

Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)*, of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Committee on Energy and Commerce

Subcommittee: Environment and Climate Change

Hearing Date: February 11, 2020

Hearing Title :

EPA's Lead and Copper Proposal: Failing to Protect Public Health

Witness Name: Stephen Estes-Smargiassi

Position/Title: Director of Planning and Sustainability

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

American Waterworks Association

If you are a **non-governmental witness**, please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you or the organization(s) you represent at this hearing received in the current calendar year and previous two calendar years. Include the source and amount of each grant or contract. *If necessary, attach additional sheet(s) to provide more information.*

While I work for a governmental entity, the organization I am representing today, the American Water Works Association, is not a governmental entity. Therefore, I have attached a spreadsheet showing AWWA's federal grants.

If you are a **non-governmental witness**, please list any contracts or payments originating with a foreign government and related to the hearing's subject matter that you or the organization(s) you represent at this hearing received in the current year and previous two calendar years. Include the amount and country of origin of each contract or payment. *If necessary, attach additional sheet(s) to provide more information.*

False Statements Certification

Knowingly providing material false information to this committee/subcommittee, or knowingly concealing material information from this committee/subcommittee, is a crime (18 U.S.C. § 1001). This form will be made part of the hearing record.

Witness signature

2/7/20

Date

Please attach, when applicable, the following documents to this disclosure. Check the box(es) to acknowledge that you have done so.

- Written statement of proposed testimony
- Curriculum vitae or biography

*Rule XI, clause 2(g)(5), of the U.S. House of Representatives provides:

(5)(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a nongovernmental capacity, a written statement of proposed testimony shall include a curriculum vitae and a disclosure of any Federal grants or contracts, or contracts or payments originating with a foreign government, received during the current calendar year or either of the two previous calendar years by the witness or by an entity represented by the witness and related to the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B) shall include—

- (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and
- (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form not later than one day after the witness appears.

American Water Works Association

Schedule of Federal Grants

GL Account # 11409-0000-00

Grant / Project	Assistance ID # / Order #	Project Period	Grant Amount	Description
WRI-CIG # 0211	Subaward # 69-3A75-16-005	3/1/2016 - 9/30/2019	50,104.00	Unlocking Green Bonds for Natural Infrastructure in the United States Water Sector
EFC Project # 0219	Subaward # 5106040	8/1/2016 - 1/31/2019	200,490.00	Managerial Training and Technical Assistance to Small Public Water Systems to Provide Safe Drinking Water (subrecipient of UNC Chapel Hill)
RCAP Project # 0220	Subaward 10/1/2017 - 3/31/2019	10/1/2017 - 3/31/2019	439,730.00	Training/Technical Assistance for Small Public Water Systems to Achieve and Maintain Compliance
RCAP Project # 0222	Subaward 10/1/2018 - 9/30/2021	10/1/2018 - 9/30/2021	814,000.00	Training/Technical Assistance for Small Public Water Systems to Achieve and Maintain Compliance
USDA Grant # 0228	Subaward 10/1/2018 - 9/30/2019	10/1/2018 - 9/30/2019	99,163.35	2018 USDA Technical Assistance and Training Grant Program (subrecipient of Engineers Without Borders USA dba CECorps)
USDA Grant # 0260	00-01	10/1/2017 - 9/30/2019	299,779.00	Technical Assistance and Training
USDA Grant # 0261	00-02	10/1/2018 - 9/30/2019	856,866.00	Technical Assistance and Training
EPA Grant # 0251	FAIN: 83957001	8/1/2019 - 12/31/2023	360,000.00	Training Support Activities for the State Revolving Fund (SRF) Programs
USDA Grant # 0229	Subaward 10/1/2019 - 9/30/2020	10/1/2019 - 9/30/2020	136,625.06	FY19 USDA Technical Assistance and Training Grant Program (subrecipient of Engineers Without Borders USA dba CECorps)
USDA Grant # 0262	00-03	10/1/2019 - 9/30/2020	2,184,989.00	Technical Assistance and Training
			<u>5,441,746.41</u>	