#### 114TH CONGRESS 1ST SESSION S. 1014

To amend the Federal Food, Drug, and Cosmetic Act to ensure the safety of cosmetics.

#### IN THE SENATE OF THE UNITED STATES

April 20, 2015

Mrs. FEINSTEIN (for herself and Ms. COLLINS) introduced the following bill; which was read twice and referred to the Committee on Health, Education, Labor, and Pensions

## A BILL

To amend the Federal Food, Drug, and Cosmetic Act to ensure the safety of cosmetics.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

#### **3** SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

- 4 (a) SHORT TITLE.—This Act may be cited as the
- 5 "Personal Care Products Safety Act".
- 6 (b) TABLE OF CONTENTS.—The table of contents for
- 7 this Act is as follows:

Sec. 1. Short title; table of contents.

#### TITLE I—COSMETIC SAFETY

Sec. 101. Registration of cosmetics facilities and cosmetic ingredient statements.

- Sec. 102. Review of ingredients and non-functional constituents; safety of finished products.
- Sec. 103. Good manufacturing practices for cosmetics.
- Sec. 104. Adverse event reports.
- Sec. 105. Records inspection; mandatory recall authority.
- Sec. 106. Labeling.
- Sec. 107. Coal tar chemicals.
- Sec. 108. Animal testing alternatives.
- Sec. 109. Preemption.
- Sec. 110. Reporting.
- Sec. 111. Small businesses.
- Sec. 112. Applicability with respect to certain cosmetics.
- Sec. 113. Enforcement.
- Sec. 114. Consumer information.

#### TITLE II—FEES RELATED TO COSMETIC SAFETY

Sec. 201. Findings.

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Sec. 202. Authority to assess and use cosmetic safety fees.

Sec. 203. Direct hiring authority to support activities related to cosmetics.

### TITLE I—COSMETIC SAFETY

#### 2 SEC. 101. REGISTRATION OF COSMETICS FACILITIES AND

- **3 COSMETIC INGREDIENT STATEMENTS.**
- 4 (a) AMENDMENTS.—Chapter VI of the Federal Food,
- 5 Drug, and Cosmetic Act (21 U.S.C. 361 et seq.) is amend-
- 6 ed by adding at the end the following:

#### 7 "SEC. 604. DEFINITIONS.

- 8 "In this chapter:
- 9 "(1) COSMETIC FORMULATION.—The term 'cos10 metic formulation' means a preparation of cosmetic
  11 raw materials with a qualitatively and quantitatively
  12 set composition.
- 13 "(2) COSMETIC PRODUCT.—The term 'cosmetic
  14 product' means a cosmetic comprised of a specified
  15 set of ingredients, which may come in a range of

1	possible amounts for each ingredient and which may
2	include a variety of fragrances, flavors, and colors.
3	"(3) FACILITY.—The term 'facility' includes
4	any factory, warehouse, or establishment (including
5	a factory, warehouse, or establishment of an im-
6	porter) that manufactures, processes, packs, or holds
7	cosmetic products or cosmetic formulations, or any
8	other entity whose name and address appear on the
9	label of a cosmetic product. Such term does not in-
10	clude—
11	"(A) beauty shops and salons that do not
12	otherwise manufacture, process, or package cos-
13	metics at that location;
14	"(B) cosmetic product retailers, including
15	individual sales representatives, retail distribu-
16	tion facilities, and pharmacies, that do not oth-
17	erwise manufacture, process, or package cos-
18	metics at that location;
19	"(C) hospitals, physicians' offices, and
20	health care clinics;
21	"(D) public health agencies and other non-
22	profit entities that provide cosmetics directly to
23	the consumer;
24	"(E) hotels and other entities that provide
25	complimentary cosmetics to guests;

1	"(F) trade shows and other venues where
2	cosmetic product samples are provided free of
3	charge;
4	"(G) domestic manufacturers with less
5	than \$100,000 in gross annual sales of cosmetic
6	products; or
7	"(H) entities that manufacture or com-
8	pound cosmetic products solely for use in re-
9	search, teaching, or pilot plant production and
10	not for sale.
11	"(4) FOREIGN FACILITY.—The term 'foreign fa-
12	cility' means a facility that manufactures, processes,
13	packs, or holds, a cosmetic formulation or cosmetic
14	product that is exported to the United States with-
15	out further processing or packaging inside the
16	United States. A cosmetic is not considered to have
17	undergone further processing or packaging for pur-
18	poses of this definition solely on the basis that label-
19	ing was added or that any similar activity of a de
20	minimis nature was carried out with respect to the
21	cosmetic.
22	"(5) Non-functional constituent.—The

122 "(5) NON-FUNCTIONAL CONSTITUENT.—The
23 term 'non-functional constituent' means any sub24 stance that is an incidental component of an ingre25 dient, a breakdown product of an ingredient or a by-

1	product of the manufacturing process that has not
2	been intentionally added as a separate substance and
3	serves no technical function in the cosmetic.
4	"(6) Responsible person.—The term 're-
5	sponsible person' means—
6	"(A) the brand owner who is the domestic
7	or foreign manufacturer, packer, or entity
8	whose name appears on a cosmetic product
9	label of a cosmetic product distributed in the
10	United States, except for entities described in
11	subparagraphs (A) through (H) of paragraph
12	(3); or
13	"(B) a contract manufacturer who provides
14	cosmetic products to the entities described in
15	subparagraphs (A) through (H) of paragraph
16	(3).".
17	"SEC. 605. REGISTRATION OF COSMETIC FACILITIES.
18	"(a) Registration and Fees for Existing Man-
19	UFACTURING OR PROCESSING OF COSMETICS.—
20	"(1) REGISTRATION, IN GENERAL.—Not later
21	than December 1, 2015, and at a similar time in
22	each subsequent year, as determined by the Food
23	and Drug Administration, each responsible person
24	engaged in manufacturing or processing a cosmetic
25	product or a cosmetic formulation distributed in the

United States shall register all of the responsible
 person's facilities with the Food and Drug Adminis tration.

4 "(2) FEES.—If the average gross annual sales in the United States of cosmetic products of all of 5 6 the responsible person's facilities registered under 7 paragraph (1) for the previous 3-year period is 8 greater than \$500,000, a registration shall not be 9 complete under this subsection until the responsible 10 person has paid any registration fee required under 11 section 744L.

12 "(b) REGISTRATION FOR EXISTING PACKING OR 13 HOLDING OF COSMETICS.—Not later than December 1, 2015, and at a similar time once every 3 years thereafter, 14 15 as determined by the Food and Drug Administration, each person who owns or operates a cosmetic facility or facili-16 ties engaged in packing or holding a cosmetic product dis-17 tributed in the United States shall register each such facil-18 ity with the Food and Drug Administration. 19

"(c) REGISTRATION BY NEW FACILITIES.—Any facility first engaging after the date of enactment of the Personal Care Products Safety Act in an activity that would
require it to register under subsection (a) or (b) shall register with the Food and Drug Administration within 60

1 days of first engaging in such activity, and thereafter in2 accordance with subsection (a) or (b).

3 "(d) CHANGES TO INFORMATION.—A registrant who
4 has submitted a registration under this section shall notify
5 the Food and Drug Administration of any change to the
6 information required under subsection (a) or (b) not later
7 than 60 days after the date of such change, unless other8 wise specified by the Food and Drug Administration.

9 "(e) FORMAT; CONTENTS.—

10 "(1) ELECTRONIC FORMAT.—Each registration
11 shall be submitted using an electronic format, as
12 specified in a registration form provided by the Food
13 and Drug Administration.

14 "(2) CONTENTS.—The registration shall con-15 tain the following information:

16 "(A) Each facility's name and full address,
17 identifying the precise physical location of the
18 facility.

19 "(B) The identity of the facility, including
20 the unique facility identifier, if any, previously
21 assigned by the Food and Drug Administration
22 to the facility under subsection (g).

23 "(C) All business trading names used by24 the facility.

1	"(D) The product category or categories of
2	each cosmetic product or cosmetic formulation
3	manufactured, processed, packed, or held at the
4	facility or on whose label the facility's name
5	and address appear.
6	"(E) The type of activity conducted at the
7	facility (such as manufacturing, processing,
8	packing, or holding).
9	"(F) The name, title, street address, tele-
10	phone number, and electronic contact informa-
11	tion of the emergency contact for the facility.
12	"(G) In the case of a foreign facility, the
13	name, street address, telephone number, emer-
14	gency contact information for the facility, the
15	name of the United States agent for the facil-
16	ity, and, if available, the electronic contact in-
17	formation of the United States agent.
18	"(H) The name, title, street address, tele-
19	phone number, and electronic contact informa-
20	tion of the individual submitting the registra-
21	tion.
22	"(I) An assurance that the Food and Drug
23	Administration will be permitted to inspect such
24	facility at the times and in the manner per-
25	mitted by this Act.

1 "(J) Additional information pertaining to 2 the facility or to the cosmetic products or cos-3 metic formulations manufactured, processed, 4 packed, or held at the facility, or on whose label 5 the facility's name and address appear, includ-6 ing all brand names known to consumers, as the Food and Drug Administration may require 7 8 by regulation.

9 "(3) ABBREVIATED REGISTRATION.—The Food 10 and Drug Administration shall provide for an abbre-11 viated registration renewal process for any registrant 12 that has not had any changes to such information 13 with respect to the facility or facilities involved since 14 the registrant submitted the preceding registration. 15 "(f) INCOMPLETE OR INACCURATE REGISTRATION.—

"(1) IN GENERAL.—Not earlier than 10 days 16 17 after providing notice of the intent to cancel a reg-18 istration and the basis for such cancellation, the 19 Food and Drug Administration may cancel a reg-20 istration under this section if the Food and Drug 21 Administration has reasonable grounds to believe 22 that the registration was not properly completed or 23 updated in accordance with this section or otherwise 24 contains false, incomplete, or inaccurate information.

1 "(2) TIMELY UPDATE OR CORRECTION.—If, not 2 later than 7 days after receipt of a notice of intent 3 to cancel, the sponsor corrects the registration in ac-4 cordance with the basis for the cancellation, and the 5 required registration fee, if any, is paid, the Food 6 and Drug Administration shall not cancel such reg-7 istration.

8 "(g) UNIQUE IDENTIFIER.—At the time of the initial 9 registration of any cosmetic facility under this section, the 10 Food and Drug Administration shall assign a unique iden-11 tifier to the facility.

12 "(h) REGISTRY OF FACILITIES.—

13 "(1) IN GENERAL.—The Food and Drug Ad-14 ministration shall compile, maintain, and update a 15 registry of facilities that are registered under this 16 section, and shall remove from such registry the 17 name of any facility whose registration under this 18 section is cancelled. The registry shall be publicly 19 available.

20 "(2) PUBLIC AVAILABILITY EXCEPTIONS.—In21 formation derived from the registry or registration
22 documents that discloses the residential address of a
23 registrant or that discloses specific facilities where
24 specific cosmetic products are manufactured or proc-

essed shall not be subject to disclosure under section
 552 of title 5, United States Code.

#### 3 "SEC. 606. COSMETIC INGREDIENT STATEMENTS.

4 "(a) IN GENERAL.—For each cosmetic product, the 5 responsible person shall submit to the Food and Drug Ad-6 ministration a cosmetic ingredient statement, at such time 7 and in such manner as the Food and Drug Administration 8 may prescribe. The cosmetic ingredient statement shall 9 not become effective until the responsible person pays any 10 applicable fee required under section 744L.

11 "(b) SUBMISSION OF A COSMETIC INGREDIENT12 STATEMENT.—

13 "(1) EXISTING COSMETIC PRODUCTS.—In the 14 case of a cosmetic product that is marketed on the 15 date of enactment of the Personal Care Products 16 Safety Act, the responsible person shall submit a 17 cosmetic ingredient statement not later than Decem-18 ber 1, 2015. The responsible person shall submit to 19 the Food and Drug Administration a renewal of 20 such statement on a yearly basis.

21 "(2) COSMETIC INGREDIENT STATEMENT FOR
22 NEW COSMETIC PRODUCTS.—

23 "(A) IN GENERAL.—Except as provided
24 under subparagraph (B), in the case of a cos25 metic product that is first marketed after the

date of enactment of the Personal Care Products Safety Act or a cosmetic product that is

ucts Safety Act or a cosmetic product that is reformulated after such date of enactment, the responsible person shall submit a cosmetic ingredient statement to the Food and Drug Administration within 60 days of first marketing the new cosmetic product or the reformulated cosmetic product, and annually thereafter.

9 "(B) SMALL BUSINESSES.—The Food and Drug Administration shall allow a responsible 10 11 person that is a business that meets the appli-12 cable industry-based small business size stand-13 ard established by the Administrator of the 14 Small Business Administration under section 3 15 of the Small Business Act to have a period 16 longer than 60 days to submit an initial new 17 cosmetic ingredient statement under subpara-18 graph (A). Such responsible person shall submit 19 a cosmetic ingredient statement annually there-20 after.

