently governmental func-
- 2 tions and shall be performed only by Federal employees.
- 3 "(h) Report Streamlining.—To eliminate redun-
- 4 dancy, the head of an agency may determine each year,
- 5 subject to the approval of the Director of the Office of
- 6 Management and Budget and provided that it meets the
- 7 requirements of this section and sections 1115, 1116,
- 8 1117, 1121, and the first 9703 of this title, that the per-
- 9 formance information provided to the public on the Inter-
- 10 net is sufficient to meet the planning and reporting re-
- 11 quirements of such sections.
- 12 "(i) Congressional Committees.—The congres-
- 13 sional committees described in this subsection are the fol-
- 14 lowing:
- 15 "(1) The Committee on Oversight and Govern-
- ment Reform of the House of Representatives.
- 17 "(2) The Committee on Homeland Security and
- 18 Governmental Affairs of the Senate.
- 19 "(3) The Committees on Appropriations of the
- House of Representatives and the Senate.
- 21 "(4) The Committees on the Budget of the
- House of Representatives and the Senate.
- 23 "(j) Definitions.—In this section:
- 24 "(1) AGENCY PERFORMANCE IMPROVEMENT
- 25 OFFICER.—The term 'agency performance improve-

- 1 ment officer' means a senior executive of an agency
- who is designated by the head of the agency, and re-
- ports to the head of the agency, the agency Deputy
- 4 Secretary, or such other agency official designated
- 5 by the head of the agency, to carry out the require-
- 6 ments of this section.
- 7 "(2) Performance information.—The term
- 8 'performance information' means the results of as-
- 9 sessments conducted under this section.
- 10 "(k) Construction.—Nothing in this section shall
- 11 be construed as requiring the head of an agency to per-
- 12 form impact evaluations that estimate quantitatively, for
- 13 one or more variables, the effect a program or policy had
- 14 compared to what may have otherwise happened.".
- 15 (b) Performance Assessments To Be Consid-
- 16 ERED IN EVALUATING SENIOR EXECUTIVES.—Section
- 17 4313 of title 5, United States Code, is amended (in the
- 18 matter before paragraph (1)) by striking "organizational
- 19 performance," and inserting the following: "organizational
- 20 performance (including such reviews of agency perform-
- 21 ance, conducted under section 1120 of title 31, as are rel-
- 22 evant),".
- (c) Clerical Amendment.—The table of sections
- 24 at the beginning of chapter 11 of title 31, United States
- 25 Code, is amended by adding at the end the following:

[&]quot;1120. Performance assessments.".

1 SEC. 6. STRATEGIC PLANNING AMENDMENTS.

- 2 (a) Change in Deadline for Strategic Plan.—
- 3 Subsection (a) of section 306 of title 5, United States
- 4 Code, is amended by striking "No later than September
- 5 30, 1997," and inserting "Not later than September 30
- 6 of the second year following a year in which an election
- 7 for President occurs, beginning with September 30,
- 8 2010,".
- 9 (b) Change in Period of Coverage of Stra-
- 10 TEGIC PLAN.—Subsection (b) of section 306 of title 5,
- 11 United States Code, is amended to read as follows:
- 12 "(b) Each strategic plan shall cover the four-year pe-
- 13 riod beginning on October 1 of the second year following
- 14 a year in which an election for President occurs.".
- 15 SEC. 7. IMPROVING GOVERNMENT PERFORMANCE.
- 16 (a) Improving Government Performance.—
- 17 Chapter 11 of title 31, United States Code, as amended
- 18 by section 5, is further amended by adding at the end the
- 19 following new section:
- 20 "§ 1121. Improving Government performance
- 21 "(a) Duties of Agency Performance Improve-
- 22 MENT OFFICERS.—Subject to the direction of the head of
- 23 the agency, each agency performance improvement officer
- 24 shall—
- 25 "(1) advise and assist the head of the executive
- agency and other agency officials to ensure that the

