

111TH CONGRESS
2^D SESSION

S. 3717

AN ACT

To amend the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940 to provide for certain disclosures under section 552 of title 5, United States Code, (commonly referred to as the Freedom of Information Act), and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
 2 *tives of the United States of America in Congress assembled,*

3 **SECTION 1. APPLICATION OF THE FREEDOM OF INFORMA-**
 4 **TION ACT TO CERTAIN STATUTES.**

5 (a) AMENDMENTS TO THE SECURITIES AND EX-
 6 CHANGE ACT.—Section 24 of the Securities Exchange Act
 7 of 1934 (15 U.S.C. 78x), as amended by section 929I(a)
 8 of the Dodd-Frank Consumer Financial Protection and
 9 Wall Street Reform Act (Public Law 111–203), is amend-
 10 ed by striking subsection (e) and inserting the following:

11 “(e) FREEDOM OF INFORMATION ACT.—For pur-
 12 poses of section 552(b)(8) of title 5, United States Code,
 13 (commonly referred to as the Freedom of Information
 14 Act)—

15 “(1) the Commission is an agency responsible
 16 for the regulation or supervision of financial institu-
 17 tions; and

18 “(2) any entity for which the Commission is re-
 19 sponsible for regulating, supervising, or examining
 20 under this title is a financial institution.”.

21 (b) AMENDMENTS TO THE INVESTMENT COMPANY
 22 ACT.—Section 31 of the Investment Company Act of 1940
 23 (15 U.S.C. 80a–30), as amended by section 929I(b) of the
 24 Dodd-Frank Consumer Financial Protection and Wall
 25 Street Reform Act (Public Law 111–203), is amended—

1 (1) by striking subsection (c); and
2 (2) by redesignating subsections (d) and (e) as
3 subsections (c) and (d), respectively.
4 (c) AMENDMENTS TO THE INVESTMENT ADVISERS
5 ACT.—Section 210 of the Investment Advisers Act of
6 1940 (15 U.S.C. 80b–10), as amended by section 929I(c)
7 of the Dodd-Frank Consumer Financial Protection and
8 Wall Street Reform Act (Public Law 111–203), is amend-
9 ed by striking subsection (d).

Passed the Senate September 21, 2010.

Attest:

Secretary.

11TH CONGRESS
2D Session

S. 3717

AN ACT

To amend the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940 to provide for certain disclosures under section 552 of title 5, United States Code, (commonly referred to as the Freedom of Information Act), and for other purposes.