21 "(C) DEFINITION.—A cosmetic product
22 shall not be considered first marketed or refor23 mulated after the date of enactment under sub24 paragraph (A) if the only change in such prod25 uct is in—

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1	"(i) the amount of an existing ingre-
2	dient if it is within the range previously re-
3	ported under subsection $(c)(2)(E)$ ; or
4	"(ii) the addition or subtraction of a
5	fragrance, flavor, or color, or such other
6	interchangeable ingredients specified by
7	the Food and Drug Administration in reg-
8	ulations or guidance, previously reported
9	as a potential ingredient under subsection
10	(c)(2)(E), if, in the case of such an addi-
11	tion, the amount is within the range pre-
12	viously reported.
13	"(c) FORMAT; CONTENTS.—
14	"(1) FORM.—For each cosmetic product, the
15	cosmetic ingredient statement shall be submitted
16	using an electronic format, as specified in a cosmetic
17	and ingredient form provided by the Food and Drug
18	Administration.
19	"(2) CONTENTS.—The cosmetic ingredient
20	statement shall include the following information:
21	"(A) The unique identifier, assigned under
22	section 605(g), as applicable, of—
23	"(i) the facility or facilities where the
24	cosmetic product is manufactured, proc-

essed, packed, or held or, if the same cos-

metic product is manufactured, processed,
packed, or held in more than one facility,
the unique facility identifier of each facility
where it is manufactured, processed,
packed, or held; and
"(ii) the facility whose name and ad-
dress appear on the label, unless the state-
ment is filed by a contract manufacturer,
described in section $604(6)(B)$ .
"(B) The brand name and the full name
for the cosmetic product as it appears on the
label.
"(C) The cosmetic product listing number,
if any, previously assigned by the Food and
Drug Administration under subsection (f) to
the cosmetic product.
"(D) The applicable cosmetic category for
the cosmetic product.
"(E) A list of ingredients in the cosmetic
product, including a range of possible amounts
of each ingredient, and with each ingredient
identified by the name adopted in regulations
promulgated by the Food and Drug Adminis-

1	name of the ingredient. The cosmetic ingredient
2	statement shall contain—
3	"(i) a list of fragrances, flavors, and
4	colors that may be included in the product,
5	interchangeably, with ranges of possible
6	amounts, which shall include—
7	"(I) in the case of fragrances
8	that are purchased from a fragrance
9	supplier, the fragrances shall be iden-
10	tified by the name or code provided by
11	the supplier, and include the name
12	and contact information for the fra-
13	grance supplier;
14	"(II) in the case of flavors that
15	are purchased from a flavor supplier,
16	the flavors shall be identified by the
17	name or code provided by the sup-
18	plier, and include the name and con-
19	tact information for the flavor sup-
20	plier; and
21	"(III) in the case of a notifica-
22	tion provided by the Food and Drug
23	Administration to the responsible per-
24	son for the cosmetic manufacturer,
25	the Food and Drug Administration

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1	may request, from the fragrance or
2	flavor supplier, the complete list of in-
3	gredients in specific fragrances or fla-
4	vors and the supplier shall have 30
5	days to provide such list to the Food
6	and Drug Administration; and
7	"(ii) other appropriate interchange-
8	able ingredients as the Food and Drug Ad-
9	ministration may specify in regulations or
10	guidance that may be included in the prod-
11	uct, with ranges of possible amounts.
12	"(F) The title and full contact information
13	of each individual submitting the statement.
14	"(G) If applicable, information on the la-
15	beling required under section 614.
16	"(H) Such additional information per-
17	taining to the cosmetic product as the Food and
18	Drug Administration may require.
19	"(3) Cosmetic ingredient statement for
20	CERTAIN SMALL BUSINESSES.—
21	"(A) IN GENERAL.—Notwithstanding any
22	other provision of this subsection, the Food and
23	Drug Administration may permit a simplified
24	cosmetic ingredient statement under this sec-
25	tion for a responsible person that—

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"(i) is a business that meets the appli-
cable industry-based small business size
standard established by the Administrator
of the Small Business Administration
under section 3 of the Small Business Act;
and
"(ii) has had an average of less than
\$500,000 in annual domestic cosmetic
sales over the previous 3 years.
"(B) CONTENTS.—A responsible person
described in subparagraph (A) shall include in
each cosmetic ingredient statement under this
section, at a minimum, a list of ingredients in
the cosmetic product and the applicable cos-
metic category for the cosmetic product.
"(d) Incomplete or Inaccurate Cosmetic In-
GREDIENT STATEMENT.—
"(1) IN GENERAL.—Not earlier than 10 days
after providing notice under paragraph (2), the Food
and Drug Administration may nullify a cosmetic in-
gredient statement filed under this section if the
Food and Drug Administration has reasonable
grounds to believe that the cosmetic ingredient state-
ment was not completed or updated in accordance

1	with this section or otherwise contains false, incom-
2	plete, or inaccurate information.
3	"(2) Notice of Nullification.—A nullifica-
4	tion under paragraph (1) shall be preceded by notice
5	to the responsible person of the intent to cancel the
6	cosmetic ingredient statement and the basis for such
7	cancellation.
8	"(3) TIMELY UPDATE OR CORRECTION.—If the
9	cosmetic ingredient statement is appropriately up-
10	dated or corrected not later than 7 days after notice
11	is provided under paragraph (1), the Food and Drug
12	Administration shall not nullify such cosmetic ingre-
13	dient statement.
14	"(e) Additional Requirements.—
15	"(1) SAFETY REQUIREMENTS.—In filing each
16	cosmetic ingredient statement cosmetic product, the
17	responsible person shall include an attestation that
18	the safety of the product, including the individual in-
19	gredients of such product and the product as a
20	whole, has been substantiated in accordance with

section 609. In the case of a cosmetic ingredient

statement that includes a range of possible amounts

(as described in subsection (c)(2)(E)), the respon-

sible person shall include an attestation that the

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1	safety of the full range in the finished product has
2	been substantiated, in accordance with section 609.
3	"(2) ABBREVIATED FILING.—The Food and
4	Drug Administration shall provide for an abbre-
5	viated renewal process for any such filing with re-
6	spect to which there has been no change since the
7	responsible person submitted the previous filing.
8	"(3) Changes to information.—
9	"(A) IN GENERAL.—Except as provided in
10	subparagraph (B), the responsible person shall
11	notify the Food and Drug Administration with-
12	in 60 days of any change to the information re-
13	quired to be in a cosmetic ingredient statement,
14	including discontinuation of the manufacture of
15	a cosmetic product, except that notification
16	under this paragraph is not required for a
17	change in—
18	"(i) the amount of an existing ingre-
19	dient if it is within the range previously re-
20	ported under subsection $(c)(2)(E)$ ; or
21	"(ii) the addition or subtraction of a
22	fragrance, flavor, or color, or such other
23	interchangeable ingredients specified by
24	the Food and Drug Administration in reg-
25	ulations or guidance, previously reported

as a potential ingredient under subsection
 (c)(2)(E), if, in the case of an addition of
 such an ingredient, the amount is within
 the range previously reported.

"(B) SMALL BUSINESS.—The Food and 5 6 Drug Administration shall allow a responsible 7 person that is a business that meets the appli-8 cable industry-based small business size stand-9 ard established by the Administrator of the 10 Small Business Administration under section 3 11 of the Small Business Act to have a period 12 longer than 60 days, but not longer than the 13 next annual registration deadline under section 14 605(a)(1), to submit any change to the infor-15 mation required to be in a cosmetic ingredient 16 statement as described in subparagraph (A).

17 "(f) COSMETIC PRODUCTS LIST.—At the time of the initial submission of any cosmetic ingredient statement 18 under this section, the Food and Drug Administration 19 20shall assign a unique cosmetic product listing number to 21 the cosmetic ingredient statement. Based on such cosmetic 22 ingredient statements, the Food and Drug Administration 23 shall compile and maintain a list of cosmetic products dis-24 tributed in the United States, including the ingredients 25 of each such product, and shall make available such list

to any State, upon request. Information disclosed to a
 State that is exempt from disclosure under section
 552(b)(4) of title 5, United States Code, shall be treated
 as a trade secret and confidential information by the
 State.

# 6 "SEC. 607. SUSPENSION OF REGISTRATION OR COSMETIC 7 INGREDIENT STATEMENT.

"(a) SUSPENSION OF REGISTRATION OF A FACIL-8 9 ITY.—If the Food and Drug Administration determines 10 that a cosmetic formulation or cosmetic product manufactured, processed, packed, or held by a registered facility 11 has a reasonable probability of causing serious adverse 12 13 health consequences or death to humans, and there is reason to believe that other formulations or products manu-14 15 factured, processed, packed, or held by the facility may be similarly affected because of a failure affecting multiple 16 products in that facility, the Food and Drug Administra-17 18 tion may suspend the registration of a facility.

19 "(b) SUSPENSION OF COSMETIC INGREDIENT STATE-20 MENT.—If the Food and Drug Administration determines 21 that a cosmetic product manufactured in a registered fa-22 cility has a reasonable probability of causing serious ad-23 verse health consequences or death to humans, the Food 24 and Drug Administration may suspend the cosmetic ingre-25 dient statement of that product. "(c) NOTICE OF SUSPENSION.—Before suspending a
 facility registration or a cosmetic ingredient statement
 under this section, the Food and Drug Administration
 shall provide—

"(1) notice to the facility registrant of the cos-5 6 metic product or formulation or other responsible 7 person, as appropriate, of the intent to suspend the 8 facility registration or the cosmetic ingredient state-9 ment, which shall specify the basis of the determina-10 tion by the Food and Drug Administration that the 11 facility or the cosmetic ingredient should be sus-12 pended and recommendations for specific actions to 13 avoid suspension; and

"(2) an opportunity, within 2 business days of
the notice provided under paragraph (1), for the responsible person to address the reasons for possible
suspension of the facility registration or cosmetic ingredient statement.

"(d) REINSTATEMENT.—Upon a determination by
the Food and Drug Administration that adequate grounds
do not exist to continue the suspension actions, the Food
and Drug Administration shall promptly vacate the suspension and reinstate the registration of the facility or the
cosmetic ingredient statement.

25 "(e) Effect of Suspension.—

"(1) REGISTRATION.—If the registration of a
 facility is suspended under this section, no person
 shall import or export cosmetics or otherwise dis tribute cosmetics from such facility.

5 "(2) COSMETIC INGREDIENT STATEMENT.—If 6 the cosmetic ingredient statement for a cosmetic 7 product is suspended under this section, no person 8 shall import or export such cosmetic product or oth-9 erwise distribute in the United States such cosmetic 10 product that is the subject of such statement.

"(f) NO DELEGATION.—The authority conferred by
this section to issue an order to suspend a registration
or vacate an order of suspension shall not be delegated
to any officer or employee other than the Commissioner.".
SEC. 102. REVIEW OF INGREDIENTS AND NON-FUNCTIONAL

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## CONSTITUENTS; SAFETY OF FINISHED PROD-

17 **UCTS.** 

(a) AMENDMENTS.—Chapter VI of the Federal Food,
Drug, and Cosmetic Act (21 U.S.C. 361 et seq.), as
amended by section 101, is further amended by adding
at the end the following:

22 "SEC. 608. REVIEW OF INGREDIENTS AND NON-FUNC23 TIONAL CONSTITUENTS.

24 "(a) INGREDIENTS AND NON-FUNCTIONAL CON-25 STITUENTS SUBJECT TO REVIEW.—

1 "(1) IN GENERAL.—Beginning in fiscal year 2 2016, the Food and Drug Administration shall re-3 view the safety of the cosmetic ingredients and non-4 functional constituents under paragraph (3), as 5 modified under subsection (c), if applicable, and 6 issue an order under subsection (d) with respect to the use of each such ingredient and presence of each 7 8 such non-functional constituent.

9 "(2) PUBLIC NOTICE AND COMMENT.—At the 10 initiation of the review of each cosmetic ingredient 11 or non-functional constituent, the Food and Drug 12 Administration shall open a docket for the submis-13 sion of public comment and additional data relevant 14 to the safety of the ingredient or non-functional con-15 stituent. The Food and Drug Administration shall 16 provide 60 days for public comment.

17 "(3) Cosmetic ingredients.—

18 "(A) INGREDIENTS TO BE CONSIDERED IN
19 FIRST YEAR.—During fiscal year 2016, the
20 Food and Drug Administration shall initiate the
21 review for safety of the following cosmetic in22 gredients:

- 23 "(i) Diazolidinyl urea.
- 24 "(ii) Lead acetate.

1	"(iii) Methylene glycol/methanediol/
2	formaldehyde.
3	"(iv) Propyl paraben.
4	"(v) Quaternium-15.
5	"(B) Ingredients to be considered in
6	SUBSEQUENT YEARS.—
7	"(i) IN GENERAL.—Beginning in fis-
8	cal year 2017, the Food and Drug Admin-
9	istration shall annually select and complete
10	a review of at least 5 cosmetic ingredients
11	or non-functional constituents that were
12	not reviewed in the prior 3 years from a
13	list determined in consultation with indus-
14	try and consumer groups for review of
15	safety. The Food and Drug Administration
16	may modify such list under subsection (c).
17	"(ii) Considerations.—The deter-
18	mination of which ingredients or functional
19	ingredients will be reviewed in a given year
20	shall be publicized in annual reports to
21	Congress and the public, in accordance
22	with section 618, and subject to consulta-
23	tion as provided for in clause (iii). The re-
24	view of any cosmetic ingredient or non-
25	functional constituent shall commence with

a public announcement by the Food and 1 2 Drug Administration and the opening of a 3 docket as required under paragraph (2). 4 "(iii) CONSULTATION.—The Food and 5 Drug Administration shall establish a Cos-6 metics Safety Advisory Committee, which 7 shall include equal numbers of individuals 8 from the cosmetics industry and consumer 9 groups, and other individuals, as the Food 10 and Drug Administration determines ap-11 propriate, including medical practitioners. 12 Such advisory committee shall advise the 13 Food and Drug Administration on cos-14 metic ingredients and non-functional con-15 stituents to be considered for review, sum-16 marize public comments received pursuant 17 to paragraph (4), and recommend 5 cos-18 metic ingredients or non-functional con-19 stituents to be reviewed for safety each 20 year, as described in clause (i). The Food 21 and Drug Administration may consult with 22 the Cosmetics Safety Advisory Committee 23 on other matters pertaining to cosmetic 24 safety.

"(4) COMMENT PERIOD.—As part of the annual 1 2 reporting to Congress and the public under section 618, the Food and Drug Administration shall solicit 3 4 public comment on which cosmetic ingredients or 5 non-functional constituents on the list are of great-6 est interest to be reviewed next for early review and 7 which additional cosmetic ingredients or non-func-8 tional constituents should be added to the list. The 9 public may submit comments to the Food and Drug 10 Administration at any time during the year regard-11 ing which cosmetic ingredients or non-functional 12 constituents of interest that the Food and Drug Ad-13 ministration may consider during that year or subse-14 quent years.

