### Union Calendar No. 517

114TH CONGRESS 2D SESSION

# H. R. 2646

[Report No. 114-667, Part I]

To make available needed psychiatric, psychological, and supportive services for individuals with mental illness and families in mental health crisis, and for other purposes.

### IN THE HOUSE OF REPRESENTATIVES

June 4, 2015

Mr. Murphy of Pennsylvania (for himself, Ms. Eddie Bernice Johnson of Texas, Mr. Buchanan, Mr. Diaz-Balart, Mr. Bilirakis, Mr. Dold, Mr. Guinta, Mrs. Mimi Walters of California, Mr. Brendan F. Boyle of Pennsylvania, Mrs. Ellmers of North Carolina, Mr. Denham, Mr. Vargas, Mrs. Miller of Michigan, Mr. Hastings, Mr. Calvert, Mr. Nunes, Mr. Hunter, Mr. Blumenauer, and Ms. Sinema) introduced the following bill; which was referred to the Committee on Energy and Commerce, and in addition to the Committees on Ways and Means and Education and the Workforce, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

#### July 6, 2016

Additional sponsors: Mr. Hudson, Mr. Connolly, Mr. Katko, Mr. McKinley, Mr. Hinojosa, Mr. Cramer, Mr. Fortenberry, Mr. Guthrie, Mr. Duncan of Tennessee, Mr. Lance, Mr. Rangel, Mr. Wenstrup, Mr. Whitfield, Mr. Barr, Mr. Trott, Mr. Webster of Florida, Mr. Carter of Georgia, Mr. Hanna, Mrs. Blackburn, Mr. Amodei, Mr. Crawford, Mr. Wilson of South Carolina, Ms. McSally, Mr. Jeffries, Ms. Bass, Mr. Rush, Mr. Roe of Tennessee, Mr. Peterson, Mr. Barletta, Mr. Walz, Mr. Thompson of Mississippi, Ms. Brown of Florida, Mr. Joyce, Mr. Lowenthal, Mr. Mullin, Mr. Bera, Mr. Lamalfa, Mr. Collins of New York, Mrs. Black, Mr. Fleischmann, Mr. Michael F. Doyle of Pennsylvania, Ms. Kaptur, Mr. Olson, Mr. Carter of Texas, Mr. Cuellar, Mr. Roskam, Mr. Bucshon, Mrs. Brooks of Indiana, Mr. Duffy, Mr. Sensenbrenner, Mr. Thompson of Pennsylvania, Mr. Johnson of Ohio, Mr. Franks of Arizona, Ms.

Judy Chu of California, Mrs. Lawrence, Mr. Gibson, Ms. Plaskett, Mr. Nolan, Mr. Salmon, Mr. Coffman, Mr. Paulsen, Mr. Kelly of Pennsylvania, Mr. Shuster, Mr. Costello of Pennsylvania, Mr. FITZPATRICK, Mr. DENT, Mr. BENISHEK, Ms. TITUS, Mrs. ROBY, Mr. Messer, Mr. Turner, Mr. Boustany, Mrs. Noem, Mrs. Lummis, Mrs. HARTZLER, Mr. MICA, Mr. COLE, Mr. LUCAS, Mr. KINZINGER of Illinois, Mr. Harris, Mr. Stivers, Mr. Smith of New Jersey, Mr. Rothfus, Mrs. Walorski, Mr. Heck of Washington, Mr. Ted Lieu of California, Mr. Peters, Mr. Bishop of Michigan, Mr. Byrne, Mr. O'Rourke, Mr. RODNEY DAVIS of Illinois, Mr. QUIGLEY, Ms. ESHOO, Mr. REED, Mr. Huizenga of Michigan, Mr. Swalwell of California, Ms. Lee, Mrs. COMSTOCK, Mr. LONG, Mr. DESAULNIER, Ms. NORTON, Ms. BONAMICI, Ms. Herrera Beutler, Mr. Curbelo of Florida, Mr. Reichert, Mr. McHenry, Mr. Pierluisi, Mr. Sessions, Mr. Scalise, Mr. Israel, Mr. Tom Price of Georgia, Mr. Fincher, Ms. Stefanik, Mr. Cren-SHAW, Mr. CHABOT, Mr. SCHWEIKERT, Mr. KING of New York, Mr. ROUZER, Mr. ASHFORD, Mr. SIMPSON, Mr. STEWART, Mr. ROYCE, Mr. DEFAZIO, Mr. HILL, Mr. ZINKE, Mr. FATTAH, Mr. POMPEO, Mr. WAL-DEN, Mr. HARPER, Mr. MEEKS, Mr. GRAVES of Missouri, Ms. Ros-LEHTINEN, Mr. YOUNG of Iowa, Mr. MEEHAN, Mr. JOLLY, Mr. SHIM-KUS, Mr. DEUTCH, Mr. LUETKEMEYER, Mr. COHEN, Mr. GOODLATTE, Mr. Abraham, Mr. Emmer of Minnesota, Mr. Rooney of Florida, Ms. Speier, Mr. Austin Scott of Georgia, Mr. Lobiondo, Mr. Palazzo, Mr. Hurt of Virginia, Mr. Brady of Pennsylvania, Mr. LaHood, Mr. Ross, Mr. Ribble, Mr. Frelinghuysen, Ms. McCollum, Mr. Young of Indiana, Mr. Tipton, Mr. Perry, Mr. Pittenger, Mr. Rigell, Mr. McDermott, Mr. Cook, Mr. Marino, Mr. Poliquin, Mr. Zeldin, Mr. Cooper, Mr. Rice of South Carolina, Mr. Donovan, Mr. Cartwright, Mr. Bost, Mr. Young of Alaska, Ms. Velázquez, Mr. Knight, Mr. FARR, Mr. MOOLENAAR, Ms. GRANGER, Mr. VALADAO, Mr. THOMPSON of California, Mr. BISHOP of Georgia, Mr. GRAYSON, Mr. KLINE, Ms. Hahn, Mr. Graves of Louisiana, Mr. Chaffetz, Ms. Jenkins of Kansas, Ms. Kuster, Mr. Williams, Mr. Richmond, Mr. Hardy, and Mr. Norcross

#### July 6, 2016

Deleted sponsors: Mr. DESANTIS (added July 21, 2015; deleted July 22, 2015), Mrs. Beatty (added July 21, 2015; deleted July 22, 2015), Ms. Lofgren (added July 21, 2015; deleted July 22, 2015), and Mr. Yoder (added October 7, 2015; deleted November 30, 2015).

### July 6, 2016

Reported from the Committee on Energy and Commerce with an amendment [Strike out all after the enacting clause and insert the part printed in italic]

### July 6, 2016

The Committees on Ways and Means and Education and the Workforce discharged; committed to the Committee of the Whole House on the State of the Union and ordered to be printed

[For text of introduced bill, see copy of bill as introduced on June 4, 2016]

## A BILL

To make available needed psychiatric, psychological, and supportive services for individuals with mental illness and families in mental health crisis, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; TABLE OF CONTENTS.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "Helping Families in Mental Health Crisis Act of 2016".
- 6 (b) Table of Contents for
- 7 this Act is as follows:
  - Sec. 1. Short title; table of contents.

## TITLE I—ASSISTANT SECRETARY FOR MENTAL HEALTH AND SUBSTANCE USE

- Sec. 101. Assistant Secretary for Mental Health and Substance Use.
- Sec. 102. Improving oversight of mental health and substance use programs.
- Sec. 103. National Mental Health and Substance Use Policy Laboratory.
- Sec. 104. Peer-support specialist programs.
- Sec. 105. Prohibition against lobbying using Federal funds by systems accepting Federal funds to protect and advocate the rights of individuals with mental illness.
- Sec. 106. Reporting for protection and advocacy organizations.
- Sec. 107. Grievance procedure.
- Sec. 108. Center for Behavioral Health Statistics and Quality.
- Sec. 109. Strategic plan.
- Sec. 110. Authorities of centers for mental health services and substance abuse treatment.
- Sec. 111. Advisory councils.
- Sec. 112. Peer review.

### TITLE II—MEDICAID MENTAL HEALTH COVERAGE

- Sec. 201. Rule of construction related to Medicaid coverage of mental health services and primary care services furnished on the same day.
- Sec. 202. Optional limited coverage of inpatient services furnished in institutions for mental diseases.
- Sec. 203. Study and report related to Medicaid managed care regulation.
- Sec. 204. Guidance on opportunities for innovation.
- Sec. 205. Study and report on Medicaid emergency psychiatric demonstration project.
- Sec. 206. Providing full-range of EPSDT services to children in IMDs.
- Sec. 207. Electronic visit verification system required for personal care services and home health care services under Medicaid.

## TITLE III—INTERDEPARTMENTAL SERIOUS MENTAL ILLNESS COORDINATING COMMITTEE

Sec. 301. Interdepartmental Serious Mental Illness Coordinating Committee.

### TITLE IV—COMPASSIONATE COMMUNICATION ON HIPAA

- Sec. 401. Sense of Congress.
- Sec. 402. Confidentiality of records.
- Sec. 403. Clarification of circumstances under which disclosure of protected health information is permitted.
- Sec. 404. Development and dissemination of model training programs.

## TITLE V—INCREASING ACCESS TO TREATMENT FOR SERIOUS MENTAL ILLNESS

- Sec. 501. Assertive community treatment grant program for individuals with serious mental illness.
- Sec. 502. Strengthening community crisis response systems.
- Sec. 503. Increased and extended funding for assisted outpatient grant program for individuals with serious mental illness.
- Sec. 504. Liability protections for health professional volunteers at community health centers.

## TITLE VI—SUPPORTING INNOVATIVE AND EVIDENCE-BASED PROGRAMS

- Subtitle A—Encouraging the Advancement, Incorporation, and Development of Evidence-Based Practices
- Sec. 601. Encouraging innovation and evidence-based programs.
- Sec. 602. Promoting access to information on evidence-based programs and practices.
- Sec. 603. Sense of Congress.

### Subtitle B—Supporting the State Response to Mental Health Needs

- Sec. 611. Community Mental Health Services Block Grant.
  - Subtitle C—Strengthening Mental Health Care for Children and Adolescents
- Sec. 621. Telehealth child psychiatry access grants.
- Sec. 622. Infant and early childhood mental health promotion, intervention, and treatment.
- Sec. 623. National Child Traumatic Stress Initiative.

### TITLE VII—GRANT PROGRAMS AND PROGRAM REAUTHORIZATION

### Subtitle A—Garrett Lee Smith Memorial Act Reauthorization

- Sec. 701. Youth interagency research, training, and technical assistance centers.
- Sec. 702. Youth suicide early intervention and prevention strategies.
- Sec. 703. Mental health and substance use disorder services on campus.

#### Subtitle B—Other Provisions

- Sec. 711. National Suicide Prevention Lifeline Program.
- Sec. 712. Workforce development studies and reports.
- Sec. 713. Minority Fellowship Program.
- Sec. 714. Center and program repeals.
- Sec. 715. National violent death reporting system.
- Sec. 716. Sense of Congress on prioritizing Native American youth and suicide prevention programs.
- Sec. 717. Peer professional workforce development grant program.

- Sec. 718. National Health Service Corps.
- Sec. 719. Adult suicide prevention.
- Sec. 720. Crisis intervention grants for police officers and first responders.
- Sec. 721. Demonstration grant program to train health service psychologists in community-based mental health.
- Sec. 722. Investment in tomorrow's pediatric health care workforce.
- Sec. 723. CUTGO compliance.

#### TITLE VIII—MENTAL HEALTH PARITY

- Sec. 801. Enhanced compliance with mental health and substance use disorder coverage requirements.
- Sec. 802. Action plan for enhanced enforcement of mental health and substance use disorder coverage.
- Sec. 803. Report on investigations regarding parity in mental health and substance use disorder benefits.
- Sec. 804. GAO study on parity in mental health and substance use disorder benefits.
- Sec. 805. Information and awareness on eating disorders.
- Sec. 806. Education and training on eating disorders.
- Sec. 807. GAO study on preventing discriminatory coverage limitations for individuals with serious mental illness and substance use disorders.
- Sec. 808. Clarification of existing parity rules.

### 1 TITLE I—ASSISTANT SECRETARY

### 2 FOR MENTAL HEALTH AND

### 3 **SUBSTANCE USE**

- 4 SEC. 101. ASSISTANT SECRETARY FOR MENTAL HEALTH
- 5 AND SUBSTANCE USE.
- 6 (a) Assistant Secretary.—Section 501(c) of the
- 7 Public Health Service Act (42 U.S.C. 290aa) is amended
- 8 to read as follows:
- 9 "(c) Assistant Secretary and Deputy Assistant
- 10 Secretary.—
- 11 "(1) Assistant secretary.—
- 12 "(A) APPOINTMENT.—The Administration
- shall be headed by an official to be known as the
- 14 Assistant Secretary for Mental Health and Sub-
- stance Use (hereinafter in this title referred to as

1	the 'Assistant Secretary') who shall be appointed
2	by the President, by and with the advice and
3	consent of the Senate.
4	"(B) QUALIFICATIONS.—In selecting the As-
5	sistant Secretary, the President shall give pref-
6	erence to individuals who have—
7	"(i) a doctoral degree in medicine, os-
8	$teopathic\ medicine,\ or\ psychology;$
9	"(ii) clinical and research experience
10	regarding mental health and substance use
11	disorders; and
12	"(iii) an understanding of biological,
13	psychosocial, and pharmaceutical treat-
14	ments of mental illness and substance use
15	disorders.
16	"(2) Deputy assistant secretary.—The As-
17	sistant Secretary, with the approval of the Secretary,
18	may appoint a Deputy Assistant Secretary and may
19	employ and prescribe the functions of such officers
20	and employees, including attorneys, as are necessary
21	to administer the activities to be carried out through
22	$the \ Administration.$ ".
23	(b) Transfer of Authorities.—The Secretary of
24	Health and Human Services shall delegate to the Assistant

1	Secretary for Mental Health and Substance Use all duties
2	and authorities that—
3	(1) as of the day before the date of enactment of
4	this Act, were vested in the Administrator of the Sub-
5	stance Abuse and Mental Health Services Administra-
6	tion; and
7	(2) are not terminated by this Act.
8	(c) Evaluation.—Section 501(d) of the Public Health
9	Service Act (42 U.S.C. 290aa(d)) is amended—
10	(1) in paragraph (17), by striking "and" at the
11	end;
12	(2) in paragraph (18), by striking the period at
13	the end and inserting a semicolon; and
14	(3) by adding at the end the following:
15	"(19) evaluate, in consultation with the Assist-
16	ant Secretary for Financial Resources, the informa-
17	tion used for oversight of grants under programs re-
18	lated to mental and substance use disorders, including
19	co-occurring disorders, administered by the Center for
20	Mental Health Services;
21	"(20) periodically review Federal programs and
22	activities relating to the diagnosis or prevention of, or
23	treatment or rehabilitation for, mental illness and
24	substance use disorders to identify any such programs
25	or activities that have proven to be effective or effi-

1	cient in improving outcomes or increasing access to
2	evidence-based programs;
3	"(21) establish standards for the appointment of
4	peer-review panels to evaluate grant applications and
5	recommend standards for mental health grant pro-
6	grams; and".
7	(d) Standards for Grant Programs.—Section
8	501(d) of the Public Health Service Act (42 U.S.C.
9	290aa(d)), as amended by subsection (c), is further amend-
10	ed by adding at the end the following:
11	"(22) in consultation with the National Mental
12	Health and Substance Use Policy Laboratory, and
13	after providing an opportunity for public input, set
14	standards for grant programs under this title for
15	mental health and substance use services, which may
16	address—
17	"(A) the capacity of the grantee to imple-
18	ment the award;
19	"(B) requirements for the description of the
20	$program\ implementation\ approach;$
21	"(C) the extent to which the grant plan sub-
22	mitted by the grantee as part of its application
23	must explain how the grantee will reach the pop-
24	ulation of focus and provide a statement of need,
25	including to what extent the grantee will in-

1	crease the number of clients served and the esti-
2	mated percentage of clients receiving services
3	who report positive functioning after 6 months
4	or no past-month substance use, as applicable;
5	"(D) the extent to which the grantee must
6	collect and report on required performance meas-
7	ures; and
8	"(E) the extent to which the grantee is pro-
9	posing evidence-based practices and the extent to
10	which—
11	"(i) those evidence-based practices
12	must be used with respect to a population
13	similar to the population for which the evi-
14	dence-based practices were shown to be effec-
15	$tive;\ or$
16	"(ii) if no evidence-based practice ex-
17	ists for a population of focus, the way in
18	which the grantee will implement adapta-
19	tions of evidence-based practices, promising
20	practices, or cultural practices.".
21	(e) Member of Council on Graduate Medical
22	Education.—Section 762 of the Public Health Service Act
23	(42 U.S.C. 2900) is amended—
24	(1) in subsection (b)—

1	(A) by redesignating paragraphs $(4)$ , $(5)$ ,
2	and (6) as paragraphs (5), (6), and (7), respec-
3	tively; and
4	(B) by inserting after paragraph (3) the fol-
5	lowing:
6	"(4) the Assistant Secretary for Mental Health
7	and Substance Use;"; and
8	(2) in subsection (c), by striking "(4), (5), and
9	(6)" each place it appears and inserting "(5), (6),
10	and (7)".
11	(f) Conforming Amendments.—Title V of the Public
12	Health Service Act (42 U.S.C. 290aa et seq.), as amended
13	by the previous provisions of this section, is further amend-
14	ed—
15	(1) by striking "Administrator of the Substance
16	Abuse and Mental Health Services Administration"
17	each place it appears and inserting "Assistant Sec-
18	retary for Mental Health and Substance Use"; and
19	(2) by striking "Administrator" each place it
20	appears (including in any headings) and inserting
21	"Assistant Secretary", except where the term "Admin-
22	istrator" appears—
23	(A) in each of subsections (e) and (f) of sec-
24	tion 501 of such Act (42 U.S.C. 290aa), includ-

1	ing the headings of such subsections, within the
2	$term\ ``Associate\ Administrator";$
3	(B) in section $507(b)(6)$ of such Act (42)
4	$U.S.C.\ 290bb(b)(6)),\ within\ the\ term\ "Adminis-$
5	trator of the Health Resources and Services Ad-
6	ministration";
7	(C) in section $507(b)(6)$ of such $Act$ (42)
8	$U.S.C.\ 290bb(b)(6)),\ within\ the\ term\ "Adminis-$
9	trator of the Centers for Medicare & Medicaid
10	Services";
11	(D) in section $519B(c)(1)(B)$ of such Act
12	$(42\ U.S.C.\ 290bb-25b(c)(1)(B)),\ within\ the\ term$
13	"Administrator of the National Highway Traffic
14	Safety Administration"; or
15	(E) in each of sections $519B(c)(1)(B)$ ,
16	520C(a), and 520D(a) of such Act (42 U.S.C.
17	290bb-25b(c)(1)(B), $290bb-34(a)$ , $290bb-35(a)$ ),
18	within the term "Administrator of the Office of
19	Juvenile Justice and Delinquency Prevention".
20	(g) References.—After executing subsections (a),
21	(b), and (f), any reference in statute, regulation, or guid-
22	ance to the Administrator of the Substance Abuse and Men-
23	tal Health Services Administration shall be construed to be
24	a reference to the Assistant Secretary for Mental Health and
25	Substance Use.

1	SEC. 102. IMPROVING OVERSIGHT OF MENTAL HEALTH AND
2	SUBSTANCE USE PROGRAMS.
3	Title V of the Public Health Service Act is amended
4	by inserting after section 501 of such Act (42 U.S.C. 290aa)
5	the following:
6	"SEC. 501A. IMPROVING OVERSIGHT OF MENTAL HEALTH
7	AND SUBSTANCE USE PROGRAMS.
8	"(a) Activities.—For the purpose of ensuring effi-
9	cient and effective planning and evaluation of mental and
10	substance use disorder programs and related activities, the
11	Assistant Secretary for Planning and Evaluation, in con-
12	sultation with the Assistant Secretary for Mental Health
13	and Substance Use, shall—
14	"(1) collect and organize relevant data on home-
15	lessness, involvement with the criminal justice system,
16	hospitalizations, mortality outcomes, and other meas-
17	ures the Secretary deems appropriate from across
18	Federal departments and agencies;
19	"(2) evaluate programs related to mental and
20	substance use disorders, including co-occurring dis-
21	orders, across Federal departments and agencies, as
22	appropriate, including programs related to—
23	"(A) prevention, intervention, treatment,
24	and recovery support services, including such
25	services for individuals with a serious mental ill-
26	ness or serious emotional disturbance:

1	"(B) the reduction of homelessness and in-
2	volvement with the criminal justice system
3	among individuals with a mental or substance
4	use disorder; and
5	"(C) public health and health services; and
6	"(3) consult, as appropriate, with the Assistant
7	Secretary, the Behavioral Health Coordinating Coun-
8	cil of the Department of Health and Human Services,
9	other agencies within the Department of Health and
10	Human Services, and other relevant Federal depart-
11	ments.
12	"(b) Recommendations.—The Assistant Secretary
13	for Planning and Evaluation shall develop an evaluation
14	strategy that identifies priority programs to be evaluated
15	by the Assistant Secretary and priority programs to be
16	evaluated by other relevant agencies within the Department
17	of Health and Human Services. The Assistant Secretary for
18	Planning and Evaluation shall provide recommendations
19	on improving programs and activities based on the evalua-
20	tion described in subsection (a)(2) as needing improve-
21	ment.".

