116TH CONGRESS 1ST SESSION

# H. R. 4372

## **AN ACT**

To direct Federal science agencies and the Office of Science and Technology Policy to undertake activities to improve the quality of undergraduate STEM education and enhance the research capacity at the Nation's HBCUs, TCUs, and MSIs, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "MSI STEM Achieve-
- 5 ment Act".
- 6 SEC. 2. FINDINGS.
- 7 Congress makes the following findings:
- 8 (1) Evidence suggests that the supply of STEM
  9 workers is not keeping pace with the rapidly evolving
  10 needs of the public and private sector, resulting in
  11 a deficit often referred to as a STEM skills short12 age.
- 13 (2) According to the Bureau of Labor Statis-14 tics, the United States will need one million addi-15 tional STEM professionals than it is on track to 16 produce in the coming decade.
  - (3) STEM occupations offer higher wages, more opportunities for advancement, and a higher degree of job security than non-STEM occupations.
  - (4) The composition of the STEM workforce does not reflect the current or projected diversity of the Nation, with Hispanics, African Americans, and other racial and ethnic minorities, significantly underrepresented in the STEM workforce compared to their presence in the workforce more generally.

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- (5) A stronger national commitment to increasing the diversity of the STEM workforce is needed to help address the STEM skills shortage.
  - (6) According to a 2019 National Academies of Sciences, Engineering, and Medicine report entitled "Minority Serving Institutions: America's Underutilized Resource for Strengthening the STEM Workforce", 2- and 4-year minority serving institutions enroll nearly 30 percent of all undergraduate students—a percentage that is expected to grow in the coming years—in the United States higher education system and play a critical role in providing important pathways to STEM-related education, training, and careers for students of color.
  - (7) HBCUs, TCUs, and MSIs are highly successful at educating underrepresented minority students in STEM fields and can serve as best practice models for other colleges and universities to further expand participation of underrepresented minorities in the STEM workforce.
  - (8) Increased investment in STEM infrastructure at HBCUs, TCUs, and MSIs has the potential to increase these institutions' ability to educate even more students in the STEM disciplines.

1	(9) With the demand for STEM skills exceeding
2	the supply of STEM graduates, success of HBCUs,
3	TCUs, and MSIs in educating and training science
4	and engineering leaders is increasingly important for
5	United States economic growth and competitiveness.
6	SEC. 3. GOVERNMENT ACCOUNTABILITY OFFICE REVIEW.
7	Not later than 3 years after the date of enactment
8	of this Act, the Comptroller General of the United States
9	shall report to Congress—
10	(1) an inventory of competitive funding pro-
11	grams and initiatives carried out by Federal science
12	agencies that are targeted to HBCUs, TCUs, and
13	MSIs or partnerships with HBCUs, TCUs, and
14	MSIs;
15	(2) an assessment of Federal science agency
16	outreach activities to increase the participation and
17	competitiveness of HBCUs, TCUs, and MSIs in the
18	funding programs and initiatives identified in para-
19	graph (1); and
20	(3) recommendations of the Comptroller Gen-
21	eral to increase the participation of and the rate of
22	success of HBCUs, TCUs, and MSIs in competitive
23	funding programs offered by Federal science agen-
24	cies.

### SEC. 4. RESEARCH AND CAPACITY BUILDING.

2	(a)	ΙN	GENERAL.—The	Director	of	the	National

- 3 Science Foundation shall award grants, on a competitive
- 4 basis, to institutions of higher education or nonprofit orga-
- 5 nizations (or consortia thereof) to—
- 6 (1) conduct research described in subsection (b)
- 7 with respect to HBCUs, TCUs, and MSIs;
- 8 (2) conduct activities described in subsection (c)
- 9 to build the capacity of HBCUs, TCUs, and MSIs
- to graduate students who are competitive in attain-
- ing and advancing in the STEM workforce;
- 12 (3) build the research capacity and competitive-
- ness of HBCUs, TCUs, and MSIs in STEM dis-
- 14 ciplines; and
- 15 (4) identify and broadly disseminate effective
- 16 models for programs and practices at HBCUs,
- 17 TCUs, and MSIs that promote the education and
- workforce preparation of minority students pursuing
- 19 STEM studies and careers in which such students
- are underrepresented.
- 21 (b) Research described in this sub-
- 22 section is research on the contribution of HBCUs, TCUs,
- 23 and MSIs to the education and training of underrep-
- 24 resented minority students in STEM fields and to the
- 25 meeting of national STEM workforce needs, including—