15 "(b) LIST.—The Food and Drug Administration shall maintain a list, posted on the Internet website of the 16 17 Food and Drug Administration, of the cosmetic ingredi-18 ents and non-functional constituents for which final orders have been issued under subsection (d)(3), the finding 19 20 made for each such ingredient or non-functional con-21 stituent under subsection (d)(4), as modified by any order 22 under subsection (f), and, if applicable, compliance dates 23 that are the subject of a final order under subsection (e). 24 "(c) INITIATIVE OF THE FDA.—The Food and Drug 25 Administration may at any time, after consultation with the Cosmetics Safety Advisory Committee, propose the
 issuance of an order on the safety of a cosmetic ingredient
 or non-functional constituent that was not previously list ed in subsection (a) or under section 618(a)(3).

#### 5 "(d) Determination on Safety.—

6 ((1))INITIAL PROPOSED ADMINISTRATIVE 7 ORDER.—Following consideration of data and com-8 ments to the public docket and any other informa-9 tion before the Food and Drug Administration, the 10 Food and Drug Administration shall determine 11 whether there is adequate evidence to make an ini-12 tial finding on the safety of the ingredient or non-13 functional constituent. If the Food and Drug Ad-14 ministration determines that there is adequate evi-15 dence, the Food and Drug Administration shall issue 16 a proposed administrative order and shall post such 17 order on the Internet website of the Food and Drug 18 Administration, notwithstanding subchapter II of 19 chapter 5 of title 5, United States Code.

20 "(2) PUBLIC COMMENT.—Upon publication of
21 the proposed administrative order described in para22 graph (1), the Food and Drug Administration shall
23 open a docket for the submission of public comment.
24 The Food and Drug Administration shall provide 30

days for public comment following publication of the
 proposed administrative order.

(3)FINAL ADMINISTRATIVE ORDER.—Fol-3 4 lowing the public comment period described in para-5 graph (2) and consideration of comments to the pub-6 lic docket and any other information before the Food 7 and Drug Administration, the Food and Drug Ad-8 ministration shall determine whether there is ade-9 quate evidence to make a final finding on the safety 10 of the ingredient or non-functional constituent. If 11 the Food and Drug Administration determines that 12 there is adequate evidence, the Food and Drug Ad-13 ministration shall issue a final administrative order 14 and shall post such order on the Internet website of 15 the Food and Drug Administration, notwithstanding 16 subchapter II of chapter 5 of title 5, United States 17 Code.

18 "(4) DETERMINATIONS.—In the proposed ad19 ministrative order or the final administrative order,
20 as applicable, the Food and Drug Administration
21 shall make a determination that the ingredient or
22 non-functional constituent is—

23 "(A) safe in cosmetic products under speci24 fied conditions of use or tolerances;

	50
1	"(B) safe in cosmetic products without the
2	need for specified conditions of use or toler-
3	ances; or
4	"(C) not safe in cosmetic products.
5	"(5) Conditions of use and tolerances.—
6	An order under paragraph (4)(A) shall include such
7	conditions on the use of an ingredient or such toler-
8	ances on the presence of a non-functional con-
9	stituent as are necessary for the safety of cosmetic
10	products containing such ingredient or non-func-
11	tional constituent, including—
12	"(A) limits on the amount or concentration
13	of the ingredient or non-functional constituent
14	that may be present in a cosmetic product, in-
15	cluding limits in products intended for children
16	and other vulnerable populations, and limits on
17	use near the eye or mucosal membranes;
18	"(B) warnings that are necessary or appro-
19	priate under section 614, including warnings re-
20	lated to use by children, pregnant women, popu-
21	lations with high exposure to the ingredient
22	(such as workers who are exposed through pro-
23	duction practices or handling of final products),
24	or other vulnerable populations, to help ensure

1	safe use of cosmetic products containing the in-
2	gredient or non-functional constituent; and
3	"(C) such other conditions as are nec-
4	essary for the safety of cosmetic products con-
5	taining such ingredient or non-functional con-
6	stituent.
7	"(6) PUBLIC NOTICE.—A final order under this
8	subsection shall set forth the determination of the
9	Food and Drug Administration on safety, any condi-
10	tions of use or tolerances under subparagraph (A) or
11	(B) of paragraph (4) and a summary of the valid
12	scientific evidence supporting the finding. The order
13	shall be effective upon its publication on the Internet
14	website of the Food and Drug Administration and
15	shall be considered final agency action.
16	"(e) Order.—If the Food and Drug Administration
17	issues a final administrative order under subparagraph
18	(A) or (C) of subsection (d)(4), the Food and Drug Ad-
19	ministration shall, at the same time as publication of the
20	notice under subsection $(d)(6)$ , publish a proposed order
21	identifying dates by which use of the ingredient or non-
22	functional constituent in cosmetic products shall comply
23	with the final administrative order, and provide 60 days
24	for public comment, including comment on whether com-
25	pliance is feasible within the proposed dates. After consid-

ering comments on the proposed order, the Food and
 Drug Administration shall publish in the Federal Register
 a final order.

4 "(f) MODIFICATION OF AN ORDER.—An order issued
5 under subsection (d) or (e) may be modified or revoked
6 by the Food and Drug Administration on the initiative of
7 the Food and Drug Administration or in response to a
8 petition.

9 "(g) INADEQUATE EVIDENCE.—

10 "(1) NOTICE; EXTENSION.—If the Food and 11 Drug Administration determines that the available 12 data and information are not adequate to make a 13 proposed or final determination regarding safety 14 under subsection (d)(4), with respect to a cosmetic 15 ingredient or non-functional constituent, the Food 16 and Drug Administration shall—

17 "(A) publish such finding on the Internet 18 website of the Food and Drug Administration 19 not later than 90 days after the close of the rel-20 evant comment period for the ingredient or 21 non-functional constituent under subsection (a)(2), in the case of a proposed order, or sub-22 23 section (d)(2), in the case of a final order; and "(B)(i) include a notice providing inter-24 25 ested persons an additional 30 days from the

notice date to provide additional data and information; and

3 "(ii) if, after the 30-day period under 4 clause (i), the Food and Drug Administration 5 determines that additional safety substantiation 6 with respect to such ingredient or non-func-7 tional constituent is necessary to make a safety 8 determination, include a notice specifying an 9 additional time period, not to exceed 18 months 10 from the notice date, and plan to obtain such 11 data and information.

12 "(2) DETERMINATION; ORDER.—

13 "(A) INADEQUATE DATA AND INFORMA-14 TION.—If the Food and Drug Administration 15 determines, after considering any additional 16 data and information submitted under para-17 graph (1)(B), that the available data and infor-18 mation still are not adequate to make a deter-19 mination regarding safety under subsection 20 (d)(4), the Food and Drug Administration 21 shall, within 90 days of the close of the addi-22 tional time period provided under paragraph 23 (1)(B), issue a proposed order or a final administrative order— 24

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"(i) making a determination that the 1 2 ingredient or non-functional constituent has not been shown to be safe in cosmetic 3 4 products; and "(ii) explaining why the available data 5 6 and information are not adequate to assess 7 the safety of the ingredient or non-func-8 tional constituent. 9 "(B) ADEQUATE DATA AND INFORMA-TION.—If the Food and Drug Administration 10 11 determines, after considering any additional 12 data and information submitted under para-13 graph (1)(B), that the available data and infor-14 mation are adequate to make a determination 15 regarding safety under subsection (d)(4), the Food and Drug Administration shall, within 16 17 180 days of the close of the comment period, 18 issue a proposed order, followed by a final 19 order, on such cosmetic ingredient or non-func-20 tional constituent, in accordance with such sub-21 section. 22 "(h) SAFETY ASSESSMENT.—

23 "(1) IN GENERAL.—In assessing the safety of
24 an ingredient or non-functional constituent, the
25 Food and Drug Administration shall consider wheth-

1	on those is adapted avidence to support a passanable
1	er there is adequate evidence to support a reasonable
2	certainty among competent scientists that the ingre-
3	dient is not harmful under the recommended or sug-
4	gested conditions of use or customary or usual use,
5	or that a non-functional constituent is not harmful
6	under the recommended or suggested tolerance levels
7	or the level at which it is customarily or usually
8	present. The Food and Drug Administration may
9	not consider an ingredient or non-functional con-
10	stituent harmful solely because it can cause minor
11	adverse health reactions, such as minor transient al-
12	lergic reactions or minor transient skin irritations,
13	in some users.
14	"(2) Factors.—In assessing the safety of an
15	ingredient or non-functional constituent, the Food
16	and Drug Administration shall consider, among
17	other relevant factors, the following:
18	"(A) The probable human exposure to the
19	ingredient or non-functional constituent from
20	expected use in cosmetics.
21	"(B) The probable cumulative and aggre-
22	gate effect in humans of relevant exposure to
23	the ingredient or non-functional constituent or
24	to any chemically or pharmacologically related

substances from use in cosmetics or other prod-

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1	ucts with similar routes of exposure under rec-
2	ommended or suggested conditions of use or
3	their customary use, to the extent adequate
4	data is available for analysis. In appropriate
5	cases, the Food and Drug Administration may
6	consider available information on the total expo-
7	sure to an ingredient or non-functional con-
8	stituent from all sources.
9	"(C) Whether warnings or recommenda-
10	tions in a product label, as part of any condi-
11	tions of use or tolerances imposed by the Food
12	and Drug Administration, would be necessary
13	and appropriate to help ensure the safety of the
14	ingredient or non-functional constituent.
15	"(3) Data and information.—
16	"(A) REQUIRED INFORMATION.—A deter-
17	mination that an ingredient or non-functional
18	constituent is safe in cosmetics shall be based
19	upon adequate evidence submitted or otherwise
20	known to the Food and Drug Administration,
21	which shall include full reports of all available
22	studies, published or unpublished, that are ade-
23	quately designed to show whether the ingredient
24	or non-functional constituent is safe. Such stud-
25	ies may include in vitro and in silico studies
1	and epidemiological studies, biomonitoring stud-
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2	ies, and studies focused on various points dur-
3	ing the lifespan of the subject, that use scientif-
4	ically valid methodology.
5	"(B) ADDITIONAL RELEVANT INFORMA-
6	TION.—The Food and Drug Administration
7	shall consider any other relevant information
8	related to the safety of the ingredient or non-
9	functional constituent, including—
10	"(i) adverse event reports;
11	"(ii) findings and information from
12	State, Federal, national, and international
13	entities and other bodies composed of sci-
14	entific and medical experts;
15	"(iii) if the ingredient or non-func-
16	tional constituent is lawfully used or
17	present in other products regulated by the
18	Food and Drug Administration, the sci-
19	entific basis for such use; and
20	"(iv) experience with the ingredient or
21	non-functional constituent in products that
22	are distributed in the United States or in
23	other countries, if such experience is well-
24	documented and has resulted in substantial

1	human exposure to the ingredient or non-
2	functional constituent over time.".
3	"SEC. 609. SAFETY OF FINISHED COSMETIC PRODUCTS.
4	"(a) DETERMINATION.—
5	"(1) IN GENERAL.—Each responsible person
6	for a finished cosmetic product shall, before first dis-
7	tributing the product for sale, make a written deter-
8	mination that the product is safe under the condi-
9	tions of use recommended in the labeling of the
10	product. Such determination shall be based on ade-
11	quate evidence that each ingredient in the finished
12	product is safe for the use recommended or sug-
13	gested in the labeling of the product and that the
14	finished product is safe.
15	"(2) New information.—If new information
16	relevant to the determination becomes available, the
17	responsible person shall promptly update the deter-
18	mination to address that information.
19	"(3) SAFETY WITH RESPECT TO RANGES OF

"(3) SAFETY WITH RESPECT TO RANGES OF
POSSIBLE AMOUNTS.—In the case of a cosmetic
product for which there is a range of possible
amounts of cosmetic ingredients included in the cosmetic ingredient statement, as described in section
606(c)(2)(E), the safety determination under para-

1	graph (1) shall include substantiation of the safety
2	of the full range in the finished product.
3	"(b) Presumption of Adequate Evidence.—
4	"(1) IN GENERAL.—Except as provided in sub-
5	section (c), a determination made under subsection
6	(a) shall be presumed to be based on adequate evi-
7	dence if it is supported by—
8	"(A) with respect to each ingredient in the
9	finished product—
10	"(i) references to an official statement
11	by one or more expert medical or scientific
12	bodies that the ingredient is safe under the
13	conditions of use recommended or sug-
14	gested in the product's labeling; or
15	"(ii) appropriate safety testing of the
16	ingredient; and
17	"(B) appropriate safety substantiation of
18	the finished product beyond the safety substan-
19	tiation of individual ingredients and consider-
20	ation of the combination of ingredients.
21	((2) Statement of an expert medical or
22	SCIENTIFIC BODY.—For purposes of this section, a
23	statement of an expert medical or scientific body is
24	an official statement of that body, if—

1	"(A) the medical or scientific body is a
2	Federal, State, national, or international entity
3	with recognized expertise in chemical or cos-
4	metic safety, or other similarly recognized body
5	composed of scientific and medical experts;
6	"(B) the statement is based upon adequate
7	data to support the finding of safety, and such
8	data are available to the Food and Drug Ad-
9	ministration; and
10	"(C) the statement is published and en-
11	dorsed by the medical or scientific body and is
12	not a statement of an employee of such body
13	made in the individual capacity of the employee.
14	"(c) REBUTTAL OF PRESUMPTION.—Notwith-
15	standing subsection (b), a determination under subsection
16	(a) will not be presumed to be based on adequate evidence
17	if—
18	"(1) the Food and Drug Administration issues
19	an order under section 608 that an ingredient or
20	non-functional constituent in the finished product is
21	not safe under the product's conditions of use or
22	customary or usual use; or
23	"(2) the Food and Drug Administration has
24	provided the manufacturer with notice that—

1	"(A) the manufacturer has not met the cri-
2	teria under subsection (b); or
3	"(B) the Food and Drug Administration
4	has information that raises significant questions
5	about the safety of the product or any of its in-
6	gredients.
7	"(d) TIMELY UPDATE.—Upon notice of inadequate
8	evidence under subsection (c), the responsible person shall
9	have 10 days to submit additional evidence to the Food
10	and Drug Administration regarding the safety of an ingre-
11	dient, non-functional constituent, or the entire cosmetic
12	product, and the Food and Drug Administration shall
13	have 30 days from the date of receipt of such additional
14	evidence to provide the responsible person with notice that
15	the criteria under subsection (b) have been met or not met.
16	"(e) Records Maintenance.—The responsible per-

17 son shall maintain records documenting the determination18 required under this section and the information on which19 it is based until 5 years after the finished product is no20 longer marketed.