1	SEC. 103. NATIONAL MENTAL HEALTH AND SUBSTANCE USE
2	POLICY LABORATORY.
3	Title V of the Public Health Service Act (42 U.S.C.
4	290aa et seq.) is amended by inserting after section 501A,
5	as added by section 102 of this Act, the following:
6	"SEC. 501B. NATIONAL MENTAL HEALTH AND SUBSTANCE
7	USE POLICY LABORATORY.
8	"(a) In General.—There shall be established within
9	the Administration a National Mental Health and Sub-
10	stance Use Policy Laboratory (referred to in this section
11	as the 'Laboratory').
12	$``(b)\ Responsibilities.$ —The Laboratory shall—
13	"(1) continue to carry out the authorities and
14	activities that were in effect for the Office of Policy,
15	Planning, and Innovation as such Office existed prior
16	to the date of enactment of the Helping Families in
17	Mental Health Crisis Act of 2016;
18	"(2) identify, coordinate, and facilitate the im-
19	plementation of policy changes likely to have a sig-
20	nificant effect on mental health, mental illness, and
21	the prevention and treatment of substance use dis-
22	order services;
23	"(3) collect, as appropriate, information from
24	grantees under programs operated by the Administra-
25	tion in order to evaluate and disseminate information
26	on evidence-based practices, including culturally and

1	linguistically appropriate services, as appropriate,
2	and service delivery models;
3	"(4) provide leadership in identifying and co-
4	ordinating policies and programs, including evidence-
5	based programs, related to mental and substance use
6	disorders;
7	"(5) recommend ways in which payers may im-
8	plement program and policy findings of the Adminis-
9	tration and the Laboratory to improve outcomes and
10	reduce per capita program costs;
11	"(6) in consultation with the Assistant Secretary
12	for Planning and Evaluation, as appropriate, peri-
13	odically review Federal programs and activities relat-
14	ing to the diagnosis or prevention of, or treatment or
15	rehabilitation for, mental illness and substance use
16	disorders, including by—
17	"(A) identifying any such programs or ac-
18	tivities that are duplicative;
19	"(B) identifying any such programs or ac-
20	tivities that are not evidence-based, effective, or
21	efficient; and
22	"(C) formulating recommendations for co-
23	ordinating, eliminating, or improving programs
24	or activities identified under subparagraph (A)

1	or (B) and merging such programs or activities
2	into other successful programs or activities; and
3	"(7) carry out other activities as deemed nec-
4	essary to continue to encourage innovation and dis-
5	seminate evidence-based programs and practices, in-
6	cluding programs and practices with scientific merit.
7	"(c) Evidence-Based Practices and Service De-
8	LIVERY MODELS.—
9	"(1) In General.—In selecting evidence-based
10	best practices and service delivery models for evalua-
11	tion and dissemination, the Laboratory—
12	"(A) shall give preference to models that im-
13	prove—
14	"(i) the coordination between mental
15	health and physical health providers;
16	"(ii) the coordination among such pro-
17	viders and the justice and corrections sys-
18	tem; and
19	"(iii) the cost effectiveness, quality, ef-
20	fectiveness, and efficiency of health care
21	services furnished to individuals with seri-
22	ous mental illness or serious emotional dis-
23	turbance, in mental health crisis, or at risk
24	to themselves, their families, and the general
25	public; and

1	"(B) may include clinical protocols and
2	practices used in the Recovery After Initial
3	Schizophrenia Episode (RAISE) project and the
4	North American Prodrome Longitudinal Study
5	(NAPLS) of the National Institute of Mental
6	Health.
7	"(2) Deadline for beginning implementa-
8	TION.—The Laboratory shall begin implementation of
9	the duties described in this subsection not later than
10	January 1, 2018.
11	"(3) Consultation.—In carrying out the duties
12	under this subsection, the Laboratory shall consult
13	with—
14	"(A) representatives of the National Insti-
15	tute of Mental Health, the National Institute on
16	Drug Abuse, and the National Institute on Alco-
17	hol Abuse and Alcoholism, on an ongoing basis;
18	"(B) other appropriate Federal agencies;
19	"(C) clinical and analytical experts with
20	expertise in psychiatric medical care and clin-
21	ical psychological care, health care management,
22	education, corrections health care, and mental
23	health court systems, as appropriate; and

1	"(D) other individuals and agencies as de-
2	termined appropriate by the Assistant Sec-
3	retary.".
4	SEC. 104. PEER-SUPPORT SPECIALIST PROGRAMS.
5	(a) In General.—Not later than 2 years after the
6	date of enactment of this Act, the Comptroller General of
7	the United States shall conduct a study on peer-support spe-
8	cialist programs in up to 10 States (to be selected by the
9	Comptroller General) that receive funding from the Sub-
10	stance Abuse and Mental Health Services Administration
11	and submit to the Committee on Health, Education, Labor,
12	and Pensions of the Senate and the Committee on Energy
13	and Commerce of the House of Representatives a report con-
14	taining the results of such study.
15	(b) Contents of Study.—In conducting the study
16	under subsection (a), the Comptroller General of the United
17	States shall examine and identify best practices in the se-
18	lected States related to training and credential require-
19	ments for peer-specialist programs, such as—
20	(1) hours of formal work or volunteer experience
21	related to mental and substance use disorders con-
22	ducted through such programs;
23	(2) types of peer support specialist exams re-
24	anired for such programs in the States:

1	(3) codes of ethics used by such programs in the
2	States;
3	(4) required or recommended skill sets of such
4	programs in the State; and
5	(5) requirements for continuing education.
6	SEC. 105. PROHIBITION AGAINST LOBBYING USING FED-
7	ERAL FUNDS BY SYSTEMS ACCEPTING FED-
8	ERAL FUNDS TO PROTECT AND ADVOCATE
9	THE RIGHTS OF INDIVIDUALS WITH MENTAL
10	ILLNESS.
11	Section 105(a) of the Protection and Advocacy for In-
12	dividuals with Mental Illness Act (42 U.S.C. 10805(a)) is
13	amended—
14	(1) in paragraph (9), by striking "and" at the
15	end;
16	(2) in paragraph (10), by striking the period at
17	the end and inserting "; and"; and
18	(3) by adding at the end the following:
19	"(11) agree to refrain, during any period for
20	which funding is provided to the system under this
21	part, from using Federal funds to pay the salary or
22	expenses of any grant or contract recipient, or agent
23	acting for such recipient, related to any activity de-
24	signed to influence the enactment of legislation, ap-
25	propriations, regulation, administrative action or

1	Executive order proposed or pending before the Con-
2	gress or any State government, State legislature or
3	local legislature or legislative body, other than for
4	normal and recognized executive-legislative relation-
5	ships or participation by an agency or officer of a
6	State, local, or tribal government in policymaking
7	and administrative processes within the executive
8	branch of that government.".
9	SEC. 106. REPORTING FOR PROTECTION AND ADVOCACY
10	ORGANIZATIONS.
11	(a) Public Availability of Reports.—Section
12	105(a)(7) of the Protection and Advocacy for Individuals
13	with Mental Illness Act (42 U.S.C. 10805(a)(7)) is amended
14	by striking "is located a report" and inserting "is located,
15	and make publicly available, a report".
16	(b) Detailed Accounting.—Section 114(a) of the
17	Protection and Advocacy for Individuals with Mental Ill-
18	ness Act (42 U.S.C. 10824(a)) is amended—
19	(1) in paragraph (3), by striking "and" at the
20	end;
21	(2) in paragraph (4), by striking the period at
22	the end and inserting "; and"; and
23	(3) by adding at the end the following:
24	"(5) using data from the existing required an-
25	nual program progress reports submitted by each sys-

1 tem funded under this title, a detailed accounting for 2 suchsystem of how funds are each3 disaggregated according to whether the funds were re-4 ceived from the Federal Government, the State govern-5 ment, a local government, or a private entity.". SEC. 107. GRIEVANCE PROCEDURE. 7 Section 105 of the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C. 10805), as amended, is further amended by adding at the end the fol-10 lowing: 11 "(d) Grievance Procedure.—The Secretary shall 12 establish an independent grievance procedure for persons described in subsection (a)(9).". SEC. 108. CENTER FOR BEHAVIORAL HEALTH STATISTICS 15 AND QUALITY. 16 Title V of the Public Health Service Act (42 U.S.C. 290aa et seg.) is amended— 18 (1) in section 501(b) (42 U.S.C. 290aa(b)), by 19 adding at the end the following: "(4) The Center for Behavioral Health Statistics 20 21 and Quality."; 22 (2) in section 502(a)(1) (42 U.S.C. 290aa– 1(a)(1))— 23 (A) in subparagraph (C), by striking "and" 24 25 at the end;

1	(B) in subparagraph (D), by striking the
2	period at the end and inserting "; and"; and
3	(C) by inserting after subparagraph (D) the
4	following:
5	"(E) the Center for Behavioral Health Sta-
6	tistics and Quality."; and
7	(3) in part B (42 U.S.C. 290bb et seq.) by add-
8	ing at the end the following new subpart:
9	"Subpart 4—Center for Behavioral Health Statistics
10	and Quality
11	"SEC. 520L. CENTER FOR BEHAVIORAL HEALTH STATISTICS
12	AND QUALITY.
13	"(a) Establishment.—There is established in the Ad-
14	ministration a Center for Behavioral Health Statistics and
15	Quality (in this section referred to as the 'Center'). The
16	Center shall be headed by a Director (in this section referred
17	to as the 'Director') appointed by the Secretary from among
18	individuals with extensive experience and academic quali-
19	fications in research and analysis in behavioral health care
20	or related fields.
21	"(b) Duties.—The Director of the Center shall—
22	"(1) coordinate the Administration's integrated
23	data strategy by coordinating—
24	"(A) surveillance and data collection (in-
25	cluding that authorized by section 505);

1	$"(B) \ evaluation;$
2	"(C) statistical and analytic support;
3	"(D) service systems research; and
4	"(E) performance and quality information
5	systems;
6	"(2) recommend a core set of measurement
7	standards for grant programs administered by the
8	Administration; and
9	"(3) coordinate evaluation efforts for the grant
10	programs, contracts, and collaborative agreements of
11	$the \ Administration.$
12	"(c) Biannual Report to Congress.—Not later
13	than 2 years after the date of enactment of this section, and
14	every 2 years thereafter, the Director of the Center shall sub-
15	mit to Congress a report on the quality of services furnished
16	through grant programs of the Administration, including
17	applicable measures of outcomes for individuals and public
18	outcomes such as—
19	"(1) the number of patients screened positive for
20	unhealthy alcohol use who receive brief counseling as
21	appropriate; the number of patients screened positive
22	for tobacco use and receiving smoking cessation inter-
23	ventions; the number of patients with a new diagnosis
24	of major depressive episode who are assessed for sui-
25	cide risk; the number of patients screened positive for

- clinical depression with a documented followup plan;
  and the number of patients with a documented pain
  assessment that have a followup treatment plan when
  pain is present; and satisfaction with care;

  "(2) the incidence and prevalence of substance
  use and mental disorders; the number of suicide attempts and suicide completions; overdoses seen in
  emergency rooms resulting from alcohol and drug use:
- 8 emergency rooms resulting from alcohol and drug use; 9 emergency room boarding; overdose deaths; emergency 10 psychiatric hospitalizations; new criminal justice in-11 volvement while in treatment; stable housing; and
- 12 rates of involvement in employment, education, and
- 13 training; and
- "(3) such other measures for outcomes of services
  as the Director may determine.
- "(d) Staffing Composition.—The staff of the Center may include individuals with advanced degrees and field sexpertise as well as clinical and research experience in men-
- 19 tal and substance use disorders such as—
- 20 "(1) professionals with clinical and research ex-21 pertise in the prevention and treatment of, and recov-22 ery from, substance use and mental disorders;
- 23 "(2) professionals with training and expertise in 24 statistics or research and survey design and meth-25 odologies; and

1	"(3) other related fields in the social and behav-
2	ioral sciences, as specified by relevant position de-
3	scriptions.
4	"(e) Grants and Contracts.—In carrying out the
5	duties established in subsection (b), the Director may make
6	grants to and enter into contracts and cooperative agree-
7	ments with public and nonprofit private entities.
8	"(f) Definition.—In this section, the term 'emergency
9	room boarding' means the practice of admitting patients
10	to an emergency department and holding such patients in
11	the department until inpatient psychiatric beds become
12	available.".
13	SEC. 109. STRATEGIC PLAN.
	Section 501 of the Public Health Service Act (42
14	Section out of the I work Heavin Service Her (18
<ul><li>14</li><li>15</li></ul>	U.S.C. 290aa) is amended—
15	U.S.C. 290aa) is amended—
15 16	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o)
15 16 17	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o) as subsections (m) through (p), respectively; and
15 16 17 18	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o) as subsections (m) through (p), respectively; and (2) by inserting after subsection (k) the fol-
15 16 17 18 19	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o) as subsections (m) through (p), respectively; and (2) by inserting after subsection (k) the following:
15 16 17 18 19 20	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o) as subsections (m) through (p), respectively; and (2) by inserting after subsection (k) the following: "(l) Strategic Plan.—
15 16 17 18 19 20 21	U.S.C. 290aa) is amended—  (1) by redesignating subsections (l) through (o) as subsections (m) through (p), respectively; and (2) by inserting after subsection (k) the following:  "(l) Strategic Plan.—  "(1) In General.—Not later than December 1,

1	operation of evidence-based programs and grants car-
2	ried out by the Administration.
3	"(2) Coordination.—In developing and car-
4	rying out the strategic plan under this section, the
5	Assistant Secretary shall take into consideration the
6	report of the Interdepartmental Serious Mental Illness
7	Coordinating Committee under section 301 of the
8	Helping Families in Mental Health Crisis Act of
9	2016.
10	"(3) Publication of Plan.—Not later than De-
11	cember 1, 2017, and every 5 years thereafter, the As-
12	sistant Secretary shall—
13	"(A) submit the strategic plan developed
14	under paragraph (1) to the appropriate commit-
15	tees of Congress; and
16	"(B) post such plan on the Internet website
17	$of\ the\ Administration.$
18	"(4) Contents.—The strategic plan developed
19	under paragraph (1) shall—
20	"(A) identify strategic priorities, goals, and
21	measurable objectives for mental and substance
22	use disorder activities and programs operated
23	and supported by the Administration, including
24	priorities to prevent or eliminate the burden of
25	mental illness and substance use disorders;

1	"(B) identify ways to improve services for
2	individuals with a mental or substance use dis-
3	order, including services related to the prevention
4	of, diagnosis of, intervention in, treatment of,
5	and recovery from, mental or substance use dis-
6	orders, including serious mental illness or seri-
7	ous emotional disturbance, and access to services
8	and supports for individuals with a serious men-
9	tal illness or serious emotional disturbance;
10	"(C) ensure that programs provide, as ap-
11	propriate, access to effective and evidence-based
12	prevention, diagnosis, intervention, treatment,
13	and recovery services, including culturally and
14	linguistically appropriate services, as appro-
15	priate, for individuals with a mental or sub-
16	stance use disorder;
17	"(D) identify opportunities to collaborate
18	with the Health Resources and Services Adminis-
19	tration to develop or improve—
20	"(i) initiatives to encourage individ-
21	uals to pursue careers (especially in rural
22	and underserved areas and populations) as
23	psychiatrists, psychologists, psychiatric
24	nurse practitioners, physician assistants,

 $occupational \quad the rapists, \quad clinical \quad social$ 

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1	workers, certified peer support specialists,
2	licensed professional counselors, or other li-
3	censed or certified mental health profes-
4	sionals, including such professionals special-
5	izing in the diagnosis, evaluation, or treat-
6	ment of individuals with a serious mental
7	illness or serious emotional disturbance;
8	and
9	"(ii) a strategy to improve the recruit-
10	ment, training, and retention of a workforce
11	for the treatment of individuals with mental
12	or substance use disorders, or co-occurring
13	disorders;
14	"(E) identify opportunities to improve col-
15	laboration with States, local governments, com-
16	munities, and Indian tribes and tribal organiza-
17	tions (as such terms are defined in section 4 of
18	the Indian Self-Determination and Education
19	Assistance Act (25 U.S.C. 450b)); and
20	"(F) specify a strategy to disseminate evi-
21	denced-based and promising best practices re-
22	lated to prevention, diagnosis, early intervention,
23	treatment, and recovery services related to men-
24	tal illness, particularly for individuals with a se-

rious mental illness and children and adolescents

25

1	with a serious emotional disturbance, and sub-
2	stance use disorders.".
3	SEC. 110. AUTHORITIES OF CENTERS FOR MENTAL HEALTH
4	SERVICES AND SUBSTANCE ABUSE TREAT-
5	MENT.
6	(a) Center for Mental Health Services.—Sec-
7	tion 520(b) of the Public Health Service Act (42 U.S.C.
8	290bb-31(b)) is amended—
9	(1) by redesignating paragraphs (3) through (15)
10	as paragraphs (4) through (16), respectively;
11	(2) by inserting after paragraph (2) the fol-
12	lowing:
13	"(3) collaborate with the Director of the National
14	Institute of Mental Health to ensure that, as appro-
15	priate, programs related to the prevention and treat-
16	ment of mental illness and the promotion of mental
17	health are carried out in a manner that reflects the
18	best available science and evidence-based practices, in-
19	cluding culturally and linguistically appropriate
20	services;";
21	(3) in paragraph (5), as so redesignated, by in-
22	serting "through policies and programs that reduce
23	risk and promote resiliency" before the semicolon:

1	(4) in paragraph (6), as so redesignated, by in-
2	serting "in collaboration with the Director of the Na-
3	tional Institute of Mental Health," before "develop";
4	(5) in paragraph (8), as so redesignated, by in-
5	serting ", increase meaningful participation of indi-
6	viduals with mental illness in programs and activi-
7	ties of the Administration," before "and protect the
8	legal";
9	(6) in paragraph (10), as so redesignated, by
10	striking "professional and paraprofessional personnel
11	pursuant to section 303" and inserting "paraprofes-
12	sional personnel and health professionals";
13	(7) in paragraph (11), as so redesignated, by in-
14	serting "and telemental health," after "rural mental
15	health,";
16	(8) in paragraph (12), as so redesignated, by
17	striking "establish a clearinghouse for mental health
18	information to assure the widespread dissemination of
19	such information" and inserting "disseminate mental
20	health information, including evidenced-based prac-
21	tices,";
22	(9) in paragraph (15), as so redesignated, by
23	striking "and" at the end;
24	(10) in paragraph (16), as so redesignated, by
25	striking the period and inserting "; and"; and

1	(11) by adding at the end the following:
2	"(17) consult with other agencies and offices of
3	the Department of Health and Human Services to en-
4	sure, with respect to each grant awarded by the Cen-
5	ter for Mental Health Services, the consistent docu-
6	mentation of the application of criteria when award-
7	ing grants and the ongoing oversight of grantees after
8	such grants are awarded.".
9	(b) Director of the Center for Substance
10	Abuse Treatment.—Section 507 of the Public Health
11	Service Act (42 U.S.C. 290bb) is amended—
12	(1) in subsection (a)—
13	(A) by striking "treatment of substance
14	abuse" and inserting "treatment of substance use
15	disorders"; and
16	(B) by striking "abuse treatment systems"
17	and inserting "use disorder treatment systems";
18	and
19	(2) in subsection (b)—
20	(A) in paragraph (3), by striking "abuse"
21	and inserting "use disorder";
22	(B) in paragraph (4), by striking "individ-
23	uals who abuse drugs" and inserting "individ-
24	uals who use drugs";

1	(C) in paragraph (9), by striking "carried
2	out by the Director";
3	(D) by striking paragraph (10);
4	(E) by redesignating paragraphs (11)
5	through (14) as paragraphs (10) through (13),
6	respectively;
7	(F) in paragraph (12), as so redesignated,
8	by striking "; and" and inserting a semicolon;
9	and
10	(G) by striking paragraph (13), as so redes-
11	ignated, and inserting the following:
12	"(13) ensure the consistent documentation of the
13	application of criteria when awarding grants and the
14	ongoing oversight of grantees after such grants are
15	awarded; and
16	"(14) work with States, providers, and individ-
17	uals in recovery, and their families, to promote the
18	expansion of recovery support services and systems of
19	care oriented towards recovery.".
20	SEC. 111. ADVISORY COUNCILS.
21	Section 502(b) of the Public Health Service Act (42
22	U.S.C. 290aa–1(b)) is amended—
23	(1) in paragraph (2)—
24	(A) in subparagraph (E), by striking "and"
25	after the semicolon;

1	(B) by redesignating subparagraph (F) as
2	subparagraph (I); and
3	(C) by inserting after subparagraph (E),
4	$the\ following:$
5	"(F) for the advisory councils appointed
6	under subsections $(a)(1)(A)$ and $(a)(1)(D)$ , the
7	Director of the National Institute of Mental
8	Health;
9	"(G) for the advisory councils appointed
10	under subsections $(a)(1)(A)$ , $(a)(1)(B)$ , and
11	(a)(1)(C), the Director of the National Institute
12	on Drug Abuse;
13	"(H) for the advisory councils appointed
14	under  subsections  (a)(1)(A),  (a)(1)(B),  and
15	(a)(1)(C), the Director of the National Institute
16	on Alcohol Abuse and Alcoholism; and"; and
17	(2) in paragraph (3), by adding at the end the
18	following:
19	"(C) Not less than half of the members of
20	the advisory council appointed under subsection
21	(a)(1)(D)—
22	"(i) shall have—
23	"(I) a medical degree;
24	"(II) a doctoral degree in psy-
25	$chology;\ or$

1	"(III) an advanced degree in
2	nursing or social work from an accred-
3	ited graduate school or be a certified
4	physician assistant; and
5	"(ii) shall specialize in the mental
6	health field.".
7	SEC. 112. PEER REVIEW.
8	Section 504(b) of the Public Health Service Act (42
9	U.S.C. 290aa-3(b)) is amended by adding at the end the
10	following: "In the case of any such peer review group that
11	is reviewing a grant, cooperative agreement, or contract re-
12	lated to mental illness treatment, not less than half of the
13	members of such peer review group shall be licensed and
14	experienced professionals in the prevention, diagnosis, or
15	treatment of, or recovery from, mental or substance use dis-
16	orders and have a medical degree, a doctoral degree in psy-
17	chology, or an advanced degree in nursing or social work
18	from an accredited program.".