| 1 | mission of the executive agency is achieved through |
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| 2 | performance planning, measurement, analysis, and |
| 3 | regular assessment of progress, including the re- |
| 4 | quirements of this section and sections 1115, 1116, |
| 5 | 1117, 1120, and the first 9703 of this title and sec- |
| 6 | tion 306 of title 5; |
| 7 | "(2) advise the head of the agency on the selec- |
| 8 | tion of agency goals, including opportunities to col- |
| 9 | laborate with other agencies on common goals, and |
| 10 | on whether— |
| 11 | "(A) the performance targets required |
| 12 | under section 1115 of this title and the stra- |
| 13 | tegic plans required under section 306 of title |
| 14 | 5 are— |
| 15 | "(i) sufficiently aggressive toward full |
| 16 | achievement of the purposes of the agency; |
| 17 | and |
| 18 | "(ii) realistic in light of authority and |
| 19 | resources provided for operations; and |
| 20 | "(B) means for measurement of progress |
| 21 | toward achievement of the goals are sufficiently |
| 22 | rigorous, aligned to outcomes, useful, and accu- |
| 23 | rate as appropriate to the intended use of the |
| 24 | measures; |

| 1 | "(3) support the head of the agency, agency |
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| 2 | Deputy Secretary, or such other agency senior offi- |
| 3 | cial designated by the head of the agency in the con- |
| 4 | duct of at least quarterly performance assessments. |
| 5 | while strengthening the performance management |
| 6 | activities of the entire agency (including subcompo- |
| 7 | nents) through at least quarterly performance as- |
| 8 | sessments to— |
| 9 | "(A) assess progress toward achievement |
| 10 | of the goals administered in whole or in part by |
| 11 | the agency, as well as any goals common to |
| 12 | that agency and other agencies; |
| 13 | "(B) identify factors affecting progress |
| 14 | and benchmarking comparisons; |
| 15 | "(C) consider actions to improve the per- |
| 16 | formance and efficiency of programs, projects, |
| 17 | and activities; and |
| 18 | "(D) hold leaders and managers account- |
| 19 | able for effective and efficient implementation |
| 20 | and for adjusting agency actions based on |
| 21 | evolving evidence; |
| 22 | "(4) assist the head of the agency in the devel- |
| 23 | opment and use within the agency of performance |
| 24 | measures in personnel performance appraisals and |

| 1 | as appropriate, other agency personnel and planning |
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| 2 | processes and assessments; |
| 3 | "(5) assist the head of the agency in overseeing |
| 4 | the implementation required under section 1120 of |
| 5 | this title; |
| 6 | "(6) ensure that agency progress toward |
| 7 | achievement of all goals is communicated to leaders, |
| 8 | managers, and employees in the agency and Con- |
| 9 | gress, and made public on the Internet; and |
| 10 | "(7) provide training for agency managers, pro- |
| 11 | gram directors, supervisors, and employees on how |
| 12 | to use performance targets, measure key perform- |
| 13 | ance indicators, assess programs, and analyze data |
| 14 | to improve performance. |
| 15 | "(b) Establishment and Operation of Per- |
| 16 | FORMANCE IMPROVEMENT COUNCIL.— |
| 17 | "(1) There is established in the executive |
| 18 | branch a Performance Improvement Council. |
| 19 | "(2) The Performance Improvement Council |
| 20 | shall consist exclusively of— |
| 21 | "(A) the Deputy Director for Management |
| 22 | of the Office of Management and Budget, who |
| 23 | shall serve as Chair; |

| 1 | "(B) such agency performance improve- |
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| 2 | ment officers as determined appropriate by the |
| 3 | Chair; and |
| 4 | "(C) such other permanent employees of |
| 5 | an agency as determined appropriate by the |
| 6 | Chair in consultation with the agency con- |
| 7 | cerned. |
| 8 | "(3) The Chair or the Chair's designee shall |
| 9 | convene and preside at the meetings of the Perform- |
| 10 | ance Improvement Council, determine its agenda, di- |
| 11 | rect its work, and establish and direct subgroups of |
| 12 | the Performance Improvement Council, as appro- |
| 13 | priate to deal with particular subject matters. |
| 14 | "(4) To assist in implementing the require- |
| 15 | ments of sections 1105, 1115, 1116, 1117, 1120, |
| 16 | and the first 9703 of this title and section 306 of |
| 17 | title 5, the Performance Improvement Council |
| 18 | shall— |
| 19 | "(A) develop and submit to the Director of |
| 20 | the Office of Management and Budget, or when |
| 21 | appropriate to the President through the Direc- |
| 22 | tor of the Office of Management and Budget, at |
| 23 | times and in such formats as the Chair may |
| 24 | specify, recommendations concerning— |