- (1) the diversity with respect to local context, cultural differences, and institutional structure among HBCUs, TCUs, and MSIs and any associated impact on education and research endeavors;
  - (2) effective practices at HBCUs, TCUs, and MSIs and associated outcomes on student recruitment, retention, and advancement in STEM fields, including the ability for students to compete for fellowships, employment, and advancement in the workforce;
  - (3) contributions made by HBCUs, TCUs, and MSIs to local, regional, and national workforces;
  - (4) the unique challenges and opportunities for HBCUs, TCUs, and MSIs in attaining the resources needed for integrating effective practices in STEM education, including providing research experiences for underrepresented minority students;
  - (5) the access of students at HBCUs, TCUs, and MSIs to STEM infrastructure and any associated outcomes for STEM competency;
  - (6) models of STEM curriculum, learning, and teaching successful at HBCUs, TCUs, and MSIs for increasing participation, retention, and success of underrepresented minority students; and

1	(7) successful or promising partnerships be-
2	tween HBCUs, TCUs, and MSIs and other institu-
3	tions of higher education, private sector and non-
4	profit organizations, Federal laboratories, and inter-
5	national research institutions.
6	(c) Capacity Building.—Activities described in this
7	subsection include the design, development, implementa-
8	tion, expansion, and assessment of—
9	(1) metrics of success to best capture the
10	achievements of HBCUs, TCUs, and MSIs and stu-
11	dents of such institutions to account for institutional
12	context and missions, faculty investment, student
13	populations, student needs, and institutional re-
14	source constraints;
15	(2) enhancements to undergraduate STEM cur-
16	riculum at HBCUs, TCUs, and MSIs to increase the
17	participation, retention, degree completion, and suc-
18	cess of underrepresented students;
19	(3) professional development programs to in-
20	crease the numbers and the high-quality preparation
21	of STEM faculty at HBCUs, TCUs, and MSIs, in-
22	cluding programs to encourage STEM doctoral stu-

dents to teach at HBCUs, TCUs, and MSIs; and

(4) mechanisms for institutions of higher edu-

cation that are not HBCUs, TCUs, or MSIs to part-

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- 1 ner with HBCUs, TCUs, and MSIs on STEM edu-
- 2 cation, including the facilitation of student transfer,
- 3 mentoring programs for students and junior faculty,
- 4 joint research projects, and student access to grad-
- 5 uate education.
- 6 (d) RESEARCH EXPERIENCES.—Grants under this
- 7 section may fund the development or expansion of oppor-
- 8 tunities for the exchange of students and faculty to con-
- 9 duct research, including through partnerships with institu-
- 10 tions of higher education that are not HBCUs, TCUs, or
- 11 MSIs, private sector and non-profit organizations, Federal
- 12 laboratories, and international research institutions.
- (e) Partnerships.—In awarding grants under this
- 14 section, the Director of the National Science Foundation
- 15 shall—
- 16 (1) encourage HBCUs, TCUs, and MSIs and
- 17 consortia thereof and partnerships with one or more
- HBCU, TCU, or MSI, to submit proposals;
- 19 (2) require proposals submitted in partnership
- with one or more HBCU, TCU, or MSI include a
- 21 plan for establishing a sustained partnership that is
- jointly developed and managed, draws from the ca-
- pacities of each institution, and is mutually bene-
- 24 ficial; and