# TITLE II—MEDICAID MENTAL 1 HEALTH COVERAGE 2 3 SEC. 201. RULE OF CONSTRUCTION RELATED TO MEDICAID 4 COVERAGE OF MENTAL HEALTH SERVICES 5 AND PRIMARY CARE SERVICES FURNISHED 6 ON THE SAME DAY. 7 Nothing in title XIX of the Social Security Act (42) U.S.C. 1396 et seg.) shall be construed as prohibiting separate payment under the State plan under such title (or 10 under a waiver of the plan) for the provision of a mental health service or primary care service under such plan, with 11 12 respect to an individual, because such service is— 13 (1) a primary care service furnished to the indi-14 vidual by a provider at a facility on the same day 15 a mental health service is furnished to such indi-16 vidual by such provider (or another provider) at the 17 facility; or 18 (2) a mental health service furnished to the indi-19 vidual by a provider at a facility on the same day 20 a primary care service is furnished to such individual 21 by such provider (or another provider) at the facility.

1	SEC. 202. OPTIONAL LIMITED COVERAGE OF INPATIENT
2	SERVICES FURNISHED IN INSTITUTIONS FOR
3	MENTAL DISEASES.
4	(a) In General.—Section 1903(m)(2) of the Social
5	Security Act (42 U.S.C. 1396b(m)(2)) is amended by add-
6	ing at the end the following new subparagraph:
7	" $(I)(i)$ Notwithstanding the limitation specified in the
8	subdivision (B) following paragraph (29) of section 1905(a)
9	and subject to clause (ii), a State may, under a risk con-
10	tract entered into by the State under this title (or under
11	section 1115) with a medicaid managed care organization
12	or a prepaid inpatient health plan (as defined in section
13	438.2 of title 42, Code of Federal Regulations (or any suc-
14	cessor regulation)), make a monthly capitation payment to
15	such organization or plan for enrollees with the organiza-
16	tion or plan who are over 21 years of age and under 65
17	years of age and are receiving inpatient treatment in an
18	institution for mental diseases (as defined in section
19	1905(i)), so long as each of the following conditions is met:
20	"(I) The institution is a hospital providing in-
21	patient psychiatric or substance use disorder services
22	or a sub-acute facility providing psychiatric or sub-
23	stance use disorder crisis residential services.
24	"(II) The length of stay in such an institution
25	for such treatment is for a short-term stay of no more

1	than 15 days during the period of the monthly capi-
2	tation payment.
3	"(III) The provision of such treatment meets the
4	following criteria for consideration as services or set-
5	tings that are in lieu of services or settings covered
6	under the State plan:
7	"(aa) The State determines that the alter-
8	native service or setting is a medically appro-
9	priate and cost-effective substitute for the covered
10	service or setting under the State plan.
11	"(bb) The enrollee is not required by the
12	managed care organization or prepaid inpatient
13	health plan to use the alternative service or set-
14	ting.
15	"(cc) Such treatment is authorized and
16	identified in such contract, and will be offered to
17	such enrollees at the option of the managed care
18	organization or prepaid inpatient health plan.
19	"(ii) For purposes of setting the amount of such a
20	monthly capitation payment, a State may use the utiliza-
21	tion of services provided to an individual under this sub-
22	paragraph when developing the inpatient psychiatric or
23	substance use disorder component of such payment, but the
24	amount of such payment for such services may not exceed

- 1 the cost of the same services furnished through providers in-
- 2 cluded under the State plan.".
- 3 (b) Effective Date.—The amendment made by sub-
- 4 section (a) shall apply beginning on July 5, 2016, or the
- 5 date of the enactment of this Act, whichever is later.
- 6 SEC. 203. STUDY AND REPORT RELATED TO MEDICAID MAN-
- 7 AGED CARE REGULATION.
- 8 (a) Study.—The Secretary of Health and Human
- 9 Services, acting through the Administrator of the Centers
- 10 for Medicare & Medicaid Services, shall conduct a study
- 11 on coverage under the Medicaid program under title XIX
- 12 of the Social Security Act (42 U.S.C. 1396 et seq.) of serv-
- 13 ices provided through a medicaid managed care organiza-
- 14 tion (as defined in section 1903(m) of such Act (42 U.S.C.
- 15 1396b(m)) or a prepaid inpatient health plan (as defined
- 16 in section 438.2 of title 42, Code of Federal Regulations
- 17 (or any successor regulation)) with respect to individuals
- 18 over the age of 21 and under the age of 65 for the treatment
- 19 of a mental health disorder in institutions for mental dis-
- 20 eases (as defined in section 1905(i) of such Act (42 U.S.C.
- 21 1396d(i))). Such study shall include information on the fol-
- 22 lowing:
- 23 (1) The extent to which States, including the
- 24 District of Columbia and each territory or possession
- of the United States, are providing capitated pay-

- ments to such organizations or plans for enrollees who
  are receiving services in institutions for mental diseases.
  - (2) The number of individuals receiving medical assistance under a State plan under such title XIX, or a waiver of such plan, who receive services in institutions for mental diseases through such organizations and plans.
  - (3) The range of and average number of months, and the length of stay during such months, that such individuals are receiving such services in such institutions.
  - (4) How such organizations or plans determine when to provide for the furnishing of such services through an institution for mental diseases in lieu of other benefits (including the full range of community-based services) under their contract with the State agency administering the State plan under such title XIX, or a waiver of such plan, to address psychiatric or substance use disorder treatment.
  - (5) The extent to which the provision of services within such institutions has affected the capitated payments for such organizations or plans.
- 24 (b) REPORT.—Not later than three years after the date 25 of the enactment of this Act, the Secretary shall submit to

- 1 Congress a report on the study conducted under subsection
- 2 *(a)*.

### 3 SEC. 204. GUIDANCE ON OPPORTUNITIES FOR INNOVATION.

- 4 Not later than one year after the date of the enactment
- 5 of this Act, the Administrator of the Centers for Medicare
- 6 & Medicaid Services shall issue a State Medicaid Director
- 7 letter regarding opportunities to design innovative service
- 8 delivery systems, including systems for providing commu-
- 9 nity-based services, for individuals with serious mental ill-
- 10 ness or serious emotional disturbance who are receiving
- 11 medical assistance under title XIX of the Social Security
- 12 Act (42 U.S.C. 1396 et seq.). The letter shall include oppor-
- 13 tunities for demonstration projects under section 1115 of
- 14 such Act (42 U.S.C. 1315), to improve care for such individ-
- 15 *uals*.

#### 16 SEC. 205. STUDY AND REPORT ON MEDICAID EMERGENCY

- 17 PSYCHIATRIC DEMONSTRATION PROJECT.
- 18 (a) Collection of Information.—The Secretary of
- 19 Health and Human Services, acting through the Adminis-
- 20 trator of the Centers for Medicare & Medicaid Services,
- 21 shall, with respect to each State that has participated in
- 22 the demonstration project established under section 2707 of
- 23 the Patient Protection and Affordable Care Act (42 U.S.C.
- 24 1396a note), collect from each such State information on
- 25 the following:

- (1) The number of institutions for mental diseases (as defined in section 1905(i) of the Social Security Act (42 U.S.C. 1396d(i))) and beds in such institutions that received payment for the provision of services to individuals who receive medical assistance under a State plan under the Medicaid program under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) (or under a waiver of such plan) through the demonstration project in each such State as compared to the total number of institutions for mental diseases and beds in the State.
  - (2) The extent to which there is a reduction in expenditures under the Medicaid program under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) or other spending on the full continuum of physical or mental health care for individuals who receive treatment in an institution for mental diseases under the demonstration project, including outpatient, inpatient, emergency, and ambulatory care, that is attributable to such individuals receiving treatment in institutions for mental diseases under the demonstration project.
  - (3) The number of forensic psychiatric hospitals, the number of beds in such hospitals, and the number of forensic psychiatric beds in other hospitals in such

- 1 State, based on the most recent data available, to the 2 extent practical, as determined by such Adminis-3 trator.
  - (4) The amount of any disproportionate share hospital payments under section 1923 of the Social Security Act (42 U.S.C. 1396r-4) that institutions for mental diseases in the State received during the period beginning on July 1, 2012, and ending on June 30, 2015, and the extent to which the demonstration project reduced the amount of such payments.
    - (5) The most recent data regarding all facilities or sites in the State in which any individuals with serious mental illness who are receiving medical assistance under a State plan under the Medicaid program under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) (or under a waiver of such plan) are treated during the period referred to in paragraph (4), to the extent practical, as determined by the Administrator, including—
      - (A) the types of such facilities or sites (such as an institution for mental diseases, a hospital emergency department, or other inpatient hospital);

1	(B) the average length of stay in such a fa-
2	cility or site by such an individual,
3	disaggregated by facility type; and
4	(C) the payment rate under the State plan
5	(or a waivers of such plan) for services furnished
6	to such an individual for that treatment,
7	disaggregated by facility type, during the period
8	in which the demonstration project is in oper-
9	ation.
10	(6) The extent to which the utilization of hos-
11	pital emergency departments during the period in
12	which the demonstration project was is in operation
13	differed, with respect to individuals who are receiving
14	medical assistance under a State plan under the Med-
15	icaid program under title XIX of the Social Security
16	Act (42 U.S.C. 1396 et seq.) (or under a waiver of
17	such plan), between—
18	(A) those individuals who received treat-
19	ment in an institution for mental diseases under
20	the demonstration project;
21	(B) those individuals who met the eligibility
22	requirements for the demonstration project but
23	who did not receive treatment in an institution
24	for mental diseases under the demonstration
25	project; and

1	(C) those individuals with serious mental
2	illness who did not meet such eligibility require-
3	ments and did not receive treatment for such ill-
4	ness in an institution for mental diseases.
5	(b) Report.—Not later than two years after the date
6	of the enactment of this Act, the Secretary of Health and
7	Human Services shall submit to Congress a report that
8	summarizes and analyzes the information collected under
9	subsection (a). Such report may be submitted as part of
10	the report required under section 2707(f) of the Patient Pro-
11	tection and Affordable Care Act (42 U.S.C. 1396a note) or
12	separately.
13	SEC. 206. PROVIDING FULL-RANGE OF EPSDT SERVICES TO
14	CHILDREN IN IMDS.
15	Section 1905(a)(16) of the Social Security Act (42
16	U.S.C. 1396d(a)(16)) is amended by inserting before the
17	semicolon at the end the following: ", and, effective January
18	1, 2019, the full-range of early and periodic screening, diag-
19	nostic, and treatment services (as defined in subsection (r))
20	for such individuals whether or not such screening, diag-
21	nostic, and treatment services are furnished by the provider
22	
	of inpatient psychiatric hospital services for individuals

1	SEC. 207. ELECTRONIC VISIT VERIFICATION SYSTEM RE-
2	QUIRED FOR PERSONAL CARE SERVICES AND
3	HOME HEALTH CARE SERVICES UNDER MED-
4	ICAID.
5	(a) In General.—Section 1903 of the Social Security
6	Act (42 U.S.C. 1396b) is amended by inserting after sub-
7	section (k) the following new subsection:
8	"(l)(1) Subject to paragraphs (3) and (4), with respect
9	to any amount expended for personal care services or home
10	health care services requiring an in-home visit by a pro-
11	vider that are provided under a State plan under this title
12	(or under a waiver of the plan) and furnished in a calendar
13	quarter beginning on or after January 1, 2019 (or, in the
14	case of home health care services, on or after January 1,
15	2023), unless a State requires the use of an electronic visit
16	verification system for such services furnished in such quar-
17	ter under the plan or such waiver, the Federal medical as-
18	sistance percentage shall be reduced—
19	"(A) in the case of personal care services—
20	"(i) for calendar quarters in 2019 and
21	2020, by .25 percentage points;
22	"(ii) for calendar quarters in 2021, by .5
23	percentage points;
24	"(iii) for calendar quarters in 2022, by .75
25	percentage points; and

1	"(iv) for calendar quarters in 2023 and
2	each year thereafter, by 1 percentage point; and
3	"(B) in the case of home health care services—
4	"(i) for calendar quarters in 2023 and
5	2024, by .25 percentage points;
6	"(ii) for calendar quarters in 2025, by .5
7	percentage points;
8	"(iii) for calendar quarters in 2026, by .75
9	percentage points; and
10	"(iv) for calendar quarters in 2027 and
11	each year thereafter, by 1 percentage point.
12	"(2) Subject to paragraphs (3) and (4), in imple-
13	menting the requirement for the use of an electronic visit
14	verification system under paragraph (1), a State shall—
15	"(A) consult with agencies and entities that pro-
16	vide personal care services, home health care services,
17	or both under the State plan (or under a waiver of
18	the plan) to ensure that such system—
19	"(i) is minimally burdensome;
20	"(ii) takes into account existing best prac-
21	tices and electronic visit verification systems in
22	use in the State; and
23	"(iii) is conducted in accordance with the
24	requirements of HIPAA privacy and security

1	law (as defined in section 3009 of the Public
2	Health Service Act);
3	"(B) take into account a stakeholder process that
4	includes input from beneficiaries, family caregivers,
5	personal care or home health care services workers,
6	and other stakeholders, as determined by the State in
7	accordance with guidance from the Secretary; and
8	"(C) ensure that individuals who furnish per-
9	sonal care services, home health care services, or both
10	under the State plan (or under a waiver of the plan)
11	are provided the opportunity for training on the use
12	of such system.
13	"(3) Paragraphs (1) and (2) shall not apply in the
14	case of a State that, as of the date of the enactment of this
15	subsection, requires the use of any system for the electronic
16	verification of visits conducted as part of both personal care
17	services and home health care services.
18	"(4)(A) In the case of a State described in subpara-
19	graph (B), the reduction under paragraph (1) shall not
20	apply—
21	"(i) in the case of personal care services, for cal-
22	endar quarters in 2019; and
23	"(ii) in the case of home health care services, for
24	calendar quarters in 2023.

1	"(B) For purposes of subparagraph (A), a State de-
2	scribed in this subparagraph is a State that demonstrates
3	to the Secretary that the State—
4	"(i) has made a good faith effort to comply with
5	the requirements of paragraphs (1) and (2) (includ-
6	ing by taking steps to adopt the technology used for
7	an electronic visit verification system); or
8	"(ii) in implementing such a system, has en-
9	countered unavoidable system delays.
10	"(5) In this subsection:
11	"(A) The term 'electronic visit verification sys-
12	tem' means, with respect to personal care services or
13	home health care services, a system under which visits
14	conducted as part of such services are electronically
15	verified with respect to—
16	"(i) the type of service performed;
17	"(ii) the individual receiving the service;
18	"(iii) the date of the service;
19	"(iv) the location of service delivery;
20	"(v) the individual providing the service;
21	and
22	"(vi) the time the service begins and ends.
23	"(B) The term 'home health care services' means
24	services described in section 1905(a)(7) provided

- 1 under a State plan under this title (or under a waiv-
- 2 er of the plan).
- 3 "(C) The term 'personal care services' means
- 4 personal care services provided under a State plan
- 5 under this title (or under a waiver of the plan), in-
- 6 cluding services provided under section 1905(a)(24),
- 7 1915(c), 1915(i), 1915(j), or 1915(k) or under a
- 8 wavier under section 1115.
- 9 "(6)(A) In the case in which a State requires personal
- 10 care service and home health care service providers to utilize
- 11 an electronic visit verification system operated by the State
- 12 or a contractor on behalf of the State, the Secretary shall
- 13 pay to the State, for each quarter, an amount equal to 90
- 14 per centum of so much of the sums expended during such
- 15 quarter as are attributable to the design, development, or
- 16 installation of such system, and 75 per centum of so much
- 17 of the sums for the operation and maintenance of such sys-
- 18 *tem*.
- 19 "(B) Subparagraph (A) shall not apply in the case
- 20 in which a State requires personal care service and home
- 21 health care service providers to utilize an electronic visit
- 22 verification system that is not operated by the State or a
- 23 contractor on behalf of the State.".
- 24 (b) Collection and Dissemination of Best Prac-
- 25 TICES.—Not later than January 1, 2018, the Secretary of

- 1 Health and Human Services shall, with respect to electronic
- 2 visit verification systems (as defined in subsection (l)(5) of
- 3 section 1903 of the Social Security Act (42 U.S.C. 1396b),
- 4 as inserted by subsection (a)), collect and disseminate best
- 5 practices to State Medicaid Directors with respect to—
- 6 (1) training individuals who furnish personal 7 care services, home health care services, or both under 8 the State plan under title XIX of such Act (or under 9 a waiver of the plan) on such systems and the oper-10 ation of such systems and the prevention of fraud 11 with respect to the provision of personal care services 12 or home health care services (as defined in such sub-13 section (1)(5)); and
  - (2) the provision of notice and educational materials to family caregivers and beneficiaries with respect to the use of such electronic visit verification systems and other means to prevent such fraud.

## (c) Rules of Construction.—

(1) No employer-employee relationship established.—Nothing in the amendment made by this section may be construed as establishing an employer-employee relationship between the agency or entity that provides for personal care services or home health care services and the individuals who, under a contract with such an agency or entity, furnish such

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- 1 services for purposes of part 552 of title 29, Code of 2 Federal Regulations (or any successor regulations).
- 3 (2) No particular or uniform electronic 4 VISIT VERIFICATION SYSTEM REQUIRED.—Nothing in 5 the amendment made by this section shall be con-6 strued to require the use of a particular or uniform 7 electronic visit verification system (as defined in sub-8 section (l)(5) of section 1903 of the Social Security 9 Act (42 U.S.C. 1396b), as inserted by subsection (a)) 10 by all agencies or entities that provide personal care services or home health care under a State plan under 12 title XIX of the Social Security Act (or under a waiver of the plan) (42 U.S.C. 1396 et seq.). 13
  - (3) NO LIMITS ON PROVISION OF CARE.—Nothing in the amendment made by this section may be construed to limit, with respect to personal care services or home health care services provided under a State plan under title XIX of the Social Security Act (or under a waiver of the plan) (42 U.S.C. 1396 et seq.), provider selection, constrain beneficiaries' selection of a caregiver, or impede the manner in which care is delivered.
  - (4) No prohibition on state quality meas-URES REQUIREMENTS.—Nothing in the amendment made by this section shall be construed as prohibiting

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1	a State, in implementing an electronic visi
2	verification system (as defined in subsection (l)(5) of
3	section 1903 of the Social Security Act (42 U.S.C
4	1396b), as inserted by subsection (a)), from estab
5	lishing requirements related to quality measures fo
6	such system.
7	TITLE III—INTERDEPART
8	MENTAL SERIOUS MENTAL
9	ILLNESS COORDINATING
10	COMMITTEE
11	SEC. 301. INTERDEPARTMENTAL SERIOUS MENTAL ILLNES
12	COORDINATING COMMITTEE.
13	(a) Establishment.—
14	(1) In general.—Not later than 3 months after
15	the date of enactment of this Act, the Secretary of
16	Health and Human Services, or the designee of th
17	Secretary, shall establish a committee to be known a
18	the "Interdepartmental Serious Mental Illness Coordi
19	nating Committee" (in this section referred to as th
20	"Committee").
21	(2) Federal advisory committee act.—Ex
22	cept as provided in this section, the provisions of th
23	Federal Advisory Committee Act (5 U.S.C. App.
24	shall apply to the Committee.