| 1 | "(i) performance management policies |
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| 2 | and requirements; |
| 3 | "(ii) criteria for assessment of pro- |
| 4 | gram, project, and activity performance; |
| 5 | and |
| 6 | "(iii) how the goals required by sec- |
| 7 | tion 1120(a) of this title can inform the |
| 8 | Federal Government performance plan re- |
| 9 | quired by section 1105(a)(28) of this title, |
| 10 | and lead to improved results from and |
| 11 | interagency coordination of programs that |
| 12 | perform similar functions; |
| 13 | "(B) facilitate the exchange among agen- |
| 14 | cies of information on performance manage- |
| 15 | ment, including strategic and annual planning |
| 16 | and reporting, to accelerate improvements in |
| 17 | performance; |
| 18 | "(C) monitor the performance assessment |
| 19 | process required under section 1120 of this |
| 20 | title; |
| 21 | "(D) facilitate keeping members and com- |
| 22 | mittees of Congress and the public informed, |
| 23 | and with such assistance of heads of agencies |
| 24 | and agency performance improvement officers |
| 25 | as the Director of the Office of Management |

| 1 | and Budget may require, provide members and |
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| 2 | committees of Congress and the public with in- |
| 3 | formation on the Internet on how well each |
| 4 | agency performs and that serves as a com- |
| 5 | prehensive source of information on— |
| 6 | "(i) agency strategic plans; |
| 7 | "(ii) annual performance plans and |
| 8 | annual performance reports; |
| 9 | "(iii) performance information re- |
| 10 | quired under section 1120 (d) of this title; |
| 11 | "(iv) the status of the implementation |
| 12 | of performance assessments required under |
| 13 | section 1120 of this title; |
| 14 | "(v) relevant impact and process as- |
| 15 | sessments; and |
| 16 | "(vi) consistent with the direction of |
| 17 | the head of the agency concerned after |
| 18 | consultation with the Director of the Office |
| 19 | of Management and Budget, any publicly |
| 20 | available reports by the agency's Inspector |
| 21 | General concerning agency program per- |
| 22 | formance; |
| 23 | "(E) monitor implementation by agencies |
| 24 | of the policy set forth in sections 1115, 1116, |
| 25 | 1117, 1120, and the first 9703 of this title and |

| 1 | section 306 of title 5 and report thereon from |
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| 2 | time to time as appropriate to the Director of |
| 3 | the Office of Management and Budget, or when |
| 4 | appropriate to the President through the Direc- |
| 5 | tor of the Office of Management and Budget, at |
| 6 | such times and in such formats as the Chair |
| 7 | may specify, together with any recommenda- |
| 8 | tions of the Council for more effective imple- |
| 9 | mentation of such policy; |
| 10 | "(F) obtain information and advice, as ap- |
| 11 | propriate, in a manner that seeks individual ad- |
| 12 | vice and does not involve collective judgment or |
| 13 | consensus advice or deliberation, from— |
| 14 | "(i) State, local, territorial, and tribal |
| 15 | officials; |
| 16 | "(ii) representatives of entities or |
| 17 | other individuals; and |
| 18 | "(iii) members and committees of |
| 19 | Congress; |
| 20 | "(G) coordinate with other interagency |
| 21 | management councils; and |
| 22 | "(H) make recommendations to Congress |
| 23 | on duplicative, unused, or outdated performance |
| 24 | policies or reporting requirements. |