21 "(f) Submission of Records.—

"(1) IN GENERAL.—The records required under
subsection (e) shall, upon the written request of the
Food and Drug Administration to the responsible
person, be provided to the Food and Drug Adminis-

1	tration within a reasonable timeframe not to exceed
2	60 days, in either electronic or paper form.
3	"(2) CRITERIA.—The Food and Drug Adminis-
4	tration may require records under paragraph $(1)$
5	if—
6	"(A) the Food and Drug Administration
7	has a reasonable belief, described in written no-
8	tice, that—
9	"(i) the finished product may be
10	harmful based on adverse event reports or
11	other scientific information;
12	"(ii) scientific information raises cred-
13	ible and relevant questions about the safe-
14	ty of the product or any of its ingredients;
15	"(iii) the responsible person has not
16	made the determination required under
17	subsection (a), or such determination is
18	not supported by adequate evidence; or
19	"(iv) one or more of the criteria to es-
20	tablish a presumption of adequate evidence
21	of safety in subsection (b) has not been
22	satisfied;
23	"(B) the Food and Drug Administration,
24	an expert regulatory body, or an expert body
25	composed of scientific and medical experts finds

1	an ingredient in the product to be unsafe under
2	the conditions of use of the product; or
3	"(C) the Food and Drug Administration
4	concludes that submission of the records will
5	serve the public health or otherwise enable the
6	Food and Drug Administration to fulfill the
7	cosmetic safety purposes of this section.
8	"(g) GUIDANCE AND REGULATIONS.—
9	"(1) IN GENERAL.—The Food and Drug Ad-
10	ministration shall issue guidance describing the evi-
11	dence necessary to support a determination under
12	subsection (a), and may, by regulation, establish ex-
13	emptions to the requirements of this section, if the
14	Food and Drug Administration determines that such
15	exemptions are supported by adequate evidence and
16	would have no adverse effect on public health.
17	"(2) Small businesses.—The Food and Drug
18	Administration shall, after consultation with the
19	Small Business Administration and small businesses
20	that manufacture cosmetics, provide additional guid-
21	ance for small businesses on compliance with the re-
22	quirements of this section. Such guidance shall in-
23	clude specific examples of options for compliance
24	that do not place an undue burden on small busi-
25	nesses.".

(b) EFFECTIVE DATE.—Section 609 of the Federal
 Food, Drug, and Cosmetic Act, as added by subsection
 (a), shall take effect 180 days after the date of enactment
 of this Act.

# 5 SEC. 103. GOOD MANUFACTURING PRACTICES FOR COS-6 METICS.

7 (a) IN GENERAL.—Chapter VI of the Federal Food,
8 Drug, and Cosmetic Act (21 U.S.C. 361 et seq.), as
9 amended by section 102, is further amended by adding
10 at the end the following:

# 11 "SEC. 610. GOOD MANUFACTURING PRACTICES FOR COS12 METICS.

13 "(a) IN GENERAL.—The Food and Drug Administration shall review national and international standards for 14 15 cosmetic good manufacturing practices that are in existence on the date of enactment of the Personal Care Prod-16 17 ucts Safety Act and shall develop and implement, through regulations, United States standards consistent, to the ex-18 tent the Food and Drug Administration determines prac-19 20 ticable and appropriate, with such national and inter-21 national standards for cosmetic good manufacturing prac-22 tices to ensure that requirements of this chapter with re-23 spect to the manufacture of cosmetic products are in har-24 mony.

"(b) TIMEFRAME.—The Food and Drug Administra tion shall publish a proposed rule described in subsection
 (a) not later than 18 months after the date of enactment
 of the Personal Care Products Safety Act and shall pub lish a final such rule not later than 3 years after such
 date of enactment.".

7 (b) EFFECTIVE DATE FOR COSMETIC MANUFACTUR-8 ERS.—

9 (1) LARGE BUSINESSES.—For businesses of a 10 size greater than the Small Business Administra-11 tion's standard for a small business, section 610 of 12 the Federal Food, Drug, and Cosmetic Act (as 13 added by subsection (a)) shall take effect beginning 14 180 days after the date on which the Food and 15 Drug Administration makes effective cosmetic good 16 manufacturing practices.

17 (2) SMALL BUSINESSES.—For businesses of a 18 size that meets the Small Business Administration's 19 standard for a small business, section 610 of the 20 Federal Food, Drug, and Cosmetic Act (as added by 21 subsection (a)) shall take effect beginning 2 years 22 after the date the Food and Drug Administration 23 makes effective cosmetic good manufacturing prac-24 tices.

(c) ENFORCEMENT.—Section 601 of Chapter VI of
 the Federal Food, Drug, and Cosmetic Act (21 U.S.C.
 361) is amended by adding at the end the following:

4 "(f) If the methods used in, or the facilities or con5 trols used for, its manufacture, processing, packing, or
6 holding do not conform to current good manufacturing
7 practice, as prescribed by the Food and Drug Administra8 tion.".

#### 9 SEC. 104. ADVERSE EVENT REPORTS.

10 Chapter VI of the Federal Food, Drug, and Cosmetic
11 Act (21 U.S.C. 361 et seq.), as amended by section
103(a), is further amended by adding at the end the fol13 lowing:

#### 14 "SEC. 611. ADVERSE EVENT REPORTING FOR COSMETICS.

15 "(a) IN GENERAL.—With respect to any cosmetic product distributed in the United States, the responsible 16 17 person shall submit to the Food and Drug Administration 18 a report of any serious adverse event associated with such 19 cosmetic product, when used in the United States, accom-20 panied by a copy of the label on or with the retail pack-21 aging of the cosmetic, any new medical information, re-22 lated to a submitted serious adverse event report that is 23 received by the responsible person, and an annual report 24 for all adverse events received by the responsible person. 25 "(b) DEFINITIONS.—In this section:

<ul> <li>is a health-related event associated with the use of this product that is adverse.</li> <li>"(2) A 'serious adverse event' for a cosmetic product is an adverse event that—</li> <li>"(2) A 'serious adverse event' for a cosmetic</li> <li>product is an adverse event that—</li> <li>"(1) results in—</li> <li>"(A) results in—</li> <li>"(A) results in—</li> <li>"(i) death;</li> <li>"(ii) a life-threatening experience;</li> <li>"(iii) inpatient hospitalization;</li> <li>"(iv) a persistent or significant dis-</li> <li>ability or incapacity;</li> <li>"(v) congenital anomaly or birth de-</li> <li>fect; or</li> <li>"(vi) significant disfigurement, includ-</li> <li>ing serious and persistent rashes and infee-</li> <li>tions; or</li> <li>"(B) requires, based on appropriate med-</li> <li>ical judgment, a medical or surgical interven-</li> <li>tion to prevent an outcome described in sub-</li> <li>paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> <li>the Food and Drug Administration not later than 15</li> </ul>	1	"(1) An 'adverse event' for a cosmetic product
<ul> <li>4 "(2) A 'serious adverse event' for a cosmetie product is an adverse event that—</li> <li>6 "(A) results in—</li> <li>7 "(i) death;</li> <li>8 "(ii) a life-threatening experience;</li> <li>9 "(iii) inpatient hospitalization;</li> <li>10 "(iv) a persistent or significant dis-</li> <li>11 ability or incapacity;</li> <li>12 "(v) congenital anomaly or birth de-</li> <li>13 fect; or</li> <li>14 "(vi) significant disfigurement, includ-</li> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	2	is a health-related event associated with the use of
<ul> <li>product is an adverse event that—</li> <li>"(A) results in—</li> <li>"(i) death;</li> <li>"(ii) a life-threatening experience;</li> <li>"(iii) inpatient hospitalization;</li> <li>"(iv) a persistent or significant disability or incapacity;</li> <li>"(v) congenital anomaly or birth defect; or</li> <li>fect; or</li> <li>"(vi) significant disfigurement, including serious and persistent rashes and infections; or</li> <li>"(B) requires, based on appropriate medical judgment, a medical or surgical intervention to prevent an outcome described in subparagraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Except as provided in paragraph (2), the responsible person shall submit a serious adverse event report to</li> </ul>	3	this product that is adverse.
<ul> <li>6 "(A) results in—</li> <li>7 "(i) death;</li> <li>8 "(ii) a life-threatening experience;</li> <li>9 "(iii) inpatient hospitalization;</li> <li>10 "(iv) a persistent or significant dis-</li> <li>11 ability or incapacity;</li> <li>12 "(v) congenital anomaly or birth de-</li> <li>13 fect; or</li> <li>14 "(vi) significant disfigurement, includ-</li> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	4	"(2) A 'serious adverse event' for a cosmetic
<ul> <li>(i) death;</li> <li>(ii) a life-threatening experience;</li> <li>(iii) inpatient hospitalization;</li> <li>(iv) a persistent or significant disability or incapacity;</li> <li>ability or incapacity;</li> <li>(v) congenital anomaly or birth deation feet; or</li> <li>(vi) significant disfigurement, including serious and persistent rashes and infections; or</li> <li>(B) requires, based on appropriate medical judgment, a medical or surgical intervention to prevent an outcome described in subparagraph (A).</li> <li>(c) SUBMISSION OF REPORTS.—</li> <li>(1) SERIOUS ADVERSE EVENT REPORTS.—Except as provided in paragraph (2), the responsible person shall submit a serious adverse event report to</li> </ul>	5	product is an adverse event that—
<ul> <li>8 "(ii) a life-threatening experience;</li> <li>9 "(iii) inpatient hospitalization;</li> <li>10 "(iv) a persistent or significant dis-</li> <li>11 ability or incapacity;</li> <li>12 "(v) congenital anomaly or birth de-</li> <li>13 fect; or</li> <li>14 "(vi) significant disfigurement, includ-</li> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	6	"(A) results in—
<ul> <li>9 "(iii) inpatient hospitalization;</li> <li>10 "(iv) a persistent or significant dis-</li> <li>ability or incapacity;</li> <li>12 "(v) congenital anomaly or birth de-</li> <li>13 feet; or</li> <li>14 "(vi) significant disfigurement, includ-</li> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	7	"(i) death;
<ul> <li>10 "(iv) a persistent or significant dis- ability or incapacity;</li> <li>12 "(v) congenital anomaly or birth de- fect; or</li> <li>14 "(vi) significant disfigurement, includ- ing serious and persistent rashes and infec- tions; or</li> <li>17 "(B) requires, based on appropriate med- ical judgment, a medical or surgical interven- tion to prevent an outcome described in sub- paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex- cept as provided in paragraph (2), the responsible person shall submit a serious adverse event report to</li> </ul>	8	"(ii) a life-threatening experience;
<ul> <li>ability or incapacity;</li> <li>"(v) congenital anomaly or birth de-</li> <li>fect; or</li> <li>fect; or</li> <li>"(vi) significant disfigurement, includ-</li> <li>ing serious and persistent rashes and infec-</li> <li>tions; or</li> <li>"(B) requires, based on appropriate med-</li> <li>ical judgment, a medical or surgical interven-</li> <li>tion to prevent an outcome described in sub-</li> <li>paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> </ul>	9	"(iii) inpatient hospitalization;
<ul> <li>12 "(v) congenital anomaly or birth de-</li> <li>13 fect; or</li> <li>14 "(vi) significant disfigurement, includ-</li> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	10	"(iv) a persistent or significant dis-
<ul> <li>feet; or</li> <li>(vi) significant disfigurement, includ-</li> <li>ing serious and persistent rashes and infec-</li> <li>tions; or</li> <li>"(B) requires, based on appropriate med-</li> <li>ical judgment, a medical or surgical interven-</li> <li>tion to prevent an outcome described in sub-</li> <li>paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> </ul>	11	ability or incapacity;
<ul> <li>"(vi) significant disfigurement, includ- ing serious and persistent rashes and infec- tions; or</li> <li>"(B) requires, based on appropriate med- ical judgment, a medical or surgical interven- tion to prevent an outcome described in sub- paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex- cept as provided in paragraph (2), the responsible person shall submit a serious adverse event report to</li> </ul>	12	"(v) congenital anomaly or birth de-
<ul> <li>15 ing serious and persistent rashes and infec-</li> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	13	fect; or
<ul> <li>16 tions; or</li> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	14	"(vi) significant disfigurement, includ-
<ul> <li>17 "(B) requires, based on appropriate med-</li> <li>18 ical judgment, a medical or surgical interven-</li> <li>19 tion to prevent an outcome described in sub-</li> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	15	ing serious and persistent rashes and infec-
<ul> <li>ical judgment, a medical or surgical interven-</li> <li>tion to prevent an outcome described in sub-</li> <li>paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> </ul>	16	tions; or
<ul> <li>tion to prevent an outcome described in sub-</li> <li>paragraph (A).</li> <li>"(c) SUBMISSION OF REPORTS.—</li> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> </ul>	17	"(B) requires, based on appropriate med-
<ul> <li>20 paragraph (A).</li> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	18	ical judgment, a medical or surgical interven-
<ul> <li>21 "(c) SUBMISSION OF REPORTS.—</li> <li>22 "(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>23 cept as provided in paragraph (2), the responsible</li> <li>24 person shall submit a serious adverse event report to</li> </ul>	19	tion to prevent an outcome described in sub-
<ul> <li>"(1) SERIOUS ADVERSE EVENT REPORTS.—Ex-</li> <li>cept as provided in paragraph (2), the responsible</li> <li>person shall submit a serious adverse event report to</li> </ul>	20	paragraph (A).
<ul><li>cept as provided in paragraph (2), the responsible</li><li>person shall submit a serious adverse event report to</li></ul>	21	"(c) SUBMISSION OF REPORTS.—
24 person shall submit a serious adverse event report to	22	"(1) Serious adverse event reports.—Ex-
1 1	23	cept as provided in paragraph (2), the responsible
25 the Food and Drug Administration not later than 15	24	person shall submit a serious adverse event report to
	25	the Food and Drug Administration not later than 15