1	(b) Meetings.—The Committee shall meet not fewer
2	than 2 times each year.
3	(c) Responsibilities.—Not later than 1 year after
4	the date of enactment of this Act, and 5 years after such
5	date of enactment, the Committee shall submit to Congress
6	a report including—
7	(1) a summary of advances in serious mental ill-
8	ness and serious emotional disturbance research re-
9	lated to the prevention of, diagnosis of, intervention
10	in, and treatment and recovery of, serious mental ill-
11	nesses, serious emotional disturbances, and advances
12	in access to services and support for individuals with
13	a serious mental illness or serious emotional disturb-
14	ance;
15	(2) an evaluation of the effect on public health
16	of Federal programs related to serious mental illness
17	or serious emotional disturbance, including measure-
18	ments of public health outcomes such as—
19	(A) rates of suicide, suicide attempts, preva-
20	lence of serious mental illness, serious emotional
21	disturbances, and substance use disorders, over-
22	dose, overdose deaths, emergency hospitalizations,
23	emergency room boarding, preventable emergency

room visits, involvement with the criminal jus-

1	tice system, crime, homelessness, and unemploy-
2	ment;
3	(B) increased rates of employment and en-
4	rollment in educational and vocational pro-
5	grams;
6	(C) quality of mental and substance use dis-
7	order treatment services; and
8	(D) any other criteria as may be deter-
9	mined by the Secretary;
10	(3) a plan to improve outcomes for individuals
11	with serious mental illness or serious emotional dis-
12	turbances, including reducing incarceration for such
13	individuals, reducing homelessness, and increasing
14	employment; and
15	(4) specific recommendations for actions that
16	agencies can take to better coordinate the administra-
17	tion of mental health services for people with serious
18	mental illness or serious emotional disturbances.
19	(d) Committee Extension.—Upon the submission of
20	the second report under subsection (c), the Secretary shall
21	submit a recommendation to Congress on whether to extend
22	the operation of the Committee.
23	(e) Membership.—

1	(1) FEDERAL MEMBERS.—The Committee shall
2	be composed of the following Federal representatives,
3	or their designees:
4	(A) The Secretary of Health and Human
5	Services, who shall serve as the Chair of the
6	Committee.
7	(B) The Director of the National Institutes
8	$of\ Health.$
9	(C) The Assistant Secretary for Health of
10	the Department of Health and Human Services.
11	(D) The Assistant Secretary for Mental
12	Health and Substance Use.
13	(E) The Attorney General of the United
14	States.
15	(F) The Secretary of Veterans Affairs.
16	(G) The Secretary of Defense.
17	(H) The Secretary of Housing and Urban
18	Development.
19	(I) The Secretary of Education.
20	(J) The Secretary of Labor.
21	(K) The Commissioner of Social Security.
22	(L) The Administrator of the Centers for
23	Medicare & Medicaid Services.
24	(2) Non-federal members.—The Committee
25	shall also include not less than 14 non-Federal public

1	members appointed by the Secretary of Health and
2	Human Services, of which—
3	(A) at least 2 members shall be individuals
4	with lived experience with serious mental illness
5	or serious emotional disturbance;
6	(B) at least 1 member shall be a parent or
7	legal guardian of an individual with a history
8	of a serious mental illness or serious emotional
9	disturbance;
10	(C) at least 1 member shall be a representa-
11	tive of a leading research, advocacy, or service
12	organization for individuals with serious mental
13	illness or serious emotional disturbance;
14	(D) at least 2 members shall be—
15	(i) a licensed psychiatrist with experi-
16	ence treating serious mental illnesses or se-
17	rious emotional disturbances;
18	(ii) a licensed psychologist with experi-
19	ence treating serious mental illnesses or se-
20	$rious\ emotional\ disturbances;$
21	(iii) a licensed clinical social worker
22	with experience treating serious mental ill-
23	ness or serious emotional disturbances; or
24	(iv) a licensed psychiatric nurse, nurse
25	practitioner, or physician assistant with ex-

1	perience treating serious mental illnesses or
2	serious emotional disturbances;
3	(E) at least 1 member shall be a licensed
4	mental health professional with a specialty in
5	treating children and adolescents with serious
6	$emotional\ disturbances;$
7	(F) at least 1 member shall be a mental
8	health professional who has research or clinical
9	mental health experience working with minori-
10	ties;
11	(G) at least 1 member shall be a mental
12	health professional who has research or clinical
13	mental health experience working with medically
14	underserved populations;
15	(H) at least 1 member shall be a State cer-
16	tified mental health peer specialist;
17	(I) at least 1 member shall be a judge with
18	experience adjudicating cases within a mental
19	health court;
20	(J) at least 1 member shall be a law en-
21	forcement officer or corrections officer with exten-
22	sive experience in interfacing with individuals
23	with a serious mental illness or serious emo-
24	tional disturbance, or in a mental health crisis;
25	and

1	(K) at least 1 member shall be a homeless
2	services provider with experience working with
3	individuals with serious mental illness, with se
4	rious emotional disturbance, or having menta
5	health crisis.
6	(3) Terms.—A member of the Committee ap-
7	pointed under paragraph (2) shall serve for a term of
8	3 years, and may be reappointed for one or more ad-
9	ditional 3-year terms. Any member appointed to fil
10	a vacancy for an unexpired term shall be appointed
11	for the remainder of such term. A member may serve
12	after the expiration of the member's term until a suc-
13	cessor has been appointed.
14	(f) Working Groups.—In carrying out its functions
15	the Committee may establish working groups. Such working
16	groups shall be composed of Committee members, or their
17	designees, and may hold such meetings as are necessary
18	(g) Sunset.—The Committee shall terminate on the
19	date that is 6 years after the date on which the Committee
20	is established under subsection $(a)(1)$ .
21	TITLE IV—COMPASSIONATE
22	COMMUNICATION ON HIPAA

- 23 SEC. 401. SENSE OF CONGRESS.
- 24 (a) Findings.—Congress finds the following:

- (1) The vast majority of individuals with mental illness are capable of understanding their illness and caring for themselves.
- (2) Persons with serious mental illness (in this section referred to as "SMI"), including schizophrenia spectrum, bipolar disorders, and major depressive disorder, may be significantly impaired in their ability to understand or make sound decisions for their care and needs. By nature of their illness, cognitive impairments in reasoning and judgment, as well as the presence of hallucinations, delusions, and severe emotional distortions, they may lack the awareness they even have a mental illness (a condition known as anosognosia), and thus may be unable to make sound decisions regarding their care, nor follow through consistently and effectively on their care needs.
  - (3) Persons with mental illness or SMI may require and benefit from mental health treatment in order to recover to the fullest extent of their ability; these beneficial interventions may include psychiatric care, psychological care, medication, peer support, educational support, employment support, and housing support.
  - (4) Persons with SMI who are provided with professional and supportive services may still experi-

ence times when their symptoms may greatly impair their abilities to make sound decisions for their personal care or may discontinue their care as a result of this impaired decisionmaking resulting in a further deterioration of their condition. They may experience a temporary or prolonged impairment as a result of their diminished capacity to care for themselves.

(5) Episodes of psychiatric crises among those with SMI can result in neurological harm to the individual's brain.

### (6) Persons with SMI—

- (A) are at high risk for other chronic physical illnesses, with approximately 50 percent having two or more co-occurring chronic physical illnesses such as cardiac, pulmonary, cancer, and endocrine disorders; and
- (B) have three times the odds of having chronic bronchitis, five times the odds of having emphysema, and four times the odds of having COPD, are more than four times as likely to have fluid and electrolyte disorders, and are nearly three times as likely to be nicotine dependent.

- (7) Some psychotropic medications, such as second generation antipsychotics, significantly increase risk for chronic illnesses such as diabetes and cardiovascular disease.
  - (8) When the individual fails to seek or maintain treatment for these physical conditions over a long term, it can result in the individual becoming gravely disabled, or developing life-threatening illnesses. Early and consistent treatment can ameliorate or reduce symptoms or cure the disease.
  - (9) Persons with SMI die 7 to 24 years earlier than their age cohorts primarily because of complications from their chronic physical illness and failure to seek or maintain treatment resulting from emotional and cognitive impairments from their SMI.
  - (10) It is beneficial to the person with SMI and chronic illness to seek and maintain continuity of medical care and treatment for their mental illness to prevent further deterioration and harm to their own safety.
  - (11) When the individual with SMI is significantly diminished in their capacity to care for themselves long term or acutely, other supportive interventions to assist their care may be necessary to protect their health and safety.

- (12) Prognosis for the physical and psychiatric health of those with SMI may improve when responsible caregivers facilitate and participate in care.
  - (13) When an individual with SMI is chronically incapacitated in their ability to care for themselves, caregivers can pursue legal guardianship to facilitate care in appropriate areas while being mindful to allow the individual to make decisions for themselves in areas where they are capable.
  - (14) Individuals with SMI who have prolonged periods of being significantly functional can, during such periods, design and sign an advanced directive to predefine and choose medications, providers, treatment plans, and hospitals, and provide caregivers with guardianship the ability to help in those times when a patient's psychiatric symptoms worsen to the point of making them incapacitated or leaving them with a severely diminished capacity to make informed decisions about their care which may result in harm to their physical and mental health.
  - (15) All professional and support efforts should be made to help the individual with SMI and acute or chronic physical illnesses to understand and follow through on treatment.

(16) When individuals with SMI, even after ef-

- forts to help them understand, have failed to care for themselves, there exists confusion in the health care community around what is currently permissible under HIPAA rules. This confusion may hinder communication with responsible caregivers who may be able to facilitate care for the patient with SMI in in-
- 8 stances when the individual does not give permission
- 9 for disclosure.

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- 10 (b) Sense of Congress.—It is the sense of the Con-
- 11 gress that, for the sake of the health and safety of persons
- 12 with serious mental illness, more clarity is needed sur-
- 13 rounding the existing HIPAA privacy rule promulgated
- 14 pursuant to section 264(c) of the Health Insurance Port-
- 15 ability and Accountability Act (42 U.S.C. 1320d-2 note)
- 16 to permit health care professionals to communicate, when
- 17 necessary, with responsible known caregivers of such per-
- 18 sons, the limited, appropriate protected health information
- 19 of such persons in order to facilitate treatment, but not in-
- $20 \ \ cluding \ psychotherapy \ notes.$

### 21 SEC. 402. CONFIDENTIALITY OF RECORDS.

- Not later than one year after the date on which the
- 23 Secretary of Health and Human Services first finalizes reg-
- 24 ulations updating part 2 of title 42, Code of Federal Regu-
- 25 lations (relating to confidentiality of alcohol and drug

- abuse patient records) after the date of enactment of this Act, the Secretary shall convene relevant stakeholders to de-3 termine the effect of such regulations on patient care, health 4 outcomes, and patient privacy. The Secretary shall submit to the Committee on Energy and Commerce of the House 5 of Representatives and the Committee on Health, Edu-6 cation, Labor, and Pensions of the Senate, and make pub-8 licly available, a report on the findings of such stakeholders. 9 SEC. 403. CLARIFICATION OF CIRCUMSTANCES UNDER 10 WHICH DISCLOSURE OF PROTECTED HEALTH 11 INFORMATION IS PERMITTED. 12 (a) In General.—Not later than one year after the 13 date of enactment of this section, the Secretary of Health and Human Services shall promulgate final regulations 14 15 clarifying the circumstances under which, consistent with the provisions of subpart C of title XI of the Social Security 16 Act (42 U.S.C. 1320d et seq.) and regulations promulgated pursuant to section 264(c) of the Health Insurance Port-18 19 ability and Accountability Act of 1996 (42 U.S.C. 1320d-2 note), a health care provider or covered entity may dis-20 21 close the protected health information of a patient with a 22 mental illness, including for purposes of— 23 (1) communicating (including with respect to
- 23 (1) communicating (including with respect to 24 treatment, side effects, risk factors, and the avail-25 ability of community resources) with a family mem-

1	ber of such patient, caregiver of such patient, or other
2	individual to the extent that such family member,
3	caregiver, or individual is involved in the care of the
4	patient;
5	(2) communicating with a family member of the
6	patient, caregiver of such patient, or other individual
7	involved in the care of the patient in the case that the
8	patient is an adult;
9	(3) communicating with the parent or caregiver
10	of a patient in the case that the patient is a minor;
11	(4) considering the patient's capacity to agree or
12	object to the sharing of the protected health informa-
13	tion of the patient;
14	(5) communicating and sharing information
15	with the family or caregivers of the patient when—
16	(A) the patient consents;
17	(B) the patient does not consent, but the pa-
18	tient lacks the capacity to agree or object and the
19	communication or sharing of information is in
20	the patient's best interest;
21	(C) the patient does not consent and the pa-
22	tient is not incapacitated or in an emergency
23	circumstance, but the ability of the patient to
24	make rational health care decisions is signifi-

- 1 cantly diminished by reason of the physical or 2 mental health condition of the patient; and
  - (D) the patient does not consent, but such communication and sharing of information is necessary to prevent impending and serious deterioration of the patient's mental or physical health;
  - (6) involving a patient's family members, caregivers, or others involved in the patient's care or care plan, including facilitating treatment and medication adherence, in dealing with patient failures to adhere to medication or other therapy;
  - (7) listening to or receiving information with respect to the patient from the family or caregiver of such patient receiving mental illness treatment;
  - (8) communicating with family members of the patient, caregivers of the patient, law enforcement, or others when the patient presents a serious and imminent threat of harm to self or others; and
  - (9) communicating to law enforcement and family members of the patient or caregivers of the patient about the admission of the patient to receive care at a facility or the release of a patient who was admitted to a facility for an emergency psychiatric hold or involuntary treatment.

1 (b) Coordination.—The Secretary of Health and Human Services shall carry out this section in coordination with the Director of the Office for Civil Rights within the Department of Health and Human Services. 5 (c) Consistency With Guidance.—The Secretary of Health and Human Services shall ensure that the regulations under this section are consistent with the guidance 8 entitled "HIPAA Privacy Rule and Sharing Information Related to Mental Health", issued by the Department of Health and Human Services on February 20, 2014. 10 SEC. 404. DEVELOPMENT AND DISSEMINATION OF MODEL 12 TRAINING PROGRAMS. 13 (a) Initial Programs and Materials.—Not later than one year after the date of the enactment of this Act, 14 15 the Secretary of Health and Human Services (in this section referred to as the "Secretary") shall develop and dis-17 seminate— 18 (1) a model program and materials for training 19 health care providers (including physicians, emer-20 gency medical personnel, psychologists, counselors, 21 therapists, behavioral health facilities and clinics, 22 care managers, and hospitals) regarding the cir-23 cumstances under which, consistent with the stand-

ards governing the privacy and security of individ-

ually identifiable health information promulgated by

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1 the Secretary under subpart C of title XI of the Social 2 Security Act (42 U.S.C. 1320d et seg.) and regulations promulgated pursuant to section 264(c) of the 3 Health Insurance Portability and Accountability Act of 1996 (42 U.S.C. 1320d-2 note), the protected 5 6 health information of patients with a mental illness 7 may be disclosed with and without patient consent: 8 (2) a model program and materials for training 9 lawyers and others in the legal profession on such cir-10 cumstances; and 11 (3) a model program and materials for training 12 patients and their families regarding their rights to 13 protect and obtain information under the standards 14 specified in paragraph (1). 15 (b) Periodic Updates.—The Secretary shall— 16 (1) periodically review and update the model 17 programs and materials developed under subsection 18 (a); and 19 (2) disseminate the updated model programs and 20 materials. 21 (c) Contents.—The programs and materials developed under subsection (a) shall address the guidance entitled "HIPAA Privacy Rule and Sharing Information Re-

lated to Mental Health", issued by the Department of

Health and Human Services on February 20, 2014.

- 1 (d) Coordination.—The Secretary shall carry out
- 2 this section in coordination with the Director of the Office
- 3 for Civil Rights within the Department of Health and
- 4 Human Services, the Assistant Secretary for Mental Health
- 5 and Substance Use, the Administrator of the Health Re-
- 6 sources and Services Administration, and the heads of other
- 7 relevant agencies within the Department of Health and
- 8 Human Services.
- 9 (e) Input of Certain Entities.—In developing the
- 10 model programs and materials required by subsections (a)
- 11 and (b), the Secretary shall solicit the input of relevant na-
- 12 tional, State, and local associations, medical societies, and
- 13 licensing boards.
- 14 (f) Funding.—There are authorized to be appro-
- 15 priated to carry out this section \$4,000,000 for fiscal year
- 16 2018, \$2,000,000 for each of fiscal years 2019 and 2020,
- 17 and \$1,000,000 for each of fiscal years 2021 and 2022.

1	TITLE V—INCREASING ACCESS
2	TO TREATMENT FOR SERIOUS
3	MENTAL ILLNESS
4	SEC. 501. ASSERTIVE COMMUNITY TREATMENT GRANT PRO-
5	GRAM FOR INDIVIDUALS WITH SERIOUS MEN-
6	TAL ILLNESS.
7	Part B of title V of the Public Health Service Act (42
8	U.S.C. 290bb et seq.) is amended by inserting after section
9	520L the following:
10	"SEC. 520M. ASSERTIVE COMMUNITY TREATMENT GRANT
11	PROGRAM FOR INDIVIDUALS WITH SERIOUS
12	MENTAL ILLNESS.
13	"(a) In General.—The Assistant Secretary shall
14	award grants to eligible entities—
15	"(1) to establish assertive community treatment
16	programs for individuals with serious mental illness;
17	or
18	"(2) to maintain or expand such programs.
19	"(b) Eligible Entities.—To be eligible to receive a
20	grant under this section, an entity shall be a State, county,
21	city, tribe, tribal organization, mental health system, health
22	care facility, or any other entity the Assistant Secretary
23	deems appropriate.
24	"(c) Special Consideration.—In selecting among
25	applicants for a grant under this section, the Assistant Sec-

1	retary may give special consideration to the potential of
2	the applicant's program to reduce hospitalization, homeless-
3	ness, and involvement with the criminal justice system
4	while improving the health and social outcomes of the pa-
5	tient.
6	"(d) Additional Activities.—The Assistant Sec-
7	retary shall—
8	"(1) not later than the end of fiscal year 2021,
9	submit a report to the appropriate congressional com-
10	mittees on the grant program under this section, in-
11	cluding an evaluation of—
12	"(A) cost savings and public health out-
13	comes such as mortality, suicide, substance
14	abuse, hospitalization, and use of services;
15	"(B) rates of involvement with the criminal
16	justice system of patients;
17	"(C) rates of homelessness among patients;
18	and
19	"(D) patient and family satisfaction with
20	program participation; and
21	"(2) provide appropriate information, training,
22	and technical assistance to grant recipients under this
23	section to help such recipients to establish, maintain,
24	or expand their assertive community treatment pro-
25	grams.