- 1 (3) encourage proposals submitted in partner-
- 2 ship with the private sector, non-profit organiza-
- 3 tions, Federal laboratories, and international re-
- 4 search institutions, as appropriate.
- 5 (f) MSI CENTERS OF INNOVATION.—Grants under
- 6 this section may fund the establishment of no more than
- 7 five MSI Centers of Innovation to leverage successes of
- 8 HBCUs, TCUs, and MSIs in STEM education and re-
- 9 search training of underrepresented minority students as
- 10 models for other institutions, including both HBCUs,
- 11 TCUs, and MSIs and institutions of higher education that
- 12 are not HBCUs, TCUs, or MSIs. Such centers will be lo-
- 13 cated on campuses of selected institutions of higher edu-
- 14 cation and serve as incubators to allow institutions of
- 15 higher education to experiment, pilot, evaluate, and scale
- 16 up promising practices.
- 17 (g) AUTHORIZATION OF APPROPRIATIONS.—There
- 18 are authorized to be appropriated to the Director of the
- 19 National Science Foundation \$170,000,000 for fiscal year
- 20 2020, \$175,000,000 for fiscal year 2021, \$180,000,000
- 21 for fiscal year 2022, \$185,000,000 for fiscal year 2023,
- 22 and \$190,000,000 fiscal year 2024 to carry out this sec-
- 23 tion.

### 1 SEC. 5. AGENCY RESPONSIBILITIES.

2	(a) In General.—In consultation with outside
3	stakeholders and the heads of the Federal science agen-
4	cies, the Director shall develop a uniform set of policy
5	guidelines for Federal science agencies to carry out a sus-
6	tained program of outreach activities to increase clarity,
7	transparency, and accountability for Federal science agen-
8	cy investments in STEM education and research activities
9	at HBCUs, TCUs, and MSIs.
10	(b) Outreach Activities.—In developing policy
11	guidelines under subsection (a) the Director shall include
12	guidelines that require each Federal science agency—
13	(1) to designate a liaison for HBCUs, TCUs,
14	and MSIs responsible for—
15	(A) enhancing direct communication with
16	HBCUs, TCUs, and MSIs to increase the Fed-
17	eral science agency's understanding of the ca-
18	pacity and needs of such institutions and to
19	raise awareness of available Federal funding op-
20	portunities at such institutions;
21	(B) coordinating programs, activities, and
22	initiatives while accounting for the capacity and
23	needs of HBCUs, TCUs, and MSIs;
24	(C) tracking Federal science agency invest-
25	ments in and engagement with HBCUs, TCUs,
26	and MSIs: and

1	(D) reporting progress toward increasing
2	participation of HBCUs, TCUs, and MSIs in
3	grant programs;
4	(2) to publish annual forecasts of funding op-
5	portunities and proposal deadlines, including for
6	grants, contracts, subcontracts, and cooperative
7	agreements;
8	(3) to conduct on-site reviews of research facili-
9	ties at HBCUs, TCUs, and MSIs, as practicable,
10	and make recommendations regarding strategies for
11	becoming more competitive in research;
12	(4) to hold geographically accessible or virtual
13	workshops on research priorities of the Federal
14	science agency and on how to write competitive
15	grant proposals;
16	(5) to ensure opportunities for HBCUs, TCUs,
17	and MSIs to directly communicate with Federal
18	science agency officials responsible for managing
19	competitive grant programs in order to receive feed-
20	back on research ideas and proposals, including
21	guidance on the Federal science agency's peer review
22	process;
23	(6) to foster mutually beneficial public-private

collaboration among Federal science agencies, indus-

1	try, Federal laboratories, academia, and nonprofit
2	organizations to—
3	(A) identify alternative sources of funding
4	for STEM education and research at HBCUs,
5	TCUs, and MSIs;
6	(B) provide access to high-quality, relevant
7	research experiences for students and faculty of
8	HBCUs, TCUs, and MSIs;
9	(C) expand the professional networks of
10	students and faculty of HBCUs, TCUs, and
11	MSIs;
12	(D) broaden STEM educational opportuni-
13	ties for students and faculty of HBCUs, TCUs,
14	and MSIs; and
15	(E) support the transition of students of
16	HBCUs, TCUs, and MSIs into the STEM
17	workforce; and
18	(7) to publish an annual report that provides an
19	account of Federal science agency investments in
20	HBCUs, TCUs, and MSIs, including data on the
21	level of participation of HBCUs, TCUs, and MSIs
22	as prime recipients/contractors or subrecipients/sub-
23	contractors.
24	(c) Strategic Plan.—