1	business days after information concerning the ad-
2	verse event is received. If a serious adverse event re-
3	port for a cosmetic with drug properties is filed
4	using Form FDA 3500A (or any successor form de-
5	veloped for such purpose) or its electronic equivalent
6	for over-the-counter drugs, the responsible person
7	shall not have to submit a duplicative serious ad-
8	verse event report under this section.
9	"(2) New medical information.—The re-
10	sponsible person shall submit to the Food and Drug
11	Administration any new medical information, related
12	to a submitted serious adverse event report that is
13	received by the responsible person within 1 year of
14	the initial report, and shall submit such information
15	not later than 15 business days after the new infor-
16	mation is received by the responsible person.
17	"(3) ANNUAL REPORT.—
18	"(A) IN GENERAL.—Not later than March
19	1 of each year, the responsible person shall sub-
20	mit an electronic report for the prior calendar
21	year for each cosmetic product marketed during
22	that year.
23	"(B) CONTENTS.—Each report under this
24	paragraph shall contain a summary of all ad-
25	verse events received during the reporting pe-

1 riod, a complete list of individual reports, and 2 an estimate of the total number of product 3 units estimated to have been distributed to con-4 summers during such period. The report shall not 5 include consumer complaints that are solely re-6 garding efficacy and do not contain any infor-7 mation about an adverse event. The Food and 8 Drug Administration shall further specify the 9 contents of the annual electronic report by reg-10 ulation or guidance.

11 "(4) EXEMPTION.—The Food and Drug Ad-12 ministration may establish by regulation an exemp-13 tion to any of the requirements under this sub-14 section if the Food and Drug Administration deter-15 mines that such exemption is supported by adequate 16 evidence and would have no adverse effect on public 17 health.

18 "(d) REQUIREMENTS.—

"(1) IN GENERAL.—Each serious adverse event
report under this section shall be submitted to the
Food and Drug Administration using an electronic
system of the Food and Drug Administration. The
Food and Drug Administration shall make such electronic system available not later than 1 year after

the date of enactment of the Personal Care Products
 Safety Act.

"(2) MODIFICATION.—The format of the reporting system may be modified by the Food and
Drug Administration and the reports may include
additional information. The Food and Drug Administration may, in guidance, further specify the format and contents of required reports.

9 "(3) SCOPE OF SERIOUS ADVERSE EVENT RE-10 PORT.—A serious adverse event report (including all 11 information submitted in the initial report or added 12 later) submitted to the Food and Drug Administra-13 tion under subsection (a) includes—

14 "(A) a report under section 756 with re15 spect to safety and related to a specific cos16 metic product;

17 "(B) a record about an individual who suf18 fered the serious adverse event under section
19 552a of title 5, United States Code;

20 "(C) a medical or similar file documenting
21 the serious adverse event, the disclosure of
22 which would constitute a violation of section
23 552(b)(6) of such title 5, and shall not be pub24 licly disclosed unless all personally identifiable
25 information is redacted; and

1 "(D) contact information for the individual 2 reporting the serious adverse event. 3 "(4) Responsibility to gather informa-4 TION.—After an individual initiates the reporting of 5 a serious adverse event, the responsible person for 6 the cosmetic product shall actively gather all of the 7 information to complete and file the report with the 8 Food and Drug Administration. 9 "(5) NO ADVERSE EVENTS TO REPORT.—The 10 Food and Drug Administration shall provide an op-11 tion as part of the electronic registration process for 12 the responsible person to indicate if such responsible 13 person had no adverse events to report over the pre-14 vious year. With respect to a responsible person who 15 received no adverse event reports for a year, the an-16 nual adverse event report requirement may be met 17 by indicating no such events on the annual registra-18 tion form.

19 "(e) LIMITATION WITH RESPECT TO ADVERSE
20 EVENT REPORTS.—The submission of an adverse event
21 report in compliance with subsection (a) shall not con22 stitute an admission that the cosmetic involved caused or
23 contributed to the adverse event.

24 "(f) CONTACT INFORMATION.—The label of a cos-25 metic shall bear the domestic telephone number or elec-

tronic contact information, and it is encouraged that the
 label include both the telephone number and electronic
 contact information, through which the responsible person
 may receive a report of an adverse event.

5 "(g) MAINTENANCE OF RECORDS.—The responsible 6 person shall maintain records related to each report of an 7 adverse event received by the responsible person for a pe-8 riod of 6 years.

9 "(h) AVAILABILITY TO STATES.—The Food and 10 Drug Administration shall make available records sub-11 mitted under this section to any State, upon request. In-12 formation disclosed to a State that is exempt from disclo-13 sure under section 552(b)(4) of title 5, United States 14 Code, shall be treated as a trade secret and confidential 15 information by the State.

"(i) EFFECTIVE DATE OF REQUIREMENT WITH RESPECT TO SERIOUS ADVERSE EVENTS.—The requirement
under this section to report serious adverse events shall
become effective on the date that the Food and Drug Administration publicizes the availability of the electronic
system described in subsection (d)(1).".

Chapter VI of the Federal Food, Drug, and Cosmetic
Act (21 U.S.C. 361 et seq.), as amended by section 104,
is further amended by adding at the end the following:
"SEC. 612. INSPECTION OF COSMETIC RECORDS.

7 "(a) INSPECTION OF RECORDS.—Each manufac-8 turer, processor, packer, or holder of a cosmetic shall, at 9 the request of an officer or employee duly designated by the Food and Drug Administration, permit such officer 10 11 or employee, upon presentation of appropriate credentials and written notice to such person, at reasonable times and 12 13 within reasonable limits and in a reasonable manner, to have access to and copy— 14

"(1) all records maintained under section 611
and in accordance with the rules promulgated by the
Food and Drug Administration under section 610,
as applicable; and

"(2) except as provided in subsection (b), all
other records, if the Food and Drug Administration—

22 "(A) has a reasonable belief that the cos23 metic—

24 "(i) is adulterated;

25 "(ii) has caused a reportable serious26 adverse event; or

"(iii) contains an ingredient that sub-1 2 stantial new scientific information shows 3 may be unsafe when present in a cosmetic; 4 and "(B) provides written notice of the basis 5 6 for the Food and Drug Administration's rea-7 sonable belief described in subparagraph (A). 8 "(b) EXCLUSIONS.—No inspection authorized by this 9 section shall extend to financial data, pricing data, per-10 sonnel data (other than data as to qualification of technical and professional personnel performing functions sub-11 ject to this Act), research data (other than safety data) 12 13 or sales data other than shipment data. "(c) SCOPE.—The requirements under subsection (a) 14 15 apply to records maintained by or on behalf of such person in any format (including paper and electronic formats) 16 17 and at any location. 18 "(d) PROTECTION OF SENSITIVE INFORMATION.-

The Food and Drug Administration shall take appropriate measures to ensure that there are effective procedures to prevent the unauthorized disclosure of any trade secret or confidential information that is obtained by the Food and Drug Administration pursuant to this section. Information disclosed to a State that is exempt from disclosure under section 552(b)(4) of title 5, United States Code, shall be

- 3 "(e) LIMITATIONS.—This section shall not be con-4 strued—
- 5 "(1) to limit the authority of the Food and
  6 Drug Administration to inspect records or to require
  7 establishment and maintenance of records under any
  8 other provision of this Act; or

9 "(2) to have any legal effect on section 552 of
10 title 5, United States Code, or section 1905 of title
11 18, United States Code.".

### 12 "SEC. 613. MANDATORY RECALL AUTHORITY.

13 "(a) VOLUNTARY PROCEDURES.—If the Food and Drug Administration determines that there is a reasonable 14 15 probability that a cosmetic is adulterated under section 16 601 or misbranded under section 602 and the use of or 17 exposure to such cosmetic is likely to cause serious adverse health consequences or death, the Food and Drug Admin-18 istration shall provide the responsible person with an op-19 20 portunity to voluntarily cease distribution and recall such 21 article.

22 "(b) PREHEARING ORDER TO MANDATORILY CEASE23 DISTRIBUTION AND GIVE NOTICE.—

24 "(1) IN GENERAL.—If the responsible person
25 refuses to or does not voluntarily cease distribution

1	or recall such cosmetic within the time and in the
2	manner prescribed by the Food and Drug Adminis-
3	tration, the Food and Drug Administration may
4	order such person to—
5	"(A) immediately cease distribution of
6	such cosmetic; and
7	"(B) as applicable, immediately notify all
8	persons—
9	"(i) manufacturing, processing, pack-
10	ing, transporting, holding, receiving, dis-
11	tributing, or importing and selling such
12	cosmetic; and
13	"(ii) to which such cosmetic has been
14	distributed, transported, or sold,
15	to immediately cease distribution of such cos-
16	metic.
17	"(2) Required additional information.—
18	"(A) IN GENERAL.—If a cosmetic covered
19	by a recall order issued under paragraph $(1)(B)$
20	has been distributed to a warehouse-based third
21	party logistics provider without providing such
22	provider sufficient information to know or rea-
23	sonably determine the precise identity of such
24	cosmetic covered by a recall order that is in its
25	possession, the notice provided by the respon-

1	sible person subject to the order issued under
2	paragraph $(1)(B)$ shall include such information
3	as is necessary for the warehouse-based third
4	party logistics provider to identify the cosmetic.
5	"(B) RULES OF CONSTRUCTION.—Nothing
6	in this paragraph shall be construed—
7	"(i) to exempt a warehouse-based
8	third party logistics provider from the re-
9	quirements of this chapter, including the
10	requirements of this section and section
11	612; or
12	"(ii) to exempt a warehouse-based
13	third party logistics provider from being
14	the subject of a mandatory recall order.
15	"(3) Determination to limit areas af-
16	FECTED.—If the Food and Drug Administration re-
17	quires a responsible person to cease distribution
18	under paragraph $(1)(A)$ of a cosmetic, the Food and
19	Drug Administration may limit the size of the geo-
20	graphic area and the markets affected by such ces-
21	sation if such limitation would not compromise the
22	public health.
23	"(c) HEARING ON ORDER.—The Food and Drug Ad-
24	ministration shall provide the responsible party subject to
25	an order under subsection (b) with an opportunity for an

informal hearing, to be held as soon as possible, but not
 later than 2 days after the issuance of the order, on the
 actions required by the order and on why the cosmetic that
 is the subject of the order should not be recalled.

5 "(d) Post-Hearing Recall Order and Modifica-6 Tion of Order.—

"(1) AMENDMENT OF ORDER.-If, after pro-7 viding opportunity for an informal hearing under 8 9 subsection (c), the Food and Drug Administration 10 determines that removal of the cosmetic from com-11 merce is necessary, the Food and Drug Administra-12 tion shall, as appropriate— "(A) amend the order to require recall of 13 14 such cosmetic or other appropriate action; "(B) specify a timetable in which the recall 15 16 shall occur; 17 "(C) require periodic reports to the Food 18 Drug Administration describing the and 19 progress of the recall; and

20 "(D) provide notice to consumers to whom
21 such cosmetic was, or may have been, distrib22 uted.

23 "(2) VACATING OF ORDER.—If, after such hear24 ing, the Food and Drug Administration determines
25 that adequate grounds do not exist to continue the

1	actions required by the order, or that such actions
2	should be modified, the Food and Drug Administra-
3	tion shall vacate the order or modify the order.
4	"(e) COOPERATION AND CONSULTATION.—The Food
5	and Drug Administration shall work with State and local
6	public health officials in carrying out this section, as ap-
7	propriate.
8	"(f) Public Notification.—In conducting a recall
9	under this section, the Food and Drug Administration
10	shall—
11	"(1) ensure that a press release is published re-
12	garding the recall, and that alerts and public notices
13	are issued, as appropriate, in order to provide notifi-
14	cation—
15	"(A) of the recall to consumers and retail-
16	ers to whom such cosmetic was, or may have
17	been, distributed; and
18	"(B) that includes, at a minimum—
19	"(i) the name of the cosmetic subject
20	to the recall;
21	"(ii) a description of the risk associ-
22	ated with such article; and
23	"(iii) to the extent practicable, infor-
24	mation for consumers about similar cos-

1	metics that are not affected by the recall;
2	and
3	"(2) ensure publication on the Internet website
4	of the Food and Drug Administration an image of
5	the cosmetic that is the subject of the press release
6	described in paragraph (1), if available.
7	"(g) NO DELEGATION.—The authority conferred by
8	this section to order a recall or vacate a recall order shall
9	not be delegated to any officer or employee other than the
10	Commissioner.
11	"(h) EFFECT.—Nothing in this section shall affect
12	the authority of the Food and Drug Administration to re-

13 quest or participate in a voluntary recall, or to issue an14 order to cease distribution or to recall under any other15 provision of this chapter or under the Public Health Serv-16 ice Act.".