1	"(e) Authorization of Appropriations.—
2	"(1) In general.—To carry out this section,
3	there is authorized to be appropriated \$5,000,000 for
4	the period of fiscal years 2018 through 2022.
5	"(2) Use of certain funds.—Of the funds ap-
6	propriated to carry out this section in any fiscal
7	year, no more than 5 percent shall be available to the
8	Assistant Secretary for carrying out subsection (d).".
9	SEC. 502. STRENGTHENING COMMUNITY CRISIS RESPONSE
10	SYSTEMS.
11	Section 520F of the Public Health Service Act (42
12	U.S.C. 290bb–37) is amended to read as follows:
	U.S.C. 290bb-37) is amended to read as follows:  "SEC. 520F. STRENGTHENING COMMUNITY CRISIS RE-
13	,
13 14	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RE-
13 14 15	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RE- SPONSE SYSTEMS.
13 14 15 16	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) IN GENERAL.—The Secretary shall award com-
13 14 15 16	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) In General.—The Secretary shall award competitive grants—
113 114 115 116 117	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) In General.—The Secretary shall award competitive grants—  "(1) to State and local governments and Indian
12 13 14 15 16 17 18 19 20	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) In General.—The Secretary shall award competitive grants—  "(1) to State and local governments and Indian tribes and tribal organizations to enhance communications."
13 14 15 16 17 18	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) IN GENERAL.—The Secretary shall award competitive grants—  "(1) to State and local governments and Indian tribes and tribal organizations to enhance community-based crisis response systems; or
13 14 15 16 17 18 19 20	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) IN GENERAL.—The Secretary shall award competitive grants—  "(1) to State and local governments and Indian tribes and tribal organizations to enhance community-based crisis response systems; or  "(2) to States to develop, maintain, or enhance
13 14 15 16 17 18 19 20 21	"SEC. 520F. STRENGTHENING COMMUNITY CRISIS RESPONSE SYSTEMS.  "(a) IN GENERAL.—The Secretary shall award competitive grants—  "(1) to State and local governments and Indian tribes and tribal organizations to enhance community-based crisis response systems; or  "(2) to States to develop, maintain, or enhance a database of beds at inpatient psychiatric facilities,

1	tal illness, serious emotional disturbance, or substance
2	use disorders.
3	"(b) Application.—
4	"(1) In general.—To receive a grant or cooper-
5	ative agreement under subsection (a), an entity shall
6	submit to the Secretary an application, at such time,
7	in such manner, and containing such information as
8	the Secretary may require.
9	"(2) Community-based crisis response
10	PLAN.—An application for a grant under subsection
11	(a)(1) shall include a plan for—
12	"(A) promoting integration and coordina-
13	tion between local public and private entities en-
14	gaged in crisis response, including first respond-
15	ers, emergency health care providers, primary
16	care providers, law enforcement, court systems,
17	health care payers, social service providers, and
18	behavioral health providers;
19	"(B) developing a plan for entering into
20	memoranda of understanding with public and
21	private entities to implement crisis response
22	services;
23	"(C) expanding the continuum of commu-
24	nity-based services to address crisis intervention
25	and prevention; and

1	"(D) developing models for minimizing hos-
2	pital readmissions, including through appro-
3	priate discharge planning.
4	"(3) Beds database plan.—An application for
5	a grant under subsection (a)(2) shall include a plan
6	for developing, maintaining, or enhancing a real-time
7	Internet-based bed database to collect, aggregate, and
8	display information about beds in inpatient psy-
9	chiatric facilities and crisis stabilization units, and
10	residential community mental health and residential
11	substance use disorder treatment facilities, to facili-
12	tate the identification and designation of facilities for
13	the temporary treatment of individuals in mental or
14	substance use disorder crisis.
15	"(c) Database Requirements.—A bed database de-
16	scribed in this section is a database that—
17	"(1) includes information on inpatient psy-
18	chiatric facilities, crisis stabilization units, and resi-
19	dential community mental health and residential sub-
20	stance use disorder facilities in the State involved, in-
21	cluding contact information for the facility or unit;
22	"(2) provides real-time information about the
23	number of beds available at each facility or unit and,
24	for each available bed, the type of patient that may

be admitted, the level of security provided, and any

1	other information that may be necessary to allow for
2	the proper identification of appropriate facilities for
3	treatment of individuals in mental or substance use
4	disorder crisis; and
5	"(3) enables searches of the database to identify
6	available beds that are appropriate for the treatment
7	of individuals in mental or substance use disorder
8	crisis.
9	"(d) Evaluation.—An entity receiving a grant under
10	subsection (a)(1) shall submit to the Secretary, at such time,
11	in such manner, and containing such information as the
12	Secretary may reasonably require, a report, including an
13	evaluation of the effect of such grant on—
14	"(1) local crisis response services and measures
15	of individuals receiving crisis planning and early
16	$intervention\ supports;$
17	"(2) individuals reporting improved functional
18	outcomes; and
19	"(3) individuals receiving regular followup care
20	following a crisis.
21	"(e) Authorization of Appropriations.—There is
22	authorized to be appropriated to carry out this section,
23	\$5,000,000 for the period of fiscal years 2018 through
24	2022.".

1	SEC. 503. INCREASED AND EXTENDED FUNDING FOR AS-
2	SISTED OUTPATIENT GRANT PROGRAM FOR
3	INDIVIDUALS WITH SERIOUS MENTAL ILL-
4	NESS.
5	Section $224(g)$ of the Protecting Access to Medicare Act
6	of 2014 (42 U.S.C. 290aa note) is amended—
7	(1) in paragraph (1), by striking "2018" and in-
8	serting "2022"; and
9	(2) in paragraph (2), by striking "is authorized
10	to be appropriated to carry out this section
11	\$15,000,000 for each of fiscal years 2015 through
12	2018" and inserting "are authorized to be appro-
13	priated to carry out this section \$15,000,000 for each
14	of fiscal years 2015 through 2017, \$20,000,000 for fis-
15	cal year 2018, \$19,000,000 for each of fiscal years
16	2019 and 2020, and \$18,000,000 for each of fiscal
17	years 2021 and 2022".
18	SEC. 504. LIABILITY PROTECTIONS FOR HEALTH PROFES-
19	SIONAL VOLUNTEERS AT COMMUNITY
20	HEALTH CENTERS.
21	Section 224 of the Public Health Service Act (42
22	U.S.C. 233) is amended by adding at the end the following:
23	" $(q)(1)$ For purposes of this section, a health profes-
24	$sional\ volunteer\ at\ an\ entity\ described\ in\ subsection\ (g)(4)$
25	shall, in providing a health professional service eligible for
26	funding under section 330 to an individual, be deemed to

- 1 be an employee of the Public Health Service for a calendar
- 2 year that begins during a fiscal year for which a transfer
- 3 was made under paragraph (4)(C). The preceding sentence
- 4 is subject to the provisions of this subsection.
- 5 "(2) In providing a health service to an individual,
- 6 a health care practitioner shall for purposes of this sub-
- 7 section be considered to be a health professional volunteer
- 8 at an entity described in subsection (g)(4) if the following
- 9 conditions are met:

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- "(A) The service is provided to the individual at the facilities of an entity described in subsection (g)(4), or through offsite programs or events carried out by the entity.
- 14 "(B) The entity is sponsoring the health care 15 practitioner pursuant to paragraph (3)(B).
  - "(C) The health care practitioner does not receive any compensation for the service from the individual or from any third-party payer (including reimbursement under any insurance policy or health plan, or under any Federal or State health benefits program), except that the health care practitioner may receive repayment from the entity described in subsection (g)(4) for reasonable expenses incurred by the health care practitioner in the provision of the service to the individual.

- "(D) Before the service is provided, the health
  care practitioner or the entity described in subsection
  (g)(4) posts a clear and conspicuous notice at the site
  where the service is provided of the extent to which the
  legal liability of the health care practitioner is limited pursuant to this subsection.
- 7 "(E) At the time the service is provided, the 8 health care practitioner is licensed or certified in ac-9 cordance with applicable law regarding the provision 10 of the service.
- "(3) Subsection (g) (other than paragraphs (3) and (2) (5)) and subsections (h), (i), and (l) apply to a health care practitioner for purposes of this subsection to the same extent and in the same manner as such subsections apply to an officer, governing board member, employee, or contractor of an entity described in subsection (g)(4), subject to paragraph (4) and subject to the following:
- 18 "(A) The first sentence of paragraph (1) applies 19 in lieu of the first sentence of subsection (g)(1)(A).
- 20 "(B) With respect to an entity described in sub-21 section (g)(4), a health care practitioner is not a 22 health professional volunteer at such entity unless the 23 entity sponsors the health care practitioner. For pur-24 poses of this subsection, the entity shall be considered 25 to be sponsoring the health care practitioner if—

1	"(i) with respect to the health care practi-
2	tioner, the entity submits to the Secretary an ap-
3	plication meeting the requirements of subsection
4	(g)(1)(D); and
5	"(ii) the Secretary pursuant to subsection

- "(ii) the Secretary, pursuant to subsection (g)(1)(E), determines that the health care practitioner is deemed to be an employee of the Public Health Service.
- "(C) In the case of a health care practitioner who is determined by the Secretary pursuant to subsection (g)(1)(E) to be a health professional volunteer at such entity, this subsection applies to the health care practitioner (with respect to services performed on behalf of the entity sponsoring the health care practitioner pursuant to subparagraph (B)) for any cause of action arising from an act or omission of the health care practitioner occurring on or after the date on which the Secretary makes such determination.
- "(D) Subsection (g)(1)(F) applies to a health care practitioner for purposes of this subsection only to the extent that, in providing health services to an individual, each of the conditions specified in paragraph (2) is met.

- 1 "(4)(A) Amounts in the fund established under sub-
- 2 section (k)(2) shall be available for transfer under subpara-
- 3 graph (C) for purposes of carrying out this subsection.
- 4 "(B) Not later May 1 of each fiscal year, the Attorney
- 5 General, in consultation with the Secretary, shall submit
- 6 to the Congress a report providing an estimate of the
- 7 amount of claims (together with related fees and expenses
- 8 of witnesses) that, by reason of the acts or omissions of
- 9 health professional volunteers, will be paid pursuant to this
- 10 section during the calendar year that begins in the following
- 11 fiscal year. Subsection (k)(1)(B) applies to the estimate
- 12 under the preceding sentence regarding health professional
- 13 volunteers to the same extent and in the same manner as
- 14 such subsection applies to the estimate under such sub-
- 15 section regarding officers, governing board members, em-
- 16 ployees, and contractors of entities described in subsection
- 17 (g)(4).
- 18 "(C) Not later than December 31 of each fiscal year,
- 19 the Secretary shall transfer from the fund under subsection
- 20 (k)(2) to the appropriate accounts in the Treasury an
- 21 amount equal to the estimate made under subparagraph (B)
- 22 for the calendar year beginning in such fiscal year, subject
- 23 to the extent of amounts in the fund.
- 24 "(5)(A) This subsection takes effect on October 1, 2017,
- 25 except as provided in subparagraph (B).

1	"(B) Effective on the date of the enactment of this sub-
2	section—
3	"(i) the Secretary may issue regulations for car-
4	rying out this subsection, and the Secretary may ac-
5	cept and consider applications submitted pursuant to
6	paragraph (3)(B); and
7	"(ii) reports under paragraph (4)(B) may be
8	submitted to the Congress.".
9	TITLE VI—SUPPORTING INNOVA-
10	TIVE AND EVIDENCE-BASED
11	<b>PROGRAMS</b>
12	Subtitle A—Encouraging the Ad-
13	vancement, Incorporation, and
14	Development of Evidence-Based
15	Practices
16	SEC. 601. ENCOURAGING INNOVATION AND EVIDENCE-
17	BASED PROGRAMS.
18	Section 501B of the Public Health Service Act, as in-
19	serted by section 103, is further amended, by inserting after
20	subsection (c) the following new subsection:
21	"(d) Promoting Innovation.—
22	"(1) In General.—The Assistant Secretary, in
23	coordination with the Laboratory, may award grants
24	to States, local governments, Indian tribes or tribal
25	organizations (as such terms are defined in section 4

1	of the Indian Self-Determination and Education As-
2	sistance Act), educational institutions, and nonprofit
3	organizations to develop evidence-based interventions,
4	including culturally and linguistically appropriate
5	services, as appropriate, for—
6	"(A) evaluating a model that has been sci-
7	entifically demonstrated to show promise, but
8	would benefit from further applied development,
9	for—
10	"(i) enhancing the prevention, diag-
11	nosis, intervention, treatment, and recovery
12	of mental illness, serious emotional disturb-
13	ance, substance use disorders, and co-occur-
14	ring disorders; or
15	"(ii) integrating or coordinating phys-
16	ical health services and mental and sub-
17	stance use disorder services; and
18	"(B) expanding, replicating, or scaling evi-
19	dence-based programs across a wider area to en-
20	hance effective screening, early diagnosis, inter-
21	vention, and treatment with respect to mental
22	illness, serious mental illness, and serious emo-
23	tional disturbance, primarily by—

1	"(i) applying delivery of care, includ-
2	ing training staff in effective evidence-based
3	$treatment;\ or$
4	"(ii) integrating models of care across
5	specialties and jurisdictions.
6	"(2) Consultation.—In awarding grants under
7	this paragraph, the Assistant Secretary shall, as ap-
8	propriate, consult with the advisory councils described
9	in section 502, the National Institute of Mental
10	Health, the National Institute on Drug Abuse, and
11	the National Institute on Alcohol Abuse and Alco-
12	holism, as appropriate.
13	"(3) Authorization of Appropriations.—
14	There are authorized to be appropriated—
15	"(A) to carry out paragraph (1)(A),
16	\$7,000,000 for the period of fiscal years 2018
17	through 2020; and
18	"(B) to carry out paragraph $(1)(B)$ ,
19	\$7,000,000 for the period of fiscal years 2018
20	through 2020.".
21	SEC. 602. PROMOTING ACCESS TO INFORMATION ON EVI-
22	DENCE-BASED PROGRAMS AND PRACTICES.
23	Part D of title V of the Public Health Service Act is
24	amended by inserting after section 543 of such Act (42
25	$U.S.C.\ 290dd$ –2) the following:

1	"SEC. 544. PROMOTING ACCESS TO INFORMATION ON EVI-
2	DENCE-BASED PROGRAMS AND PRACTICES.
3	"(a) In General.—The Assistant Secretary shall im-
4	prove access to reliable and valid information on evidence-
5	based programs and practices, including information on the
6	strength of evidence associated with such programs and
7	practices, related to mental and substance use disorders for
8	States, local communities, nonprofit entities, and other
9	stakeholders by posting on the website of the National Reg-
10	istry of Evidence-Based Programs and Practices evidence-
11	based programs and practices that have been reviewed by
12	the Assistant Secretary pursuant to the requirements of this
13	section.
14	"(b) Notice.—
15	"(1) Periods.—In carrying out subsection (a),
16	the Assistant Secretary may establish an initial pe-
17	riod for the submission of applications for evidence-
18	based programs and practices to be posted publicly in
19	accordance with subsection (a) (and may establish
20	subsequent such periods). The Assistant Secretary
21	shall publish notice of such application periods in the
22	Federal Register.
23	"(2) Addressing gaps.—Such notice may so-
24	licit applications for evidence-based practices and
25	programs to address gaps in information identified

 $by\ the\ Assistant\ Secretary,\ the\ Assistant\ Secretary\ for$ 

- 1 Planning and Evaluation, the Assistant Secretary for
- 2 Financial Resources, or the National Mental Health
- 3 and Substance Use Policy Laboratory, including pur-
- 4 suant to priorities identified in the strategic plan es-
- 5 tablished under section 501(l).
- 6 "(c) Requirements.—The Assistant Secretary shall
- 7 establish minimum requirements for applications referred
- 8 to in this section, including applications related to the sub-
- 9 mission of research and evaluation.
- 10 "(d) Review and Rating.—The Assistant Secretary
- 11 shall review applications prior to public posting, and may
- 12 prioritize the review of applications for evidence-based
- 13 practices and programs that are related to topics included
- 14 in the notice established under subsection (b). The Assistant
- 15 Secretary shall utilize a rating and review system, which
- 16 shall include information on the strength of evidence associ-
- 17 ated with such programs and practices and a rating of the
- 18 methodological rigor of the research supporting the applica-
- 19 tion. The Assistant Secretary shall make the metrics used
- 20 to evaluate applications and the resulting ratings publicly
- 21 available.".
- 22 SEC. 603. SENSE OF CONGRESS.
- It is the sense of the Congress that the National Insti-
- 24 tute of Mental Health should conduct or support research

1	on the determinants of self-directed and other violence con-
2	nected to mental illness.
3	Subtitle B—Supporting the State
4	Response to Mental Health Needs
5	SEC. 611. COMMUNITY MENTAL HEALTH SERVICES BLOCK
6	GRANT.
7	(a) Formula Grants.—Section 1911(b) of the Public
8	Health Service Act (42 U.S.C. 300x(b)) is amended—
9	(1) by redesignating paragraphs (1) through (3)
10	as paragraphs (2) through (4), respectively; and
11	(2) by inserting before paragraph (2) (as so re-
12	designated), the following:
13	"(1) providing community mental health services
14	for adults with a serious mental illness and children
15	with a serious emotional disturbance as defined in ac-
16	$cordance\ with\ section\ 1912(c);".$
17	(b) State Plan.—Subsection (b) of section 1912 of
18	the Public Health Service Act (42 U.S.C. 300x-1) is amend-
19	ed to read as follows:
20	"(b) Criteria for Plan.—In accordance with sub-
21	section (a), a State shall submit to the Secretary a plan
22	that, at a minimum, satisfies the following criteria:
23	"(1) System of care.—The plan provides a de-
24	scription of the system of care of the State, including
25	as follows:

1	"(A) Comprehensive community-based
2	Health systems.—The plan shall—
3	"(i) identify the single State agency to
4	be responsible for the administration of the
5	program under the grant, including any
6	third party who administers mental health
7	services and is responsible for complying
8	with the requirements of this part with re-
9	spect to the grant;
10	"(ii) provide for an organized commu-
11	nity-based system of care for individuals
12	with mental illness, and describe available
13	services and resources in a comprehensive
14	system of care, including services for indi-
15	viduals with mental health and behavioral
16	health co-occurring disorders;
17	"(iii) include a description of the man-
18	ner in which the State and local entities
19	will coordinate services to maximize the ef-
20	ficiency, effectiveness, quality, and cost ef-
21	fectiveness of services and programs to
22	produce the best possible outcomes (includ-
23	ing health services, rehabilitation services,
24	employment services, housing services, edu-
25	cational services, substance use disorder

1	services, legal services, law enforcement serv-
2	ices, social services, child welfare services,
3	medical and dental care services, and other
4	support services to be provided with Fed-
5	eral, State, and local public and private re-
6	sources) with other agencies to enable indi-
7	viduals receiving services to function outside
8	of inpatient or residential institutions, to
9	the maximum extent of their capabilities,
10	including services to be provided by local
11	school systems under the Individuals with
12	$Disabilities \ Education \ Act;$
13	"(iv) include a description of how the
14	State—
15	"(I) promotes evidence-based prac-
16	tices, including those evidence-based
17	programs that address the needs of in-
18	dividuals with early serious mental ill-
19	ness regardless of the age of the indi-
20	vidual at onset;
21	"(II) provides comprehensive in-
22	dividualized treatment; or
23	"(III) integrates mental and
24	physical health services;

"(v) include a description of case man-
agement services;
"(vi) include a description of activities
that seek to engage individuals with serious
mental illness or serious emotional disturb-
ance and their caregivers where appropriate
in making health care decisions, including
activities that enhance communication be-
tween individuals, families, caregivers, and
treatment providers; and
"(vii) as appropriate to and reflective
of the uses the State proposes for the block
grant monies—
"(I) a description of the activities
intended to reduce hospitalizations and
hospital stays using the block grant
monies;
"(II) a description of the activi-
ties intended to reduce incidents of sui-
cide using the block grant monies; and
"(III) a description of how the
State integrates mental health and pri-
mary care using the block grant mon-
ies.

"(B) MENTAL HEALTH SYSTEM DATA AND EPIDEMIOLOGY.—The plan shall contain an estimate of the incidence and prevalence in the State of serious mental illness among adults and serious emotional disturbance among children and presents quantitative targets and outcome measures for programs and services provided under this subpart.

"(C) CHILDREN'S SERVICES.—In the case of children with serious emotional disturbance (as defined in accordance with subsection (c)), the plan shall provide for a system of integrated social services, educational services, child welfare services, juvenile justice services, law enforcement services, and substance use disorder services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (such system to include services provided under the Individuals with Disabilities Education Act).

"(D) TARGETED SERVICES TO RURAL AND HOMELESS POPULATIONS.—The plan shall describe the State's outreach to and services for individuals who are homeless and how community-

1	based services will be provided to individuals re-
2	siding in rural areas.
3	"(E) Management services.—The plan
4	shall—
5	"(i) describe the financial resources
6	available, the existing mental health work-
7	force, and the workforce trained in treating
8	individuals with co-occurring mental and
9	substance use disorders;
10	"(ii) provide for the training of pro-
11	viders of emergency health services regard-
12	ing mental health;
13	"(iii) describe the manner in which the
14	State intends to expend the grant under sec-
15	tion 1911 for the fiscal year involved; and
16	"(iv) describe the manner in which the
17	State intends to comply with each of the
18	funding agreements in this subpart and
19	subpart III.
20	"(2) Goals and objectives.—The plan estab-
21	lishes goals and objectives for the period of the plan,
22	including targets and milestones that are intended to
23	be met, and the activities that will be undertaken to
24	achieve those targets.".