| 1 | "(5)(A) The Administrator of General Services |
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| 2 | shall provide administrative and other support for |
| 3 | the Council to implement this section. |
| 4 | "(B) The heads of agencies shall provide, as ap- |
| 5 | propriate and to the extent permitted by law, such |
| 6 | information and assistance as the Chair may request |
| 7 | to implement this section. |
| 8 | "(c) Additional Duties of the Council.—The |
| 9 | Council— |
| 10 | "(1) shall develop a website for Federal agency |
| 11 | performance information; |
| 12 | "(2) shall link program performance informa- |
| 13 | tion to program spending information on the website |
| 14 | www.USASpending.gov; and |
| 15 | "(3) shall submit a report to Congress on the |
| 16 | feasibility of creating a single web-based platform |
| 17 | for all Government spending information and all |
| 18 | program performance information.". |
| 19 | (b) GUIDANCE.—Not later than 6 months after the |
| 20 | date of the enactment of this Act, the Director of the Of- |
| 21 | fice of Management and Budget shall prescribe guidance |
| 22 | to implement the requirements of section 1120 and 1121 |
| 23 | of title 31, United States Code, as added by subsection |
| 24 | (a). |
| 25 | (c) Conforming and Clerical Amendments.— |

| 1 | (1) Section 1115(g) of title 31, United States |
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| 2 | Code, is amended by striking "1119" and inserting |
| 3 | "1121". |
| 4 | (2) The table of sections at the beginning of |
| 5 | chapter 11 of title 31, United States Code, is |
| 6 | amended by adding at the end the following: |
| | "1121. Improving Government performance.". |
| 7 | SEC. 8. ASSESSMENTS AND REPORTS. |
| 8 | (a) Assessments.— |
| 9 | (1) IN GENERAL.—No less frequently than the |
| 10 | first, third, and fifth year after the date of the en- |
| 11 | actment of this Act, and thereafter every three years |
| 12 | and at such other times as may be requested by |
| 13 | Congress, the Comptroller General of the United |
| 14 | States shall assess the implementation of this Act by |
| 15 | the Director of the Office of Management and Budg- |
| 16 | et and the agencies described in section 901(b) of |
| 17 | title 31, United States Code, with emphasis on the |
| 18 | matters specified in paragraph (2). |
| 19 | (2) Matters to be assessed.—The matters |
| 20 | to be assessed under paragraph (1) shall include, |
| 21 | with respect to the fiscal year covered by the assess- |
| 22 | ment: |
| 23 | (A) Whether the selection of goals, identi- |
| 24 | fied pursuant to section 1120(a) of title 31, |
| 25 | United States Code, as added by section 5, and |

- established pursuant to section 1115 of such title, is tied to performance outcomes that can be objectively assessed and measured and have a high direct value to the public.
 - (B) The use of agency performance goals and measures and program assessments to improve performance and ensure taxpayer dollars are spent in an efficient and effective manner, including the need to streamline or enhance Federal programs or initiatives to maximize the likelihood of accomplishing such performance goals.
 - (C) The use of agency performance goals, identified pursuant to section 1120(a) of title 31, United States Code, as added by section 5, and established pursuant to section 1115 of such title, and measures to clearly communicate performance priorities and results to the public.
 - (D) How any revision of goals, identified pursuant to section 1120(a) of title 31, United States Code, as added by section 5, and established pursuant to section 1115 of such title, has contributed to the effectiveness of agency and program performance.

| 1 | (E) The tracking of program performance |
|----|---|
| 2 | toward achieving identified goals and the con- |
| 3 | tribution of such tracking to agency perform- |
| 4 | ance improvement. |
| 5 | (F) The use of input from Congress and |
| 6 | the public in the assessment of programs and in |
| 7 | the identification and assessment of goals. |
| 8 | (G) The use of the archive of information |
| 9 | referred to in section 1120(e)(3)(B) of title 31, |
| 10 | United States Code, to create a coherent, longi- |
| 11 | tudinal picture of the performance of agencies |
| 12 | and programs over time. |
| 13 | (H) Best practices of agencies. |
| 14 | (I) Whether the annual performance plan |
| 15 | established pursuant to section 1115 of title 31, |
| 16 | United States Code, conforms with the require- |
| 17 | ments for such plans described in paragraphs |
| 18 | (1) through (11) of section 1115(a) of such |
| 19 | title. |
| 20 | (J) The progress each agency has made in |
| 21 | achieving the goals identified pursuant to sec- |
| 22 | tion 1120(a) of title 31, United States Code, as |
| 23 | added by section 5, and established pursuant to |

section 1115 of such title.