### 17 SEC. 106. LABELING.

(a) IN GENERAL.—Chapter VI of the Federal Food,
Drug, and Cosmetic Act (21 U.S.C. 361 et seq.), as
amended by section 105, is further amended by adding
at the end the following:

# 22 "SEC. 614. LABELING.

23 "(a) SAFETY REVIEW AND LABELING.—Following a
24 review of cosmetic ingredients that determines that warn25 ings are required to help ensure safe use of cosmetic prod-

1	ucts under section 608(d)(5), the Food and Drug Admin-
2	istration shall require labeling of cosmetics that are not
3	appropriate for use in the entire population, including
4	warnings that vulnerable populations, such as children or
5	pregnant women, should limit or avoid using the product.
6	"(b) Cosmetic Products for Professional
7	USE.—
8	"(1) Definition of professional.—With re-
9	spect to cosmetics, the term 'professional' means an
10	individual who—
11	"(A) is licensed by an official State author-
12	ity to practice in the field of cosmetology, nail
13	care, barbering, and or esthetics;
14	"(B) has complied with all requirements
15	set forth by the State for such licensing; and
16	"(C) has been granted a license by a State
17	board or legal agency or legal authority.
18	"(2) LISTING OF INGREDIENTS.—Cosmetic
19	products used and sold by professionals shall list all
20	ingredients, as required for other cosmetic products
21	under this chapter.
22	"(3) Professional use labeling.—In the
23	case of a cosmetic product intended to be used only
24	by a professional on account of a specific ingredient
25	or increased concentration of an ingredient that re-

1 quires safe handling by trained professionals, the 2 product shall bear a statement as follows: 'To be Ad-3 ministered Only by Licensed Professionals'. "(c) DISPLAY.—The warning required under sub-4 5 section (a) and the statement required under subsection (b)(3) shall be prominently displayed— 6 7 "(1) in the primary language used on the label; 8 and 9 "(2) in conspicuous and legible type in contrast 10 by typography, layout, or color with other material 11 printed or displayed on the label. 12 "(d) INTERNET SALES.—In the case of Internet sales 13 of cosmetics, each Internet website offering cosmetic products for sale to consumers shall provide the same informa-14 15 tion that is included on the packaging of the cosmetic

16 products as regularly available, and the warnings and17 statements described in subsection (c) shall be promi-18 nently and conspicuously displayed on the website.

19 "(e) CONTACT INFORMATION.—The label on each 20 cosmetic shall bear the domestic telephone number or elec-21 tronic contact information, and it is encouraged that the 22 label include both the telephone number and electronic 23 contact information, that consumers may use to contact 24 the responsible person with respect to adverse events. The 25 contact number shall provide a means for consumers to

obtain additional information about ingredients in a cos-1 2 metic, including the ability to ask if a specific ingredient 3 may be present that is not listed on the label, including 4 whether a specific ingredient may be contained in the fra-5 grance or flavor used in the cosmetic. The manufacturer of the cosmetic is responsible for providing such informa-6 7 tion, including obtaining the information from suppliers 8 if it is not readily available. Suppliers are required to re-9 lease such information upon request of the cosmetic manu-10 facturer.".

(b) EFFECTIVE DATE.—Section 614 of the Federal
Food, Drug, and Cosmetic Act, as added by subsection
(a), shall take effect on the date that is 1 year after the
date of enactment of this Act.

#### 15 SEC. 107. COAL TAR CHEMICALS.

16 Chapter VI of the Federal Food, Drug, and Cosmetic
17 Act (21 U.S.C. 361 et seq.), as amended by section 106,
18 is further amended by adding at the end the following:
19 "SEC. 615. COAL TAR CHEMICALS.

20 "(a) IN GENERAL.—Under section 608, the Food and 21 Drug Administration may review any cosmetic ingredient 22 in order to determine if it is safe in cosmetic products 23 without the need for specified conditions of use or toler-24 ances, safe in cosmetic products under specified conditions 25 of use or tolerances, or not safe in cosmetic products. "(b) COAL TAR HAIR DYES.—Specific chemicals in
 coal tar hair dyes may be selected and reviewed under sec tion 608(a)(3).".

#### 4 SEC. 108. ANIMAL TESTING ALTERNATIVES.

5 Chapter VI of the Federal Food, Drug, and Cosmetic
6 Act (21 U.S.C. 361 et seq.), as amended by section 107,
7 is further amended by adding the following:

## 8 "SEC. 616. ANIMAL TESTING ALTERNATIVES.

9 "(a) IN GENERAL.—To minimize the use of animal 10 testing for safety of cosmetic ingredients, non-functional 11 constituents, and finished cosmetic products, the Food 12 and Drug Administration shall—

"(1) encourage the use of alternative testing
methods that provide information that is equivalent
or superior in scientific quality to the animal testing
method to—

17 "(A) not involve the use of an animal to
18 test a chemical substance for safe use in cos19 metics; or

20 "(B) use fewer animals than conventional
21 animal-based tests for safe use in cosmetics
22 when nonanimal methods are impracticable; and
23 "(2) encourage—

24 "(A) the sharing of data across companies25 and organizations that are testing for safety in

cosmetics, so as to avoid duplication of animal
 tests; and

3 "(B) funding for research and validation of4 alternative testing methods.

"(b) GUIDANCE.—Not later than 3 years after the 5 date of enactment of the Personal Care Products Safety 6 Act, the Food and Drug Administration shall issue guid-7 8 ance on the acceptability of scientifically reliable and rel-9 evant alternatives to animal testing for the safety of cos-10 metic ingredients, non-functional constituents, and finished cosmetic products, and encouraging the use of such 11 12 methods. The Food and Drug Administration shall update 13 such guidance on an annual basis.

14 "(c) Resources Regarding Animal Testing AL-15 TERNATIVES.—Not later than 180 days after the date of 16 enactment of the Personal Care Products Safety Act, the 17 Food and Drug Administration shall provide information 18 on the Internet website of the Food and Drug Administra-19 tion regarding resources available for information about non-animal methods, and methods that reduce animal 20 21 usage, in testing for the safety of cosmetic ingredients, 22 non-functional constituents, and finished cosmetic prod-23 ucts.".

#### 1 SEC. 109. PREEMPTION.

2 Chapter VI of the Federal Food, Drug, and Cosmetic
3 Act (21 U.S.C. 361 et seq.), as amended by section 108,
4 is further amended by adding the following:

#### 5 "SEC. 617. PREEMPTION.

6 "(a) REGISTRATION, GOOD MANUFACTURING PRAC-7 TICES, RECALLS, ADVERSE EVENT REPORTING.—Except 8 for a State requirement that is in full effect and implemented on the date of enactment of the Personal Care 9 Products Safety Act, no State or political subdivision of 10 a State may establish or continue in effect any require-11 12 ment for cosmetics with respect to registration, good man-13 ufacturing practices, mandatory recalls, or adverse event 14 reporting.

15 "(b) SAFETY OF COSMETIC INGREDIENTS AND NON-16 FUNCTIONAL CONSTITUENTS.—

17 "(1) IN GENERAL.—Except for a State require-18 ment that is more restrictive than a final order 19 issued under section 608(d)(3) and that is in full ef-20 fect and implemented on the date of enactment of 21 the Personal Care Products Safety Act, no State or 22 political subdivision of a State may establish or con-23 tinue in effect any requirement with respect to the 24 safety of a cosmetic ingredient or non-functional 25 constituent that is the subject of a final order under

1	section $608(d)(3)$ that is different from, or in addi-
2	tion to, a final order issued under section $608(d)(3)$ .
3	"(2) Delayed effect of new state re-
4	QUIREMENTS.—From the date that the Food and
5	Drug Administration has made public the final selec-
6	tion of a cosmetic ingredient or non-functional con-
7	stituent to be reviewed in the coming year under sec-
8	tion $608(a)(3)(B)$ , and opened the public comment
9	period under section $608(a)(2)$ , until the date that
10	is one year after the Food and Drug Administration
11	has made public such selection, no State or political
12	subdivision of a State may establish any new re-
13	quirement related to such cosmetic ingredient or
14	non-functional constituent.

15 "(3) SCOPE.—This subsection shall not be con-16 strued to modify or affect the authority of a State 17 or political subdivision of a State with respect to 18 such safety requirements unrelated to the scope of 19 the safety assessment under section 608.

"(4) SENSE OF CONGRESS.—It is the sense of
Congress that a State or political subdivision that
regulates the safety of cosmetics with respect to the
health of humans beyond the scope of section 608
should utilize the safety assessment criteria described in section 608(h).

"(c) STATE REQUIREMENT THAT IS IN FULL EF-1 2 FECT AND IMPLEMENTED.—For purposes of this section: "(1) STATE REQUIREMENT.—A State require-3 4 ment includes a State requirement that is adopted 5 by a State public initiative or referendum. 6 "(2) Full effect and implemented.—The 7 term 'full effect and implemented' includes require-8 ments of States that are implemented after the date 9 of enactment of the Personal Care Products Safety 10 Act, if such requirements are under a law that was 11 in effect, or a lawful program that was established

and functioning, prior to the date of enactment ofthe Personal Care Products Safety Act.

"(d) RULE OF CONSTRUCTION REGARDING PRODUCT
LIABILITY.—Notwithstanding any other provision of this
Act, no provision of this chapter relating to a cosmetic
shall be construed to modify or otherwise affect any action
or the liability of any person under State or Federal common law.

20 "(e) LIMITATION.—The Personal Care Products
21 Safety Act, including the amendments made by such Act,
22 shall not be construed to preempt any State statute, public
23 initiative, referendum, or common law, except as expressly
24 provided in this section.".

#### 1 SEC. 110. REPORTING.

Chapter VI of the Federal Food, Drug, and Cosmetic
Act (21 U.S.C. 361 et seq.), as amended by section 109,
is further amended by adding at the end the following: **"SEC. 618. REPORTING.**

6 "(a) PERFORMANCE REPORT.—Beginning with fiscal 7 year 2016, and not later than 60 days prior to the end 8 of each fiscal year for which fees are collected under sec-9 tion 744L, the Food and Drug Administration shall prepare and submit to Congress a report concerning the 10 progress of the Food and Drug Administration in achiev-11 ing the objectives of the Personal Care Products Safety 12 Act during such fiscal year and the future plans of the 13 Food and Drug Administration for meeting the objectives. 14 The annual report for a fiscal year shall include— 15

- 16 "(1) the number of registered facilities and cos17 metic ingredient statements on file with the Food
  18 and Drug Administration;
- "(2) identification of the cosmetic ingredients
  and non-functional constituents that have been fully
  reviewed for safety by the Food and Drug Administration in the prior fiscal year and for which a final
  administrative order has been released;

24 "(3) identification of at least 5 specific cosmetic25 ingredients and non-functional constituents that will

1	be reviewed by the Food and Drug Administration
2	in the next fiscal year;
3	"(4) the number of facilities inspected and
4	mandatory recalls that transpired during that fiscal
5	year;
6	"(5) the number of serious adverse event re-
7	ports received by the Food and Drug Administration
8	during that fiscal year;
9	"(6) any trends identified by the Food and
10	Drug Administration about adverse event reports re-
11	lated to specific cosmetic ingredients or non-func-
12	tional constituents; and
13	"(7) efforts of the Food and Drug Administra-
14	tion to reduce animal testing for safety of cosmetic
15	ingredients, non-functional constituents, and cos-
16	metic products.
17	"(b) PUBLIC AVAILABILITY.—The Food and Drug
18	Administration shall make the reports required under sub-
19	sections (a) available to the public on the Internet website
20	of the Food and Drug Administration on the date of sub-
21	mission of such reports to Congress.
22	"(c) Public Input on Safety Review.—Upon re-
23	lease of the report described in subsection (a), the Food
24	and Drug Administration shall provide the public with an
25	opportunity to provide feedback on subsection $(a)(3)$ by—

1	"(1) providing an electronic portal, upon release
2	of the report, enabling the public to—
3	"(A) recommend additional cosmetic ingre-
4	dients and non-functional constituents to be
5	considered for review for safety in future years;
6	and
7	"(B) comment on the priorities for the spe-
8	cific cosmetic ingredients and non-functional
9	constituents that the Food and Drug Adminis-
10	tration anticipates will be reviewed in the next
11	fiscal year;
12	"(2) announcing on the Internet website of the
13	Food and Drug Administration, within the first 30
14	days of the new fiscal year, any amendments to sub-
15	section $(a)(3)$ based on public input, pursuant to
16	paragraph (1); and
17	((3) together with the final announcement of 5
18	specific cosmetic ingredients and non-functional con-
19	stituents that will be reviewed in the coming year
20	under subsection (a)(3), providing a comment period
21	for further public input, pursuant to section
22	608(a)(2).".

#### 1 SEC. 111. SMALL BUSINESSES.

Chapter VI of the Federal Food, Drug, and Cosmetic
Act (21 U.S.C. 361 et seq.), as amended by section 110,
is further amended by adding at the end the following: **"SEC. 619. SMALL BUSINESSES.**

6 "The Commissioner, in coordination with the Admin-7 istrator of the Small Business Administration, shall pro-8 vide technical assistance, such as guidance and expertise, 9 to small businesses regarding compliance with the Per-10 sonal Care Products Safety Act, including the amend-11 ments made by such Act.".

# 12 SEC. 112. APPLICABILITY WITH RESPECT TO CERTAIN COS13 METICS.

Chapter VI of the Federal Food, Drug, and Cosmetic
Act (21 U.S.C. 361 et seq.), as amended by section 111,
is further amended by adding at the end the following: **"SEC. 620. APPLICABILITY WITH RESPECT TO CERTAIN**COSMETICS.