1	(c) Best Practices in Clinical Care Models.—
2	Section 1920 of the Public Health Service Act (42 U.S.C.
3	300x-9) is amended by adding at the end the following:
4	"(c) Best Practices in Clinical Care Models.—
5	A State shall expend not less than 10 percent of the amount
6	the State receives for carrying out this subpart in each fis-
7	cal year to support evidence-based programs that address
8	the needs of individuals with early serious mental illness,
9	including psychotic disorders, regardless of the age of the
10	individual at onset.".
11	(d) Additional Provisions.—Section 1915(b) of the
12	Public Health Service Act (42 U.S.C. 300x-4(b)) is amend-
13	ed—
14	(1) by amending paragraph (1) to read as fol-
15	lows:
16	"(1) In general.—A funding agreement for a
17	grant under section 1911 is that the State involved
18	will maintain State expenditures for community
19	mental health services at a level that is not less than
20	the average of the amounts prescribed by this para-
21	graph (prior to any waiver under paragraph (3)) for
22	such expenditures by such State for each of the two
23	fiscal years immediately preceding the fiscal year for
24	which the State is applying for the grant.";
25	(2) in paragraph (2)—

1	(A) by striking "subsection (a)" and insert-
2	ing "paragraph (1)"; and
3	(B) by striking "principle" and inserting
4	"principal";
5	(3) by amending paragraph (3) to read as fol-
6	lows:
7	"(3) Waiver.—
8	"(A) In General.—The Secretary may,
9	upon the request of a State, waive the require-
10	ment established in paragraph (1) in whole or in
11	part, if the Secretary determines that extraor-
12	dinary economic conditions in the State in the
13	fiscal year involved or in the previous fiscal year
14	justify the waiver.
15	"(B) Date certain for action upon re-
16	QUEST.—The Secretary shall approve or deny a
17	request for a waiver under this paragraph not
18	later than 120 days after the date on which the
19	request is made.
20	"(C) Applicability of waiver.—A waiver
21	provided by the Secretary under this paragraph
22	shall be applicable only to the fiscal year in-
23	volved."; and
24	(4) in paragraph (4)—

1	(A) by amending subparagraph (A) to read
2	as follows:
3	"(A) In general.—
4	"(i) Determination and reduc-
5	TION.—The Secretary shall determine, in
6	the case of each State, and for each fiscal
7	year, whether the State maintained mate-
8	rial compliance with the agreement made
9	under paragraph (1). If the Secretary deter-
10	mines that a State has failed to maintain
11	such compliance for a fiscal year, the Sec-
12	retary shall reduce the amount of the allot-
13	ment under section 1911 for the State, for
14	the first fiscal year beginning after such de-
15	termination is final, by an amount equal to
16	the amount constituting such failure for the
17	previous fiscal year about which the deter-
18	mination was made.
19	"(ii) Alternative sanction.—The
20	Secretary may by regulation provide for an
21	alternative method of imposing a sanction
22	for a failure by a State to maintain mate-
23	rial compliance with the agreement under
24	paragraph (1) if the Secretary determines
25	that such alternative method would be more

1	equitable and would be a more effective in-
2	centive for States to maintain such material
3	compliance."; and
4	(B) in subparagraph $(B)$ —
5	(i) by inserting after the subparagraph
6	designation the following: "SUBMISSION OF
7	INFORMATION TO THE SECRETARY.—"; and
8	(ii) by striking "subparagraph (A)"
9	and inserting "subparagraph $(A)(i)$ ".
10	(e) Application for Grant.—Section 1917(a) of the
11	Public Health Service Act (42 U.S.C. 300x-6(a)) is amend-
12	ed—
13	(1) in paragraph (1), by striking "1941" and in-
14	serting "1942(a)"; and
15	(2) in paragraph (5), by striking
16	"1915(b)(3)(B)" and inserting "1915(b)".
17	Subtitle C—Strengthening Mental
18	Health Care for Children and
19	Adolescents
20	SEC. 621. TELEHEALTH CHILD PSYCHIATRY ACCESS
21	GRANTS.
22	Title III of the Public Health Service Act is amended
23	by inserting after section 330L of such Act (42 U.S.C. 254c-
24	18) the following new section:

1	"SEC. 330M. TELEHEALTH CHILD PSYCHIATRY ACCESS
2	GRANTS.
3	"(a) In General.—The Secretary, acting through the
4	Administrator of the Health Resources and Services Admin-
5	istration and in coordination with other relevant Federal
6	agencies, shall award grants to States, political subdivi-
7	sions of States, Indian tribes, and tribal organizations (for
8	purposes of this section, as such terms are defined in section
9	4 of the Indian Self-Determination and Education Assist-
10	ance Act (25 U.S.C. 450b)) to promote behavioral health
11	integration in pediatric primary care by—
12	"(1) supporting the development of statewide
13	child psychiatry access programs; and
14	"(2) supporting the improvement of existing
15	statewide child psychiatry access programs.
16	"(b) Program Requirements.—
17	"(1) In General.—A child psychiatry access
18	program referred to in subsection (a), with respect to
19	which a grant under such subsection may be used,
20	shall—
21	"(A) be a statewide network of pediatric
22	mental health teams that provide support to pe-
23	diatric primary care sites as an integrated team;
24	"(B) support and further develop organized
25	State networks of child and adolescent psychia-

1	trists to provide consultative support to pediatric
2	primary care sites;
3	"(C) conduct an assessment of critical be-
4	havioral consultation needs among pediatric pro-
5	viders and such providers' preferred mechanisms
6	for receiving consultation and training and tech-
7	nical assistance;
8	"(D) develop an online database and com-
9	munication mechanisms, including telehealth, to
10	facilitate consultation support to pediatric prac-
11	tices;
12	"(E) provide rapid statewide clinical tele-
13	phone or telehealth consultations when requested
14	between the pediatric mental health teams and
15	pediatric primary care providers;
16	"(F) conduct training and provide technical
17	assistance to pediatric primary care providers to
18	support the early identification, diagnosis, treat-
19	ment, and referral of children with behavioral
20	health conditions or co-occurring intellectual and
21	other developmental disabilities;
22	"(G) inform and assist pediatric providers
23	in accessing child psychiatry consultations and
24	in scheduling and conducting technical assist-
25	ance;

1	"(H) assist with referrals to specialty care
2	and community or behavioral health resources;
3	and
4	"(I) establish mechanisms for measuring
5	and monitoring increased access to child and ad-
6	olescent psychiatric services by pediatric pri-
7	mary care providers and expanded capacity of
8	pediatric primary care providers to identify,
9	treat, and refer children with mental health
10	problems.
11	"(2) Pediatric mental health teams.—In
12	this subsection, the term 'pediatric mental health
13	team' means a team of case coordinators, child and
14	adolescent psychiatrists, and licensed clinical mental
15	health professionals, such as a psychologist, social
16	worker, or mental health counselor.
17	"(c) Application.—A State, political subdivision of
18	a State, Indian tribe, or tribal organization seeking a grant
19	under this section shall submit an application to the Sec-
20	retary at such time, in such manner, and containing such
21	information as the Secretary may require, including a plan
22	for the rigorous evaluation of activities that are carried out
23	with funds received under such grant.
24	"(d) Evaluation.—A State, political subdivision of
25	a State, Indian tribe, or tribal organization that receives

- 1 a grant under this section shall prepare and submit an
- 2 evaluation of activities carried out with funds received
- 3 under such grant to the Secretary at such time, in such
- 4 manner, and containing such information as the Secretary
- 5 may reasonably require, including a process and outcome
- 6 evaluation.
- 7 "(e) Matching Requirement.—The Secretary may
- 8 not award a grant under this section unless the State, polit-
- 9 ical subdivision of a State, Indian tribe, or tribal organiza-
- 10 tion involved agrees, with respect to the costs to be incurred
- 11 by the State, political subdivision of a State, Indian tribe,
- 12 or tribal organization in carrying out the purpose described
- 13 in this section, to make available non-Federal contributions
- 14 (in cash or in kind) toward such costs in an amount that
- 15 is not less than 20 percent of Federal funds provided in
- 16 the grant.
- 17 "(f) Authorization of Appropriations.—To carry
- 18 this section, there are authorized to be appropriated
- 19 \$9,000,000 for the period of fiscal years 2018 through
- 20 2020.".

1	SEC. 622. INFANT AND EARLY CHILDHOOD MENTAL HEALTH
2	PROMOTION, INTERVENTION, AND TREAT-
3	MENT.
4	Part Q of title III of the Public Health Service Act
5	(42 U.S.C. 290h et seq.) is amended by adding at the end
6	the following:
7	"SEC. 399Z-2. INFANT AND EARLY CHILDHOOD MENTAL
8	HEALTH PROMOTION, INTERVENTION, AND
9	TREATMENT.
10	"(a) Grants.—The Secretary shall—
11	"(1) award grants to eligible entities, including
12	human services agencies, to develop, maintain, or en-
13	hance infant and early childhood mental health pro-
14	motion, intervention, and treatment programs, in-
15	cluding—
16	"(A) programs for infants and children at
17	significant risk of developing, showing early
18	signs of, or having been diagnosed with mental
19	disorders including serious emotional disturb-
20	ance; and
21	"(B) multigenerational therapy and other
22	services that support the caregiving relationship;
23	and
24	"(2) ensure that programs funded through grants
25	under this section are evidence-informed or evidence-
26	based models, practices, and methods that are, as ap-

1	propriate, culturally and linguistically appropriate,
2	and can be replicated in other appropriate settings.
3	"(b) Eligible Children and Entities.—In this sec-
4	tion:
5	"(1) Eligible CHILD.—The term 'eligible child'
6	means a child from birth to not more than 5 years
7	of age who—
8	"(A) is at risk for, shows early signs of, or
9	has been diagnosed with a mental disorder, in-
10	cluding serious emotional disturbance; and
11	"(B) may benefit from infant and early
12	childhood intervention or treatment programs or
13	specialized preschool or elementary school pro-
14	grams that are evidence-based or that have been
15	scientifically demonstrated to show promise but
16	would benefit from further applied development.
17	"(2) Eligible enti-
18	ty' means a nonprofit institution that—
19	"(A) is accredited or approved by a State
20	mental health or education agency, as applicable,
21	to provide for children from infancy to 5 years
22	of age mental health promotion, intervention, or
23	treatment services that are evidence-based or that
24	have been scientifically demonstrated to show

1	promise but would benefit from further applied
2	development; and
3	"(B) provides programs described in sub-
4	section (a) that are evidence-based or that have
5	been scientifically demonstrated to show promise
6	but would benefit from further applied develop-

 $7 \qquad ment.$ 

- 8 "(c) APPLICATION.—An eligible entity seeking a grant
  9 under subsection (a) shall submit to the Secretary an appli10 cation at such time, in such manner, and containing such
  11 information as the Secretary may require.
- "(d) Use of Funds for Early Intervention and Treatment Programs.—An eligible entity may use amounts awarded under a grant under subsection (a)(1) to carry out the following:
  - "(1) Provide age-appropriate mental health promotion and early intervention services or mental disorder treatment services, which may include specialized programs, for eligible children at significant risk of developing, showing early signs of, or having been diagnosed with a mental disorder, including serious emotional disturbance. Such services may include socialand behavioral services wellasasmultigenerational therapy and other services ?that support the caregiving relationship.

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- "(2) Provide training for health care profes-sionals with expertise in infant and early childhood mental health care with respect to appropriate and relevant integration with other disciplines such as primary care clinicians, early intervention special-ists, child welfare staff, home visitors, early care and education providers, and others who work with young children and families.
  - "(3) Provide mental health consultation to personnel of early care and education programs (including licensed or regulated center-based and home-based child care, home visiting, preschool special education, and early intervention programs) who work with children and families.
  - "(4) Provide training for mental health clinicians in infant and early childhood promising and evidence-based practices and models for mental health treatment and early intervention, including with regard to practices for identifying and treating mental and behavioral disorders of infants and children resulting from exposure or repeated exposure to adverse childhood experiences or childhood trauma.
  - "(5) Provide age-appropriate assessment, diagnostic, and intervention services for eligible children,

1	including early mental health promotion, interven-
2	tion, and treatment services.
3	"(e) Matching Funds.—The Secretary may not
4	award a grant under this section to an eligible entity unless
5	the eligible entity agrees, with respect to the costs to be in-
6	curred by the eligible entity in carrying out the activities
7	described in subsection (d), to make available non-Federal
8	contributions (in cash or in kind) toward such costs in an
9	amount that is not less than 10 percent of the total amount
10	of Federal funds provided in the grant.
11	"(f) Authorization of Appropriations.—To carry
12	this section, there are authorized to be appropriated
13	\$20,000,000 for the period of fiscal years 2018 through
14	2022.".
15	SEC. 623. NATIONAL CHILD TRAUMATIC STRESS INITIATIVE
16	Section 582 of the Public Health Service Act (42
17	U.S.C. 290hh-1; relating to grants to address the problems
18	of persons who experience violence related stress) is amend-
19	ed—
20	(1) in subsection (a), by striking "developing
21	programs" and all that follows and inserting the fol-
22	lowing: "developing and maintaining programs that
23	provide for—
24	"(1) the continued operation of the National
25	Child Traumatic Stress Initiative (referred to in this

1	section as the 'NCTSI'), which includes a coordi-
2	nating center that focuses on the mental, behavioral,
3	and biological aspects of psychological trauma re-
4	sponse; and
5	"(2) the development of knowledge with regard to
6	evidence-based practices for identifying and treating
7	mental disorders, behavioral disorders, and physical
8	health conditions of children and youth resulting from
9	witnessing or experiencing a traumatic event.";
10	(2) in subsection (b)—
11	(A) by striking "subsection (a) related" and
12	inserting "subsection (a)(2) (related";
13	(B) by striking "treating disorders associ-
14	ated with psychological trauma" and inserting
15	"treating mental, behavioral, and biological dis-
16	orders associated with psychological trauma)";
17	and
18	(C) by striking "mental health agencies and
19	programs that have established clinical and basic
20	research" and inserting "universities, hospitals,
21	mental health agencies, and other programs that
22	have established clinical expertise and research";
23	(3) by redesignating subsections (c) through (g)
24	as subsections (q) through (k), respectively;

- 1 (4) by inserting after subsection (b), the fol-
- 2 lowing:
- 3 "(c) Child Outcome Data.—The NCTSI coordi-
- 4 nating center shall collect, analyze, report, and make pub-
- 5 licly available NCTSI-wide child treatment process and
- 6 outcome data regarding the early identification and deliv-
- 7 ery of evidence-based treatment and services for children
- 8 and families served by the NCTSI grantees.
- 9 "(d) Training.—The NCTSI coordinating center shall
- 10 facilitate the coordination of training initiatives in evi-
- 11 dence-based and trauma-informed treatments, interven-
- 12 tions, and practices offered to NCTSI grantees, providers,
- 13 and partners.
- 14 "(e) Dissemination.—The NCTSI coordinating cen-
- 15 ter shall, as appropriate, collaborate with the Secretary in
- 16 the dissemination of evidence-based and trauma-informed
- 17 interventions, treatments, products, and other resources to
- $18\ appropriate\ stakeholders.$
- 19 "(f) REVIEW.—The Secretary shall, consistent with the
- 20 peer-review process, ensure that NCTSI applications are re-
- 21 viewed by appropriate experts in the field as part of a con-
- 22 sensus review process. The Secretary shall include review
- 23 criteria related to expertise and experience in child trauma
- 24 and evidence-based practices.";

1	(5) in subsection (g) (as so redesignated), by
2	striking "with respect to centers of excellence are dis-
3	tributed equitably among the regions of the country"
4	and inserting "are distributed equitably among the
5	regions of the United States";
6	(6) in subsection (i) (as so redesignated), by
7	striking "recipient may not exceed 5 years" and in-
8	serting "recipient shall not be less than 4 years, but
9	shall not exceed 5 years"; and
10	(7) in subsection (j) (as so redesignated), by
11	striking "\$50,000,000" and all that follows through
12	"2006" and inserting "\$46,887,000 for each of fiscal
13	years 2017 through 2021".
14	TITLE VII—GRANT PROGRAMS
15	AND PROGRAM REAUTHOR-
16	IZATION
17	Subtitle A—Garrett Lee Smith
18	$Memorial\ Act\ Reauthorization$
19	SEC. 701. YOUTH INTERAGENCY RESEARCH, TRAINING, AND
20	TECHNICAL ASSISTANCE CENTERS.
21	Section 520C of the Public Health Service Act (42
22	U.S.C. 290bb-34) is amended—
23	(1) by striking the section heading and inserting
24	"SUICIDE PREVENTION TECHNICAL ASSIST-
25	ANCE CENTER.";

1	(2) in subsection (a), by striking "and in con-
2	sultation with" and all that follows through the pe-
3	riod at the end of paragraph (2) and inserting "shall
4	establish a research, training, and technical assist-
5	ance resource center to provide appropriate informa-
6	tion, training, and technical assistance to States, po-
7	litical subdivisions of States, federally recognized In-
8	dian tribes, tribal organizations, institutions of high-
9	er education, public organizations, or private non-
10	profit organizations regarding the prevention of sui-
11	cide among all ages, particularly among groups that
12	are at high risk for suicide.";
13	(3) by striking subsections (b) and (c);
14	(4) by redesignating subsection (d) as subsection
15	(b);
16	(5) in subsection (b), as so redesignated—
17	(A) by striking the subsection heading and
18	inserting "Responsibilities of the Cen-
19	TER.—";
20	(B) in the matter preceding paragraph (1),
21	by striking "The additional research" and all
22	that follows through "nonprofit organizations
23	for" and inserting "The center established under
24	subsection (a) shall conduct activities for the
25	purpose of";

1	(C) by striking "youth suicide" each place
2	such term appears and inserting "suicide";
3	(D) in paragraph (1)—
4	(i) by striking "the development or
5	continuation of and inserting "developing
6	and continuing"; and
7	(ii) by inserting "for all ages, particu-
8	larly among groups that are at high risk for
9	suicide" before the semicolon at the end;
10	(E) in paragraph (2), by inserting "for all
11	ages, particularly among groups that are at high
12	risk for suicide" before the semicolon at the end;
13	(F) in paragraph (3), by inserting "and
14	tribal" after "statewide";
15	(G) in paragraph (5), by inserting "and
16	prevention" after "intervention";
17	(H) in paragraph (8), by striking "in
18	youth";
19	(I) in paragraph (9), by striking "and be-
20	havioral health" and inserting "health and sub-
21	stance use disorder"; and
22	(I) in paragraph (10), by inserting "con-
23	ducting" before "other"; and
24	(6) by striking subsection (e) and inserting the
25	following:

1	"(c) Authorization of Appropriations.—For the
2	purpose of carrying out this section, there are authorized
3	to be appropriated \$5,988,000 for each of fiscal years 2017
4	through 2021.
5	"(d) Report.—Not later than 2 years after the date
6	of enactment of the Helping Families in Mental Health Cri-
7	sis Act of 2016, the Secretary shall submit to Congress a
8	report on the activities carried out by the center established
9	under subsection (a) during the year involved, including
10	the potential effects of such activities, and the States, orga-
11	nizations, and institutions that have worked with the cen-
12	ter.".
13	SEC. 702. YOUTH SUICIDE EARLY INTERVENTION AND PRE-
13 14	SEC. 702. YOUTH SUICIDE EARLY INTERVENTION AND PRE- VENTION STRATEGIES.
14	VENTION STRATEGIES.
14 15	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42)
<ul><li>14</li><li>15</li><li>16</li></ul>	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—
<ul><li>14</li><li>15</li><li>16</li><li>17</li></ul>	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—  (1) in paragraph (1) of subsection (a) and in
<ul><li>14</li><li>15</li><li>16</li><li>17</li><li>18</li></ul>	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—  (1) in paragraph (1) of subsection (a) and in subsection (c), by striking "substance abuse" each
<ul><li>14</li><li>15</li><li>16</li><li>17</li><li>18</li><li>19</li></ul>	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—  (1) in paragraph (1) of subsection (a) and in subsection (c), by striking "substance abuse" each place such term appears and inserting "substance use
14 15 16 17 18 19 20	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—  (1) in paragraph (1) of subsection (a) and in subsection (c), by striking "substance abuse" each place such term appears and inserting "substance use disorder";
14 15 16 17 18 19 20 21	VENTION STRATEGIES.  Section 520E of the Public Health Service Act (42 U.S.C. 290bb-36) is amended—  (1) in paragraph (1) of subsection (a) and in subsection (c), by striking "substance abuse" each place such term appears and inserting "substance use disorder";  (2) in subsection (b)(2)—