- 1 (b) Reports.—The Comptroller General shall con-
- 2 sult with the Inspectors General when evaluating program
- 3 and agency performance and shall submit to Congress a
- 4 report on the results of each assessment conducted under
- 5 subsection (a). The report shall include a list of rec-
- 6 ommendations on ways to improve the performance as-
- 7 sessment and communication process and the operations
- 8 of agency performance improvement officers and the Per-
- 9 formance Improvement Council.
- 10 (c) Effectiveness Assessment.—With respect to
- 11 the assessment conducted under subsection (a) in the
- 12 third year after the date of the enactment of this Act, the
- 13 Comptroller General shall include in the report relating
- 14 to such assessment submitted to Congress under this sec-
- 15 tion the following:
- 16 (1) An assessment of the effectiveness of this
- 17 Act, and the amendments made by this Act.
- 18 (2) The impact of this Act on sections 1115,
- 19 1116, 1117, and the first 9703 of title 31, United
- States Code, and section 306 of title 5, United
- 21 States Code.
- 22 (3) Any recommendations for improving the ef-
- fectiveness of sections 1115, 1116, 1117, and the
- first 9703 of title 31, United States Code, and sec-

| 1 | tion 306 of title 5, United States Code and reducing |
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| 2 | duplication. |
| 3 | SEC. 9. ADDITIONS TO PERFORMANCE PLAN. |
| 4 | Section 1115(a) of title 31, United States Code, is |
| 5 | amended— |
| 6 | (1) in paragraph (5), by striking "and"; |
| 7 | (2) in paragraph (6), by striking the period and |
| 8 | inserting "; and"; and |
| 9 | (3) by inserting after paragraph (6) the fol- |
| 10 | lowing new paragraphs: |
| 11 | "(7) describe the existence and current scope of |
| 12 | the problem that the program is intended to address, |
| 13 | defined as an outcome that addresses the needs of |
| 14 | the American people, not an input (such as staffing |
| 15 | or resources expended) or an intermediate goal (such |
| 16 | as teachers or police hired); |
| 17 | "(8) to the extent practicable, take into account |
| 18 | the other efforts (if any) being made in Federal, |
| 19 | State or local governments or the private sector to |
| 20 | address the problem described under paragraph (7) |
| 21 | and the relative cost-effectiveness of such efforts; |
| 22 | "(9) if the program is not new, describe the |
| 23 | amount of funds expended in the previous year and |
| 24 | state the progress made in the previous year toward |
| 25 | solving the problem described under paragraph (7), |

- including evidence of whether the problem is increasing, decreasing, or staying the same;
- 3 "(10) describe the specific level of improvement
- 4 expected to be made toward addressing the problem
- 5 described under paragraph (7); and
- 6 "(11) state the long-term goal for the program
- 7 and when that goal is expected to be achieved or the
- 8 problem described under paragraph (7) reduced to
- 9 an acceptable level.".
- 10 **SEC. 10. SAVINGS.**
- Any savings or reductions in expenditures generated
- 12 by this Act shall be used to offset the costs of implementa-
- 13 tion of this Act and any additional savings shall be used
- 14 to offset the deficit.
- 15 SEC. 11. FUNDING.
- 16 Agencies shall fund the reporting requirements of
- 17 this Act out of existing budgets and are authorized to
- 18 make necessary reprogramming of funds.

Passed the House of Representatives June 16, 2010. Attest:

Clerk.

111TH CONGRESS H. R. 2142

AN ACT

To require quarterly performance assessments of Government programs for purposes of assessing agency performance and improvement, and to establish agency performance improvement officers and the Performance Improvement Council.