H.R. 4039: Mr. FILNER, Mr. GILMAN, Mr. HOYER, Mr. LATOURETTE, Mr. OLVER, and Mr. SHERMAN.

H.R. 4066: Mr. ABERCROMBIE, Mr. BALDACCI, Mr. FRELINGHUYSEN, Ms. DEGETTE, Ms. WOOLSEY, Mr. KLECZKA, Mrs. DAVIS of California, Mr. STRICKLAND, Mr. SANDLIN, Mr. MEEKS of New York, and Mr. ACKERMAN.

H.R. 4098: Mr. RANGEL, Ms. KILPATRICK, and Mr. BONIOR.

H.R. 4108: Mr. COX.

H.J. Res. 21: Mr. KUCINICH.

H.J. Res. 23: Mr. MICA.

H.J. Res. 41: Mr. KINGSTON, Ms. PRYCE of Ohio, Mr. CANTOR, Mr. FERGUSON, Mr. FLETCHER, Mr. WILSON of South Carolina, and Mr. PENCE.

H. Con. Res. 169: Mr. LYNCH and Mr. STUPAK.

H. Con. Res. 222: Mr. ISRAEL.

H. Con. Res. 260: Mr. BISHOP.

H. Con. Res. 271: Mr. CRANE, Mr. MCKEON, Mr. JONES of North Carolina, Mr. CHAMBLISS, and Mr. TERRY.

H. Con. Res. 290: Mr. CLYBURN, Mr. WYNN, Ms. WATERS, Ms. CARSON of Indiana, Mr. CUMMINGS, Mrs. NAPOLITANO, Mr. DAVIS of Florida, and Mr. FILNER.

H. Con. Res. 291: Mr. WAXMAN.

H. Con. Res. 301: Mr. SIMMONS, Mr. FOSSELLA, Mrs. ROUKEMA, Mr. TERRY, and Mr. BROWN of South Carolina.

H. Con. Res. 302: Mr. FROST and Ms. BROWN of Florida.

H. Con. Res. 314: Mr. DIAZ-BALART and Mr. OWENS.

H. Con. Res. 315: Mr. DOOLITTLE, Mr. LEWIS of Kentucky, and Mr. SOUDER.

H. Con. Res. 346: Mr. HINCHEY and Mr. MCNULTY.

H. Con. Res. 350: Mr. GARY G. MILLER of California, Mr. CLEMENT, Mr. HILLEARY, Mr. GOODE, Mr. SCHAFFER, Mr. BILIRAKIS, Mr. SESSIONS, Mr. NETHERCUTT, Mr. AKIN, Mr. RAMSTAD, Mrs. ROUKEMA, and Mr. STUMP.

H. Con. Res. 363: Mr. ENGLISH.

H. Con. Res. 369: Mr. CANTOR.

H. Res. 50: Ms. LEE, Mr. DAVIS of Illinois, Mr. FATTAH, Mr. ENGEL, Mr. TOWNS, Ms. WATSON, Mrs. JONES of Ohio, Mr. CLAY, and Ms. CARSON of Indiana.

H. Res. 126: Mr. ENGEL, Mr. TOWNS, Mr. CLAY, and Ms. CARSON of Indiana.

DELETIONS OF SPONSORS FROM PUBLIC BILLS AND RESOLUTIONS

Under clause 7 of rule XII, sponsors were deleted from public bills and resolutions as follows:

H.R. 3694: Mr. HOYER.

AMENDMENTS

Under clause 8 of rule XVIII, proposed amendments were submitted as follows:

H.R. 3762

OFFERED BY: MR. ROEMER

AMENDMENT NO. 1: Insert at the appropriate place the following new section:

SEC. ___. IMMEDIATE WARNING OF EXCESSIVE STOCK HOLDINGS.

(a) IN GENERAL.—Section 105 of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1025) is amended by adding at the end the following new subsection:

''(e)(1) In any case in which the plan administrator of an individual account plan is in receipt of information indicating that the individual account of any participant is excessively invested in such securities, the plan administrator shall periodically, but not less frequently than quarterly, provide to the participant a separate, written statement—

''(A) indicating that the participant's account is excessively invested in employer securities,

''(B) setting forth an explanation, written in a manner calculated to be understood by the average plan participant, of the importance, for the long-term retirement security of participants and beneficiaries, of a well-balanced and diversified investment portfolio, including a discussion of the risk of holding substantial portions of a portfolio in the security of any one entity, such as employer securities, and

''(C) referring the participant to investment education materials which shall be made available by or under the plan.

The requirement to provide such periodic statement shall not apply during any period for which the plan administrator is made aware that such participant's account is not excessively invested in employer securities.

''(2) For purposes of paragraph (1), a participant's account is 'excessively invested' in employer securities if at least 25 percent of the balance in such account is invested in employer securities (as defined in section 407(d)(1)).''.

(b) CIVIL PENALTIES FOR FAILURE TO PROVIDE QUARTERLY BENEFIT STATEMENTS.—Section 502 of such Act (29 U.S.C. 1132) is amended—

(1) in subsection (a)(6), by striking ''(5), or (6)'' and inserting ''(5), (6), or (7)'';

(2) by redesignating paragraph (7) of subsection (c) as paragraph (8); and

(3) by inserting after paragraph (6) of subsection (c) the following new paragraph:

''(7) The Secretary may assess a civil penalty against any plan administrator of up to $1,000 a day from the date of such plan administrator's failure or refusal to provide participants or beneficiaries with a separate, written statement on a timely basis under section 105(e).''.

(c) MODEL STATEMENTS.—The Secretary of Labor shall, not later than January 1, 2003, issue initial guidance and a model benefit statement, written in a manner calculated to be understood by the average plan participant, that may be used by plan administrators in complying with the requirements of section 105(e) of the Employee Retirement Income Security Act of 1974. The Secretary may promulgate such interim final rules as the Secretary determines are appropriate to carry out the amendments made by this section.

H.R. 3762

OFFERED BY: MR. ROEMER

AMENDMENT NO. 2: Insert at the appropriate place the following new section:

SEC. ___. CLEAR AND ACCURATE DESCRIPTION OF PENSION PLAN TERMS AND CONDITIONS.

Section 104(a) of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1024(a)) is amended by adding at the end the following new paragraph:

''(7) All materials described in this subsection which are required to be furnished to pension plan participants or beneficiaries or which are required to be made available for inspection by such participants and beneficiaries shall be written in clear and accurate language designed to be understood by the average plan participant.''.