19 "In the case of a cosmetic product or a facility that is subject to the requirements under this chapter and 20 21 chapter V, if any requirement under chapter V with re-22 spect to such cosmetic or facility is substantially similar 23 to a requirement under this chapter, the cosmetic product 24 or facility shall be deemed to be in compliance with the applicable requirement under this chapter if such product 25 or facility is in compliance with such substantially similar 26
requirement under chapter V, provided that the product 1 2 or facility has not obtained a waiver from the requirement under chapter V.". 3 4 SEC. 113. ENFORCEMENT. 5 (a) PROHIBITED ACTS.—Section 301 of the Federal 6 Food, Drug, and Cosmetic Act (21 U.S.C. 331) is amend-7 ed---8 (1) in subsection (e)— 9 (A) by striking "504, 564" and inserting "504, 564, 611, or 612"; and 10 11 (B) by striking "519, 564" and inserting "519, 564, 611,"; 12 13 (2) in subsection (j) by inserting "607, 608, 14 610," before "704"; 15 (3) in subsection (ii)— (A) by striking "760 or 761)" and insert-16 ing "604, 760, or 761)"; and 17 18 (B) by striking "760 or 761) submitted" 19 and inserting "611, 760, or 761) submitted"; (4) in subsection (xx) by inserting "or 613" 20 after "423"; and 21 22 (5) by adding at the end the following: 23 "(ddd) The failure to register in accordance with sec-24 tion 605, the failure to submit a cosmetic ingredient state-

ment under section 606, the failure to provide any infor-

mation required by section 605 or 606, or the failure to
 update the information required by section 605 or 606,
 as required.".

4 (b) ADULTERATION.—Section 601 of the Federal
5 Food, Drug, and Cosmetic Act (21 U.S.C. 361), as
6 amended by section 103, is further amended by adding
7 at the end the following:

"(g) If it contains, after the date prescribed under 8 9 section 608(e), an ingredient that the Food and Drug Ad-10 ministration has determined under section 608(d)(4) to be not safe, or not safe under the conditions of use rec-11 12 ommended or suggested in the label or a non-functional 13 constituent that the Food and Drug Administration has determined under section 608(d)(4) to be not safe or not 14 15 safe in the amount present in the cosmetic.

16 "(h) If it is a cosmetic product for which any require17 ment of section 609 (relating to safety substantiation) is
18 not met.".

- 19 (c) MISBRANDING.—Section 602 is amended—
- 20 (1) in subsection (b)—

21 (A) by striking "and (2)" and inserting
22 "(2)"; and

(B) by inserting "; and (3) a domestic address or a domestic telephone number, and it is
encouraged that the label include both a domes-

tic address and a domestic telephone number, 1 2 through which the responsible person may re-3 ceive a report of an adverse event associated with the use of such cosmetic product" after 4 5 "numerical count"; and 6 (2) by adding at the end the following: 7 "(g) If it has been manufactured, processed, packed, 8 or held in any factory, warehouse, or establishment and

9 the responsible person, operator, or agent of such factory,10 warehouse, or establishment delays, denies, or limits an11 inspection, or refuses to permit entry or inspection.

12 "(h) If its labeling does not conform with a require-13 ment under section 614.".

(d) GUIDANCE.—Not later than 1 year after the date
of enactment of this Act, the Food and Drug Administration shall issue guidance that defines the circumstances
that would constitute delaying, denying, or limiting inspection, or refusing to permit entry or inspection, for purposes of section 602(g) of the Federal Food, Drug, and
Cosmetic Act, as added by subsection (c)(2).

21 (e) IMPORTS.—Section 801(a) is amended—

(1) by striking "section 760 or 761" the first,
third, and fourth place such term appears and inserting "section 611, 760, or 761"; and

1 (2) by striking "760 or 761)" and inserting 2 "604, 760, or 761)".

3 (f) FACTORY INSPECTION.—Section 704(a)(1) is 4 amended by inserting after the third sentence the fol-5 lowing: "In the case of any person who manufactures, processes, packs, holds, distributes, or imports a cosmetic 6 7 product, or distributes a cosmetic product and affixes its 8 name on the cosmetic label, the inspection shall extend 9 to all records and other information described in section 10 612 (regarding inspection of cosmetic records), when the standard for records inspections under paragraph (1) or 11 12 (2) of subsection (a) of such section applies, subject to the limitations under subsection (d) of such section.". 13

# 14 SEC. 114. CONSUMER INFORMATION.

15 The Food and Drug Administration shall post on its16 Internet website information for consumers regarding—

17 (1) final orders regarding the safety of a cos18 metic ingredient or non-functional constituent under
19 section 608(d)(3);

20 (2) cosmetic product recalls (including vol-21 untary and mandatory recalls); and

22 (3) identified counterfeit cosmetic products.

# TITLE II—FEES RELATED TO COSMETIC SAFETY

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# 3 SEC. 201. FINDINGS.

4 Congress finds that the fees authorized by the 5 amendments made by this title will be dedicated to cosmetic safety activities, as set forth in the goals identified 6 for purposes of part 10 of subchapter C of chapter VII 7 8 of the Federal Food, Drug, and Cosmetic Act, in the let-9 ters from the Secretary of Health and Human Services 10 to the Chairman of the Committee on Health, Education, 11 Labor, and Pensions of the Senate and the Chairman of the Committee on Energy and Commerce of the House 12 13 of Representatives, as set forth in the Congressional 14 Record.

# 15 SEC. 202. AUTHORITY TO ASSESS AND USE COSMETIC SAFE-

16 **TY FEES.** 

Subchapter C of chapter VII of the Federal Food,
Drug, and Cosmetic Act (21 U.S.C. 379f et seq.) is
amended by adding at the end the following:

# 20 **"PART 10—FEES RELATING TO COSMETICS**

# 21 "SEC. 744L. REGISTRATION FEE.

22 "(a) Assessment and Collection.—

23 "(1) IN GENERAL.—Beginning in fiscal year
24 2016, the Food and Drug Administration shall as25 sess and collect an annual fee from every responsible

1	person (referred to in this section as a 'registrant')
2	who owns or operates any cosmetic facility engaged
3	in manufacturing or processing, or whose name and
4	address appear on the label of a cosmetic product
5	distributed in the United States, except that this
6	subsection shall not apply to entities described in
7	subparagraphs (A) through (H) of section $604(3)$ .
8	"(2) PAYABLE DATE.—A fee under this section
9	shall be payable during the period of initial registra-
10	tion and on the date of registration each year there-
11	after as prescribed in section $605(a)(1)$ .
12	"(b) DEFINITIONS.—In this section:
13	"(1) ADJUSTMENT FACTOR.—The term 'adjust-
14	ment factor' applicable to a fiscal year means the
15	Consumer Price Index for all urban consumers (all
16	items; United States city average) for October of the
17	preceding fiscal year divided by such index for Octo-
18	ber 2015.
19	"(2) AFFILIATE.—The term 'affiliate' means
20	any business entity that has a relationship with a
21	second business entity if, directly or indirectly—
22	"(A) one business entity controls, or has
23	power to control, the other business entity; or
24	"(B) a third-party controls, or has the
25	power to control, both of the business entities.

1	"(3) Cosmetic safety activities.—The term
2	'cosmetic safety activities'—
3	"(A) means activities related to compliance
4	by registrants under section 605 with the re-
5	quirements of this Act with respect to cos-
6	metics, including—
7	"(i) administrative activities, such as
8	information technology support, human re-
9	sources, financial management, the admin-
10	istration and maintenance of the cosmetic
11	registration system and the cosmetic ingre-
12	dient statement system under sections 605
13	and 606, and fee assessment and collection
14	under this section; and
15	"(ii) implementation and enforcement
16	activities, such as the establishment of
17	good manufacturing practices, the review
18	of adverse event reports, inspection plan-
19	ning and inspections, and use of enforce-
20	ment tools; and
21	"(B) includes activities related to imple-
22	mentation of section 608, regarding the review
23	of cosmetic ingredients and non-functional con-
24	stituents.

"(4) GROSS ANNUAL SALES.—The term 'gross
annual sales' means the average United States gross
annual sales for the previous 3-year period of cosmetics for a registrant, including the sales of all of
its affiliates, as reported in the registration under
section 605.

7 "(c) FEE SETTING AND AMOUNTS.—

8 "(1) IN GENERAL.—Subject to subsection (d), 9 the Food and Drug Administration shall establish 10 the fees to be collected under this section for each 11 fiscal year after fiscal year 2016, based on the meth-12 odology described in paragraph (3)(B), and shall 13 publish such fees in a Federal Register notice not 14 later than 60 days before the beginning of each such 15 fiscal year.

16 "(2) FEE EXEMPTION.—Any registrant whose
17 average gross annual sales of cosmetic products in
18 the 3-year period immediately preceding the fiscal
19 year for which the annual fee will be paid was not
20 more than \$500,000, shall be exempt from registra21 tion fees under this section for that fiscal year.

"(3) ANNUAL FEE SETTING.—

23 "(A) FISCAL YEAR 2016.—For fiscal year
24 2016, to generate a total estimated revenue
25 amount of \$20,600,000, the amount of the reg-

1	istration fee under subsection (a) shall be as
2	follows:
3	"(i) TIER I-A.—For a registrant that
4	has gross annual sales of \$5,000,000,000
5	or more in 2015, \$1,100,000.
6	"(ii) TIER I–B.—For a registrant that
7	has gross annual sales of at least
8	\$4,000,000,000 per annum but less than
9	\$5,000,000,000 in 2015, \$840,000.
10	"(iii) TIER II-A.—For a registrant
11	that has gross annual sales of at least
12	3,000,000,000 per annum but less than
13	\$4,000,000,000 in 2015, \$720,000.
14	"(iv) TIER II-B.—For a registrant
15	that has gross annual sales of at least
16	\$2,000,000,000 per annum but less than
17	\$3,000,000,000 in 2015, \$600,000.
18	"(v) TIER III-A.—For a registrant
19	that has gross annual sales of at least
20	1,000,000,000 per annum but less than
21	\$2,000,000,000 in 2015, \$500,000.
22	"(vi) TIER III-B.—For a registrant
23	that has gross annual sales of at least
24	500,000,000 per annum but less than
25	\$1,000,000,000 in 2015, \$395,000.

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1	"(vii) TIER IV-A.—For a registrant
2	that has gross annual sales of at least
3	\$200,000,000 per annum but less than
4	\$500,000,000 in 2015, \$325,000.
5	"(viii) TIER IV-B.—For a registrant
6	that has gross annual sales of at least
7	\$100,000,000 per annum but less than
8	\$200,000,000 in 2015, \$275,000.
9	"(ix) TIER V-A.—For a registrant
10	that has gross annual sales of at least
11	\$80,000,000 per annum but less than
12	\$100,000,000 in 2015, \$185,000.
13	"(x) TIER V–B.—For a registrant that
14	has gross annual sales of at least
15	60,000,000 per annum but less than
16	\$80,000,000 in 2015, \$95,000.
17	"(xi) TIER VI-A.—For a registrant
18	that has gross annual sales of at least
19	\$40,000,000 per annum but less than
20	\$60,000,000 in 2015, \$15,000.
21	"(xii) TIER IV-B.—For a registrant
22	that has gross annual sales of at least
23	20,000,000 per annum but less than
24	\$40,000,000 in 2015, \$12,000.

1	"(xiii) TIER VII–A.—For a registrant
2	that has gross annual sales of at least
3	\$2,500,000 per annum but less than
4	\$20,000,000 in 2015, \$500.
5	"(xiv) TIER VII-B.—For a registrant
6	that has gross annual sales of at least
7	\$500,000 per annum but less than
8	\$2,500,000 in 2015, \$250.
9	"(B) FISCAL YEARS 2017–2022.—For fiscal
10	years 2017–2022, fees under subsection (a)
11	shall be established to generate a total esti-
12	mated revenue amount of \$20,600,000, as ad-
13	justed by subsection (d). Of that amount:
14	"(i) TIER I-A.—Registrants that have
15	gross annual sales of \$5,000,000,000 or
16	more in the fiscal year immediately pre-
17	
17	ceding the fiscal year in which the annual
17	ceding the fiscal year in which the annual fee will be paid, shall be responsible, collec-
18	fee will be paid, shall be responsible, collec-
18 19	fee will be paid, shall be responsible, collec- tively, for 10.7 percent.
18 19 20	fee will be paid, shall be responsible, collec- tively, for 10.7 percent. "(ii) TIER I-B.—Registrants that
18 19 20 21	fee will be paid, shall be responsible, collec- tively, for 10.7 percent. "(ii) TIER I-B.—Registrants that have gross annual sales of at least
<ol> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> </ol>	fee will be paid, shall be responsible, collec- tively, for 10.7 percent. "(ii) TIER I–B.—Registrants that have gross annual sales of at least \$4,000,000,000 per annum but less than

- 1 the annual fee will be paid, shall be re-2 sponsible, collectively, for 4.1 percent. 3 "(iii) TIER II–A.—Registrants that 4 have gross annual sales of at least 5 \$3,000,000,000 per annum but less than 6 \$4,000,000,000 in the fiscal year imme-7 diately preceding the fiscal year in which 8 the annual fee will be paid, shall be re-9 sponsible, collectively, for 3.5 percent. 10 "(iv) TIER II-B.—Registrants that 11 have gross annual sales of at least 12 \$2,000,000,000 per annum but less than
- \$3,000,000,000 in the fiscal year immediately preceding the fiscal year in which
  the annual fee will be paid, shall be responsible, collectively, for 2.9 percent.

17 "(v) TIER III-A.—Registrants that
18 have gross annual sales of at least
19 \$1,000,000,000 per annum but less than
20 \$2,000,000,000 in the fiscal year imme21 diately preceding the fiscal year in which
22 the annual fee will be paid, shall be re23 sponsible, collectively, for 7.3 percent.