1	more than 1 grant or cooperative agreement
2	under this section at any 1 time"; and
3	(B) by striking "been awarded" and insert-
4	ing "received"; and
5	(3) by striking subsection (m) and inserting the
6	following:
7	"(m) AUTHORIZATION OF APPROPRIATIONS.—For the
8	purpose of carrying out this section, there are authorized
9	to be appropriated \$35,427,000 for each of fiscal years 2017
10	through 2021.".
11	SEC. 703. MENTAL HEALTH AND SUBSTANCE USE DISORDER
12	SERVICES ON CAMPUS.
13	Section 520E-2 of the Public Health Service Act (42
14	U.S.C. 290bb–36b) is amended—
15	(1) in the section heading, by striking "AND BE-
16	HAVIORAL HEALTH" and inserting "HEALTH AND
17	SUBSTANCE USE DISORDER";
18	(2) in subsection (a)—
19	(A) by striking "Services," and inserting
20	"Services and";
21	(B) by striking "and behavioral health
22	problems" and inserting "health or substance use
23	disorders"; and
24	(C) by striking "substance abuse" and in-
25	serting "substance use disorders";

1	(3) in subsection (b)—
2	(A) in the matter preceding paragraph (1),
3	by striking "for—" and inserting "for one or
4	more of the following:"; and
5	(B) by striking paragraphs (1) through (6)
6	and inserting the following:
7	"(1) Educating students, families, faculty, and
8	staff to increase awareness of mental health and sub-
9	stance use disorders.
10	"(2) The operation of hotlines.
11	"(3) Preparing informational material.
12	"(4) Providing outreach services to notify stu-
13	dents about available mental health and substance use
14	disorder services.
15	"(5) Administering voluntary mental health and
16	substance use disorder screenings and assessments.
17	"(6) Supporting the training of students, faculty,
18	and staff to respond effectively to students with men-
19	tal health and substance use disorders.
20	"(7) Creating a network infrastructure to link
21	colleges and universities with health care providers
22	who treat mental health and substance use dis-
23	orders.";
24	(4) in subsection $(c)(5)$ , by striking "substance
25	abuse" and insertina "substance use disorder":

1	(5) in subsection (d)—
2	(A) in the matter preceding paragraph (1),
3	by striking "An institution of higher education
4	desiring a grant under this section" and insert-
5	ing "To be eligible to receive a grant under this
6	section, an institution of higher education";
7	(B) in paragraph (1)—
8	(i) by striking "and behavioral health"
9	and inserting 'health and substance use
10	disorder"; and
11	(ii) by inserting ", including veterans
12	whenever possible and appropriate," after
13	"students"; and
14	(C) in paragraph (2), by inserting ", which
15	may include, as appropriate and in accordance
16	with subsection (b)(7), a plan to seek input from
17	relevant stakeholders in the community, includ-
18	ing appropriate public and private entities, in
19	order to carry out the program under the grant"
20	before the period at the end;
21	(6) in subsection (e)(1), by striking "and behav-
22	ioral health problems" and inserting "health and sub-
23	stance use disorders";
24	(7) in subsection $(f)(2)$ —

1	(A) by striking "and behavioral health" and
2	inserting "health and substance use disorder";
3	and
4	(B) by striking "suicide and substance
5	abuse" and inserting "suicide and substance use
6	disorders"; and
7	(8) in subsection (h), by striking "\$5,000,000 for
8	fiscal year 2005" and all that follows through the pe-
9	riod at the end and inserting "\$6,488,000 for each of
10	fiscal years 2017 through 2021.".
11	Subtitle B—Other Provisions
12	SEC. 711. NATIONAL SUICIDE PREVENTION LIFELINE PRO-
13	GRAM.
14	Subpart 3 of part B of title V of the Public Health
15	Service Act (42 U.S.C. 290bb-31 et seq.) is amended by in-
16	serting after section 520 $E$ –2 (42 U.S.C. 290bb–36b) the fol-
17	lowing:
18	"SEC. 520E-3. NATIONAL SUICIDE PREVENTION LIFELINE
19	PROGRAM.
20	"(a) In General.—The Secretary, acting through the
21	Assistant Secretary, shall maintain the National Suicide
22	Prevention Lifeline Program (referred to in this section as
23	the 'Program'), authorized under section 520A and in effect
24	prior to the date of enactment of the Helping Families in
25	Mental Health Crisis Act of 2016.

1	"(b) Activities.—In maintaining the Program, the
2	activities of the Secretary shall include—
3	"(1) coordinating a network of crisis centers
4	across the United States for providing suicide preven-
5	tion and crisis intervention services to individuals
6	seeking help at any time, day or night;
7	"(2) maintaining a suicide prevention hotline to
8	link callers to local emergency, mental health, and so-
9	cial services resources; and
10	"(3) consulting with the Secretary of Veterans
11	Affairs to ensure that veterans calling the suicide pre-
12	vention hotline have access to a specialized veterans'
13	suicide prevention hotline.
14	"(c) Authorization of Appropriations.—To carry
15	out this section, there are authorized to be appropriated
16	\$7,198,000 for each of fiscal years 2017 through 2021.".
17	SEC. 712. WORKFORCE DEVELOPMENT STUDIES AND RE-
18	PORTS.
19	(a) In General.—Not later than 2 years after the
20	date of enactment of this Act, the Assistant Secretary for
21	Mental Health and Substance Use, in consultation with the
22	Administrator of the Health Resources and Services Admin-
23	istration, shall conduct a study, and publicly post on the
24	appropriate Internet website of the Department of Health
25	and Human Services a report, on the mental health and

1	substance use disorder workforce in order to inform Federal,
2	State, and local efforts related to workforce enhancement.
3	(b) Contents.—The report under this section shall
4	contain—
5	(1) national and State-level projections of the
6	supply and demand of mental health and substance
7	use disorder health workers, including the number of
8	individuals practicing in fields deemed relevant by
9	the Secretary;
10	(2) an assessment of the mental health and sub-
11	stance use disorder workforce capacity, strengths, and
12	weaknesses as of the date of the report, including the
13	capacity of primary care to prevent, screen, treat, or
14	refer for mental health and substance use disorders;
15	(3) information on trends within the mental
16	health and substance use disorder provider workforce,
17	including the number of individuals entering the
18	mental health workforce over the next five years;
19	(4) information on the gaps in workforce devel-
20	opment for mental health providers and professionals,
21	including those who serve pediatric, adult, and geri-
22	atric patients; and
23	(5) any additional information determined by
24	the Assistant Secretary for Mental Health and Sub-

stance Use, in consultation with the Administrator of

1	the Health Resources and Services Administration, to
2	be relevant to the mental health and substance use
3	disorder provider workforce.
4	SEC. 713. MINORITY FELLOWSHIP PROGRAM.
5	Title V of the Public Health Service Act (42 U.S.C.
6	290aa et seq.) is amended by adding at the end the fol-
7	lowing:
8	"PART K—MINORITY FELLOWSHIP PROGRAM
9	"SEC. 597. FELLOWSHIPS.
10	"(a) In General.—The Secretary shall maintain a
11	program, to be known as the Minority Fellowship Program,
12	under which the Secretary awards fellowships, which may
13	include stipends, for the purposes of—
14	"(1) increasing behavioral health practitioners
15	knowledge of issues related to prevention, treatment,
16	and recovery support for mental and substance use
17	disorders among racial and ethnic minority popu-
18	lations;
19	"(2) improving the quality of mental and sub-
20	stance use disorder prevention and treatment deliv-
21	ered to racial and ethnic minorities; and
22	"(3) increasing the number of culturally com-
23	petent behavioral health professionals and school per-
24	sonnel who teach, administer, conduct services re-
25	search and provide direct mental health or substance

- 1 use services to racial and ethnic minority popu-
- 2 lations.
- 3 "(b) Training Covered.—The fellowships under sub-
- 4 section (a) shall be for postbaccalaureate training (includ-
- 5 ing for master's and doctoral degrees) for mental health pro-
- 6 fessionals, including in the fields of psychiatry, nursing, so-
- 7 cial work, psychology, marriage and family therapy, men-
- 8 tal health counseling, and substance use and addiction
- 9 counseling.
- 10 "(c) Authorization of Appropriations.—To carry
- 11 out this section, there are authorized to be appropriated
- 12 \$12,669,000 for each of fiscal years 2017, 2018, and 2019
- 13 and \$13,669,000 for each of fiscal years 2020 and 2021.".
- 14 SEC. 714. CENTER AND PROGRAM REPEALS.
- 15 Part B of title V of the Public Health Service Act (42)
- 16 U.S.C. 290bb et seq.) is amended by striking the second sec-
- 17 tion 514 (42 U.S.C. 290bb-9), relating to methamphet-
- 18 amine and amphetamine treatment initiatives, and sections
- 19 514A, 517, 519A, 519C, 519E, 520D, and 520H (42 U.S.C.
- 20 290bb-8, 290bb-23, 290bb-25a, 290bb-25c, 290bb-25e,
- 21 290bb-35, and 290bb-39).
- 22 SEC. 715. NATIONAL VIOLENT DEATH REPORTING SYSTEM.
- 23 The Secretary of Health and Human Services, acting
- 24 through the Director of the Centers for Disease Control and
- 25 Prevention, is encouraged to improve, particularly through

1	the inclusion of additional States, the National Violent
2	Death Reporting System as authorized by title III of the
3	Public Health Service Act (42 U.S.C. 241 et seq.). Partici-
4	pation in the system by the States shall be voluntary.
5	SEC. 716. SENSE OF CONGRESS ON PRIORITIZING NATIVE
6	AMERICAN YOUTH AND SUICIDE PREVENTION
7	PROGRAMS.
8	(a) FINDINGS.—The Congress finds as follows:
9	(1) Suicide is the eighth leading cause of death
10	among American Indians and Alaska Natives across
11	all ages.
12	(2) Among American Indians and Alaska Na-
13	tives who are 10 to 34 years of age, suicide is the sec-
14	ond leading cause of death.
15	(3) The suicide rate among American Indian
16	and Alaska Native adolescents and young adults ages
17	15 to 34 (19.5 per 100,000) is 1.5 times higher than
18	the national average for that age group (12.9 per
19	100,000).
20	(b) Sense of Congress.—It is the sense of Congress
21	that the Secretary of Health and Human Services, in car-
22	rying out programs for Native American youth and suicide
23	prevention programs for youth suicide intervention, should

24 prioritize programs and activities for individuals who have

1	a high risk or disproportional burden of suicide, such as
2	Native Americans.
3	SEC. 717. PEER PROFESSIONAL WORKFORCE DEVELOP-
4	MENT GRANT PROGRAM.
5	(a) In General.—For the purposes described in sub-
6	section (b), the Secretary of Health and Human Services
7	shall award grants to develop and sustain behavioral health
8	paraprofessional training and education programs, includ-
9	ing through tuition support.
10	(b) Purposes.—The purposes of grants under this sec-
11	tion are—
12	(1) to increase the number of behavioral health
13	paraprofessionals, including trained peers, recovery
14	coaches, mental health and addiction specialists, pre-
15	vention specialists, and pre-masters-level addiction
16	counselors; and
17	(2) to help communities develop the infrastruc-
18	ture to train and certify peers as behavioral health
19	paraprofession als.
20	(c) Eligible Entities.—To be eligible to receive a
21	grant under this section, an entity shall be a community
22	college or other entity the Secretary deems appropriate.
23	(d) Geographic Distribution.—In awarding grants
24	under this section, the Secretary shall seek to achieve an

- 1 appropriate national balance in the geographic distribution
- 2 of such awards.
- 3 (e) Special Consideration.—In awarding grants
- 4 under this section, the Secretary may give special consider-
- 5 ation to proposed and existing programs targeting peer pro-
- 6 fessionals serving youth ages 16 to 25.
- 7 (f) Authorization of Appropriations.—To carry
- 8 out this section, there is authorized to be appropriated
- 9 \$10,000,000 for the period of fiscal years 2018 through
- 10 2022.
- 11 SEC. 718. NATIONAL HEALTH SERVICE CORPS.
- 12 (a) Definitions.—
- 13 (1) Primary Health Services.—Section
- 331(a)(3)(D) of the Public Health Service Act (42)
- 15  $U.S.C.\ 254d(a)(3)$ ) is amended by inserting "(includ-
- ing pediatric mental health subspecialty services)"
- 17 after "pediatrics".
- 18 (2) Behavioral and mental health profes-
- 19 SIONALS.—Clause (i) of section 331(a)(3)(E) of the
- 20 Public Health Service Act (42 U.S.C. 254d(a)(3)(E))
- 21 is amended by inserting "(and pediatric subspecial-
- ists thereof)" before the period at the end.
- 23 (b) Eligibility To Participate in Loan Repay-
- 24 MENT PROGRAM.—Section 338B(b)(1)(B) of the Public
- 25 Health Service Act (42 U.S.C. 254l–1(b)(1)(B)) is amended

- 1 by inserting ", including any physician child and adoles-
- 2 cent psychiatry residency or fellowship training program"
- 3 after 'be enrolled in an approved graduate training pro-
- 4 gram in medicine, osteopathic medicine, dentistry, behav-
- 5 ioral and mental health, or other health profession".

### 6 SEC. 719. ADULT SUICIDE PREVENTION.

7 (a) GRANTS.—

- (1) AUTHORITY.—The Assistant Secretary for Mental Health and Substance Use (referred to in this section as the "Assistant Secretary") may award grants to eligible entities in order to implement suicide prevention efforts amongst adults 25 and older.
- (2) Purpose.—The grant program under this section shall be designed to raise suicide awareness, establish referral processes, and improve clinical care practice standards for treating suicide ideation, plans, and attempts among adults.
  - (3) RECIPIENTS.—To be eligible to receive a grant under this section, an entity shall be a community-based primary care or behavioral health care setting, an emergency department, a State mental health agency, an Indian tribe, a tribal organization, or any other entity the Assistant Secretary deems appropriate.

1	(4) Nature of activities.—The grants award-
2	ed under paragraph (1) shall be used to implement
3	programs that—
4	(A) screen for suicide risk in adults and
5	provide intervention and referral to treatment;
6	(B) implement evidence-based practices to
7	treat individuals who are at suicide risk, includ-
8	ing appropriate followup services; and
9	(C) raise awareness, reduce stigma, and fos-
10	ter open dialogue about suicide prevention.
11	(b) Additional Activities.—The Assistant Secretary
12	shall—
13	(1) evaluate the activities supported by grants
14	awarded under subsection (a) in order to further the
15	Nation's understanding of effective interventions to
16	prevent suicide in adults;
17	(2) disseminate the findings from the evaluation
18	as the Assistant Secretary considers appropriate; and
19	(3) provide appropriate information, training,
20	and technical assistance to eligible entities that re-
21	ceive a grant under this section, in order to help such
22	entities to meet the requirements of this section, in-
23	cluding assistance with—
24	(A) selection and implementation of evi-
25	dence-based interventions and frameworks to pre-

1	vent suicide, such as the Zero Suicide frame-
2	work; and
3	(B) other activities as the Assistant Sec-
4	retary determines appropriate.
5	(c) Duration.—A grant under this section shall be for
6	a period of not more than 5 years.
7	(d) Authorization of Appropriations.—
8	(1) In general.—There is authorized to be ap-
9	propriated to carry out this section \$30,000,000 for
10	the period of fiscal years 2018 through 2022.
11	(2) Use of certain funds.—Of the funds ap-
12	propriated to carry out this section in any fiscal
13	year, the lesser of 5 percent of such funds or \$500,000
14	shall be available to the Assistant Secretary for pur-
15	poses of carrying out subsection (b).
16	SEC. 720. CRISIS INTERVENTION GRANTS FOR POLICE OFFI-
17	CERS AND FIRST RESPONDERS.
18	(a) In General.—The Assistant Secretary for Mental
19	Health and Substance Use may award grants to entities
20	such as law enforcement agencies and first responders—
21	(1) to provide specialized training to law en-
22	forcement officers, corrections officers, paramedics,
23	emergency medical services workers, and other first
24	responders (including village public safety officers (as

1	defined in section 247 of the Indian Arts and Crafts
2	Amendments Act of 2010 (42 U.S.C. 3796dd note)))—
3	(A) to recognize individuals who have men-
4	tal illness and how to properly intervene with
5	individuals with mental illness; and
6	(B) to establish programs that enhance the
7	ability of law enforcement agencies to address the
8	mental health, behavioral, and substance use
9	problems of individuals encountered in the line
10	of duty; and
11	(2) to establish collaborative law enforcement
12	and mental health programs, including behavioral
13	health response teams and mental health crisis inter-
14	vention teams comprised of mental health profes-
15	sionals, law enforcement officers, and other first re-
16	sponders, as appropriate, to provide on-site, face-to-
17	face, mental and behavioral health care services dur-
18	ing a mental health crisis, and to connect the indi-
19	vidual in crisis to appropriate community-based
20	treatment services in lieu of unnecessary hospitaliza-
21	tion or further involvement with the criminal justice
22	system.
23	(b) Authorization of Appropriations.—There are
24	authorized to be appropriated to carry out this section
25	\$9,000,000 for the period of fiscal years 2018 through 2020.

1	SEC. 721. DEMONSTRATION GRANT PROGRAM TO TRAIN
2	HEALTH SERVICE PSYCHOLOGISTS IN COM-
3	MUNITY-BASED MENTAL HEALTH.
4	(a) Establishment.—The Secretary of Health and
5	Human Services shall establish a grant program under
6	which the Assistant Secretary of Mental Health and Sub-
7	stance Use Disorders may award grants to eligible institu-
8	tions to support the recruitment, education, and clinical
9	training experiences of health services psychology students,
10	interns, and postdoctoral residents for education and clin-
11	ical experience in community mental health settings.
12	(b) Eligible Institutions.—For purposes of this
13	section, the term "eligible institutions" includes American
14	$Psychological\ Association-accredited\ doctoral,\ internship,$
15	and postdoctoral residency schools or programs in health
16	service psychology that—
17	(1) are focused on the development and imple-
18	mentation of interdisciplinary training of psychology
19	graduate students and postdoctoral fellows in pro-
20	viding mental and behavioral health services to ad-
21	dress substance use disorders, serious emotional dis-
22	turbance, and serious illness, as well as developing
23	faculty and implementing curriculum to prepare psy-
24	chologists to work with underserved populations; and
25	(2) demonstrate an ability to train health service
26	psychologists in psychiatric hospitals, forensic hos-

- 1 pitals, community mental health centers, community
- 2 health centers, federally qualified health centers, or
- 3 adult and juvenile correctional facilities.
- 4 (c) Priorities.—In selecting grant recipients under
- 5 this section, the Secretary shall give priority to eligible in-
- 6 stitutions in which training focuses on the needs of individ-
- 7 uals with serious mental illness, serious emotional disturb-
- 8 ance, justice-involved youth, and individuals with or at
- 9 high risk for substance use disorders.
- 10 (d) Authorization of Appropriations.—There is
- 11 authorized to be appropriated to carry out this section
- 12 \$12,000,000 for the period of fiscal years 2018 through
- 13 2022.
- 14 SEC. 722. INVESTMENT IN TOMORROW'S PEDIATRIC
- 15 HEALTH CARE WORKFORCE.
- 16 Section 775(e) of the Public Health Service Act (42
- 17 U.S.C 295f(e)) is amended to read as follows:
- 18 "(e) Authorization of Appropriations.—To carry
- 19 out this section, there is authorized to be appropriated
- 20 \$12,000,000 for the period of fiscal years 2018 through
- 21 2022.".
- 22 SEC. 723. CUTGO COMPLIANCE.
- 23 Section 319D(f) of the Public Health Service Act (42)
- 24 U.S.C. 247d-4(f)) is amended by striking "\$138,300,000 for
- 25 each of fiscal years 2014 through 2018" and inserting

1	"\$138,300,000 for each of fiscal years 2014 through 2016
2	and \$58,000,000 for each of fiscal years 2017 and 2018".
3	TITLE VIII—MENTAL HEALTH
4	<b>PARITY</b>
5	SEC. 801. ENHANCED COMPLIANCE WITH MENTAL HEALTH
6	AND SUBSTANCE USE DISORDER COVERAGE
7	REQUIREMENTS.
8	(a) Compliance Program Guidance Document.—
9	Section 2726(a) of the Public Health Service Act (42 U.S.C.
10	300gg-26(a)) is amended by adding at the end the fol-
11	lowing:
12	"(6) Compliance program guidance docu-
13	MENT.—
14	"(A) In general.—Not later than 6
15	months after the date of enactment of the Help-
16	ing Families in Mental Health Crisis Act of
17	2016, the Inspector General of the Department of
18	Health and Human Services, in coordination
19	with the Secretary, the Secretary of Labor, or the
20	Secretary of the Treasury, shall issue a compli-
21	ance program guidance document to help im-
22	prove compliance with this section.
23	"(B) Examples illustrating compliance
24	AND NONCOMPLIANCE.—

1	"(i) In General.—The compliance
2	program guidance document required under
3	this paragraph shall provide illustrative,
4	de-identified examples (that do not disclose
5	any protected health information or indi-
6	vidually identifiable information) of pre-
7	vious findings of compliance and non-
8	compliance with this section, section 712 of
9	the Employee Retirement Income Security
10	Act of 1974, or section 9812 of the Internal
11	Revenue Code of 1986 based on investiga-
12	tions of violations of such sections, includ-
13	ing—
14	"(I) examples illustrating require-
15	ments for information disclosures and
16	$nonquantitative\ treatment\ limitations;$
17	and
18	"(II) descriptions of the violations
19	uncovered during the course of such in-
20	vestigations.
21	"(ii) Nonquantitative treatment
22	LIMITATIONS.—To the extent that any ex-
23	ample described in clause (i) involves a
24	finding of compliance or noncompliance
25	with regard to any requirement for non-

1	quantitative treatment limitations, the ex-
2	ample shall provide sufficient detail to fully
3	explain such finding, including a full de-
4	scription of the criteria involved for medical
5	and surgical benefits and the criteria in-
6	volved for mental health and substance use
7	disorder benefits.
8	"(iii) Access to additional infor-
9	MATION REGARDING COMPLIANCE.—In de-
10	veloping and issuing the compliance pro-
11	gram guidance document required under
12	this paragraph, the Inspector General of the
13	Department of Health and Human Services
14	may—
15	"(I) enter into interagency agree-
16	ments with the Inspector General of the
17	Department of Labor and the Inspector
18	General of the Department of the
19	Treasury to share findings of compli-
20	ance and noncompliance with this sec-
21	tion, section 712 of the Employee Re-
22	tirement Income Security Act of 1974,
23	or section 9812 of the Internal Revenue
24	Code of 1986; and

1	"(II) enter into an agreement
2	with a State to share information on
3	findings of compliance and noncompli-
4	ance with this section, section 712 of
5	the Employee Retirement Income Secu-
6	rity Act of 1974, or section 9812 of the
7	Internal Revenue Code of 1986.
8	"(C) Recommendations.—The compliance

program guidance document shall include recommendations to avoid violations of this section and encourage the development and use of internal controls to monitor adherence to applicable statutes, regulations, and program requirements. Such internal controls may include a compliance checklist with illustrative examples of non-quantitative treatment limitations on mental health and substance use disorder benefits, which may fail to comply with this section in relation to nonquantitative treatment limitations on medical and surgical benefits.