- 1 (1) IN GENERAL.—Not later than 1 year after 2 the date of enactment of this Act, the Director, in 3 collaboration with the head of each Federal science 4 agency, shall submit to Congress a report containing 5 a strategic plan for each Federal science agency to 6 increase the capacity of HBCUs, TCUs, and MSIs 7 to compete effectively for grants, contracts, or coop-8 erative agreements and to encourage HBCUs, 9 TCUs, and MSIs to participate in Federal programs. (2) Considerations.—In developing a stra-10
  - (2) Considerations.—In developing a strategic plan under paragraph (1), the Director and each head of each Federal science agency shall consider—
    - (A) issuing new or expanding existing funding opportunities targeted to HBCUs, TCUs, and MSIs;
    - (B) modifying existing research and development program solicitations to incentivize effective partnerships with HBCUs, TCUs, and MSIs;
    - (C) offering planning grants for HBCUs, TCUs, and MSIs to develop or equip grant offices with the requisite depth of knowledge to submit competitive grant proposals and manage awarded grants;

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1	(D) offering additional training programs
2	and individualized and timely guidance to grant
3	officers and faculty researchers at HBCUs,
4	TCUs, and MSIs to ensure they understand the
5	requirements for an effective grant proposal;
6	and
7	(E) other approaches for making current
8	competitive funding models more accessible for
9	under-resourced HBCUs, TCUs, and MSIs.
10	(d) Report to Congress.—Not later than 2 years
11	after the date of enactment of this Act, and every 5 years
12	thereafter, the Director shall report to Congress on the
13	implementation by Federal science agencies of the policy
14	guidelines developed under this section.
15	SEC. 6. DEFINITIONS.
16	In this Act:
17	(1) Director.—The term "Director" means
18	the Director of the Office of Science and Technology
19	Policy.
20	(2) FEDERAL LABORATORY.—The term "Fed-
21	eral laboratory" has the meaning given such term in
22	section 4 of the Stevenson-Wydler Technology Inno-
23	vation Act of 1980 (15 U.S.C. 3703).
24	(3) Federal science agency.—The term
25	"Federal science agency" means any Federal agency

- with an annual extramural research expenditure of over \$100,000,000.
- 3 (4) HBCU.—The term "HBCU" has the mean-4 ing given the term "part B institution" in section 5 322 of the Higher Education Act of 1965 (20 6 U.S.C. 1061).
  - (5) Institution of Higher Education.—The term "institution of higher education" has the meaning given such term in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001).
  - (6) Minority serving institution.—The term "minority serving institution" or "MSI" means Hispanic-Serving Institutions as defined in section 502 of the Higher Education Act of 1965 (20 U.S.C. 1101a); Alaska Native Serving Institutions and Native Hawaiian-Serving Institutions as defined in section 317 of the Higher Education Act of 1965 (20 U.S.C. 1059d); and Predominantly Black Institutions, Asian American and Native American Pacific Islander-Serving Institutions, and Native American-Serving Nontribal Institutions as defined in section 371 of the Higher Education Act of 1965 (20 U.S.C. 1067q(c)).

1	(7) STEM.—The term "STEM" has the mean-
2	ing given the term in the STEM Education Act of
3	2015 (42 U.S.C. 1861 et seq.).
4	(8) TCU.—The term "TCU" has the meaning
5	given the term "Tribal College or University" in sec-
6	tion 316 of the Higher Education Act of 1965 (20
7	U.S.C. 1059c).
	Passed the House of Representatives December 9,
	2019.

Attest:

Clerk.

# 116TH CONGRESS H. R. 4372

# AN ACT

To direct Federal science agencies and the Office of Science and Technology Policy to undertake activities to improve the quality of undergraduate STEM education and enhance the research capacity at the Nation's HBCUs, TCUs, and MSIs, and for other purposes.