24 "(vi) TIER III-B.—Registrants that
25 have gross annual sales of at least

1	\$500,000,000 per annum but less than
2	\$1,000,000,000 in the fiscal year imme-
3	diately preceding the fiscal year in which
4	the annual fee will be paid, shall be re-
5	sponsible, collectively, for 13.4 percent.
6	"(vii) TIER IV-A.—Registrants that
7	have gross annual sales of at least
8	\$200,000,000 per annum but less than
9	\$500,000,000 in the fiscal year imme-
10	diately preceding the fiscal year in which
11	the annual fee will be paid, shall be re-
12	sponsible, collectively, for 15.8 percent.
13	"(viii) TIER IV-B.—Registrants that
14	have gross annual sales of at least
15	\$100,000,000 per annum but less than
16	\$200,000,000 in the fiscal year imme-
17	diately preceding the fiscal year in which
18	the annual fee will be paid, shall be re-
19	sponsible, collectively, for 13.3 percent.
20	"(ix) TIER V-A.—Registrants that
21	have gross annual sales of at least
22	\$80,000,000 per annum but less than
23	\$100,000,000 in the fiscal year imme-
24	diately preceding the fiscal year in which

1 the annual fee will be paid, shall be re-2 sponsible, collectively, for 9 percent. "(x) 3 TIER V–B.—Registrants that 4 have gross annual sales of at least \$60,000,000 per annum but less than 5 6 \$80,000,000 in the fiscal year immediately 7 preceding the fiscal year in which the an-8 nual fee will be paid, shall be responsible, 9 collectively, for 6.9 percent. "(xi) TIER VI-A.—Registrants that 10 11 annual sales of at have gross least 12 \$40,000,000 per annum but less than 13 \$60,000,000 in the fiscal year immediately 14 preceding the fiscal year in which the an-15 nual fee will be paid, shall be responsible, 16 collectively, for 5.1 percent. 17 "(xii) TIER VI–B.—Registrants that

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have gross annual sales of at least
\$20,000,000 per annum but less than
\$40,000,000 in the fiscal year immediately
preceding the fiscal year in which the annual fee will be paid, shall be responsible,
collectively, for 4.4 percent.

24 "(xiii) TIER VII–A.—Registrants that 25 have gross annual sales of at least

- 1 \$2,500,000 per annum but less than 2 \$20,000,000 in the fiscal year immediately preceding the fiscal year in which the an-3 nual fee will be paid, shall be responsible, 4 5 collectively, for 1.2 percent. 6 "(xiv) TIER VII–B.—Registrants that 7 have gross annual sales of at least 8 \$500,000 per annum but less than 9 \$2,500,000 in the fiscal year immediately preceding the fiscal year in which the an-10 11 nual fee will be paid, shall be responsible, 12 collectively, for 2.4 percent, except that no 13 such registrant shall be responsible for 14 more than \$250 per fiscal year.
- 15 "(d) Adjustments.—
- 16 "(1) INFLATION ADJUSTMENT.—

17 "(A) IN GENERAL.—For fiscal year 2017
18 and each subsequent fiscal year, the revenues
19 and fee amounts under subsection (c)(3)(B)
20 shall be adjusted by the Food and Drug Admin21 istration in the annual Federal Register notice
22 establishing fees in subsection (c)(1), by an
23 amount equal to the sum of—

24 "(i) one;

1	"(ii) the average annual percent
2	change in the cost, per full-time equivalent
3	position of the Food and Drug Administra-
4	tion, of all personnel compensation and
5	benefits paid with respect to such positions
6	for the first 3 of the preceding 4 fiscal
7	years for which data are available, multi-
8	plied by the average proportion of per-
9	sonnel compensation and benefits costs to
10	total Food and Drug Administration costs
11	for the first 3 years of the preceding 4 fis-
12	cal years for which data are available; and
13	"(iii) the average annual percent
14	change that occurred in the Consumer
15	Price Index for urban consumers (Wash-
16	ington-Baltimore, DC6 MD-VA-WV; not
17	seasonally adjusted; all items less food and
18	energy; annual index) for the first 3 years
19	of the preceding 4 years for which data are
20	available multiplied by the average propor-
21	tion of all costs other than personnel com-
22	pensation and benefits costs to total Food
23	and Drug Administration costs for the
24	first 3 years of the preceding 4 fiscal years
25	for which data are available.

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"(B) COMPOUNDED BASIS.—The adjustment made each fiscal year under this subsection shall be added on a compounded basis to the sum of all adjustments made each fiscal year after fiscal year 2016 under this subsection.

7 "(2) FINAL YEAR ADJUSTMENT.—For fiscal 8 year 2022, the Food and Drug Administration may, 9 in addition to adjustments under paragraph (1), fur-10 ther increase the fee revenues and fees established in 11 subsection (c) if such an adjustment is necessary to 12 provide for not more than 3 months of operating re-13 serves of carryover fees for cosmetic safety activities 14 for the first 3 months of fiscal year 2023. If such 15 an adjustment is necessary, the rationale for the in-16 crease, shall be contained in the annual Federal 17 Register notice establishing fees, in subsection 18 (c)(1), for fiscal year 2022. If the Food and Drug 19 Administration has carryover balances for such ac-20 tivities in excess of 3 months of such operating reserves, the adjustment under this subparagraph 21 22 shall not be made.

23 "(3) WORKLOAD ADJUSTMENT.—

24 "(A) IN GENERAL.—For fiscal year 2017
25 and each subsequent fiscal year, after fee reve-

1	nues established in subsection $(c)(3)(B)$ are ad-
2	justed for a fiscal year for inflation in accord-
3	ance with paragraph $(1)$ , the fee revenues shall
4	be adjusted further for each fiscal year to re-
5	flect changes in the workload of the Food and
6	Drug Administration for actual changes in
7	workload volume due to the process of reviewing
8	cosmetic ingredients or non-functional constitu-
9	ents not listed under section 608(b).
10	"(B) Determination of adjustment.—
11	The adjustment shall be determined by the
12	Food and Drug Administration based on the
13	workload in the most recent 1-year period for
14	which workload data is available. The Food and
15	Drug Administration shall publish in the Fed-
16	eral Register the fee revenues and fees resulting
17	from the adjustment and the supporting meth-
18	odologies.
19	"(C) MINIMUM REVENUES.—The adjust-
20	ment shall not result in fee revenues for a fiscal
21	year that are less than the sum of the amount
22	under subsection $(c)(3)(B)$ , as adjusted for in-
23	flation under subparagraph (1).
24	"(e) Limitations.—

1 "(1) IN GENERAL.—With respect to the amount 2 that, under the salaries and expenses account of the 3 Food and Drug Administration, is appropriated for 4 a fiscal year for the cosmetics program in the Center 5 for Food Safety and Applied Nutrition and related 6 field activities, fees may not be assessed under sub-7 section (a) for the fiscal year unless the amount so 8 appropriated for the fiscal year (excluding the 9 amount of fees appropriated for the fiscal year), is 10 equal to or greater than that assessed for fiscal year 11 2015, multiplied by the adjustment factor applicable 12 to the fiscal year involved.

13 "(2) AUTHORITY.—If the Food and Drug Ad-14 ministration does not assess fees under subsection 15 (a) during any portion of a fiscal year because of 16 paragraph (1) and if at a later date in such fiscal 17 year the Food and Drug Administration may assess 18 such fees, the Food and Drug Administration may 19 assess and collect such fees, without any modifica-20 tion in the rate, for registration under section 605 21 at any time in such fiscal year.

22 "(f) Crediting and Availability of Fees.—

23 "(1) IN GENERAL.—Fees authorized under sub24 section (a) shall be collected and available for obliga25 tion only to the extent and in the amount provided

1	in advance in appropriations Acts. Such fees are au-
2	thorized to remain available until expended. Such
3	sums as may be necessary may be transferred from
4	the Food and Drug Administration salaries and ex-
5	penses appropriation account without fiscal year lim-
6	itation to such appropriation account for salaries
7	and expenses with such fiscal year limitation. The
8	sums transferred shall be available solely for cos-
9	metic safety activities.
10	"(2) Collections and appropriations
11	ACTS.—The fees authorized by this section—
12	"(A) IN GENERAL.—Subject to subpara-
13	graphs (C) and (D), the fees authorized by this
14	section shall be collected and available in each
15	fiscal year in an amount not to exceed the
16	amount specified in appropriation Acts, or oth-
17	erwise made available for obligation for such
18	fiscal year.
19	"(B) USE OF FEES AND LIMITATION.—
20	The fees authorized by this section shall be col-
21	lected and available only to defray the costs of
22	cosmetic safety activities.
23	"(C) FEE COLLECTIONS DURING FIRST
24	PROGRAM YEAR.—Until the date of enactment
25	of an Act making appropriations through Sep-

1 tember 30, 2015, for the salaries and expenses 2 account of the Food and Drug Administration, 3 fees authorized by this section for fiscal year 4 2016 may be collected and shall be credited to such account to remain available until ex-5 6 pended. Fees collected under this subparagraph shall be considered discretionary for purposes of 7 8 the Balanced Budget and Emergency Deficit 9 Control Act of 1985. 10 "(D) Reimbursement OF START-UP 11 AMOUNTS.—Any amounts allocated to establish 12 programs under sections 605 and 606, prior to 13 collection of fees, may be reimbursed through 14 any appropriated fees collected under this sec-15 tion, in such manner as the Food and Drug Ad-16 ministration determines appropriate. Any 17 amounts reimbursed under this subparagraph 18 shall be available for the programs and activi-19 ties for which funds allocated to establish the 20 programs were available, prior to such alloca-21 tion, until the end of the fiscal year in which 22 the reimbursement occurs, notwithstanding any 23 otherwise applicable limits on amounts for such 24 program or activities for a fiscal year.

1	"(3) Authorization of appropriations.—
2	For each of fiscal years 2016–2022, there are au-
3	thorized to be appropriated for fees under this sec-
4	tion \$20,600,000, as adjusted by subsection (d).
5	"(4) Offset of overcollections; recovery
6	OF COLLECTION SHORTFALLS.—
7	"(A) OFFSET OF OVERCOLLECTIONS.—If
8	the sum of the cumulative amount of fees col-
9	lected under this section for the fiscal years
10	2016 through 2020 exceeds the cumulative
11	amount appropriated pursuant to paragraph (3)
12	for fiscal years 2016–2021, the excess amount
13	shall be credited to the appropriation account of
14	the Food and Drug Administration as provided
15	in paragraph (1), and shall be subtracted from
16	the amount of fees that would otherwise be au-
17	thorized to be collected under this section pur-
18	suant to appropriation Acts for fiscal year
19	2022.
20	"(B) RECOVERY OF COLLECTION SHORT-
21	FALLS.—
22	"(i) 2018.—For fiscal year 2018, the
23	amount of fees otherwise authorized to be
24	collected under this section shall be in-
25	creased by the amount, if any, by which

1 the amount collected under this section 2 and appropriated for fiscal year 2016 falls 3 below the amount of fees authorized for 4 fiscal year 2016 under paragraph (3). "(ii) 2019.—For fiscal year 2019, the 5 6 amount of fees otherwise authorized to be 7 collected under this section shall be in-8 creased by the amount, if any, by which 9 the amount collected under this section 10 and appropriated for fiscal year 2017 falls 11 below the amount of fees authorized for 12 fiscal year 2017 under paragraph (3). "(iii) 2020.—For fiscal year 2020, 13 14 the amount of fees otherwise authorized to 15 be collected under this section shall be in-16 creased by the amount, if any, by which 17 the amount collected under this section 18 and appropriated for fiscal year 2018 falls 19 below the amount of fees authorized for

21 "(iv) 2021.—For fiscal year 2021, the
22 amount of fees otherwise authorized to be
23 collected under this section shall be in24 creased by the amount, if any, by which
25 the amount collected under this section

fiscal year 2018 under paragraph (3).

1 and appropriated for fiscal year 2019 falls 2 below the amount of fees authorized for 3 fiscal year 2019 under paragraph (3). 4 "(v) 2022.—For fiscal year 2022, the 5 amount of fees otherwise authorized to be 6 collected under this section shall be in-7 creased by the amount, if any, by which 8 the amount collected under this section 9 and appropriated for fiscal year 2020 falls 10 below the amount of fees authorized for 11 fiscal year 2020 under paragraph (3).

"(g) EFFECT OF FAILURE TO PAY FEES.—The Food
and Drug Administration shall not consider a registration
submitted to be complete until such fee under subsection
(a) is paid. Until the fee is paid, the registration is incomplete and the registrant is deemed to have failed to register in accordance with section 605.

18 "(h) FALSE STATEMENTS.—Any statement or rep19 resentation made to the Food and Drug Administration
20 shall be subject to section 1001 of title 18, United States
21 Code.

"(i) COLLECTION OF UNPAID FEES.—In any case
where the Food and Drug Administration does not receive
payment of a fee assessed under subsection (a), such fee
shall be treated as a claim of the United States Govern-

ment subject to subchapter II of chapter 37 of title 31, 1 2 United States Code.

3 "(j) CONSTRUCTION.—This section may not be con-4 strued to require that the number of full-time equivalent 5 positions in the Department of Health and Human Services, for officers, employees, and advisory committees not 6 7 engaged in cosmetic activities, be reduced to offset the 8 number of officers, employees, and advisory committees so 9 engaged.

10 "(k) RECORDS.—Each facility shall retain all records necessary to demonstrate the facility's gross annual sales 11 12 for at least 2 fiscal years after such information is re-13 ported in the facility's registration. Such records shall be made available to the Food and Drug Administration for 14 15 review and duplication upon request of the Food and Drug Administration.". 16

### 17 SEC. 203. DIRECT HIRING AUTHORITY TO SUPPORT ACTIVI-18

TIES RELATED TO COSMETICS.

19 Part 10 of subchapter C of chapter VII, as added 20 by section 202, is amended by inserting after section 744L 21 the following:

#### 22 "SEC. 744M. DIRECT HIRING AUTHORITY TO SUPPORT AC-23 TIVITIES RELATED TO COSMETICS.

24 "(a) IN GENERAL.—The Food and Drug Administra-25 tion shall have direct hiring authority with respect to the appointment of employees into the competitive service or
 the excepted service to administer the amendments made
 by title I of the Personal Care Products Safety Act.

4 "(b) SUNSET.—The authority under subsection (a)
5 shall terminate on the date that is 3 years after the date
6 of enactment of such title.".