"(D) UPDATING THE COMPLIANCE PROGRAM
GUIDANCE DOCUMENT.—The compliance program guidance document shall be updated every
2 years to include illustrative, de-identified examples (that do not disclose any protected health

1	information or individually identifiable infor-
2	mation) of previous findings of compliance and
3	noncompliance with this section, section 712 of
4	the Employee Retirement Income Security Act of
5	1974, or section 9812 of the Internal Revenue
6	Code of 1986.".
7	(b) Additional Guidance.—Section 2726(a) of the
8	Public Health Service Act (42 U.S.C. 300gg-26(a)) is
9	amended by adding at the end the following:
10	"(7) Additional guidance.—
11	"(A) In general.—Not later than 6
12	months after the date of enactment of the Help-
13	ing Families in Mental Health Crisis Act of
14	2016, the Secretary, in coordination with the
15	Secretary of Labor and the Secretary of the
16	Treasury, shall issue guidance to group health
17	plans and health insurance issuers offering
18	group or individual health insurance coverage to
19	assist such plans and issuers in satisfying the re-
20	quirements of this section.
21	"(B) Disclosure.—
22	"(i) GUIDANCE FOR PLANS AND
23	ISSUERS.—The guidance issued under this
24	paragraph shall include clarifying informa-
25	tion and illustrative examples of methods

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that group health plans and health insurance issuers offering group or individual health insurance coverage may use for disclosing information to ensure compliance with the requirements under this section (and any regulations promulgated pursuant to this section).

"(ii) Documents for participants, BENEFICIARIES, CONTRACTING PROVIDERS, OR AUTHORIZED REPRESENTATIVES.—The guidance issued under this paragraph may include clarifying information and illustrative examples of methods that group health plans and health insurance issuers offering group or individual health insurance coverage may use to provide any participant, beneficiary, contracting provider, or authorized representative, as applicable, with documents containing information that the health plans or issuers are required to disclose to participants, beneficiaries, contracting providers, or authorized representatives to ensure compliance with this section, any regulation issued pursuant to this section, or any other applicable law or

1	regulation, including information that is
2	comparative in nature with respect to—
3	``(I)  nonquantitative  treatment
4	limitations for both medical and sur-
5	gical benefits and mental health and
6	substance use disorder benefits;
7	"(II) the processes, strategies, evi-
8	dentiary standards, and other factors
9	used to apply the limitations described
10	in subclause (I); and
11	"(III) the application of the limi-
12	tations described in subclause (I) to en-
13	sure that such limitations are applied
14	in parity with respect to both medical
15	and surgical benefits and mental
16	health and substance use disorder bene-
17	fits.
18	"(C) Nonquantitative treatment limi-
19	TATIONS.—The guidance issued under this para-
20	graph shall include clarifying information and
21	illustrative examples of methods, processes, strat-
22	egies, evidentiary standards, and other factors
23	that group health plans and health insurance
24	issuers offering group or individual health insur-
25	ance coverage may use regarding the develop-

1	ment and application of nonquantitative treat-
2	ment limitations to ensure compliance with this
3	section (and any regulations promulgated pursu-
4	ant to this section), including—
5	"(i) examples of methods of deter-
6	mining appropriate types of nonquantita-
7	tive treatment limitations with respect to
8	both medical and surgical benefits and men-
9	tal health and substance use disorder bene-
10	fits, including nonquantitative treatment
11	limitations pertaining to—
12	"(I) medical management stand-
13	ards based on medical necessity or ap-
14	propriateness, or whether a treatment
15	$is\ experimental\ or\ investigative;$
16	"(II) limitations with respect to
17	prescription drug formulary design;
18	and
19	"(III) use of fail-first or step ther-
20	$apy\ protocols;$
21	"(ii) examples of methods of deter-
22	mining—
23	"(I) network admission standards
24	(such as credentialing); and

1	"(II) factors used in provider re-
2	imbursement methodologies (such as
3	service type, geographic market, de-
4	mand for services, and provider sup-
5	ply, practice size, training, experience,
6	and licensure) as such factors apply to
7	$network\ adequacy;$
8	"(iii) examples of sources of informa-
9	tion that may serve as evidentiary stand-
10	ards for the purposes of making determina-
11	tions regarding the development and appli-
12	cation of nonquantitative treatment limita-
13	tions;
14	"(iv) examples of specific factors, and
15	the evidentiary standards used to evaluate
16	such factors, used by such plans or issuers
17	in performing a nonquantitative treatment
18	limitation analysis;
19	"(v) examples of how specific evi-
20	dentiary standards may be used to deter-
21	mine whether treatments are considered ex-
22	$perimental\ or\ investigative;$
23	"(vi) examples of how specific evi-
24	dentiary standards may be applied to each
25	service category or classification of benefits;

1	"(vii) examples of methods of reaching
2	appropriate coverage determinations for
3	new mental health or substance use disorder
4	treatments, such as evidence-based early
5	intervention programs for individuals with
6	a serious mental illness and types of med-
7	ical management techniques;
8	"(viii) examples of methods of reaching
9	appropriate coverage determinations for
10	which there is an indirect relationship be-
11	tween the covered mental health or sub-
12	stance use disorder benefit and a traditional
13	covered medical and surgical benefit, such
14	as residential treatment or hospitalizations
15	involving voluntary or involuntary commit-
16	ment; and
17	"(ix) additional illustrative examples
18	of methods, processes, strategies, evidentiary
19	standards, and other factors for which the
20	Secretary determines that additional guid-
21	ance is necessary to improve compliance
22	with this section.
23	"(D) Public comment.—Prior to issuing
24	any final guidance under this paragraph, the
25	Secretary shall provide a public comment period

of not less than 60 days during which any member of the public may provide comments on a draft of the guidance.".

## (c) Improving Compliance.—

- of Health and Human Services, the Secretary of Labor, or the Secretary of the Treasury determines that a group health plan or health insurance issuer offering group or individual health insurance coverage has violated, at least 5 times, section 2726 of the Public Health Service Act (42 U.S.C. 300gg–26), section 712 of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1185a), or section 9812 of the Internal Revenue Code, the appropriate Secretary shall audit plan documents for such health plan or issuer in the plan year following the Secretary's determination in order to help improve compliance with such section.
- (2) RULE OF CONSTRUCTION.—Nothing in this subsection shall be construed to limit the authority, as in effect on the day before the date of enactment of this Act, of the Secretary of Health and Human Services, the Secretary of Labor, or the Secretary of the Treasury to audit documents of health plans or health insurance issuers.

1	SEC. 802. ACTION PLAN FOR ENHANCED ENFORCEMENT OF
2	MENTAL HEALTH AND SUBSTANCE USE DIS-
3	ORDER COVERAGE.
4	(a) Public Meeting.—
5	(1) In General.—Not later than 6 months after
6	the date of enactment of this Act, the Secretary of
7	Health and Human Services shall convene a public
8	meeting of stakeholders described in paragraph (2) to
9	produce an action plan for improved Federal and
10	State coordination related to the enforcement of men-
11	tal health parity and addiction equity requirements.
12	(2) Stakeholders.—The stakeholders described
13	in this paragraph shall include each of the following:
14	(A) The Federal Government, including rep-
15	resentatives from—
16	(i) the Department of Health and
17	Human Services;
18	(ii) the Department of the Treasury;
19	(iii) the Department of Labor; and
20	(iv) the Department of Justice.
21	(B) State governments, including—
22	(i) State health insurance commis-
23	sioners;
24	(ii) appropriate State agencies, includ-
25	ing agencies on public health or mental
26	health; and

1	(iii) State attorneys general or other
2	representatives of State entities involved in
3	the enforcement of mental health parity
4	laws.
5	(C) Representatives from key stakeholder
6	groups, including—
7	(i) the National Association of Insur-
8	$ance\ Commissioners;$
9	(ii) health insurance providers;
10	(iii) providers of mental health and
11	substance use disorder treatment;
12	(iv) employers; and
13	(v) patients or their advocates.
14	(b) ACTION PLAN.—Not later than 6 months after the
15	public meeting under subsection (a), the Secretary of Health
16	and Human Services shall finalize the action plan described
17	in such subsection and make it plainly available on the
18	Internet website of the Department of Health and Human
19	Services.
20	(c) Content.—The action plan under this section
21	shall—
22	(1) reflect the input of the stakeholders invited to
23	the public meeting under subsection (a);
24	(2) identify specific strategic objectives regarding
25	how the various Federal and State agencies charged

1	with enforcement of mental health parity and addic-
2	tion equity requirements will collaborate to improve
3	enforcement of such requirements;
4	(3) provide a timeline for implementing the ac-
5	tion plan; and
6	(4) provide specific examples of how such objec-
7	tives may be met, which may include—
8	(A) providing common educational infor-
9	mation and documents to patients about their
10	rights under Federal or State mental health par-
11	ity and addiction equity requirements;
12	(B) facilitating the centralized collection of,
13	monitoring of, and response to patient com-
14	plaints or inquiries relating to Federal or State
15	mental health parity and addiction equity re-
16	quirements, which may be through the develop-
17	ment and administration of a single, toll-free
18	telephone number and an Internet website portal;
19	(C) Federal and State law enforcement
20	agencies entering into memoranda of under-
21	standing to better coordinate enforcement respon-
22	sibilities and information sharing, including
23	whether such agencies should make the results of
24	enforcement actions related to mental health par-

1	ity and addiction equity requirements publicly
2	available; and
3	(D) recommendations to the Secretary and
4	Congress regarding the need for additional legal
5	authority to improve enforcement of mental
6	health parity and addiction equity requirements,
7	including the need for additional legal authority
8	to ensure that nonquantitative treatment limita-
9	tions are applied, and the extent and frequency
10	of the applications of such limitations, both to
11	medical and surgical benefits and to mental
12	health and substance use disorder benefits in a
13	$comparable\ manner.$
14	SEC. 803. REPORT ON INVESTIGATIONS REGARDING PARITY
15	IN MENTAL HEALTH AND SUBSTANCE USE
16	DISORDER BENEFITS.
17	(a) In General.—Not later than 1 year after the date
18	of enactment of this Act, and annually thereafter for the
19	subsequent 5 years, the Administrator of the Centers for
20	Medicare & Medicaid Services, in collaboration with the As-
21	sistant Secretary of Labor of the Employee Benefits Secu-
22	rity Administration and the Secretary of the Treasury,
23	shall submit to the Committee on Energy and Commerce
24	of the House of Representatives and the Committee on
25	Health, Education, Labor, and Pensions of the Senate a

1	report summarizing the results of all closed Federal inves-
2	tigations completed during the preceding 12-month period
3	with findings of any serious violation regarding compliance
4	with mental health and substance use disorder coverage re-
5	quirements under section 2726 of the Public Health Service
6	Act (42 U.S.C. 300gg-26), section 712 of the Employee Re-
7	tirement Income Security Act of 1974 (29 U.S.C. 1185a)
8	and section 9812 of the Internal Revenue Code of 1986.
9	(b) Contents.—Subject to subsection (c), a report
10	under subsection (a) shall, with respect to investigations de
11	scribed in such subsection, include each of the following:
12	(1) The number of open or closed Federal inves-
13	tigations conducted during the covered reporting pe
14	riod.
15	(2) Each benefit classification examined by any
16	such investigation conducted during the covered re-
17	porting period.
18	(3) Each subject matter, including compliance
19	with requirements for quantitative and nonquantita
20	tive treatment limitations, of any such investigation
21	conducted during the covered reporting period.
22	(4) A summary of the basis of the final decision
23	rendered for each closed investigation conducted dur-
24	ing the covered reporting period that resulted in a

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finding of a serious violation.

- 1 (c) Limitation.—Any individually identifiable infor-
- 2 mation shall be excluded from reports under subsection (a)
- 3 consistent with protections under the health privacy and
- 4 security rules promulgated under section 264(c) of the
- 5 Health Insurance Portability and Accountability Act of
- 6 1996 (42 U.S.C. 1320d-2 note).
- 7 SEC. 804. GAO STUDY ON PARITY IN MENTAL HEALTH AND
- 8 SUBSTANCE USE DISORDER BENEFITS.
- 9 Not later than 3 years after the date of enactment of
- 10 this Act, the Comptroller General of the United States, in
- 11 consultation with the Secretary of Health and Human
- 12 Services, the Secretary of Labor, and the Secretary of the
- 13 Treasury, shall submit to the Committee on Energy and
- 14 Commerce of the House of Representatives and the Com-
- 15 mittee on Health, Education, Labor, and Pensions of the
- 16 Senate a report detailing the extent to which group health
- 17 plans or health insurance issuers offering group or indi-
- 18 vidual health insurance coverage that provides both medical
- 19 and surgical benefits and mental health or substance use
- 20 disorder benefits, medicaid managed care organizations
- 21 with a contract under section 1903(m) of the Social Secu-
- 22 rity Act (42 U.S.C. 1396b(m)), and health plans provided
- 23 under the State Children's Health Insurance Program
- 24 under title XXI of the Social Security Act (42 U.S.C.
- 25 1397aa et seq.) comply with section 2726 of the Public

- 1 Health Service Act (42 U.S.C. 300gg-26), section 712 of
- 2 the Employee Retirement Income Security Act of 1974 (29)
- 3 U.S.C. 1185a), and section 9812 of the Internal Revenue
- 4 Code of 1986, including—

- (1) how nonquantitative treatment limitations,
   including medical necessity criteria, of such plans or
   issuers comply with such sections;
  - (2) how the responsible Federal departments and agencies ensure that such plans or issuers comply with such sections, including an assessment of how the Secretary of Health and Human Services has used its authority to conduct audits of such plans to ensure compliance;
    - (3) a review of how the various Federal and State agencies responsible for enforcing mental health parity requirements have improved enforcement of such requirements in accordance with the objectives and timeline described in the action plan under section 802; and
    - (4) recommendations for how additional enforcement, education, and coordination activities by responsible Federal and State departments and agencies could better ensure compliance with such sections, including recommendations regarding the need for additional legal authority.

1	SEC. 805. INFORMATION AND AWARENESS ON EATING DIS-
2	ORDERS.
3	(a) Information.—The Secretary of Health and
4	Human Services (in this section referred to as the "Sec-
5	retary") may—
6	(1) update information, related fact sheets, and
7	resource lists related to eating disorders that are
8	available on the public Internet website of the Na-
9	tional Women's Health Information Center sponsored
10	by the Office on Women's Health, to include—
11	(A) updated findings and current research
12	related to eating disorders, as appropriate; and
13	(B) information about eating disorders, in-
14	cluding information related to males and fe-
15	males;
16	(2) incorporate, as appropriate, and in coordi-
17	nation with the Secretary of Education, information
18	from publicly available resources into appropriate
19	obesity prevention programs developed by the Office
20	on Women's Health; and
21	(3) make publicly available (through a public
22	Internet website or other method) information, related
23	fact sheets and resource lists, as updated under para-
24	graph (1), and the information incorporated into ap-
25	propriate obesity prevention programs, as updated
26	under paragraph (2).

1	(b) AWARENESS.—The Secretary may advance public
2	awareness on—
3	(1) the types of eating disorders;
4	(2) the seriousness of eating disorders, including
5	prevalence, comorbidities, and physical and mental
6	health consequences;
7	(3) methods to identify, intervene, refer for treat-
8	ment, and prevent behaviors that may lead to the de-
9	velopment of eating disorders;
10	(4) discrimination and bullying based on body
11	size;
12	(5) the effects of media on self-esteem and body
13	image; and
14	(6) the signs and symptoms of eating disorders.
15	SEC. 806. EDUCATION AND TRAINING ON EATING DIS-
16	ORDERS.
17	The Secretary of Health and Human Services may fa-
18	cilitate the identification of programs to educate and train
19	
	health professionals and school personnel in effective strate-
20	health professionals and school personnel in effective strategies to—
20 21	
	gies to—
21	gies to—  (1) identify individuals with eating disorders;
21 22	gies to—  (1) identify individuals with eating disorders;  (2) provide early intervention services for indi-

1	(4) prevent the development of eating disorders;
2	or
3	(5) provide appropriate treatment services for
4	individuals with eating disorders.
5	SEC. 807. GAO STUDY ON PREVENTING DISCRIMINATORY
6	COVERAGE LIMITATIONS FOR INDIVIDUALS
7	WITH SERIOUS MENTAL ILLNESS AND SUB-
8	STANCE USE DISORDERS.
9	Not later than 2 years after the date of the enactment
10	of this Act, the Comptroller General of the United States
11	shall submit to Congress and make publicly available a re-
12	port detailing Federal oversight of group health plans and
13	health insurance coverage offered in connection with such
14	plans (as such terms are defined in section 2791 of the Pub-
15	lic Health Service Act (42 U.S.C. 300gg-91), including
16	Medicaid managed care plans under section 1903 of the So-
17	cial Security Act (42 U.S.C. 1396b), to ensure compliance
18	of such plans and coverage with sections 2726 of the Public
19	Health Service Act (42 U.S.C. 300gg-26), 712 of the Em-
20	ployee Retirement Income Security Act of 1974 (29 U.S.C.
21	1185a), and 9812 of the Internal Revenue Code of 1986 (in
22	this section collectively referred to as the "parity law"), in-
23	cluding—
24	(1) a description of how Federal regulations and
25	quidance consider nonquantitative treatment limita-

- tions, including medical necessity criteria and application of such criteria to medical, surgical, and primary care, of such plans and coverage in ensuring compliance by such plans and coverage with the parity law;
  - (2) a description of actions that Federal departments and agencies are taking to ensure that such plans and coverage comply with the parity law; and
- 9 (3) the identification of enforcement, education, 10 and coordination activities within Federal depart-11 ments and agencies, including educational activities 12 directed to State insurance commissioners, and a de-13 scription of how such proper activities can be used to 14 ensure full compliance with the parity law.

## 15 SEC. 808. CLARIFICATION OF EXISTING PARITY RULES.

If a group health plan or a health insurance issuer offering group or individual health insurance coverage provides coverage for eating disorder benefits, including residential treatment, such group health plan or health insurance issuer shall provide such benefits consistent with the requirements of section 2726 of the Public Health Service
Act (42 U.S.C. 300gg-26), section 712 of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1185a),
and section 9812 of the Internal Revenue Code of 1986.

6

7

## Union Calendar No. 517

# 114TH CONGRESS H. R. 2646

[Report No. 114-667, Part I]

## A BILL

To make available needed psychiatric, psychological, and supportive services for individuals with mental illness and families in mental health crisis, and for other purposes.

July 6, 2016

Reported from the Committee on Energy and Commerce with an amendment

July 6, 2016

The Committees on Ways and Means and Education and the Workforce discharged; committed to the Committee of the Whole House on the State of the Union and ordered to be printed